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# Scalar excitation with Leggett frequency in ${}^3\text{He-B}$ and the 125 GeV Higgs particle in top quark condensation models as pseudo-Goldstone bosons

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We consider the scenario in which the light Higgs scalar boson appears as the pseudo-Goldstone boson. We discuss examples in both condensed matter and relativistic field theory. In  ${}^3\text{He-B}$  the symmetry breaking gives rise to four Nambu-Goldstone (NG) modes and 14 Higgs modes. At lower energy one of the four NG modes becomes the Higgs boson with a small mass. This is the mode measured in experiments with the longitudinal NMR, and the Higgs mass corresponds to the Leggett frequency  $M_H = \hbar\Omega_B$ . The formation of the Higgs mass is the result of the violation of the hidden spin-orbit symmetry at low energy. In this scenario the symmetry-breaking energy scale  $\Delta$  (the gap in the fermionic spectrum) and the Higgs mass scale  $M_H$  are highly separated:  $M_H \ll \Delta$ . On the particle physics side we consider the model inspired by the models of Refs. Cheng *et al.* [J. High Energy Phys. 08 (014) 095] and Fukano *et al.* [Phys. Rev. D 90, 055009 (2014)]. At high energies the SU(3) symmetry is assumed which relates the left-handed top and bottom quarks to the additional fermion  $\chi_L$ . This symmetry is softly broken at low energies. As a result the only  $CP$ -even Goldstone boson acquires a mass and may be considered as a candidate for the 125 GeV scalar boson. We consider a condensation pattern different from that typically used in top-seesaw models, where the condensate  $\langle \bar{l}_L \chi_R \rangle$  is off-diagonal. In our case the condensates are mostly diagonal. Unlike the work of Cheng *et al.* [J. High Energy Phys. 08 (014) 095] and Fukano *et al.* [Phys. Rev. D 90, 055009 (2014)], the explicit mass terms are absent and the soft breaking of SU(3) symmetry is given solely by the four-fermion terms. This reveals a complete analogy with  ${}^3\text{He}$ , where there is no explicit mass term and the spin-orbit interaction has the form of the four-fermion interaction.

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## I. INTRODUCTION

Spontaneous symmetry breaking gives rise to collective modes of the order parameter field—the Higgs field. The oscillations of the Higgs field include the Nambu-Goldstone (NG) modes—the gapless phase modes which in gauge theories become massive gauge bosons due to the Anderson-Higgs mechanism; and the gapped amplitude modes—the Higgs bosons. The Higgs amplitude modes have been recently observed in an electrically charged condensed matter system—the  $s$ -wave superconductor [1,2] (see also the review paper [3])—and in the past they have been theoretically [4–7] and experimentally [8–10] investigated in electrically neutral superfluid phases of  ${}^3\text{He}$ .

In superfluid phases of  ${}^3\text{He}$  the Higgs field contains 18 real components. This provides an arena for the simulation of many phenomena in particle physics, including the physics of the NG and Higgs bosons. In particular, superfluid  ${}^3\text{He-A}$  violates the conventional counting rule for the number of NG modes. In  ${}^3\text{He-A}$  the number of NG modes exceeds the number of broken symmetry generators, but it

obeys the more general Novikov rule [11], according to which the number of NG modes coincides with the dimension of the “tangent space” in the space of the order parameter; see the review paper [12] and references therein.

Another example of the influence of superfluid  ${}^3\text{He}$  is the connection between the fermionic and bosonic masses in the theories with a composite Higgs, which was first formulated by Nambu after considering the  ${}^3\text{He-B}$  collective modes [13]. If the Nambu sum rule is applicable to the Standard Model (SM), one may predict the masses of extra Higgs bosons [12,14].

Here we discuss one more phenomenon: the appearance of the light Higgs bosons (LHBs) as the pseudo-NG modes. The origin of this phenomenon in  ${}^3\text{He}$  is the hierarchy of energy scales, which exists in superfluid  ${}^3\text{He}$ . In particular, the spin-orbit interaction is several orders of magnitude smaller than the characteristic energy scale responsible for the formation of the vacuum Higgs field [15]. When this interaction is neglected, the symmetry group of the physical laws is enhanced, and the broken symmetry scheme in

${}^3\text{He-B}$  gives rise to four NG modes and 14 Higgs amplitude modes. The spin-orbit interaction reduces the symmetry and transforms one of the NG modes to the Higgs mode with a small mass. The mechanism of the formation of the mass of the Higgs boson #15 in  ${}^3\text{He-B}$  is analogous to the little Higgs scenario [16]. A similar mechanism could be responsible for the relatively small mass of the observed 125 GeV scalar boson. We consider the LH bosons in superfluid  ${}^3\text{He-B}$ . The parametric excitation of the LH modes has been recently reported, which corresponds to the decay of a magnon to two light Higgses [17]. We also consider the LH modes in the recently discovered [18] polar phase of  ${}^3\text{He}$  in a nematically ordered aerogel.

The idea, that Higgs boson of the SM may be composed of fermions follows the analogy with the models of superconductivity and superfluidity. In 1979 it was suggested, that Higgs boson is composed of additional technifermions [19]. This theory contains an additional set of fermions that interact with the technicolor (TC) gauge bosons. This interaction is attractive and, therefore, by analogy with BCS superconductor theory it may lead to the formation of fermionic condensate. The TC theory suffers from the problems related to fermion mass generation. Extended technicolor (ETC) interactions [20] do not pass precision electroweak tests due to the flavor-changing neutral currents and due to the contributions to the electroweak polarization operators. The so-called walking technicolor [21] improves the situation essentially, but the ability to generate the top-quark mass remains problematic.

The idea that the Higgs boson may be composed of known SM fermions was suggested even earlier than technicolor (in 1977) by H. Terazawa *et al.* [22]. In the top-quark condensation scenario, the top quark represents the dominant component of the composite Higgs boson due to its large mass compared to the other components [23]. In 1989 this construction was recovered in Ref. [24]. Later, the top-quark condensation scenario was developed in a number of papers [25]. In the conventional top-quark condensation models the scale of the new dynamics was assumed to be at about  $10^{15}$  GeV. Such models typically predict a Higgs boson mass of about  $2m_t \sim 350$  GeV [23–25], and they are excluded by present experimental data. In those models the prediction of the Higgs boson mass is subject to large renormalization group corrections [25] due to the running of coupling constants between the working scale  $10^{15}$  GeV and the electroweak scale 100 GeV. But this running is not able to explain the appearance of the Higgs boson mass around 125 GeV.

In addition to the TC and top-quark condensation models, models were developed [26] (topcolor, topcolor-assisted technicolor, etc.) that contain the elements of both mentioned approaches. Other models were suggested in which the Higgs boson appears as the Goldstone boson of the broken approximate symmetry [27] (for the realization of this idea in little Higgs models, see Ref. [28]).

