Hamley, Ian W.; Burholt, Samuel; Hutchinson, Jessica; Castelletto, Valeria; da Silva, Emerson Rodrigo; Alves, Wendel; Gutfreund, Philipp; Porcar, Lionel; Dattani, Rajeev; Hermida-Merino, Daniel; Newby, Gemma; Reza, Mehedi; Ruokolainen, Janne; Stasiak, Joanna

Shear Alignment of Bola-Amphiphilic Arginine-Coated Peptide Nanotubes

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ABSTRACT: The bola-amphiphilic arginine-capped peptide RFL₄RF self-assembles into nanotubes in aqueous solution. The nanostructure and rheology are probed by in situ simultaneous rheology/small-angle scattering experiments including rheo-SAXS, rheo-SANS, and rheo-GISANS (SAXS: small-angle X-ray scattering, SANS: small-angle neutron scattering, GISANS: grazing incidence small-angle neutron scattering). Nematic alignment of peptide nanotubes under shear is observed at sufficiently high shear rates under steady shear in either Couette or cone-and-plate geometry. The extent of alignment increases with shear rate. A shear plateau is observed in a flow curve measured in the Couette geometry, indicating the presence of shear banding above the shear rate at which significant orientation is observed (0.1–1 s⁻¹). The orientation under shear is transient and is lost as soon as shear is stopped. GISANS shows that alignment at the surface of a cone-and-plate cell develops at sufficiently high shear rates, very similar to that observed in the bulk using the Couette geometry. A small isotope effect (comparing H₂O/D₂O solvents) is noted in the CD spectra indicating increased interpeptide hydrogen bonding in D₂O, although this does not influence nanotube self-assembly. These results provide new insights into the controlled alignment of peptide nanotubes for future applications.

INTRODUCTION
Peptide bola-amphiphiles are remarkable biocompatible molecules incorporating symmetric hydrophilic headgroups on each
side of a central hydrophobic sequence. The aggregation properties of bola-ampiphilic peptides have recently been investigated by several groups. For example, the groups of Shimizu and Liu have observed nanotube formation by bola-ampiphiles comprising lengthy lipid central groups (e.g., C16 or C18 chains) group-capped with short oligopeptides.11 A recent paper reports a similar design (lipid-based central chains to which four dipeptides are attached in a H-shaped molecule) as the basis of dipeptide bola-ampiphiles for siRNA delivery.1 Other groups have studied similar peptide end-capped bola-ampiphiles as discussed in a general review on bola-ampiphiles. In another recent example, it has been shown that the self-assembly of peptide KI4K can be controlled by adjusting the solvent composition in water/acetonitrile mixtures—nanotubes, twisted ribbons, or fibrils being observed.1,3 By contrast, KAKa was found not to self-assemble, this being ascribed to electrostatic repulsion of the lysine termini (the analogue KAEa however did form tape-like fibrils).10 Recently, twisted ribbons and fibrils (comprising bundled helical filaments) have been reported for EFaE and KEK, respectively (mixtures self-assemble into tapes).11 The central block may comprise just hydrophobic residues as in the above-mentioned examples or for example alternating residues, like KAKa bundled helical filaments (where 2D is the scattering angle) scale was calibrated using silver behenate.

### EXPERIMENTAL SECTION

**Materials.** Peptide NH2−RFLLULLFR-OH was custom synthesized by BioMak (Ontario, Canada) and was received with the TFA salt variant. Two batches were received with purity respectively 93.6% and 96.3% by HPLC in water/acetonitrile containing 0.1% TFA. Mass spectroscopy (ESI-MS) indicated a molar mass 1077.39 g mol⁻¹ (1077.36 g mol⁻¹, expected). Samples were prepared by dissolving the peptide into H2O or D2O, 1 or 3.5 wt % concentration, and storing at 4 °C for a period of weeks previous to further analyses.

