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1	Towards energy efficiency through an optimized use of wood: the
2	development of natural hydrophobic coatings that retain moisture-buffering
3	ability
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8 Graphical abstract



10 Highlights

- We propose a new surface treatment for wood based on natural wax particles.
- 12 The developed coating was compared to continuous wax film, lacquer and linseed oil.
- 13 The treatment increases the hydrophobicity of the wooden surface.
- The ability of the surface to buffer moisture vapor was enhanced by the coating.

16 Abstract

17 The hygroscopicity of a wooden material or the ability to absorb, store and release moisture helps to naturally regulate the indoor climate by dampening humidity variations and avoiding extremes. 18 19 This phenomenon, known as moisture buffering, is an energy-efficient way of moderating 20 moisture levels in a living space, improving air quality, and influencing the health and comfort of 21 the occupants. This work focused on developing a surface treatment that preserves the natural ability of timber to buffer moisture vapor whilst increasing the resistance to liquid water. For this 22 purpose we suggest a method based on a natural non-continuous coating of hydrophobic Carnauba 23 wax particles. The coating was compared, in terms of water repellency and moisture buffering 24 25 efficiency, to a continuous wax film and conventional coating methods like lacquer and linseed oil. The resistance of the surfaces to liquid water was studied by Contact Angle measurement. 26 Moisture Buffering experiments were conducted by exposing the surfaces to cyclic changes in 27 28 relative humidity. It was found that coating with wax particles resulted in more hydrophobic surfaces with enhanced moisture buffering ability, whilst the rest of the coatings examined either 29 30 reduced moisture buffering drastically (wax film, lacquer) or were not sufficiently hydrophobic (linseed oil). 31

Keywords: Moisture buffering, MBV_{practical}, natural coating, wood surface modification, wax
 microparticles

34 Introduction

For centuries, wood has been used extensively by humankind. Renewability, strength, visual appearance and good thermal insulation properties made it the material of choice for many applications, including building construction and furniture manufacture. During recent decades,
however, new materials such as concrete, steel and, most recently, synthetic polymers or plastics
have gradually replaced wood and in some application areas timber has become almost entirely
disregarded as a building material. On the other hand, recent environmental and sustainability
concerns have driven industry to look for new substitutes for fossil-based materials and the demand
for green and renewable materials, including wood, is increasing.

Another important property of wood is hygroscopicity or, in other words, the ability to attract, 43 hold, and release water molecules [1]. Hygroscopicity is often considered to be a negative 44 characteristic of wood, as the exposure of timber to wet conditions can create many end use 45 problems for non-treated surfaces, when used both outdoors and indoors. Excess water causes 46 dimensional instability in the material due to swelling and shrinkage of the cell wall and lumen. 47 Additionally, wet conditions create a very favorable environment for the growth of various wood 48 49 degrading biological organisms (e.g. diverse fungi, bacteria, and insects). Therefore, it is very important to understand wood-water interactions and, if necessary, alter them [2-6]. To avoid 50 problems of wood degradation and to enhance durability and easy maintenance, wood is often 51 hydrophobized. Surface hydrophobization methods include, but are not limited to, treatments with 52 silicon containing compounds [7-9], the deposition of metal oxide nanoparticles [10, 11] and 53 surface impregnation with various waxes, oils, polyelectrolytes and other compounds [12-15]. 54 Many of these approaches have a negative environmental impact and cause damage to the 55 ecosystem because of the possibility for biocidal chemicals to leak from the surface [16]. 56

Nevertheless, recent findings suggest that the hygroscopicity of wood can also be rather beneficial.
The ability of a wooden material to store and release moisture helps it to regulate the indoor climate
naturally, and to decrease humidity variations. This phenomenon, known as moisture buffering, is