It seems reasonable to look for a conceptually new model, in which Higgs bosons are composed (possibly, partially) of known SM fermions. Such a model may avoid the difficulties of the technicolor models or the conventional models of top-quark condensation if it is based on an analogy with certain condensed matter systems (like superfluid  ${}^3\text{He}$ ) in which the condensates are more complicated than in the technicolor models and conventional models of top-quark condensation. (The latter models are based on the analogy with the simplest *s*-wave superconductors.)

Recently, models were proposed that in a certain sense realize this idea [29,30]. In these models the pseudo-Goldstone boson—the candidate for the 125 GeV Higgs boson—appears in the framework of the top seesaw [31]. In both of these papers the additional fermion  $\chi$  was present typical for the top-seesaw models. It has the quantum numbers  $t_R$  but if the gauge interactions of the Standard Model are neglected, its left-handed component may be considered together with  $b_L$  and  $t_L$  as the component of the SU(3) triplet. As a result the structure of condensates is indeed more complicated than in the *s*-wave superconductor or in the simplest models of top-quark condensation and is, therefore, to a certain extent similar to  ${}^3\text{He}$ . The original interfermion interactions of Refs. [29,30] are SU(3) symmetric. This symmetry is broken spontaneously, giving rise to several Nambu-Goldstone bosons. Then, the authors of Refs. [29,30] introduced terms that softly break the SU(3) symmetry explicitly (in particular, the explicit mass term for  $\chi$  is added). As a result, one of the Goldstone bosons acquires a mass that may be smaller than  $2m_t$ . Such a state is considered as a candidate for the 125 GeV Higgs boson.

In the present paper we consider a model inspired by the models of Refs. [29,30]. In our case the original SU(3) symmetry is broken explicitly by the additional four-fermion interaction instead of the explicit mass terms. We investigate the resulting model in the leading order of the  $1/N_c$  expansion. It is shown that the *CP*-even pseudo-Goldstone boson may have a mass equal to 125 GeV, while the branching ratios of its decays do not contradict the present LHC data. We consider a condensation pattern different from that typically used for the top-seesaw models with an off-diagonal condensate  $\langle \bar{t}_L \chi_R \rangle$ . In our case the condensates are mostly diagonal.

It is worth mentioning that the considered model is of the Nambu-Jona-Lasinio (NJL) type, that is, it contains the effective four-fermion interaction [32]. The use of the one-loop approximation may cause confusion because formally the contributions of higher loops to various physical quantities are strong. In Refs. [33,34] it was shown that the next-to-leading-order approximation to the fermion mass  $m_f$  is weak compared to the one-loop approximation only if this mass is of the order of the cutoff  $m_f \sim \Lambda$ . It follows from analytical results and from numerical simulations made within the lattice regularization [35] that the

dimensional physical quantities in the relativistic NJL models are typically of the order of the cutoff unless their small values are protected by symmetry.

In the model of the present paper, the one-loop results cannot be used because the cutoff is assumed to be many orders of magnitude larger than the generated fermion mass. This means that in order to use the one-loop results we should start from the action of the model with the additional counterterms that cancel dangerous quadratic divergences in the next-to-leading orders of the  $1/N_c$  expansion. Then the one-loop results give reasonable estimates for the physical quantities. Such a redefined NJL model is equivalent to the original NJL model defined in zeta or dimensional regularization. The four-fermion coupling constants of the two regularizations are related by a finite renormalization (see Appendix, Sec. 4.2. of Ref. [36]). The NJL models in the zeta regularization were considered in Refs. [36,37]. The NJL model in dimensional regularization was considered, for example, in Ref. [38].

It is generally assumed that there is an exchange by massive gauge bosons behind the NJL models of top-quark condensation, top seesaw, and ETC. The appearance of the one-loop gap equation of the NJL model may follow from the direct investigation of the theory with massive gauge fields interacting with fermions. Indeed, recently indications were found that in the theory with an exchange by massive gauge bosons the NJL approximation may be applied through its one-loop expressions [39]. Anyway, we assume that the model with the four-fermion interactions considered here should be explored in this way, i.e., the higher orders of the  $1/N_c$  contributions are simply disregarded. We suppose that such an effective model appears as an approximation to a certain unknown renormalizable microscopic theory. For a further discussion of this issue, see Refs. [12,14,37] and references therein.

The paper is organized as follows. In Sec. II we discuss the appearance of the pseudo-Goldstone boson in superfluid phases of  $^3\text{He}$  due to the spin-orbit interaction. In Sec. III we consider a model in which the pseudo-Goldstone boson composed of a top quark and the heavy fermion  $\chi$  plays the role of the 125 GeV Higgs boson. In Sec. IV we end with our conclusions.

## II. SUPERFLUID $^3\text{He}$

### A. “Hydrodynamic action” in $^3\text{He}$ (neglected spin-orbit interaction)

According to Ref. [40], helium-3 may be described by an effective theory with the action

$$S = \sum_{p,s} \bar{a}_s(p) \epsilon(p) a_s(p) - \frac{g}{\beta V} \sum_{p,i,\alpha=1,2,3} \bar{J}_{i\alpha}(p) J_{i\alpha}(p), \quad (1)$$

where

$$\begin{aligned} p &= (\omega, k), \quad \hat{k} = \frac{k}{|k|}, \\ \epsilon(p) &= i\omega - v_F(|k| - k_F), \\ J_{i\alpha}(p) &= \frac{1}{2} \sum_{p_1+p_2=p} (\hat{k}_1^i - \hat{k}_2^i) a_A(p_2) [\sigma_a]_B^C a_C(p_1) \epsilon^{AB}, \end{aligned} \quad (2)$$

Here  $V$  is the three-dimensional volume, while  $\beta = 1/T$  is the imaginary time extent of the model (i.e., the inverse temperature). Both  $\beta$  and  $V$  should be set to infinity at the end of the calculations.  $a_{\pm}(p)$  is the fermion variable in momentum space,  $v_F$  is the Fermi velocity,  $k_F$  is the Fermi momentum, and  $g$  is the effective coupling constant. Since the spin-orbit coupling in liquid  $^3\text{He}$  (the dipole-dipole interaction) is relatively small, the spin and orbital rotation groups,  $SO_3^S$  and  $SO_3^L$ , can be considered independently, and one has

$$G = U(1) \times SO_3^L \times SO_3^S. \quad (3)$$

Let us call this  $G$  the high-energy symmetry. Equation (1) is invariant under the action of this group.

