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## RESEARCH ARTICLE

# Bayesian modeling of the impact of antibiotic resistance on the efficiency of MRSA decolonization

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**Data Availability Statement:** Data and analysis code are available in GitHub, <https://github.com/fanoja/mrsa-survival-analysis/>.

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## Abstract

Methicillin-resistant *Staphylococcus aureus* (MRSA) is a major cause of morbidity and mortality. Colonization by MRSA increases the risk of infection and transmission, underscoring the importance of decolonization efforts. However, success of these decolonization protocols varies, raising the possibility that some MRSA strains may be more persistent than others. Here, we studied how the persistence of MRSA colonization correlates with genomic presence of antibiotic resistance genes. Our analysis using a Bayesian mixed effects survival model found that genetic determinants of high-level resistance to mupirocin was strongly associated with failure of the decolonization protocol. However, we did not see a similar effect with genetic resistance to chlorhexidine or other antibiotics. Including strain-specific random effects improved the predictive performance, indicating that some strain characteristics other than resistance also contributed to persistence. Study subject-specific random effects did not improve the model. Our results highlight the need to consider the properties of the colonizing MRSA strain when deciding which treatments to include in the decolonization protocol.

## Author summary

Methicillin-resistant *Staphylococcus aureus* (MRSA) is responsible for a high burden of morbidity and mortality. MRSA colonization incurs risk of MRSA infection and transmission, highlighting the need for highly effective decolonization protocols. However, decolonization protocols have had mixed success. The extent to which this mixed success is attributable to MRSA strain variations and their resistance to antibiotics, including those like mupirocin that are commonly used for decolonization, versus study subject factors,

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**Competing interests:** I have read the journal's policy and the authors of this manuscript have the following competing interests: S.S.H. has conducted studies in which participating nursing homes and hospitalized patients receive antiseptic and/or cleaning products from Xttrium Laboratories and Medline Industries. J.A.M. has served as a scientific consultant for Thermo Fisher Scientific and promotional speaker for Abbvie. M. R.A.S. is an employee at Day Zero Diagnostics, Boston.

has been unclear. Here, we characterized the effect of antibiotic resistance genes on the efficiency of an MRSA decolonization protocol. We found that mupirocin resistance and strain-specific effects were associated with reduced effectiveness of an MRSA decolonization protocol, but that resistance to other antibiotics, including purported chlorhexidine resistance genes, and subject-specific effects had no discernible impact on protocol success. Our results highlight the need to consider the properties of the colonizing MRSA strain when deciding which treatments to include in the decolonization protocol.

## Introduction

*Staphylococcus aureus* colonizes approximately 30% of the population [1] and is a leading cause of healthcare and community associated infections [2]. Healthcare-associated infections with MRSA are associated with higher mortality rates as well as increased cost and hospitalization duration compared to infection with methicillin-susceptible *S. aureus*. MRSA carriers have a higher predisposition for infection with a 35% risk of MRSA infection within one year following colonization [3–6]. The anterior nares are the main reservoir of *S. aureus*, and the skin, particularly the axilla and groin, and pharynx are also often colonized. The risk of infection is correlated with the extent of colonization, as determined by the number of body sites found to be colonized [7]. MRSA infections are most often caused by the colonizing strain [8]. Infection prevention and control strategies include reducing spread by preventing colonization of new individuals as well as decolonization of MRSA carriers.

Decolonization reduces carriage rates and subsequent infection by 30% [9–12]. However, the effectiveness of decolonization protocols varies. The extent to which this variation is due to the protocol, the features of the MRSA strains colonizing the study subjects, characteristics of the colonized individuals, and the interaction among these factors has been unclear. Moreover, most studies have lacked appropriate controls and/or have had limited sample sizes [13]; additionally, most studies of decolonization protocols have had limited if any analysis of the colonizing MRSA strains [14].

The CLEAR (Changing Lives by Eradicating Antibiotic Resistance) Trial is a randomized controlled clinical trial of MRSA carriers comparing hygiene education to education plus decolonization after hospital discharge. The intervention arm underwent repeated decolonization involving a 5-day decolonization regimen applied every other week for six months. The decolonization regimen involved chlorhexidine antiseptic for daily showering and twice-daily mouthwash plus twice-daily mupirocin (a topical antibiotic) treatment of bilateral nares. The education (control) arm received hygiene education alone. Body site samples were collected five times: at enrollment, at one, three- and six-months post-enrollment during the intervention phase, and at nine months, which was three months after the end of intervention. Swabs were obtained from multiple body sites including nares, throat, skin (axilla and groin), as well as accessible wounds, if present. While the trial demonstrated the benefit of the decolonization protocol with chlorhexidine and mupirocin, persistent colonization was noted in a subset of both trial arms [15]. The factors that contributed to persistent colonization were not addressed in the primary manuscript.

The goal for the current investigation was to model the association between antibiotic resistance genes and the persistence of MRSA strains during a decolonization protocol. To study this, we used whole genome sequencing of 3901 isolates from 880 study subjects from CLEAR who had completed all follow-up visits. We used a Bayesian statistical framework (BaeMBac software) to define persistent strains [16] and formulated a Bayesian mixed effects survival

model [17], where the survival outcome was the clearance of MRSA during a given study interval, and the lack of clearance represented persistent colonization. Resistance to different antibiotics as predicted by *Mykrobe predictor* [18] were the covariates in the fixed effects, and the study subject- and strain-specific random effects were included to quantify the impact of other subject and strain related factors on clearance. Our approach was fully Bayesian, which allowed characterization of uncertainty of all quantities of interest and incorporation of prior knowledge [19].

## Materials and methods

### Data

See [9] for the details of the study protocol. In brief, subjects were selected for the study based on an MRSA positive culture within the hospitalization prior to enrollment (0-month). Isolates were collected from the study subjects at discharge, 1-month, 3-month, 6-month and 9-month visits from the start of the study. Per protocol, the decolonization regimen was stopped six months after discharge, and therefore data from the 9-month interval were excluded from the subsequent analysis.