60 an efficient way of passively moderating the moisture level in a living space [17, 18]. The use of hygroscopic materials together with a well-controlled ventilation system may further reduce the 61 energy consumed for heating and cooling and increase the overall energy-efficiency of a building 62 [19, 20]. Maintaining certain levels of relative humidity (RH) will also increase the perceived air 63 quality and influence the occupants' health and comfort [21-24]. Especially during hot periods, the 64 dynamic moisture storage in hygroscopic materials reduces the moisture in the air, leading to 65 increased comfort and consequently a reduced need for cooling, resulting in *indirect* savings. 66 However, it has been shown that since the indoor air humidity is reduced, the indoor air enthalpy 67 is also reduced and consequently less energy is needed for cooling, which leads to *direct* energy 68 savings [19]. The storage of moisture inside a hygroscopic material such as wood also means 69 thermal storage, which can lead to passive heating or cooling of the building during the adsorption 70 and desorption of water, and increased thermal comfort [25, 26]. 71

To maximize the effect of moisture buffering, the surface area of wooden materials in interiors should be increased. This could be achieved by introducing more wooden surfaces into a living space, e.g. wooden floors, walls, ceilings, furniture, etc. Timber used indoors is certainly less susceptible to UV- and biodegradation by living organisms compared to wood used outdoors, but certain modification might still be required to improve the material properties and increase the lifetime of the material.

In order to be able to utilize the moisture buffering capabilities of timber, the influence of surface coatings and modification techniques should be studied with special care. However, whilst comprehensive literature is readily available on the topic of wood modification [1, 27, 28], little information could be found on the influence of finishings or coatings on the moisture buffering performance of timber. Studies conducted on various building materials, including wood, generally suggest that paints and coatings decrease the moisture buffering effectiveness of the
treated surfaces [29-33].

The aim of this work was to develop modification techniques that preserve the natural ability of 85 wood to buffer moisture vapor while increasing its resistance to liquid water. Special focus was 86 87 placed on the sustainability of the approach and therefore only natural materials were used, making the treatment green and the final product nontoxic for humans and nature. The treatment developed 88 is fundamentally different to conventional wood modification techniques. It does not form a 89 continuous film on the surface as many commercial paints and lacquers do and therefore does not 90 91 limit moisture buffering. Instead, the method is based on the effect of hydrophobic wax microparticles that form a discontinuous surface layer, which repels water, slowing down 92 penetration, but allowing moisture vapor permeation. The performance of wooden surfaces coated 93 with wax particles was compared with that of a solid wax film and commercially available wood 94 95 treatments (lacquer and linseed oil) in terms of water repellency and moisture buffering efficiency. Carnauba wax was chosen for the production of the wax particles because it is the hardest of the 96 natural waxes and may, therefore, produce a durable coating. It is a natural wax produced by the 97 leaves of Carnauba palm and has a melting point of 83-86°C [34]. This wax is also hypoallergenic, 98 chemically inert and is not a food source for humans, and thus does not raise ethical issues when 99 used in wood modification. 100

101 **2. Experimental**

102 *2.1. Materials*

Kiln dried spruce boards were obtained from a sawmill in Finland. For comparative purposes, all
experiments were carried out on both radially and tangentially cut surfaces.

105 *2.1.1. Wax coatings*

106 Refined carnauba wax was purchased from Sigma Aldrich in the form of pellets.

107 The wax particles used to form the discontinuous coating were produced by melting the wax and dispersing it in water using a Polytron homogenizer PT2000 (Kinematica AG). The wax particles 108 109 that were obtained were mostly spherical in shape and had a size distribution ranging from 110 hundreds of nanometers to tens of micrometers. In order to make the coating less visible on the surface, the dispersion was filtered using qualitative grade paper filter retaining particles bigger 111 than 12-15 µm. After filtration, the suspension was stable and the concentration was around 3.5 112 g/L. In this article, final dispersion is further referred to as colloidal wax particles. The filtered 113 dispersion was applied to the wooden surface, allowed to dry and then buffed with a cotton cloth 114 115 to distribute the particles evenly.

116 A continuous carnauba wax film coating was obtained by dipping the wood sample into molten 117 wax. A thicker film was achieved by increasing the number of immersions of the sample into the 118 molten wax. The area density was used to estimate the thickness of the coating and averaged 138 119 g/m^2 for the thin wax coating and 380 g/m^2 for the thick coating.

120 2.1.2. Commercial coatings

Two coats of commercially available linseed oil (Pellavaöljy, Tikkurila paints Oy, Finland) and spray lacquer (PROF, Matta spraylakka, Rautakesko Ltd., Finland) were applied to the wooden samples, following the manufacturers instruction.