Next [40], we proceed with the bosonization. Unity is substituted into the functional integral, which is represented as

$$1 \sim \int D\bar{A}DA \exp\left(\frac{1}{g} \sum_{p,i,\alpha} \bar{A}_{i,\alpha}(p) A_{i,\alpha}(p)\right), \quad (4)$$

where  $A_{i,\alpha}$ , ( $i, \alpha = 1, 2, 3$ ) are bosonic variables. These variables may be considered as the field of the Cooper pairs, which serves as the analog of the Higgs field in relativistic theories. A shift of the integrand in  $D\bar{A}DA$  removes the four-fermion term. Therefore, the fermionic integral can be calculated. As a result we arrive at the “hydrodynamic” action for the Higgs field  $A$ :

$$S_{\text{eff}} = \frac{1}{g} \sum_{p,i,\alpha} \bar{A}_{i,\alpha}(p) A_{i,\alpha}(p) + \frac{1}{2} \log \text{Det} M(\bar{A}, A), \quad (5)$$

where

$$M(\bar{A}, A) = \begin{pmatrix} (i\omega - v_F(|k| - k_F)) \delta_{p_1 p_2} & \frac{1}{(\beta V)^{1/2}} [(\hat{k}_1^i - \hat{k}_2^i) A_{i\alpha}(p_1 + p_2)] \sigma_{\alpha} \\ -\frac{1}{(\beta V)^{1/2}} [(\hat{k}_1^i - \hat{k}_2^i) \bar{A}_{i\alpha}(p_1 + p_2)] \sigma_{\alpha} & -(i\omega - v_F(|k| - k_F)) \delta_{p_1 p_2} \end{pmatrix}. \quad (6)$$

The relevant symmetry group  $G$  of the physical laws, which is broken in superfluid phases of  $^3\text{He}$ , contains the group  $U(1)$ , which is responsible for conservation of the particle number, and the group of rotations  $SO_3^J$ . This symmetry is spontaneously broken in superfluid phases of  $^3\text{He}$ . The order parameter—the high-energy Higgs field—belongs to the representation  $S = 1$  and  $L = 1$  of the  $SO_3^S$  and  $SO_3^L$  groups and is represented by a  $3 \times 3$  complex matrix  $A_{i\alpha}$  with 18 real components.

### B. Vacuum of $^3\text{He-B}$

In superfluid  $^3\text{He-B}$ , the  $U(1)$  symmetry and the relative spin-orbit symmetry are broken, and the vacuum states are determined by the phase  $\Phi$  and the (orthogonal) rotation matrix  $R_{i\alpha}$ :

$$A_{i\alpha}^{(0)} \sim \Delta e^{i\Phi} R_{i\alpha}. \quad (7)$$

Here  $\Delta$  is the gap in the spectrum of fermionic quasiparticles. The symmetry  $H$  of the vacuum state is the diagonal  $SO_3$  subgroup of  $G$ : the vacuum state is invariant under combined rotations. The space  $\mathcal{R}$  of the degenerate vacuum states in  $^3\text{He-B}$  includes the circumference  $U(1)$  of the phase  $\Phi$  and the  $SO_3$  space of the relative rotations:

$$\mathcal{R} = G/H = U(1) \times SO_3. \quad (8)$$

The number of Nambu-Goldstone modes in this symmetry-breaking scenario is  $7 - 3 = 4$ , while the other 14 collective modes of the order parameter  $A_{ai}$  are Higgs bosons. These 18 bosons satisfy the Nambu sum rule, which relates the masses of bosonic and fermionic excitations [13]. The possible extension of this rule to the Standard Model Higgs bosons was discussed in Refs. [12,14].

In the B-phase of  $^3\text{He}$  the condensate is formed in the state with  $J = 0$ , where  $\mathbf{J} = \mathbf{L} + \mathbf{S}$  is the total angular momentum of the Cooper pair [15]. In the absence of spin-orbit interactions, the matrix  $R_{i\alpha}$  may be absorbed within Eqs. (5) and (6) by the rotation of the vector  $k^i$ . At the same time the phase  $\Phi$  may be absorbed by the transformation  $M(\bar{A}, A) \rightarrow \text{diag}(e^{2i\Phi}, e^{-2i\Phi}) M(\bar{A}, A) \text{diag}(e^{-2i\Phi}, e^{2i\Phi})$ , which does not change the value of the determinant in Eq. (5). As a result the vacuum is invariant under the combined spin and orbit rotations. So, we consider the state

$$A_{i\alpha}^{(0)}(p) = (\beta V)^{1/2} \frac{\Delta}{2} \delta_{p0} \delta_{i\alpha} \quad (9)$$

as the symmetric low-energy vacuum. The parameter  $\Delta$  satisfies the gap equation

$$0 = \frac{3}{g} - \frac{4}{\beta V} \sum_p (\omega^2 + v_F^2(|k| - k_F)^2 + \Delta^2)^{-1}, \quad (10)$$

where  $\Delta$  is the constituent mass of the fermion excitation. We denote the fluctuations around the condensate by  $\delta A_{i\alpha} = A_{i\alpha} - A_{i\alpha}^{(0)}$ . The tensor  $\delta A_{i\alpha}$  realizes the reducible representation of the  $SO_J(3)$  symmetry group of the vacuum (acting on both spin and orbital indices). The mentioned modes are classified by the total angular momentum quantum number  $J = 0, 1, 2$ .

### C. Collective modes in $^3\text{He-B}$

According to Refs. [41,42], the quadratic part of the effective action for the fluctuations around the condensate has the form

$$S_{\text{eff}}^{(1)} = \frac{1}{g} (u, v) [1 - g\Pi] \begin{pmatrix} u \\ v \end{pmatrix}, \quad (11)$$

where  $\delta A_{i\alpha}(p) = u_{pia} + i v_{pia}$ , while  $\Pi$  is the polarization operator. At each value of  $J = 0, 1, 2$  the modes  $u$  and  $v$  are orthogonal to each other and correspond to different values of the bosonic energy gaps. The spectrum of the quasiparticles is obtained at the zeros of the expressions for  $\frac{\delta^2}{\delta u_{i\alpha} \delta u_{j\beta}} S_{\text{eff}}^{(1)}$  and  $\frac{\delta^2}{\delta v_{i\alpha} \delta v_{j\beta}} S_{\text{eff}}^{(1)}$ . The energy gaps appear [42] as the solutions of the equation  $\text{Det}(g\Pi(iE) - 1) = 0$ :

$$E_{u,v}^{(J)} = \sqrt{2\Delta^2(1 \pm \eta^{(J)})}. \quad (12)$$

This proves the Nambu sum rule for  $^3\text{He-B}$  [12–14]:

$$[E_u^{(J)}]^2 + [E_v^{(J)}]^2 = 4\Delta^2. \quad (13)$$

An explicit calculation gives  $\eta^{J=0} = \eta^{J=1} = 1$  and  $\eta^{J=2} = \frac{1}{5}$ . The 18 collective modes [nine real and nine imaginary deviations  $\delta A_{ai}$  of the high-energy order parameter from the vacuum state (9)] decompose under the  $SO_3^J$  group as

$$J = 0^-, \quad J = 1^+, \quad J = 0^+, \quad J = 1^-, \quad J = 2^\pm. \quad (14)$$

Here  $+$  and  $-$  correspond to real and imaginary perturbations  $\delta A_{ai}$ . The bosons in the first two representations are NG bosons in the absence of spin-orbit coupling: the first one is the sound mode [which appears due to the broken  $U(1)$  symmetry] and the second set represents three spin wave modes.