**Small-Angle X-ray Scattering (SAXS).** SAXS data were obtained on beamline ID02 at the ESRF, Grenoble, France. Data were collected using a Pilatus 1 M detector. Samples were placed into a Haake RS6000 stress-controlled rheometer with modified (polycarbonate) Couette cell of inner diameter 20 mm radius and 2 mm gap. Samples were subjected to shear at different shear rates in the range $\dot{\gamma} = 0.1$ s⁻¹ to 1000 s⁻¹. The sample—detector distance was either 1.2 or 10 m. The X-ray wavelength ($\lambda$) was 0.995 Å and the wavenumber $q = 4\pi \sin \theta / \lambda$ (where 2θ is the scattering angle) scale was calibrated using silver behenate.

**Grazing Incidence Small-Angle Neutron Scattering (GISANS).** GISANS data were obtained on beamline D22 at the ILL, Grenoble, France. Solutions of RFLaFR were prepared using D2O as a solvent in order to minimize incoherent background scattering from the buffer. Samples were loaded into a stress-controlled rheometer from Anton Paar (Paar Physica MCR 501) with a custom-made quartz Couette geometry (30 mm diameter, 0.5 mm gap) that allows for simultaneous measurement of the rheological behavior and scattering patterns, termed rheo-GISANS. Samples were subjected to shear at different shear rates in the range $\dot{\gamma} = 0.1$ s⁻¹ to 1000 s⁻¹. The sample—detector distance was 17 m, 5.6 or 2.5 m. Most data presented herein were obtained at 17 m.

**Cryogenic-Transmission Electron Microscopy (Cryo-TEM).** Imaging was carried out using a field emission cryo-electron microscope (JEOL JEM-3200FSC), operating at 200 kV. Images were taken in bright field mode and using zero loss energy filtering (omega type) with a slit width of 20 eV. Micrographs were recorded using a Gatan Ultrascan 4000 CCD camera. The specimen temperature was maintained at −187 °C during the imaging. Vitrified specimens were prepared using an automated FEI Vitrobot device using Quantifoil 3.5/1 holey carbon copper grids with a hole size of 3.5 µm. Just prior to use,
grids were plasma cleaned using a Gatan Solarus 9500 plasma cleaner and then transferred into the environmental chamber of a FEI Vitrobot at room temperature and 100% humidity. Thereafter 3 mL of sample solution was applied on the grid and it was blotted twice for 5 s and then vitrified in a 1/1 mixture of liquid ethane and propane at temperature of −180 °C. The grids with vitrified sample solution were maintained at liquid nitrogen temperature and then cryo-transferred to the microscope.

**Circular Dichroism (CD) Spectroscopy.** CD spectra were recorded using a Chirascan spectropolarimeter (Applied Photophysics, UK). Samples of RFL4FR in H2O or D2O (1 wt %), were placed in a sandwich cell (0.01 mm spacing), spectra presented being with absorbance A < 2 at any measured point with a 0.5 nm step, 1 nm bandwidth, and 1 s collection time per step. The CD signal from the background was subtracted from the CD data of the sample solutions.

### RESULTS

Cryo-TEM images (Figure 1a,b) clearly show the formation of nanotubes in 1 wt % solutions of RFL4FR after storage in the fridge for several weeks. Some "saddle-splay" curved nanosheets, forming mesh-like structures were observed in some areas of the TEM grids (Figure 1a,b and Figure S1), consistent with the formation of the nanotubes by wrapping of curved sheets. In the present study, samples were prepared in D2O for SANS measurements, in contrast to H2O used in a previous study. In order to check for any possible isotope effects on self-assembly, cryo-TEM images were also obtained in H2O. These showed the same nanotube assemblies (Figure 1). The nanotube diameter was measured to be (73.5 ± 16.9) nm from a series of images of samples (1 wt %) in H2O, the corresponding value for a sample in D2O being (67.5 ± 22.8) nm. There is no significant difference in values in the two solvents. Possible solvent isotope effects on peptide conformation were also examined by CD spectroscopy. The CD spectra are presented in Figure S2 and, for the peptide in either H2O or D2O, show maxima just above 200 nm and minima near 220 nm, features consistent with β-sheet formation by extended peptide nanostructures.

The spectrum observed in D2O does, however, show more intense maxima and minima, suggesting enhanced hydrogen bonding between peptides in D2O, which is possibly an effect of competitive H-bonding peptide/peptide versus peptide/solvent. The formation of nanotubes in 1 wt % solutions of RFL4FR was also confirmed by modeling of SAXS and SANS data, as discussed below.