Whole genome sequencing was performed as described in [16], briefly Paired end DNA libraries were constructed via Illumina Nextera according to the manufacturer guidelines. Libraries were sequenced on the Illumina HiSeq platform. Sequencing reads were assembled de novo using SPAdes-3.10.1. in silico MLST typing was performed using PubMLST (<https://pubmlst.org/saureus/>), grouping samples by sequence type (ST). Single nucleotide polymorphism (SNP) analysis was performed using a mapping based approach relative to a reference genome of matching ST for each group. Pairwise SNP distance was inferred from SNP-alignments using custom scripts. Genotype-based resistance prediction was performed using presence or absence of resistance markers associated with high-level resistance to mupirocin and quaternary ammonium compounds. *Mykrobe predictor* [18] was used to predict mupirocin resistance based on the presence of *mupA* and *mupB* genes associated with high-level resistance. Chlorhexidine resistance prediction based on the presence of *qac* genes (*qacA*, *qacC*) was performed with BLAST [20].

In addition, mupirocin and chlorhexidine resistance phenotyping was performed on the first and last collected samples per patient. CHG susceptibility testing was performed using broth microdilution and a complete inhibition endpoint. Starting with a 20% (wt/vol) CHG solution (Sigma-Aldrich, St. Louis, MO), a 2-fold dilution series (from 32 to 0.0625  $\mu\text{g/ml}$ ) was prepared daily. An isolate was classified as nonsusceptible to CHG if the MIC was  $>4 \mu\text{g/ml}$ , which is outside the wild-type distribution of CHG MICs for *S. aureus*. Susceptibility to mupirocin was determined by the Etest method (bioMérieux, Durham, NC) according to the manufacturer's instructions. LLMR was defined as a MIC of 8 to 256  $\mu\text{g/ml}$  and HLMR as a MIC of  $\geq 512 \mu\text{g/ml}$ . [21], [22], [23].

The MRSA isolates from a single study subject were divided into strains using the software BaeMBac [16], where a 'strain' is defined as a population of genetically closely related isolates. The software uses a Bayesian model based on the single nucleotide polymorphism (SNP) distance and time between consecutive visits to estimate the probability that a pair of isolates collected from a study subject represent the same strain. The SNP distance of 45, estimated by BaeMBac using 10 percent of the education arm data, was used as a threshold (see S1 Fig) to divide the isolates from each subject into strains. The MRSA isolates were primarily from ST5 (N = 1337) and ST8 (N = 1968) [16]; isolates from the remaining STs (N = 533) were excluded because of the small number of samples. Most subjects were colonized with only one strain over the course of the study, but some were colonized with multiple strains (Table 1).

**Table 1. Distribution of the number of strains colonizing a study subject.**

#Strains	#Subjects	
	Decolonization	Education
1	160	287
2	24	78
3	1	27
4	1	4

<https://doi.org/10.1371/journal.pcbi.1010898.t001>

## Preprocessing

Our goal was to study the clearance of MRSA using survival models. For this purpose, we defined observations  $(y_i, \mathbf{x}_i, t_i)$  in our survival data as follows. One observation  $i$  corresponded to one study interval (between consecutive follow-up visits) from one subject such that the subject was colonized by MRSA in the beginning of the interval. If the study subject cleared MRSA carriage during a given interval (e.g., from 1-month visit to 3-month visit), then  $y_i = 1$ , otherwise  $y_i = 0$ . The vector  $\mathbf{x}_i$  specified the characteristics of the strain in the beginning of the interval, and it included the vector of indicators for resistance to different antibiotics. The time  $t_i$  was simply the length of the interval in months. We assessed clearance at 1-month, 3-month and 6-month visits. We denoted the starting visit by  $v_0$  of the interval of interest (for example the 1-month visit) and  $v_1$  the end visit (for example the 3-month visit).

The covariates  $\mathbf{x}_i$  included the presence of genetic markers for resistance of the colonizing strain at  $v_0$  to the following antibiotics: ciprofloxacin, clindamycin, erythromycin, gentamicin, mupirocin, rifampicin, tetracycline, trimethoprim and chlorhexidine. Penicillin and methicillin resistance were excluded, as all isolates were expected to be resistant. Vancomycin and fusidic acid were also excluded, because there was no resistance to these antibiotics. Statistics of the survival data are given in Tables 2 and 3.

The data were formulated for survival analysis in two ways, as illustrated in Fig 1. First, in a strain-specific analysis, clearance was defined such that at  $v_1$  the subject was not colonized by the strain at any site (see Fig 1B for illustration). Furthermore, in the strain-specific analysis there may have been multiple colonizing isolates at  $v_0$  belonging to the same strain, and the covariate corresponding to a certain resistance was defined as present if at least one of these isolates was resistant; in practice, the isolates of the same strain were so closely related that their predicted full resistance profiles were identical in 86% of cases. Second, in a site-specific analysis, clearance of a strain was defined as the absence of the strain at  $v_1$  on a body site of interest (either no strain was observed at  $v_1$  or a strain different from the one at  $v_0$  was observed). If no swab was collected on  $v_1$ , the observation was excluded from the survival analysis, except if the MRSA-positive  $v_0$  swab was taken from wound, when it was considered cleared by  $v_1$  (wound healed), see Fig 1C. The preprocessing pipeline is summarized in S1 Appendix.