The other sets represent  $1 + 3 + 5 + 5 = 14$  heavy Higgs amplitude modes with energies of order of the fermionic gap  $\Delta$ . These are the so-called pair breaking mode with  $J = 0^+$  and mass  $2\Delta$ , three pair breaking modes with  $J = 1^-$  and mass  $2\Delta$ , five so-called real squashing modes with  $J = 2^+$  and mass  $\sqrt{12/5}\Delta$ , and five imaginary squashing modes with  $J = 2^-$  and mass  $\sqrt{8/5}\Delta$ .



### D. Taking into account the spin-orbit interactions

The spin-orbit interaction reduces the degeneracy of the vacuum space and transforms one of the NG modes to the massive Higgs boson. Under the spin-orbit interaction the high-energy symmetry group  $G$  is reduced to the low-energy symmetry group

$$G_{\text{so}} = U(1) \times SO_3^J, \quad (15)$$

where  $SO_3^J$  is the group of combined rotations in spin and orbital spaces. The spin-orbit interaction gives the following contribution to the effective low-energy action [15]:

$$S_{\text{SO}}[A] = \frac{3}{5} g_D \sum_p \bar{A}_{i,\alpha}(p) A_{j,\beta}(p) \times \left( \delta_{i\alpha} \delta_{j\beta} + \delta_{j\alpha} \delta_{i\beta} - \frac{2}{3} \delta_{ij} \delta_{\alpha\beta} \right), \quad (16)$$

where  $g_D$  is the new coupling constant. The matrix  $R_{i,\alpha}$  can still be absorbed by the rotation of  $k^i$  in Eq. (6). However, the complete effective action depends on it due to the contribution of Eq. (16). As a result, instead of Eq. (9) we keep

$$A_{i\alpha}^{(0)}(p) = (\beta V)^{1/2} \frac{\Delta}{2} \delta_{p0} R_{i\alpha}, \quad (17)$$

where the orthogonal matrix  $R_{i\alpha}$  may be represented in terms of the angle  $\theta$  and the axis  $\hat{\mathbf{n}}$  of rotation:

$$R_{i\alpha}(\hat{\mathbf{n}}, \theta) = \hat{n}_\alpha \hat{n}_i + (\delta_{\alpha i} - \hat{n}_\alpha \hat{n}_i) \cos \theta - e_{aik} \hat{n}_k \sin \theta. \quad (18)$$

Here  $\theta$  changes from 0 to  $\pi$ ; the points  $(\hat{\mathbf{n}}, \theta = \pi)$  and  $(-\hat{\mathbf{n}}, \theta = \pi)$  are equivalent. Substituting this into Eq. (16), the condensate of the form of Eq. (17) gives

$$S_{\text{SO}}[A^{(0)}] = g_D \Delta^2 \left( \frac{6}{5} (\cos \theta + 1/4)^2 - \frac{3}{8} \right) \beta V. \quad (19)$$

The minimum of this expression is achieved when  $\theta = \theta_0 \approx 104^\circ$  (the so-called Leggett angle).

In principle, Eq. (16) affects the gap equation. The functional form of the condensate is given by Eq. (10). However, the constant  $g$  entering this equation receives small  $\Delta$ -dependent contribution. We neglect this contribution in the following. The most valuable effect of the spin-orbit interaction is the appearance of the explicit mass term for the collective mode given by the fluctuations of  $\theta$  around its vacuum value given by the Leggett angle  $\theta_0$ .

It is worth mentioning that an interaction term of the form of Eq. (16) is equivalent to a certain modification of the original four-fermion interaction of Eq. (1). The

modified four-fermion interaction is obtained as a result of Gaussian integration over  $A_{i\alpha}$  in the functional integral.

### E. Higgs #15 from spin-orbit interaction

Let us consider the collective mode  $\delta\theta = \theta - \theta_0$ . It originates from the modes with  $J = 1^+$  and forms the low-energy Higgs field—the light Higgs. The  $J = 1^+$  collective mode is the 3-vector field, whose components can be obtained from the orthogonal matrix  $R_{\alpha i}$  when it is represented in terms of the angle  $\theta$  and the axis  $\hat{\mathbf{n}}$  of rotation. The directions of the unit vector  $\hat{\mathbf{n}}$  correspond to the two massless Goldstone modes. The field  $\delta\theta$  represents the gapped collective mode.

The mass term for this collective mode is given completely by Eq. (16) because the dynamical contribution coming from the integration over fermions vanishes. However, the kinetic term comes from the integration over fermions. We represent the effect of the fluctuation  $\delta\theta$  on the condensate function as follows:

$$A_{i,\alpha}[\delta\theta] = R_{i\alpha}(\hat{\mathbf{n}}, \theta) = R_{i\alpha}(\hat{\mathbf{n}}, \theta_0) R_{i\alpha}(\hat{\mathbf{n}}, \delta\theta). \quad (20)$$

Within the functional determinant we absorb  $R_{i\alpha}(\hat{\mathbf{n}}, \theta_0)$  by the rotation of  $k^i$ . The remaining part gives the actual form of  $\delta A_{i,\alpha}$ :

$$\delta A_{i,\alpha} = -e_{aik} \hat{n}_k \delta\theta (\beta V)^{1/2} \frac{\Delta}{2}. \quad (21)$$

The kinetic term for  $\delta\theta$  has the form  $S_{\text{kin}}[\delta\theta] = \sum_{\omega,k} \Pi_\theta(\omega, k) [\delta\theta(\omega, k)]^2$ , where

$$\Pi_\theta(\omega, 0) = -\frac{1}{4} \sum_{\epsilon,k} \text{Sp} G(\epsilon + \omega, k) O(\hat{n}) G(\epsilon, k) O(\hat{n}) \approx Z_\theta^2 \omega^2, \quad (22)$$

with

$$G^{-1}(\epsilon, k) = \begin{pmatrix} (i\epsilon - v_F(|k| - k_F)) & \Delta(\hat{k}\sigma) \\ -\Delta(\hat{k}\sigma) & (-i\epsilon + v_F(|k| - k_F)) \end{pmatrix} \quad (23)$$

and

$$O(\hat{n}) = \begin{pmatrix} 0 & \hat{k}^i e_{iak} \sigma^a \hat{n}^k \\ -\hat{k}^i e_{iak} \sigma^a \hat{n}^k & 0 \end{pmatrix}. \quad (24)$$

A constant  $Z_\theta$  enters the expression for the effective action of  $\theta(\omega, 0)$ :

$$S_\theta \approx \sum_\omega \left( Z_\theta^2 \omega^2 + \frac{9}{4} g_D \Delta^2 \right) [\delta\theta(\omega, 0)]^2. \quad (25)$$

This gives the following expression for the energy gap of the LH mode:

$$E_\theta = \Omega_B = \frac{3}{2Z_\theta} \sqrt{g_D} \Delta. \quad (26)$$

Here  $\Omega_B$  is the Leggett frequency (the frequency of the longitudinal NMR) in  $^3\text{He-B}$  [15].