The formation of possible liquid crystal phases was examined. A polarized optical micrograph of a 1 wt % RFL4FR solution in H2O shows isolated birefringent domains (Figure 1c) in aged solutions (~3 weeks, kept in the fridge at ~4 °C). However, upon shearing between a glass slide and coverslip nematic texture (Schlieren texture) is observed across the sample (Figure 1d). Fresh solutions at this concentration do not produce birefringence in the absence of shear. As mentioned above, aging is required in order to observe nanotube formation and at 1 wt % the nematic phase is induced by shear. The shear-induced alignment of the nematic phase was investigated by rheo-SANS and rheo-SAXS. Similar behavior was observed for a sample in D2O, POM images before and after shearing for this sample being shown in Figure S3.

We used a combination of rheo-SANS and rheo-SAXS techniques to examine orientation. Bulk alignment effects in a Couette (concentric cylinder) were examined by rheo-SAXS and rheo-SANS, and structure-flow properties were investigated by simultaneous rheology/scattering measurements. This was contrasted with results from rheo-GISANS, which was used to probe alignment in a cone−plate geometry, probing orientation close to the plate wall. The shear geometries used are illustrated in Figure 2, which shows that for the bulk rheo-SAXS and rheo-SANS measurements alignment was examined in two different planes with respect to the shear direction, so-called radial, and tangential configurations. This provides information on alignment in three dimensions.

Rheo-GISANS measurements were performed in order to correlate rheological behavior of the sample to structural
alignment under flow close to the plate wall (70 μm penetration depth into the liquid). Grazing incidence scattering measurements provide a powerful tool to probe interfacial structure.\(^{19}\)

Due to the glancing angle geometry most of the signal comes from a thin liquid layer close to the stationary plate. For the wavelength range used here, this layer thickness is on the order of several tens of micrometers. GISANS images obtained from a 1 wt % solution of RFL4FR under the application of steady shear (and at rest) are shown in Figure 3. A slight degree of anisotropy becomes apparent at a shear rate of 1 s\(^{-1}\), but only at 100 s\(^{-1}\) and 1000 s\(^{-1}\) was pronounced anisotropy due to flow alignment noted. The anisotropy is in the form of prolate elliptical scattering contours, consistent with alignment of the peptide nanotubes along the flow direction (horizontal, QY).

Due to the reflection geometry only about half of the scattering plane is accessible in GISANS as compared to SANS patterns. The rest is blocked by the sample horizon. The alignment is immediately lost following the cessation of shear as exemplified by the GISANS pattern in Figure 3a (obtained after shearing at 1000 s\(^{-1}\) ), which is isotropic. The corresponding bulk flow curves (viscosity vs shear rate) are shown in Figure 4 for two concentrations of the sample. The viscosity decreases dramatically with increasing shear rate above \(\dot{\gamma} = 10^{-3} \text{ s}^{-1}\) indicating strong shear thinning behavior. However, as noted above, strong anisotropy in the GISANS patterns is not observed until much higher shear rates, i.e., in the strongly nonlinear flow regime. The flow curves also show an enhancement of the zero shear viscosity with increasing peptide concentration.

Rheo-SAXS was used to complement the rheo-GISANS, providing information on the alignment of the sample across the entire gap of the Couette cell rather than only in the interfacial region of a cone/plate cell. SAXS data obtained from a 1 wt % solution of RFL4FR under Couette flow in the radial geometry is shown in Figure 5. Significant alignment of the bulk sample was

Figure 2. Definitions of geometry and shear axes. (a) SAXS/SANS, (b) GISANS.