**Table 2. Summary of the survival data.**

	Decolonization	Education
No. intervals (i.e., observations)	270	911
No. cleared intervals ( $y = 1$ )	173	401
No. subjects for the intervals	186	396
No. strains in the intervals	215	540

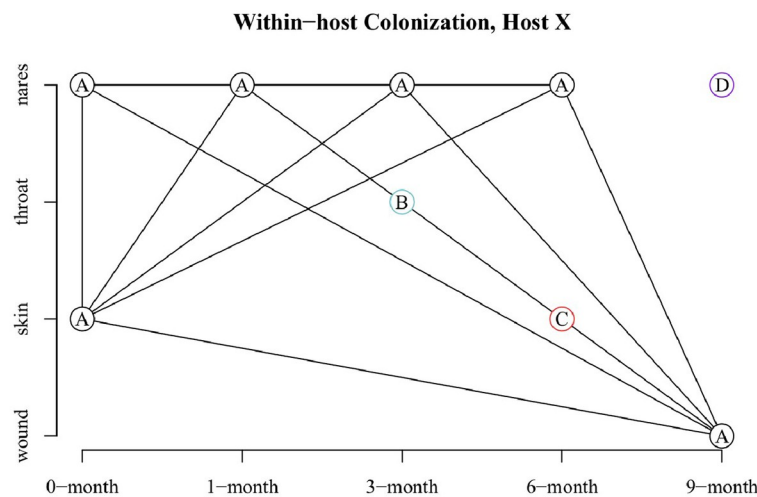
<https://doi.org/10.1371/journal.pcbi.1010898.t002>

**Table 3. Summary of the resistance profiles in the survival data.**

Resistant to	Decolonization	Education
Ciprofloxacin	227 (84.1%)	797 (87.5%)
Clindamycin	103 (38.1%)	394 (43.2%)
Erythromycin	223 (82.6%)	816 (89.6%)
Gentamicin	22 (8.1%)	72 (7.9%)
Mupirocin	30 (11.1%)	74 (8.1%)
Rifampicin	5 (1.9%)	31 (3.4%)
Tetracycline	8 (3.0%)	33 (3.6%)
Trimethoprim	8 (3.0%)	29 (3.2%)
Chlorhexidine*	33 (12.2%)	114 (12.5%)

Number of observations (i.e., intervals) in the strain-specific survival data corresponding to different antibiotic resistance types. (\*resistance to chlorhexidine is not well characterized, here we used *qac* genes as an indicator)

<https://doi.org/10.1371/journal.pcbi.1010898.t003>



(a)

Strain-specific		
Interval		Observation
$v_0$	$v_1$	$(y, x, t)$
0-month	1-month	$(0, \mathbf{x}_A, 1)$
1-month	3-month	$(0, \mathbf{x}_A, 2)$
3-month	6-month	$(0, \mathbf{x}_A, 3)$
3-month	6-month	$(1, \mathbf{x}_B, 3)$

(b)

Site-specific			
Site	Interval		Observation
	$v_0$	$v_1$	$(y, x, t)$
Nares	0-month	1-month	$(0, \mathbf{x}_A, 1)$
Nares	1-month	3-month	$(0, \mathbf{x}_A, 2)$
Nares	3-month	6-month	$(0, \mathbf{x}_A, 3)$
Throat	3-month	6-month	$(1, \mathbf{x}_B, 3)$
Skin	0-month	1-month	$(1, \mathbf{x}_A, 1)$

(c)

**Fig 1. Strains in a multiply-colonized study subject.** a) An example of a study subject colonized by four separate strains, A, B, C, and D, over the study period. b) Observations in the *strain-specific* survival data formulation for the subject. The subject contributed three intervals to the survival data, since the 9-month visit was excluded. Strains B and C were cleared immediately after acquisition, whereas strain A was persistent throughout the study. c) Observations in the *site-specific* survival data formulation (see text for details).

<https://doi.org/10.1371/journal.pcbi.1010898.g001>

## Bayesian survival model

In survival analysis, the goal is to characterize time-to-event data in terms of the hazard of event or survival time until an event, affected by some covariates of interest. In our study, the fixed covariates were the presence or absence of resistance to each antibiotic, and the model included also subject- and strain-specific random effects. The parameters of interest were the fixed effect coefficients  $\beta$ , which denoted the magnitude of increase or decrease in risk or survival time for the covariates. Hence, formally, we were modeling the ‘hazard’ of clearance of an MRSA strain in a study interval from  $v_0$  to  $v_1$  when the strain was known to be resistant to some antibiotics at  $v_0$ . Consequently, an estimated hazard ratio  $\exp(\beta)$  of 1.5, for example, indicated that a unit increase in the corresponding covariate resulted in a 1.5-fold risk of the clearance.

Our observations were either interval- or right censored: observations corresponding to study intervals where the clearance occurred ( $y = 1$ ) between  $v_0$  and  $v_1$  were modeled as interval censored, as the exact event time of the clearance within the interval was not known. Right censoring was used for observations corresponding to study intervals in which the clearance event did not occur ( $y = 0$ ) by the end of the interval  $v_1$ .

The data consisted of

$$D_i = (y_i, \mathbf{x}_i, t_i), \quad i = 1, \dots, N, \quad (1)$$

where  $i$  is an index for a visit interval such that  $i = 1, \dots, N$  comprised all visit intervals from all participants in the data set where the subject was colonized at the beginning of the interval, according to either the strain- or site-specific formulation, as described under the section *Pre-processing*. The response variable  $y_i$  indicated whether the clearance happened within the interval,  $t_i$  was the length of the interval, and vector  $\mathbf{x}_i$  held the resistance profile at the beginning of the interval. The data in the decolonization and education arms were analysed separately. We used an exponential survival model with the proportional hazards parameterization. We assumed that the clearance rate was constant during a given interval. In addition, conditionally on the fixed covariates, the subject, the strain and the fact that the study subject was colonized in the beginning of the interval, the clearance probability in the interval was independent of clearances on other intervals (this assumption follows from the ‘memorylessness’ property of the exponential distribution). Hence, the hazard was given by

$$h_i = h_0 \exp(\eta_i), \quad i = 1, \dots, N, \quad (2)$$

where  $\eta_i$  was the linear predictor, defined as

$$\eta_i = \beta^T \mathbf{x}_i + \gamma_{s(i)} + \rho_{h(i)}, \quad i = 1, \dots, N. \quad (3)$$