In the language of quantum field theory  $Z_\theta^2$  is the wavefunction renormalization constant for the field  $\theta$ . It depends logarithmically on the width of the region of momenta around the Fermi surface. This is the region over which we should integrate in Eq. (22). Using manipulations with the derivatives of the partition function, we are able to relate  $Z_\theta$  with the spin susceptibility  $\chi_B = \frac{d}{dB} \langle \sigma \rangle$ , where  $\langle \sigma \rangle$  is the spin density in the presence of a magnetic field  $B$ :

$$\chi_B = \gamma^2 Z_\theta^2. \quad (27)$$

Here  $\gamma$  is the gyromagnetic ratio for the  $^3\text{He}$  atom. This allows one to rewrite the  $\theta$ -dependent part of Eq. (19) for the spin-orbit interaction as

$$S_{so}[\theta] = \frac{32\chi_B}{15\gamma^2} \Omega_B^2 (|\mathbf{n}|^2 - n_0^2) \beta V, \quad (28)$$

where  $n_0 = \sqrt{5/8}$ , which corresponds to the Leggett angle  $\cos \theta_0 = -\frac{1}{4}$  measured in NMR experiments. Here we represent the field of the  $J = 1^+$  collective modes [see Eqs. (2.2) and (2.3) in Ref. [43]] as

$$\mathbf{n} = \hat{\mathbf{n}} \sin \frac{\theta}{2}. \quad (29)$$

The spin-orbit interaction fixes the magnitude of the light Higgs field ( $|\mathbf{n}| = n_0$ ) in equilibrium, but it leaves the degeneracy corresponding to the other two components of the  $J = 1^+$  collective mode given by the direction of  $\hat{\mathbf{n}}$ . This corresponds to the symmetry-breaking scheme  $SO_3^J \rightarrow SO_3^J/SO_2^J$ , where  $SO_2^J$  is the symmetry group of rotations around the axis  $\hat{\mathbf{n}}$ . Thus the Higgs mechanism gives rise to two NG modes and one LH, i.e., the spin-orbit interaction (28) transforms one of the NG modes to the LH mode.

The mass of the LHB is determined by the parameters in Eq. (28). The Leggett frequency  $\Omega_B$  determines the mass of the amplitude Higgs mode—the  $\theta$ -boson with the dispersion low

$$E^2 = \Omega_B^2 + c^2 k^2. \quad (30)$$

Here  $c$  is the relevant speed of spin waves, which in general depends on the direction of propagation [15]. In  $^3\text{He-B}$ ,  $\Omega_B \sim 10^{-3} \Delta$ , i.e., the light Higgs acquires a mass that is much lower than the energy scale  $\Delta$ , at which the symmetry

breaking occurs and which characterizes the energies of the heavy Higgs bosons. Note that in  $^3\text{He-B}$  the low-energy physics has all the signatures of the Higgs scenario. The low-energy vector Higgs field  $\mathbf{n}$  has both a massive amplitude mode and two massless NG bosons.

In an applied magnetic field the time-reversal symmetry is violated, and two massless NG modes transform to the mode with the Larmor gap (magnon) and the NG mode with quadratic dispersion. The parametric decay of magnons to pairs of the LH bosons has been recently observed in NMR experiments with Bose-Einstein condensates of magnons [17].

The given scenario in  $^3\text{He-B}$  does not say anything about the NG mode, which comes from the breaking of  $U(1)$  symmetry. The latter is determined by the high-energy physics and is not influenced by spin-orbit coupling. When the spin-orbit coupling is taken into account, the symmetry-breaking scheme gives

$$\mathcal{R}_{so} = G_{so}/H_{so} = U(1) \times SO_3^J/SO_2^J = U(1) \times S^2. \quad (31)$$

This results in  $2 + 1$  NG bosons instead of  $3 + 1$  NG bosons in the absence of spin-orbit coupling.

The  $U(1)$  degree of freedom does not appear if instead of superfluid  $^3\text{He-B}$  one considers a nonsuperfluid antiferromagnetic liquid crystal. Here the transition occurs without breaking  $U(1)$  symmetry, and  $U(1)$  drops out of Eqs. (15) and (8). Such a transition is fully determined by the real-valued order parameter matrix  $A_{ai}$ . If the relative spin-orbit symmetry is broken in the same manner as in  $^3\text{He-B}$ , one obtains (in the absence of spin-orbit coupling)  $1 + 5$  heavy Higgs bosons with  $J = 0$  and  $J = 2$ , and 3 NG bosons with  $J = 1$ . The spin-orbit coupling then transforms one of the NG bosons to the light Higgs.

## F. Polar phase of superfluid $^3\text{He}$

The polar phase of superfluid  $^3\text{He}$  has been recently observed in strongly anisotropic alumina aerogel [18,44]. New phases of superfluid  $^3\text{He}$  with strong polar distortion have also been reported in anisotropic aerogel [45]. Here we neglect the anisotropy of aerogel. The inclusion of this anisotropy is straightforward, and does not influence the mechanism of the light Higgs mass generation.

### 1. Neglected spin-orbit interaction

In the polar phase, the  $U(1)$  symmetry is broken and each of the two  $SO_3$  groups is broken to its  $SO_2$  subgroup:  $H = SO_2^S \times SO_2^L$ . The order parameter matrix  $A_{ai}$  in the polar phase vacuum has the form

$$A_{ai} = \Delta e^{i\Phi} \hat{d}_a \hat{m}_i, \quad (32)$$

where  $\hat{\mathbf{d}}$  and  $\hat{\mathbf{m}}$  are unit vectors. The space  $\mathcal{R}$  of the degenerate states in the polar phase includes the circumference  $U(1)$  of the phase  $\Phi$  and the two  $S^2$  spheres:

$$\mathcal{R} = G/H = U(1) \times S^2 \times S^2. \quad (33)$$

The high-energy polar phase has  $1 + 2 + 2 = 5$  NG modes and  $18 - 5 = 13$  heavy Higgs modes with a mass (gap) of order  $\Delta$ . The anisotropy of aerogel fixes the orbital vector  $\hat{\mathbf{m}}$  and thus removes 2 NG modes.

## 2. Higgs #14 from the spin-orbit interaction

When the spin-orbit interaction is taken into account, the symmetry-breaking scheme becomes

$$G_{\text{so}} = U(1) \times SO_3^J, \quad H_{\text{so}} = 1, \mathcal{R}_{\text{so}} = G_{\text{so}}. \quad (34)$$

The spin-orbit interaction reduces the degeneracy of the vacuum space,  $\mathcal{R}_{\text{so}} < \mathcal{R}$ , leaving only  $1 + 3 = 4$  NG modes (two of which are removed by the strong orbital anisotropy of aerogel). As a result, the spin-orbit coupling transforms one of the NG modes to the massive Higgs boson—the light Higgs.