124 *2.2. Moisture buffering*

125 The moisture buffering experiments were performed in accordance with the NORDTEST method [17]. Experimental setup is schematically represented in Figure 1. In order to evaluate the 126 effectiveness of moisture buffering, the Practical Moisture Buffer Value (MBV_{practical} (kg/(m²) 127 128 %RH)) was calculated. MBV_{practical} defines the amount of moisture transported in to or out of a material per unit of open surface area, during a specified period of time, when the material is 129 exposed to cyclic variations in relative humidity. In order to determine MBV_{practical}, the weight 130 gain during absorption and the weight loss during drying were calculated, then averaged and 131 normalized per open surface area and ΔRH . Average of weight gain and weight loss is taken for 132 each cycle, and consequently MBV_{practical} is calculated as the average of three cycles [14]. Further 133 in this work, MBV_{practical} will be referred to simply as MBV. 134



135

136 Figure 1. Schematic representation of experimental setup for the determination of MBV

Wood samples, around 2 cm thick, were sealed with aluminum adhesive tape on all but one side, leaving 4 cm² of surface available for moisture buffering. For each treatment, two parallel samples were tested. Before the measurements, all samples were pre-conditioned at $23\pm5^{\circ}$ C and $50\pm5^{\circ}$ relative humidity in a conditioned room until the weight of each specimen was stable. Cyclic changes in RH for the tests were obtained using a climate test cabinet (Rumed 4201, Rubarth, Apparate GmbH, Germany) by ultrasonic humidification. The balance used for mass monitoring was a New Classic MS 204S with a precision of 0.01 mg (Mettler Toledo, Switzerland). Deviations from the standard NORDTEST method were the air velocity, which varied between 0.10 and 0.06 m/s instead of 0.10 ± 0.05 m/s, and the size of the samples.

During the moisture buffering measurements, the samples were exposed to cycles of high (75%
for 8 hours) and low (33% for 16 hours) levels of RH. The duration of one full cycle was 24 hours.
The mass of the specimens was recorded at the end of both RH periods and at four times during
the period when the specimen was exposed to a RH of 75%.

150 2.3. Water Contact angle (CA)

The contact angle of water on unmodified and coated wooden surfaces was determined using a Contact Angle Meter CAM 200 (KSV Instruments Ltd., Helsinki, Finland) contact angle instrument with a computer based control system with video capture. The static sessile drop method was employed in the measurements and the CA was determined in at least three positions on each sample. The tests were performed with millipore water at room temperature, and a droplet volume of 6.7 μ L was used. The full Young-Laplace equation was used to determine the contact angle from the shape of the sessile drop.

158 2.4. Imaging and topography characterization

Scanning Eelectron Microscopy (SEM) was performed with a JEOL JSM-7500FA (JEOL Ltd.,
Tokyo, Japan) analytical Field Emission Scanning Electon Microscope using 2-5kV acceleration
voltage. In order to avoid charging and to enhance the signal from the sample, the wooden
specimens were sputter coated with gold before the imaging.

163 Topography maps were obtained with a 3D Optical Microscope ContourGT-K 3D (Bruker 164 Corporation, Massachusetts, USA). Vision 64 onboard software was then employed to analyze 165 these data and to calculate the area roughness parameter (Sq).

Atomic Force Microscopy (AFM) imaging in air was used to observe the distribution and size of the wax particles. A Nanoscope V MultiMode scanning probe microscope (Bruker Corporation, Massachusetts, USA) was used in tapping mode. Silicon cantilevers (NSC15/AIBS, MicroMasch, Tallinn, Estonia) with driving frequencies around 300-360 kHz were used for imaging. According to the manufacturer, the radius of the tip was less than 10 nm. The surface of each sample was imaged in at least three different places.

172 **3. Results and Discussion**

In order to preserve the moisture buffering effect of wood but still improve resistance to liquidwater, a method based on surface coating using colloidal wax particles was investigated.

175 *3.1. Moisture buffering performance*

During the moisture buffering experiments, the wooden samples were subjected to cyclic changes in RH, imitating daily changes in the humidity of a living space. Moisture uptake and release are represented as mass per unit area for the tangential and radial surfaces in Figure 2.