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only observed while the sample was experiencing shear at higher shear rates at or above $\dot{\gamma} = 1 \text{s}^{-1}$, no substantial orientation being observed in the SAXS pattern at lower shear rates studied (an example pattern for a sample under shear at $\dot{\gamma} = 100 \text{s}^{-1}$ is shown in Figure 5b; data was also measured at $\dot{\gamma} = 0.1, 1, \text{and} 10 \text{s}^{-1}$). The onset of significant alignment above $\dot{\gamma} = 1 \text{s}^{-1}$ is in agreement with the GISANS results. Also of note, the anisotropy in the SAXS pattern was immediately lost following cessation of shear as shown in Figure 5d. This is due to the low viscosity of these samples (discussed quantitatively below), which means that shear-induced alignment at the macroscale is not retained in the absence of shear, although local alignment must be retained in the nematic phase. A previous study on peptide fibrils using SANS and rheology (performed separately) reported differences in response of fluid and gel nematic phases under shear—the fluid nematic phase showing no alignment in the absence of shear as in the present study, but in contrast to the nematic gel structure. More concentrated nematic phases (with higher viscosity due to fibril network formation) as for a PEG-peptide conjugate show retained nematic alignment after shearing. For RFL$_4$FR solution, no orientation was observed in the tangential direction, even under shear at high shear rates (SAXS data not shown, SANS data discussed below). These patterns are consistent with alignment of the nanotubes in the $(\mathbf{v}, \nabla \mathbf{v})$ plane. Similar alignment was observed in the rheo-SANS measurements (also in a Couette geometry). Selected data are shown in Figure 6. Note that this data corresponds to lower $\mathbf{q}$ and features from the form factor of nanotubes are visible, in particular the intensity maximum at $\mathbf{q} = 0.12 \text{n m}^{-1}$, which is associated with the nanotube form factor as discussed shortly. The overall degree of alignment observed in the radial SANS patterns (Figure 6a) is similar to that in the SAXS measurements at the same shear rate. The same loss of alignment upon cessation of shear was noted. A set of radial SANS patterns at a full range of shear rates during and after shear are shown in Figure S4.

Figure 3. GISANS data from a 1 wt % solution of RFL$_4$FR at rest or under steady shear (a) at rest (following shear at 1000 s$^{-1}$), (b) 0.01 s$^{-1}$, (c) 1 s$^{-1}$, (d) 100 s$^{-1}$, (e) 1000 s$^{-1}$. The QZ axis is along the shear gradient, and the QY direction is mainly oriented along the shear flow. The axis units are in Å$^{-1}$. The intensity is plotted on a logarithmic color scale.

Figure 4. Steady state flow curves (1 and 3.5 wt % RFL$_4$FR) in cone/plate geometry as used for the GISANS experiments. Flow curves (1 and 3.5 wt % RFL$_4$FR) were measured for each sample over two ranges of shear rate (shown by different shaped symbols).

Figure 5. SAXS patterns obtained from a 1 wt % solution of RFL$_4$FR in D$_2$O in the radial configuration. (i) Zero shear, (ii) under shear at $\dot{\gamma} = 100 \text{s}^{-1}$, (iii) under shear at $\dot{\gamma} = 1000 \text{s}^{-1}$, (iv) following shear at $\dot{\gamma} = 1000 \text{s}^{-1}$. The shear direction is horizontal and the intensity scale is logarithmic.
The degree of orientation in the SANS patterns in the radial configuration was quantified by fitting azimuthal intensity profiles (in a band centered on $q = 0.12 \text{ nm}^{-1}$) and extracting the orientational order parameter. The azimuthal intensity profiles as a function of azimuthal angle $\chi$ (defined with $\chi = 0$ horizontal) were fitted to a double Lorentzian function:

$$I(\chi) = \frac{A}{w^2 + (\chi - \chi_0)^2} + \frac{B}{w^2 + (\chi - 180 - \chi_0)^2} + C$$

(1)

Here $A$ and $B$ are fitted peak heights, and $C$ is a background term, $w$ sets the peak width, and $\chi_0$ the peak center. It should be noted that 2-fold symmetry in the anisotropic patterns (see Figure 6a) allows using peak centers shifted by 180° and the same parameter $w$ for peak widths. Figure 7a shows fitted azimuthal intensity profiles.

The order parameter $P_2 = (3\langle \cos^2 \chi \rangle - 1)/2$ was calculated using the following average:

$$\langle \cos^2 \chi \rangle = \frac{\int_0^{\pi} I(q, \chi) \cos^2 \chi \sin \chi \, d\chi}{\int_0^{\pi} I(q, \chi) \sin \chi \, d\chi}$$

(2)

Figure 7b shows the obtained order parameter as a function of shear rate. An S-shaped dependence on shear rate is noted. At the highest shear rate ($\dot{\gamma} = 1000 \text{ s}^{-1}$), a small reduction in order parameter is observed, possibly due to sample being expelled at this high shear rate and/or bubble formation.