In Eq 3,  $\gamma_s$ ,  $s = 1, \dots, S$ , and  $\rho_h$ ,  $h = 1, \dots, H$ , were the the strain- and subject-specific random effects and  $S$  and  $H$  were the numbers of strains and study subjects, respectively. Functions  $h(i)$  and  $s(i)$  specified the subject (i.e. ‘host’) and the strain corresponding to interval  $i$ , respectively. The priors for the random effects were defined as

$$\gamma_s \stackrel{\text{i.i.d.}}{\sim} N(0, \sigma_\gamma^2), \quad \text{and} \quad \rho_h \stackrel{\text{i.i.d.}}{\sim} N(0, \sigma_\rho^2), \quad \text{for all } s, h. \quad (4)$$

The survival function for interval  $i$  was thus

$$S_i(t_i) = \exp(-t_i h_i), \quad (5)$$

and it represented the probability that the study subject was still colonized by the same strain (i.e., ‘survived from clearance’) at the end of the interval. By letting  $\theta$  denote jointly all model

parameters, the log-likelihood function was defined as

$$l(\theta) = \sum_{i=1}^N \{I(y_i = 0) \log(S_i(t_i)) + I(y_i = 1) \log(1 - S_i(t_i))\}. \quad (6)$$

The priors for the coefficient  $\beta$  for the fixed effects and the intercept term  $\beta_0$  were defined to be relatively non-informative, i.e., to have a variance that exceeded the range of effects expected in the data, as follows:

$$\beta \sim N_D(0, 2.5I) \quad \text{and} \quad \beta_0 \sim N(0, 20), \quad (7)$$

where  $D$  was the dimension of the fixed effects and  $I$  the identity matrix. The priors on the hyperparameters for the random effects ( $\sigma_\gamma$  and  $\sigma_\rho$ ) were determined from the decomposition of the covariance matrix of the random effects into a correlation matrix  $\Omega$ , a simplex  $\pi$ , and a scale parameter  $\tau$ . Details of this decomposition can be found in *rstanarm* documentation and the Stan user guide [24, 25]. We set the hyperparameters as follows:

$$\Omega \sim \text{LKJ}(\zeta = 1), \quad \pi \sim \text{Dirichlet}(\phi = 1), \quad \text{and} \quad \tau \sim \text{Gamma}(1, 1). \quad (8)$$

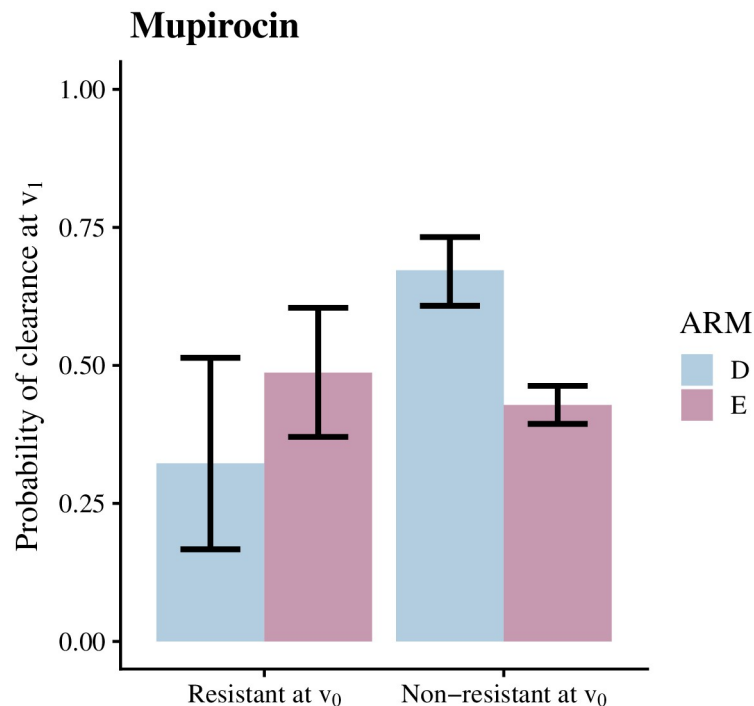
We estimated the posterior distributions for the parameters of interest by drawing samples from the posterior with an MCMC sampler, implemented using *rstanarm*'s function *stan\_surv*. The R package *rstanarm* is an extension of the Stan programming language developed specifically as a platform for statistical analysis and Bayesian inference [24]. We ran the No-U-Turn sampler (a variant of Hamiltonian Monte Carlo) [26] for 7500 iterations for the strain-specific models and for 10000 iterations for the site-specific models over four chains. We increased the target average acceptance probability in the presence of divergent transitions as suggested by *rstanarm* documentation [27]. We assessed the convergence with  $\hat{R}$  values and by visual inspection of traceplots. The full model included all antibiotics and both strain and study subject random effects. We compared the full model with different random effect configurations using the 10-fold cross-validation (CV). The coefficients  $\beta$  were estimated separately for the decolonization and education arms. The coefficient  $\beta$  represents the logarithm of the hazard ratio, which here means that the 'instantaneous rate' of clearance happening for a resistant strain is  $\exp(\beta)$  times the rate for non-resistant strains.

## Results

### Exploratory data analysis

Before estimating the hazard ratios using the Bayesian approach, we conducted an exploratory analysis by calculating the clearance probability at  $v_1$  given resistance at  $v_0$  directly from the observation counts (intervals in the survival data) for resistant and non-resistant strains. This approach only considered one type of resistance at a time and neglected the different interval lengths. We saw that only 32% of the mupirocin-resistant observations were cleared at  $v_1$  in the decolonization arm while 67% of the non-resistant cases were cleared (Fig 2). The difference was significant with non-overlapping 95% confidence intervals. In the education arm, there was no difference in the clearance probability between resistant and non-resistant strains. Further, the clearance probability of the non-resistant strains is considerably larger in the decolonization arm than in the education arm, reflecting the overall efficiency of the protocol [9].





**Fig 2. Clearance probabilities calculated from the counts of observations.** Clearance probabilities given mupirocin resistance, computed directly from the counts of intervals colonized with resistant or non-resistant observations. On the y-axis, we have the clearance probability at the end of an interval, i.e., at  $v_1$ , and the x-axis shows the resistance status at  $v_0$ . The probability of clearance was calculated by dividing the numbers of persistent and cleared cases with the numbers of resistant or non-resistant observations in the data. The probability of clearance was lower for mupirocin-resistant strains than for non-resistant strains in the decolonization arm (D; blue). In the education arm (E; lavender), the probability of clearance (i.e., spontaneous loss of carriage) was the same regardless of the resistance status.