Let us start with the vacuum state with  $\hat{\mathbf{d}} = \hat{\mathbf{m}} = \hat{\mathbf{z}}$ . This vacuum state has quantum numbers  $S_z = L_z = 0$ , and thus  $J_z = 0$ , which corresponds to the symmetry  $SO_2^J$  of the vacuum state. This symmetry is broken by the light Higgs. The LH field can be introduced, for example, as the real vector field  $\mathbf{n} \perp \hat{\mathbf{z}}$  which describes the deviation  $\hat{\mathbf{d}} - \hat{\mathbf{m}}$ :

$$\hat{\mathbf{m}} = \hat{\mathbf{z}} \sqrt{1 - |\mathbf{n}|^2} + \mathbf{n}, \quad \hat{\mathbf{d}} = \hat{\mathbf{z}} \sqrt{1 - |\mathbf{n}|^2} - \mathbf{n}. \quad (35)$$

In terms of the vector  $\mathbf{n}$  the spin-orbit interaction in the polar phase is

$$F_{\text{so}} = 2 \frac{\chi}{\gamma^2} \Omega_{\text{pol}}^2 (|\mathbf{n}|^2 - n_0^2)^2, \quad (36)$$

where  $\Omega_{\text{pol}}$  is the Leggett frequency for the polar phase, and  $n_0 = \sqrt{1/2}$ . The spin-orbit interaction fixes the magnitude of the little Higgs field  $|\mathbf{n}|$  in equilibrium, but leaves the degeneracy with respect to its orientation in the plane perpendicular to the  $z$  axis. This leads to one NG boson (the spin wave mode with spectrum  $E = cp$ ) and the light Higgs mode:

$$E^2 = \Omega_{\text{pol}}^2 + c^2 k^2, \quad (37)$$

with mass (gap)  $\Omega_{\text{pol}} \ll \Delta$ .

## III. A MODEL WITH THE PSEUDO-GOLDSTONE BOSON COMPOSED OF THE TOP QUARK

### A. Dynamical symmetry breaking and dynamical masses of quarks

#### 1. Lagrangian

Let us consider a model inspired by the top-seesaw model suggested by Cheng, Dobrescu, and Gu in Ref. [29].

This model contains (in addition to the SM fermions) the fermion  $\chi$ . The action contains the four-fermion interaction terms, which (written through the auxiliary three-component field  $\Phi$ ) have the form

$$L_I = -M_0^2 \left( \frac{1}{\xi_t^2} \Phi_t^\dagger \Phi_t + \frac{1}{\xi_\chi^2} \Phi_\chi^\dagger \Phi_\chi + \frac{1}{\xi_{t\chi}^2} [\Phi_t^\dagger \Phi_\chi + \Phi_\chi^\dagger \Phi_t] \right) - [(\bar{b}'_L \quad \bar{t}'_L \quad \bar{\chi}'_L) \Phi_t t'_R + (\bar{b}'_L \quad \bar{t}'_L \quad \bar{\chi}'_L) \Phi_\chi \chi'_R + \text{H.c.}]. \quad (38)$$

For convenience we have changed the order of  $t'$  and  $b'$  compared to Ref. [29]. Also, for convenience we denote  $\Phi = (0, \Phi_t, \Phi_\chi)$  and

$$L_I = -\text{Tr} \Phi \Omega \Phi^\dagger - [\bar{\psi}_L \Phi \psi_R + \text{H.c.}], \quad (39)$$

where

$$\psi_L = \begin{pmatrix} b'_L \\ t'_L \\ \chi'_L \end{pmatrix}, \quad \psi_R = \begin{pmatrix} b'_R \\ t'_R \\ \chi'_R \end{pmatrix}, \quad (40)$$

while  $\Omega$  is the corresponding  $3 \times 3$  matrix. Notice, that the three components of  $\psi$  are equal to the fields of  $b$ ,  $t$ , and  $\chi$  only in the basis in which the mass matrix is diagonal (see below). Therefore, in Eq. (40) (written in an arbitrary basis) we do not identify  $b'$ ,  $t'$  and  $\chi'$  with the actual fields of the  $b$  quark, top quark, and heavy quark  $\chi$ .

The global symmetry of the given Lagrangian is  $SU(3)_L \otimes U(1)_L \otimes U(1)_{t,R} \otimes U(1)_{\chi,R}$ . Here  $SU(3)_L$  corresponds to the  $SU(3)$  rotations of  $\psi_L$ , while the  $U(1)$  parts of the global symmetry of our Lagrangian correspond to the transformations  $\psi_L \rightarrow e^{i\alpha} \psi_L$ ,  $\psi_{t,R} \rightarrow e^{i\beta} \psi_{t,R}$ , and  $\Phi_t \rightarrow e^{i(\alpha-\beta)} \Phi_t$  (and a similar transformation for  $\chi$ ).

The quantum numbers of  $\chi'_L$  and  $\chi'_R$  including the hypercharge (and the quantum numbers of  $t'_R$ ) are equal to the quantum numbers of the right-handed top quark. This is the doublet field  $\begin{pmatrix} b'_L \\ t'_L \end{pmatrix}$ , which is transformed under the  $SU(2)_L$  SM gauge field. Therefore, the gauge interactions of the SM break the  $SU(3)_L$  symmetry, an effect which we neglect here.

Using the orthogonal rotation of  $t_R$  and  $\chi_R$ , we can always bring  $\Omega$  to a diagonal form with  $1/\xi_{t\chi} = 0$ . We denote in this representation

$$\Omega^{(0)} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & \omega_t^{(0)} & 0 \\ 0 & 0 & \omega_\chi^{(0)} \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1/\xi_t^2 & 0 \\ 0 & 0 & 1/\xi_\chi^2 \end{pmatrix} M_0^2. \quad (41)$$

In Ref. [29] the explicit mass term in the Lagrangian that breaks the  $SU(3)$  symmetry down to  $SU(2)$  was added:



$$L_M = -\mu_{\chi i} \bar{\chi}_L i \not{\partial}_R - \mu_{\chi \chi} \bar{\chi}_L \chi_R + \text{H.c.} \quad (42)$$

In addition, in Ref. [29] other contributions to the Lagrangian were considered that do not originate from the four-fermion interactions. A similar construction was considered in Ref. [30] where the original SU(3) symmetry was broken by both the additional four-fermion terms and a mass term of the form of Eq. (42). In our model we restrict ourselves to the four-fermion interaction terms and do not consider the explicit mass term. We introduce the following modification of the four-fermion interaction that reveals an analogy with the spin-orbit interaction of  $^3\text{He}$  considered in the previous section [see Eq. (16)].