SANS patterns obtained in the tangential configuration (Figure 6b) show weak shear-rate dependent anisotropy (Figure S5 shows the corresponding azimuthal intensity profiles), and this is consistent with the cylindrical symmetry of the nanotubes viewed “end on” in the (V,\(v\)) plane. The residual anisotropy observed is due to the presence of a fraction of unwrapped nanotubes, i.e. nanosheets, in the sample. These align in the (\(v\),\(e\)) plane producing horizontal Bragg peaks (azimuthal angle 0° or 180°).

The form factor was obtained from 1D profiles of SAXS and SANS data obtained by integration of the 2D patterns. Data are shown in Figure 8a and a very similar form factor profile is observed in the SAXS and SANS data at low $q$ with a pronounced peak near $q = 0.12 \text{ nm}^{-1}$ plus higher order oscillations, particularly notable in the SAXS data. The combination of SAXS and SANS data span an exceptionally wide $q$ range (more than 2 orders of magnitude) enabling unprecedented insights...
into the nanotube structure. Since the SAXS data extends to higher \( q \), it was used in form factor fits (performed using the software SASfit\(^{23}\)). The data were fitted to a combination of two form factors of a hollow cylinder (to represent a nanotube structure) and a bilayer. We have successfully used this model to fit SAXS data from another lipopeptide nanotube system.\(^{16}\) The latter component represents the internal structure in the nanotube wall, represented (Figure 8b) as a sum of three Gaussians representing the electron density profile across the bilayer with electron dense regions at the two walls and electron poor regions in the lipid interior, based on a model for the lipid bilayer form factor.\(^{25}\) The fit parameters obtained indicate that the nanotube radius is 27.3 nm (5 nm Gaussian HWHM polydispersity) with a bilayer thickness (defined as the separation between the center of the two outer Gaussians +2 × Gaussian peak widths) of 3.2 nm. These parameters are in good agreement with the cryo-TEM images (Figure 1) within uncertainties.

Rheology data obtained concurrently with the SAXS data is shown in Figure 9. The data is presented as time-dependent viscosity under steady shear. At all shear rates, except the lowest, an initial shear thinning behavior is observed in the first 10 s followed by the retention of a constant viscosity. The steady-state viscosity decreases with increased applied shear rate, consistent with shear thinning behavior. The data for \( \dot{\gamma} = 0.1 \text{ s}^{-1} \) is anomalous, with an increase in viscosity after the initial decrease which is ascribed to initial sample inhomogeneity (this was the first shear experiment after the sample was loaded), that is only slowly eliminated under very slow shear mixing conditions. For reference, the viscosity of water is approximately \( 9 \times 10^{-4} \text{ Pa} \cdot \text{s} \) at 25°C and even at the highest shear rate, the steady-state viscosity of the sample is significantly higher due to the solution nanostructure. As shown by data from repeat measurements at higher shear rates (Figure 9), the results are highly reproducible. For comparison to the flow curve in Figure 4, obtained with the GISANS rheometer, a flow curve obtained using the in situ SAXS rheometer is shown in Figure 10. The decrease in viscosity with increasing shear rate indicates shear-thinning behavior; however, there is an apparent pseudoplateau region in the range \( \dot{\gamma} = 0.1-1 \text{ s}^{-1} \). This behavior was reproducible in separate replicate off-line experiments performed in 1 wt % H\(_2\)O and D\(_2\)O solutions. Plateaus in flow curves are signals for coexisting flow domains, so-called shear banding.\(^{26,27}\) The flow curves obtained are similar to those observed in the literature for wormlike micelle systems where a stress plateau is reached.\(^{28-30}\) However, since our peptide nanotube systems differ from wormlike micelles in many respects (persistence length, nature of the self-assembly process etc.) further comparison does not seem merited at this stage. It thus appears that under flow in a defined range of shear rates, in a 1 wt % RFL4FR solution, there are coexisting bands which may have different degrees of alignment. In fact the shear rate \( \dot{\gamma} = 1 \text{ s}^{-1} \) corresponds to the onset of slight anisotropy detected by SAXS so we believe that in the range \( \dot{\gamma} = 0.1-1 \text{ s}^{-1} \) there may be partial alignment of the sample which is manifested under steady shear as one or more bands of aligned