<https://doi.org/10.1371/journal.pcbi.1010898.g002>

## Model comparison

We used the 10-fold cross-validation to compare the prediction accuracy of the different random effect combinations (no random effects, study subject, strain, study subject and strain). We quantified the results using the expected log-predictive density (elpd) [28], which is a metric for prediction accuracy. In both education and decolonization arms, including strain random effects improved the model considerably (Table 4). In contrast, including the subject-

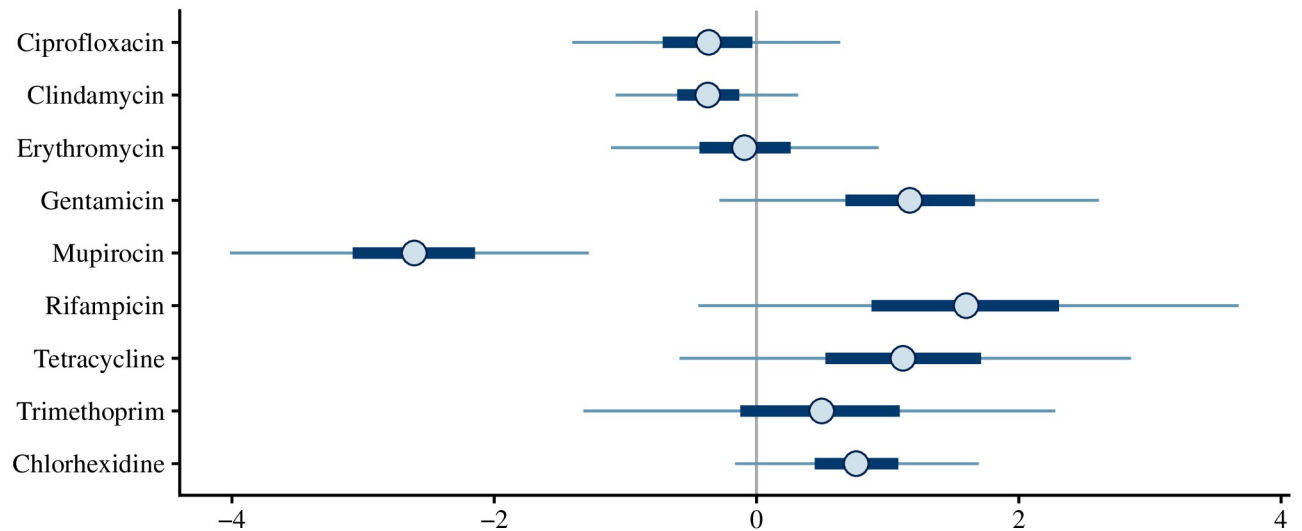
**Table 4. Model comparison.**

Model	Decolonization		Education	
	elpd (mean)	elpd (SE)	elpd (mean)	elpd (SE)
Fixed	-208.0	9.6	-689.1	12.9
Fixed + Subject + Strain	-174.0	7.1	-599.4	14.5
Fixed + Subject	-174.1	7.5	-671.6	14.9
Fixed + Strain	-174.1	7.0	-598.7	14.4

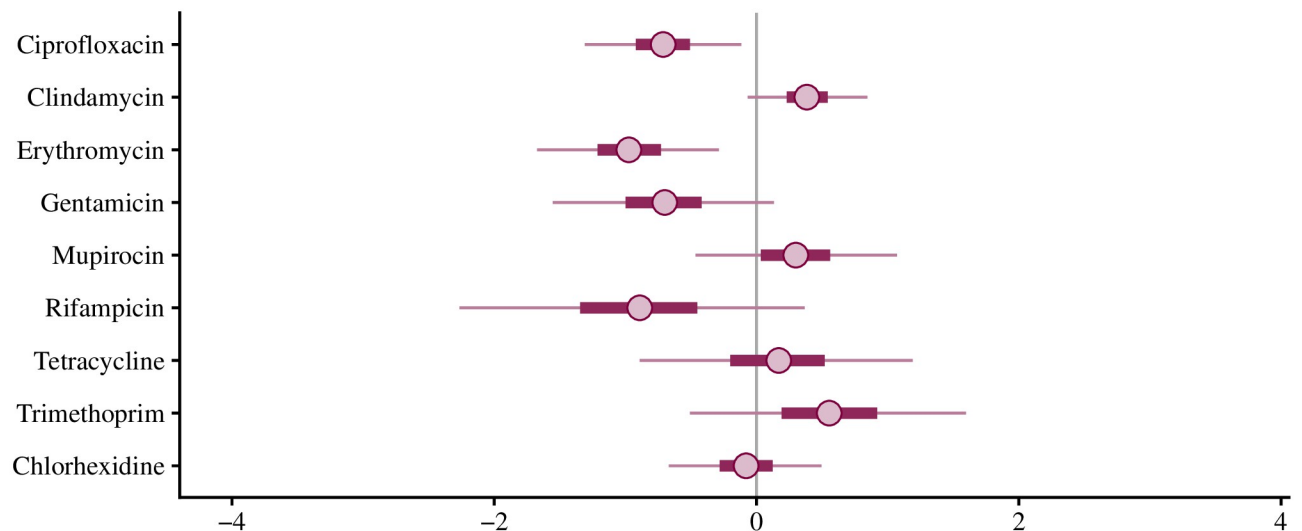
A model selection criterion, *expected log-predictive density*, measuring the prediction accuracy of the model, estimated with 10-fold cross-validation (mean and standard error) for different model formulations. Larger values of elpd indicate a better model.