Namely, we add the following terms to the Lagrangian:

$$L_G = g_{\chi}^{(0)} |\Phi_{\chi}^3|^2 + g_t^{(0)} |\Phi_t^3|^2 + g_{t\chi}^{(0)} (\bar{\Phi}_{\chi}^3 \Phi_t^3 + \text{H.c.}) \\ = \text{Tr} \Phi G^{(0)} \Phi^+ \Upsilon_3, \quad (43)$$

and

$$L_B = -b_{\chi}^{(0)} |\text{Im} \Phi_{\chi}^3|^2 - b_t^{(0)} |\text{Im} \Phi_t^3|^2 \\ - 2b_{t\chi}^{(0)} (\text{Im} \Phi_{\chi}^3) (\text{Im} \Phi_t^3) \\ = \frac{1}{4} \text{Tr} (\Phi - \Phi^*) B^{(0)} (\Phi^T - \Phi^+) \Upsilon_3, \quad (44)$$

where

$$G^{(0)} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & g_t^{(0)} & g_{t\chi}^{(0)} \\ 0 & g_{t\chi}^{(0)} & g_{\chi}^{(0)} \end{pmatrix}, \quad B^{(0)} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & b_t^{(0)} & b_{t\chi}^{(0)} \\ 0 & b_{t\chi}^{(0)} & b_{\chi}^{(0)} \end{pmatrix}, \\ \Upsilon_3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}. \quad (45)$$

We bring  $\Omega$  to the diagonal form via orthogonal rotations of  $\psi_R$ . Further, we choose a representation in this basis. We assume that the elements of the matrices  $\Omega$ ,  $B$ , and  $G$  are real valued.

## 2. Effective action for scalar bosons

Let us choose the parametrization in which the massless  $b$  quark is identified with  $b' = \psi^1$ . It corresponds to the representation  $\Phi = \langle \Phi \rangle + \tilde{\Phi} = V + \tilde{\Phi}$ , where

$$\hat{V} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & \frac{1}{\sqrt{2}} v_t & \frac{1}{\sqrt{2}} v_{\chi} \\ 0 & \frac{1}{\sqrt{2}} u_t & \frac{1}{\sqrt{2}} u_{\chi} \end{pmatrix}, \\ \tilde{\Phi} = \begin{pmatrix} 0 & H_t^- & H_{\chi}^- \\ 0 & \frac{1}{\sqrt{2}} (h_t + iA_t) & \frac{1}{\sqrt{2}} (h_{\chi} + iA_{\chi}) \\ 0 & \frac{1}{\sqrt{2}} (\varphi_t + i\pi_t) & \frac{1}{\sqrt{2}} (\varphi_{\chi} + i\pi_{\chi}) \end{pmatrix}. \quad (46)$$

This expression is similar to that of Eq. (2.11) in Ref. [29]. Here the values of  $v_{t,\chi}$  and  $u_{t,\chi}$  correspond to the condensate.

The effective action for the field  $\tilde{\Phi}$  has the form

$$S[\tilde{\Phi}] = - \int d^4x \text{Tr} (\hat{V} + \tilde{\Phi}) \Omega^{(0)} (\hat{V} + \tilde{\Phi})^+ \\ + \int d^4x \text{Tr} (\hat{V} + \tilde{\Phi}) G^{(0)} (\hat{V} + \tilde{\Phi})^+ \Upsilon_3 \\ + \int d^4x \frac{1}{4} \text{Tr} (V - V^* + \Phi - \Phi^*) \\ B^{(0)} (V^T - V^+ + \Phi^T - \Phi^+) \Upsilon_3 \\ - i \log \text{Det} (i\gamma \partial - \mathcal{Q}(\hat{V} + \tilde{\Phi})). \quad (47)$$

Here, for any matrix  $O$  we define

$$\mathcal{Q}O = \begin{pmatrix} O^+ & 0 \\ 0 & O \end{pmatrix}. \quad (48)$$

$\hat{V} +$  plays the role of the mass matrix, and we denote  $\hat{m} = \hat{V}$ .

## 3. Gap equation

The gap equation appears as

$$\frac{\delta}{\delta \tilde{\Phi}_{ia}} S[\tilde{\Phi}] = 0, \quad i = 1, 2, 3, \quad a = 2, 3. \quad (49)$$

We represent the determinant in Eq. (66) as follows:

$$- i \log \text{Det} (i\gamma \partial - \mathcal{Q}(\hat{V} + \hat{m}^{(0)} + \tilde{\Phi})) \\ = \text{const} - i \text{Sp} \log (i\partial \Sigma - \mathcal{T} \hat{m}) \\ + i \text{Sp} \frac{1}{i\partial \Sigma - \mathcal{T} \hat{m}} \mathcal{T} \tilde{\Phi} \\ + \frac{i}{2} \text{Sp} \frac{1}{i\partial \Sigma - \mathcal{T} \hat{m}} \mathcal{T} \tilde{\Phi} \frac{1}{i\partial \Sigma - \mathcal{T} \hat{m}} \mathcal{T} \tilde{\Phi} + \dots \quad (50)$$

Here

$$\Sigma = \begin{pmatrix} \bar{\sigma} & 0 \\ 0 & \sigma \end{pmatrix}, \quad \mathcal{T}O = \gamma^0 \mathcal{Q}O = \begin{pmatrix} 0 & O \\ O^+ & 0 \end{pmatrix}. \quad (51)$$

This gives for the gap equation ( $i = 2, 3$  and  $a = 2, 3$ )

$$[\Omega^{(0)}\hat{V}^+ + (iB\text{Im}V - G^{(0)}\hat{V}^+)\Upsilon_3]_a^i = \frac{2i}{(2\pi)^4} \int \left[ \frac{d^4p}{p^2 - \hat{m}^+ \hat{m}} \hat{m}^+ \right]_a^i = -\langle \bar{\psi}_L^i \psi_{a,R} \rangle. \quad (52)$$

First of all, Eq. (44) suppresses the imaginary parts of  $\Phi_{ia}$ . Therefore, it is reasonable to look for solutions of the gap equation with a real-valued  $\hat{V}$ . This allows one to eliminate the matrix  $B$  from the consideration of the gap equations:

$$\Omega^{(0)}\hat{m}^+ - G^{(0)}\hat{m}^+\Upsilon_3 = \frac{N_c}{8\pi^2} \left( \Lambda^2 - \hat{m}^+ \hat{m} \log \frac{\Lambda^2}{\hat{m}^+ \hat{m}} \right) \hat{m}^+. \quad (53)$$

Let us perform orthogonal rotations of  $\psi_{L,R}$  that bring  $\hat{m}$  to the diagonal form:

$$\begin{aligned} \psi_L &\rightarrow \Theta \psi_L, & \psi_R &\rightarrow A \psi_R, \\ \hat{m} &\rightarrow \Theta^T \hat{m} A = \text{diag}(0, m_t, m_\chi), \end{aligned} \quad (54)$$

where

$$\begin{aligned} \Theta &= \exp(-i\theta\sigma^2), & A &= \exp(-i\alpha\sigma^2), \\ \sigma_2 &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}. \end{aligned} \quad (55)$$

As a result, we come to the following form of the gap equation with a diagonal matrix  $\hat{m}$ :

$$\begin{aligned} A^T \Omega^{(0)} A - A^T G^{(0)} A \hat{m} \Theta^T \Upsilon_3 \Theta \hat{m}^{-1} \\ = \frac{N_c}{8\pi^2} \left( \Lambda^2 - \hat{m}^2 \log \frac{\Lambda^2}{\hat{m}^2} \right). \end{aligned} \quad (56)$$

We assume that the SU(3)-breaking terms are small, that is,

$$\frac{g_{t,\chi,t\chi}^{(0)}}{\omega_{t,\chi}^{(0)}} \ll 1. \quad (57)$$

This does not mean, however, that the resulting corrections to the fermion and boson masses are small if we consider the system near criticality and disregard the next-to-leading  $1/N_c$  corrections (see discussion in the Introduction).