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**Figure 8.** (a) One dimensional intensity profiles of SAXS and SANS data (open symbols) and form factor fitting (red line) of SAXS data to a nanotube + Gaussian bilayer model. The SANS data are combined from measurements at three sample-to-detector distances and presented as absolute intensities in cm\(^{-2}\). (b) Representation of electron density profile according to Gaussian bilayer model.\(^{25}\)

**Figure 9.** Time-dependent viscosity of a 1 wt % solution of RFL4FR in D\(_2\)O measured (concurrently with SAXS data) at different shear rates indicated. For convenience (in the representation of the data on a double logarithmic scale), the start of the experiment is set at \( t = 1 \text{ s} \).

**Figure 10.** Flow curves in Couette geometry for rheo-SAXS for 1 wt % RFL4FR in D\(_2\)O.
and unaligned sample. The data in Figure 10 is in agreement with the flow curve in cone-plate geometry obtained during GISANS measurements (Figure 4) at low shear rates but differs at higher shear rates, which is presumably due to the change of shear geometry and the absence of shear banding in the cone-plate geometry. The GISANS data allows us to infer that the observed alignment persists close to the stationary plate and thus pronounced shear banding can be excluded in the cone/plate geometry as this would lead to a significantly lower shear rate close to the plate contradicting the similar macroscopic shear rate dependence of the alignment in SANS and GISANS.

**CONCLUSIONS**

We have studied the shear-induced orientation of peptide nanotubes in the nematic phase. To our knowledge, we report the first observation of arginine-functionalized peptide nanotubes by self-assembly of a bola-amphiphilic peptide. The nanotubes are imaged by cryo-TEM and in situ dimensions are obtained by modeling small-angle scattering data, which spans more than 2 orders of magnitude in q values providing parameters including the nanotube radius and those representing the detailed bilayer structure of the nanotube walls. The nanotubes are formed in either H2O or D2O. The H/D isotopic substitution in the solvent influences the CD spectra and increased peptide β-sheet formation is observed in D2O, which is explained on the basis of an increase in peptide–peptide H-bonding in preference to peptide-solvent H-bonding.

Shear alignment of peptide nanotubes leading to orientation of a nematic phase has not previously been examined as far as we are aware. We find that steady shear can be used to controllably orient the RFLPR peptide nanotubes, the degree of orientation as characterized by the order parameter P2 increasing with shear rate. The alignment is not retained after shearing is stopped, which is presumably due to the low viscosity of the highly fluid sample (a more concentrated sample, 3 wt % was also studied, but no alignment was observed). Strong shear thinning quantified during the simultaneous rheo-SAXS measurements in bulk is observed within the first 10 s of steady shearing. The flow curve in the Couette geometry exhibits a viscosity plateau in the range 0.1–1 s−1 corresponding to the onset of observed alignment in the SAXS patterns. The possible shear banding effect merits further study in future work (e.g., using optical imaging to resolve isotropic/anisotropic bands or spatially resolved SAXS to scan across the velocity gradient), and comparison to the large body of literature on this topic for wormlike micelle systems. The rheo-GISANS measurements enable ordering near the surface in a cone-and-plate geometry to be compared to orientation in the bulk (probed in the Couette geometry with both rheo-SAXS and rheo-SANS measurements). The same alignment of the nanotubes under flow was observed, with significant alignment noted for similar shear rates to those for bulk orientation.

Arginine-coated peptide nanotubes may have applications in the fabrication of functional biomaterials, e.g., scaffolds for cell growth or extracellular matrix deposition and alignment may be important in such materials. Our study shows that controllable peptide nanotube orientation using shear flow is possible, and is switchable.

**ASSOCIATED CONTENT**

*Supporting Information*

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**AUTHOR INFORMATION**

**ORCID**

Ian W. Hamley: 0000-0002-4549-0926

Wendel Alves: 0000-0002-8394-2751

**Notes**

The authors declare no competing financial interest.

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