<https://doi.org/10.1371/journal.pcbi.1010898.t004>

### Impact of resistance on MRSA clearance, Subject + Strain Decolonization



### Education



**Fig 3. Credible intervals for the effects of antibiotic resistance types in the decolonization and education arms.** 95% posterior credible intervals for the  $\beta$  parameters, representing the impact of each antibiotic resistance type on clearance. The model has study subject and strain random effects included and resistance types as fixed effects. A lower coefficient indicates a decreased rate (hazard) of clearance.

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specific random effects did not improve the model, but instead slightly decreased the  $e_{1pd}$  value in the education arm. Because this decrease was minor and not significant, we decided to use the complete model to characterize both the strain and study subject random effects in the following section. The estimates for the fixed effects representing the impact of antibiotic resistance types on clearance were approximately the same regardless of whether the study subject random effects were included in the model (compare Fig 3 and S2 Fig).

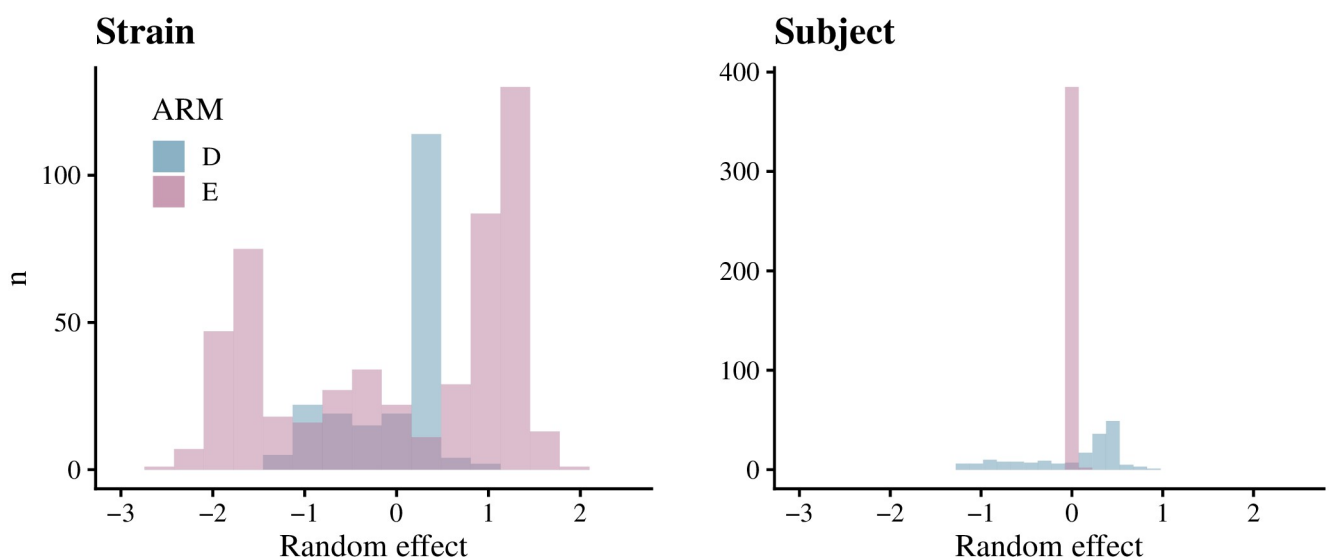
### Impact of antibiotic resistance on persistence

In the decolonization arm, the mupirocin resistance coefficient was -2.6 (95% CI is -4.0 to -1.3), indicating that the clearance rate of resistant strains was approximately 0.07 times the clearance rate of the non-resistant strains (Fig 3). Mupirocin resistance thus was correlated with greater MRSA persistence in the decolonization arm. However, this effect was not observed in the education arm (i.e., spontaneous loss was similar regardless of resistance). In contrast, chlorhexidine-resistant strains were not more persistent in the decolonization arm than the non-resistant strains, despite the use of chlorhexidine mouth and body-wash as part of the decolonization protocol. Ciprofloxacin (-0.71, 95% CI: [-1.31, -0.12]) and erythromycin (-0.97, 95% CI: [-1.67, -0.29]) resistances were weakly associated with increased persistence in the education arm. Resistance to other antibiotics was not significantly associated with clearance, but the number of samples corresponding to some resistance types was limited (see Table 3), leading to wide credible intervals.

In addition to using the genetic resistance determinants, we analysed phenotypic resistance based on MIC thresholds. We include the comparison of genotypic and phenotypic resistance as a supplementary table (S1 Table). The model is otherwise the same except that a distinction is made between high-level (HLR) and low-level (LLR) phenotypic mupirocin resistance. The results (S3 Fig) show that increased persistence is associated with high-level mupirocin resistance, but not with low-level resistance in the decolonization arm.

### Study subject and strain random effects

There was more variation in the strain random effects than in the study subject random effects in both the decolonization and education arms (Fig 4), which means that antibiotic resistance alone does not fully explain the variability in persistence. Furthermore, the variation in the strain random effects was larger in the education arm than in the decolonization arm. The study subject random effects were small in both arms. However, we note that many subjects were colonized by one strain only (see Table 1) and for those cases the effects of the strain and



**Fig 4. Estimated study subject and strain random effects.** The figure shows histograms of the estimated strain and study subject-specific random effects. In both the decolonization (D) and education (E) arms, there was more variability in the strain random effects than in the study subject random effects.