Figure 2. Moisture release and uptake cycles for tangential (solid line) and radial (dashed line)
surfaces. The gray line shows the relative humidity in the chamber. The lines on the graph connect
measuring points and do not correctly illustrate the rate of change if points are missing.

183 For both unmodified wood and wood treated with wax particles there was a clear increase in mass upon an increase in RH, and when the RH decreased, moisture was released from the wood sample. 184 The samples with a continuous wax film did not react much to changes in RH. Declining tendency 185 186 of the mass in Figure 2 can possibly be attributed to the fact that moisture buffering experiments 187 were simulating daily changes in relative humidity and the "dry" period was much longer than the 188 "wet" period (75% RH for 8 hours and 33% for 16 hours). Additionally, desorption of moisture is faster than adsorption when the relative humidity is high [35], and this could also have played a 189 190 role.

191 The MBV values for the radially and tangentially cut surfaces with various treatments are shown192 in Figure 3. The unmodified radial and tangential surfaces displayed very similar sorption behavior

when subjected to RH changes, corresponding to MBV values of 0.98 and 0.91 kg/(m² %RH). 193 MBV of unmodified spruce that were found in literature appear to be somewhat higher, ranging 194 1.15-1.36 [17, 32]. However, the authors do not specify the direction of grain in the board, which 195 196 could greatly influence the obtained MBV values. As expected, all film-forming treatments 197 decreased the reference MBV of wood, possibly due to sealing of the pores and the isolation of hydrophilic groups. Coating with wax particles, on the other hand preserved the buffering ability, 198 199 and even slightly enhanced it, increasing the MBV up to 1.08 on the radial and 1.09 on the tangential surfaces. Therefore, according to the NORDTEST [17] classification, wood treated with 200 wax particles exhibits a good level of moisture buffering (1<MBV<2), whilst unmodified 201 specimen show only a moderate level of moisture buffering (0.5<MBV<1). Solid wax films on the 202 other hand reduce the buffering ability to limited (0.2< MBV<0.5) or, even, negligible levels 203 204 (MBV<0.2) depending on the amount of wax applied. From this data, it can be concluded that using particles is more advantageous compared to using a continuous wax film in terms of 205 preserving the natural moisture buffering efficiency of wood. Commercially available treatments 206 207 were also found to decrease moisture transport, resulting in a moderate level of moisture buffering for the linseed oil coating and a limited level for the lacquer coating. 208



Figure 3. Practical Moisture Buffer Values for wooden surfaces with different treatments (radialand tangential surface).

Interestingly, an increase in the MBV of samples coated with wax particles most probably cannot be attributed to the chemical nature of the wax as it is hydrophobic and does not absorb water. However, as will be shown later (Table 1) the wax particles increase the roughness of the surface and this may have a positive effect on the moisture buffering.

216 *3.2. Hydrophobicity of the treated wood*

209

In this work the possibility of developing a coating for wood that repels liquid, slowing down the penetration of water, but allowing moisture vapor permeation and moisture buffering has been explored. The hydrophobicity of the surface has been studied by means of water CA measurements and results are presented in Figure 4. Standard deviation of CA for wax film and lacquer coating never exceed the value of around 3° and thus is not shown for the simplicity of the graph.



223

Figure 4. Water CA on unmodified and coated tangential (a) and radial (b) wooden surfaces.
Vertical bars represent standard deviation.

Film-forming coatings like the layer of solid wax and the lacquer were found to completely prevent water penetration through surface, leaving the water droplet to rest on the surface and slowly evaporate. This can be seen in Figure 4 as a constant value of CA around 90-100° for the wax film and lacquer regardless of the type of surface (i.e. radial or tangential). These findings are in good agreement with the low MBV of the corresponding coated surfaces, both indicating the impermeability of the coated surface to water. 232 It is known that surface roughness and surface energy are the main factors influencing wettability [36]. Hierarchical surface roughness paired with a low surface energy of the material might result 233 in a surface with exceptional hydrophobicity [37, 38]. In this work, the combination of naturally 234 235 rough timber with colloidal wax particles having low surface energy were found to influence the overall hydrophobicity of a wooden surface, making it more resistant to liquid water, and 236 increasing CA after the first minute from 0 to 80° for a radially cut surface and from 90 to 120° 237 238 for a tangential cut. Interestingly, the tangential surface treated with wax particles had a higher CA, at 120°, than the surface with a continuous wax film, on which the CA was 100°. This increase 239 in CA values can possibly be attributed to increased complexity of surface roughness of timber 240 created by particles of different sizes. Initially rough surfaces with the addition of particles might 241 result in the formation of air pockets with entrapped air, preventing the water droplet penetrating 242 243 through the surface. However, perhaps due to high heterogeneity and porous nature of the wooden material, coating with wax particles does not completely prevent water from spreading and 244 permeating through the treated surface. As a result, a slow decrease of CA value over time is 245 observed. 246