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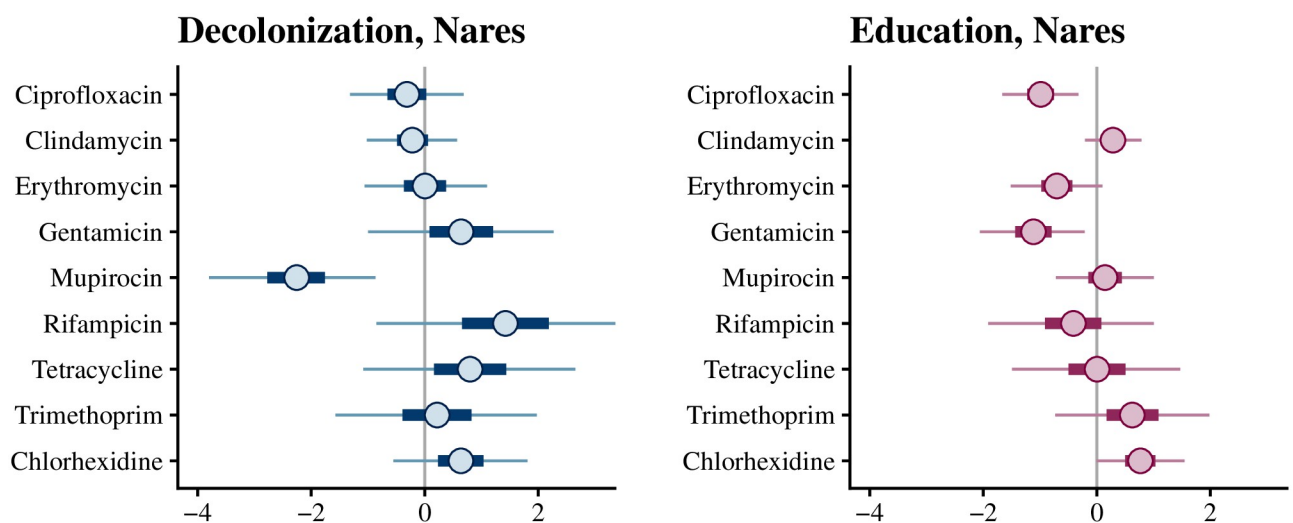
subject are statistically indistinguishable. Sequence type did not correlate with strain random effects (S4 Fig).

### Site-specific analysis

In the decolonization arm, mupirocin resistance was again strongly associated with a reduced rate of clearance (i.e., increased persistence) in the nares (-2.26, 95% CI: [-3.8, -0.87]) but did not significantly correlate with clearance at other body sites (Fig 5, S5 Fig). Ciprofloxacin resistance and gentamicin resistance were weakly associated with increased persistence (-0.99, 95% CI: [-1.67, -0.32] and -1.12, [-2.06, -0.21]) in the nares in the education arm. In addition, we saw possible weak associations between chlorhexidine resistance and decreased persistence in the throat in the decolonization arm (2.07, 95% CI: [0.13, 4.00]), and between tetracycline resistance and increased persistence in the wound in the education arm (-1.65, 95% CI: [-3.32, -0.11]) (S5 Fig). The variation in the strain random effects was again greater than in the subject random effects (S6 Fig). Furthermore, this effect was clearest in the nares, from which most of the samples were obtained.

### Sensitivity analysis

As a sensitivity analysis, we conducted Bayesian logistic regression and Cox proportional hazards (PH) analysis to compare with our Bayesian survival analysis results. Here, we used a model with no random effects, for strain-specific survival data. The main observation of mupirocin resistance leading to a lower probability of clearance is visible with both methods (S7 Fig), indicating robustness of our approach. We observed some correlation between mupirocin and gentamicin resistance (S8 Fig). To account for this correlation, we ran the survival model separately for each antimicrobial, observing that the effect of gentamicin resistance shifted towards zero (S9 Fig).



**Fig 5. Results of the site-specific analysis.** The figure shows 95% credible intervals for the effect of each antibiotic resistance type on clearance in both study arms. The results for the nares are shown here, as it had the largest effect, and for the other sites in S5 Fig.

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## Discussion

We applied Bayesian survival analysis on a dataset of sequenced MRSA samples collected from colonized patients after hospital discharge at given intervals during a follow-up period. Our results showed that mupirocin-resistant MRSA strains were more persistent than non-resistant strains in the decolonization arm, but not in the education only arm. We additionally analyzed the data using high- and low-level phenotypic mupirocin resistance based on MIC values. The results on high-level mupirocin resistance confirmed the findings based on genetic resistance determinants. When we looked at each body site separately, the effect of mupirocin was detected only in the nares, and not in the skin, throat, or wound. Since mupirocin is administered intranasally as part of the decolonization protocol and nares is the most prominent site of MRSA colonization [29], this result seems expected. However, despite chlorhexidine also being part of the decolonization protocol, chlorhexidine resistance did not seem to be associated with decolonization failure. This could be because chlorhexidine is applied to the throat (mouth wash), and skin and wound (baths), but not intranasally, but is most likely because genetic correlates of chlorhexidine resistance are quite poor. In the site-specific analysis, we saw a possible association between chlorhexidine and *decreased* persistence in the throat; however, the credible interval only slightly excluded zero, and hence this could be due to noise or otherwise reflect an unknown interaction between resistance types and persistence. Our study also confirmed the previously reported overall increased probability of clearance in the decolonization arm [9].

In the education arm, resistance to ciprofloxacin and erythromycin were found associated with increased persistence. A plausible biological mechanism is that some antibiotics had been used by the study subjects, giving resistant strains an advantage over non-resistant strains. A similar explanation could apply to the findings from the site-specific analysis, where we observed an increase in persistence in the education arm for gentamicin and ciprofloxacin-resistant strains in the nares and for tetracycline-resistant strains in wounds. Another possible explanation is that the order of causation is reversed: a strain that is persistent might be more likely to become antibiotic-resistant than a non-persistent strain, perhaps due to increased antibiotic exposure or shared biological mechanism. The same mechanism might contribute to the association between persistence and resistance for mupirocin and/or chlorhexidine. Further studies are needed to distinguish among these hypotheses.

Strain-specific random effects improved the ability of the model to predict persistence, which suggests that another genomic factor beyond the resistance determinants may affect persistence. The sequence type (ST5 vs ST8) of the MRSA strain did not seem to be associated with persistence S4 Fig. Including the study subject-specific random effects in addition to the strain-specific effects did not improve the model, in contrast with reports that study subject related factors affect MRSA colonization (for example the nasal microbiota [30]). However, we note that some strain and subject-specific effects were overlapping (when there was only one strain from one subject), and consequently some subject effects might be explained as part of the strain effects.

In future studies, a larger dataset could confirm associations between resistance and persistence that did not reach statistical significance in this study. This could also help to identify in more detail the genetic determinants of persistence that were represented here by the strain-specific random effects. While the random effects for study subjects were not significant in our study, including explicit characteristics of the subjects might add power to find some features that affect persistence. In addition, the use of antibiotics other than those that were part of the protocol during the study period was not considered in this study, but including them as

covariates might reveal further insights about the relationship between resistance and persistence.

## Conclusion

We showed that genetic variants for mupirocin-resistance in MRSA were associated with a large drop in the efficiency of a decolonization protocol that includes intranasal mupirocin. Therefore, alternative decolonization protocols for patients with mupirocin-resistant MRSA colonization should be considered, such as nasal iodophor in place of mupirocin, although mupirocin is a superior treatment of the two in general [31]. However, we did not see a similar effect for chlorhexidine body and mouth wash, another part of the decolonization protocol, nor for any other antibiotic, which supports chlorhexidine as a reliable component of a skin decontamination protocol even when genetic correlates of chlorhexidine resistance are identified. In general, these findings point to the potential utility of improving the efficiency of decolonization protocols by characterizing an individual's colonizing strain to determine its resistance profile.

## Supporting information

**S1 Appendix. Preprocessing pipeline.** Preprocessing steps to format the data for the survival analysis.  $n_g$  represents the number of genomes (isolates),  $n_i$  the number of intervals and  $n_s$  the number of study subjects with sequencing data for the colonizing strains available. The number of observations is smaller after “Restructure data”, because the survival data are considered by interval: recruitment to 1-month, 1-month to 3-month and 3-month to 6-month. For example, in the original data one body site could have an isolate at each of the visits, contributing in total five observations in the original data. However, we only have three intervals to consider in the case of survival data, because we are interested in the clearance status of an MRSA strain between or at the end interval of two consecutive isolates (excluding the 6-month to 9-month interval).

(PDF)

**S1 Table. Phenotypic and genetic resistance.** Discrepancy between phenotypic and genetic resistance profiles in mupirocin and chlorhexidine.

(PDF)

**S1 Fig. BaeMBac output for same strain probability.** Contour plot detailing the same strain probability with SNP distance  $d^*$  on the x-axis and the time between consecutive visits (in generations) on the y-axis. The probability of 0.5 was used to decide the threshold distance of 45 SNPs that was used to classify a pair of MRSA isolates observed in consecutive visits as the same or different strain. The BaeMBac software was run using 10 percent of randomly selected isolates from the education arm. The threshold was not sensitive to the amount of data, and the decolonization arm was not used as the BaeMBac software assumes a model of neutral evolution when calculating the same strain probability.

(PDF)

**S2 Fig. Estimated fixed effects with only strain random effects in the model.** The 95% credible intervals for parameters  $\beta$  for all antibiotics with strain random effects included in the model, but excluding the subject-specific random effects.

(PDF)

**S3 Fig. Phenotypic low- and high-level mupirocin resistance.** We included additional analysis of the phenotypic mupirocin resistance to complement our results on genetic resistance,

and to separately consider low-level mupirocin resistance in our results. An MIC threshold of 512 ug/mL was used to distinguish between low-level and high-level resistance—a MIC value below 8 ug/mL indicated no resistance. Genetic resistance was used for other antimicrobials. The median and 50/95% credible intervals for each covariate are shown. In addition, a table comparing the resistance profiles of phenotypic and genotypic resistance for mupirocin and chlorhexidine is included. We can see that the results support the main analysis, with high-level mupirocin resistance contributing to persistence in the decolonization arm. We also note that low-level resistance is not significantly associated with persistence. Further studies could include characterization of low-level and high-level mupirocin resistance on a genetic level, for example by including a marker for the *IleS* gene.

(PDF)

**S4 Fig. Estimated strain random effects for sequence types ST5 and ST8.** The strain-specific survival model was used to estimate the strain random effects. Histograms show the distributions of the strain random effect posterior means in the decolonization and education arms.

(PDF)

**S5 Fig. Throat, skin and wound posterior intervals 95% posterior intervals.**

(PDF)

**S6 Fig. Estimated study subject and strain random effects by site.** Strain random effects have more variation in the posterior means than study subject random effects, most notably in the nares.

(PDF)

**S7 Fig. Cox proportional hazards and Bayesian logistic regression models.** In addition to the Bayesian survival model, we considered the standard Cox proportional hazards (PH) model with the antimicrobials as covariates. As another comparison, we included Bayesian logistic regression, conducted using the *rstanarm* package. Logistic regression does not consider the time difference between consecutive observations or censoring. Further, each of these is applied without the random effects. The figure shows 95% CIs for the coefficients of each antimicrobial. We see that qualitatively the results are similar to our model that includes the random effects, which highlights the robustness of our results. However, our model allows estimation of the host and strain specific contributions using the random effects, and leads to larger effect estimates for resistance types, which could be caused by the fact that effects are easier to estimate when additional noise due to random effects is first explained away.

(PDF)

**S8 Fig. Collinearity between mupirocin and gentamicin and correlation matrix.** Collinearity represents the correlation between the effect sizes in the posterior samples for the given pair of antimicrobials, and it was visualized using the *bayesplot* [32] package. Furthermore, we included a heatmap of the Spearman correlation between each pair of antimicrobials. We see a small positive correlation between gentamicin and mupirocin resistance. Consequently, their effects are weakly negatively correlated in the posterior distribution.

(PDF)

**S9 Fig. Separate models for each antimicrobial.** We repeated the Bayesian survival analysis for each antimicrobial in a separate model to account for the weak correlation between gentamicin and mupirocin (see S8 Fig). The figure shows the median and 50/95% CIs of the coefficient of each model.

(PDF)

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**Visualization:** Fanni Ojala.

**Writing – original draft:** Fanni Ojala, Mohamad R. Abdul Sater, Yonatan H. Grad, Pekka Marttinen.

**Writing – review & editing:** Fanni Ojala, Mohamad R. Abdul Sater, Loren G. Miller, James A. McKinnell, Mary K. Hayden, Susan S. Huang, Yonatan H. Grad, Pekka Marttinen.

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