Treatment with natural linseed oil was found to preserve a moderate level of moisture buffering as was mentioned earlier. However, the coating was also found to be the least efficient against liquid water, making the tangentially cut surface appear even more hydrophilic than the unmodified specimen. Thus, linseed oil has negligible use when enhancing the resistance of timber to liquid water.

252 *3.3. Morphology of coated wooden surfaces*

In Figure 5, SEM images of the timber coated with wax particles (a) and with a continuous wax film (b) are shown. The particles (Fig. 5a) were found to be mostly spherical in shape and to be distributed rather evenly, covering the wooden surface. Smaller spheres (less than 1 μ m in diameter) seemed to form aggregates of several particles. The size distribution is illustrated in the AFM image in Figure 6. A solid layer of wax, on the other hand, appears to be smooth and continuous (Fig. 5b), completely covering the natural features of the wood surface. The small structures seen on the surface were probably formed during air-drying of the film.





260

Figure 5. SEM images of wood coated with wax particles (a) and with solid layer of wax (b).



263

Figure 6. AFM height image of wax dispersion filtrated with 1µm pore size filter and dried on
mica substrate. The image was scanned in air.

To gain further understanding of the roughness of the final coated surfaces, topography maps were 266 acquired with a 3D optical microscope and the area roughness parameters (Sq) were calculated for 267 an area of 0.3 mm² on each sample. As can be seen from Table 1, a solid layer of wax decreases 268 the initial roughness of the surface drastically, whilst wax particles actually make surface slightly 269 270 rougher. This data correlates well with the previous findings on CA values, explaining the very high initial CA of the coating with wax particles (150°) and the lower CA of the wax film (100°) , 271 as increased roughness is known to be required for outstanding surface hydrophobicity [3]. 272 Furthermore, the increased roughness could also possibly explain the high MBV value of the wood 273 samples treated with colloidal wax particles. 274

	Sq (µm)
Unmodified wood	14.9
Continuous wax film	4.4
Wax particles	17.6

Table 1. Area roughness parameters for unmodified and coated timber.

276

The designed coatings will allow an optimized use of wood in living spaces due to improved resistance to liquid water and enhanced moisture buffering ability. The increased hydrophobicity of a wood surface may allow it to be used in wet spaces and possibly make it easier to clean and maintain.

281 Conclusion

The hydrophobization of a wooden surface was achieved through coating with wax microparticles dispersed in water. This treatment increased the surface roughness of wood, whilst forming a discontinuous surface layer, repelling water and slowing down its penetration. The moisture-vapor sorption properties of wood, however, stayed intact and therefore the moisture buffering ability of the material was retained and even slightly enhanced.

Film forming coatings, such as melted wax and lacquer were found to improve the hydrophobicity of the wood surface, but to drastically decrease the moisture buffering ability, reducing it to a limited or even negligible level. Coating with linseed oil only partially reduced the moisture buffering performance, however, it left the tangentially cut surface more hydrophilic than the unmodified one. In this work, an example was shown using wax microparticles. To our knowledge, this is the first demonstration of a surface treatment that introduces hydrophobicity but retains the moisture buffering effect of wood. We suggest that the general idea of using a non-continuous layer of particles to modify wood surfaces could be used in various applications. For example, introducing easy maintenance, water resistance, fire retardant, antimicrobial/antifungal properties or even as an alternative to painting to introduce coloring. The benefits of this approach is the retained moisture buffering capacity and possibility for passive climate control.

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