We also assume  $m_t \ll m_\chi$  and  $\theta \ll 1$ . By  $g_{t,\chi}$  we denote the elements of the matrix  $A^T G A$  that are related to the original parameters  $g_{t,\chi}^{(0)}$  as follows:

$$\begin{aligned} g_t &= (\cos \alpha g_t^{(0)} + \sin \alpha g_{t\chi}^{(0)}) \cos \alpha \\ &\quad + (\cos \alpha g_{t\chi}^{(0)} + \sin \alpha g_\chi^{(0)}) \sin \alpha, \\ g_{t\chi} &= -(\cos \alpha g_t^{(0)} + \sin \alpha g_{t\chi}^{(0)}) \sin \alpha \\ &\quad + (\cos \alpha g_{t\chi}^{(0)} + \sin \alpha g_\chi^{(0)}) \cos \alpha, \\ g_\chi &= -(-\sin \alpha g_t^{(0)} + \cos \alpha g_{t\chi}^{(0)}) \sin \alpha \\ &\quad + (-\sin \alpha g_{t\chi}^{(0)} + \cos \alpha g_\chi^{(0)}) \cos \alpha. \end{aligned} \quad (58)$$

A direct calculation gives the following relation between the angle  $\theta$ , the ratio  $m_t/m_\chi$ , and the values of  $g_{t,\chi}$ :

$$\begin{aligned} 0 &= (g_t m_t \sin \theta + g_{t\chi} m_\chi \cos \theta) \cos \theta / m_\chi \\ &\quad - (g_{t\chi} m_t \sin \theta + g_\chi m_\chi \cos \theta) \sin \theta / m_t. \end{aligned} \quad (59)$$

Therefore,

$$\theta \approx \frac{g_{t\chi}}{g_\chi - \frac{m_t^2}{m_\chi^2} g_t} \frac{m_t}{m_\chi} + O(m_t^3). \quad (60)$$

For the angle  $\alpha$  we have

$$\begin{aligned} \omega_{t\chi} &\equiv \frac{1}{2} (\omega_\chi^{(0)} - \omega_t^{(0)}) \sin 2\alpha \\ &= \left( g_t \frac{m_t}{m_\chi} \sin \theta + g_{t\chi} \cos \theta \right) \cos \theta \approx g_{t\chi}. \end{aligned} \quad (61)$$

This leads to

$$\alpha \approx \frac{1}{2} \text{arctg} \frac{2g_{t\chi}^{(0)}}{\omega_\chi^{(0)} - \omega_t^{(0)} - g_\chi^{(0)} + g_t^{(0)}} + O(m_t^2). \quad (62)$$

We are left with the following equations:

$$\begin{aligned} \omega_t - f_t &= \frac{N_c}{8\pi^2} \left( \Lambda^2 - m_t^2 \log \frac{\Lambda^2}{m_t^2} \right), \\ \omega_\chi - f_\chi &= \frac{N_c}{8\pi^2} \left( \Lambda^2 - m_\chi^2 \log \frac{\Lambda^2}{m_\chi^2} \right), \end{aligned} \quad (63)$$

where  $\Lambda$  is the ultraviolet cutoff (of the order of the scale of the new hidden interaction), while

$$\omega_{t,\chi} = \cos^2 \alpha \omega_{t,\chi}^{(0)} + \sin^2 \alpha \omega_{\chi,t}^{(0)} \quad (64)$$

and

$$\begin{aligned} f_t &= \sin \theta \left( g_t \sin \theta + g_{t\chi} \frac{m_\chi}{m_t} \cos \theta \right) \approx \frac{g_{t\chi}^2}{g_\chi} + O(m_t^2), \\ f_\chi &= \cos \theta \left( g_{t\chi} \frac{m_t}{m_\chi} \sin \theta + g_\chi \cos \theta \right) \approx g_\chi + O(m_t^2). \end{aligned}$$

The gap equation provides that  $\omega_{t,\chi}^{(0)} \sim \frac{N_c}{8\pi^2} \Lambda^2$ , while  $\omega_\chi^{(0)} - \omega_t^{(0)} \sim m_\chi^2$ . Therefore, in the general case  $\alpha$  is not small.

For the calculation of the scalar boson spectrum we will need the exact expressions for  $f_t, f_\chi$  through  $\theta$  and the exact expression that relates  $m_t^2/m_\chi^2$  and  $\theta$ . In the following we shall use the values of  $g_{t,\chi,t\chi}$ , but we should remember that they differ from the original parameters  $g_{t,\chi,t\chi}^{(0)}$ . In principle, Eqs. (58) and (59) allow one to precisely determine  $\theta$  and  $\alpha$  as functions of  $g_{t,\chi,t\chi}^{(0)}$  and then  $g_{t,\chi,t\chi}$  as functions of  $g_{t,\chi,t\chi}^{(0)}$ . However, the corresponding expressions are so complicated that we do not represent them here.

## B. Effective action for scalar bosons

### 1. Polarization operator

Let us consider a system with the parametrization in which the fermion mass matrix is diagonal. The fermion fields that are the mass eigenstates are expressed linearly through the original fields  $t'_L, \chi'_L, t'_R, \chi'_R$ . This is the doublet field  $\begin{pmatrix} b'_L \\ t'_L \end{pmatrix}$ , which is transformed under the  $SU(2)_L$  SM gauge field. At the same time,  $\chi'_L$  has the quantum numbers of  $t_R$ . Thus, the mass eigenstates do not have definite charges with respect to the SM gauge fields. Below we neglect the influence of the gauge fields on the dynamics of

the scalar bosons. We shall consider the terms in the effective action with the interaction between the gauge fields of the Standard Model and the composite scalar bosons in Sec. III D.

In this basis  $\Omega$  has the form

$$\Omega = A^T \text{diag}(\omega_t^{(0)}, \omega_\chi^{(0)}) A = \begin{pmatrix} \omega_t & \omega_{t\chi} \\ \omega_{t\chi} & \omega_\chi \end{pmatrix},$$

$$\omega_{t\chi}^2 = f_t f_\chi. \quad (65)$$

In the same way, we substitute  $G = A^T G^{(0)} A$ ,  $B = A^T B^{(0)} A$ , and  $\Upsilon = \Theta^T \Upsilon_3 \Theta$  instead of  $G^{(0)}$ ,  $B^{(0)}$ , and  $\Upsilon_3$ . Taking into account that  $\frac{\delta}{\delta \Phi} S[\tilde{\Phi}] = 0$ , we come to

$$\begin{aligned} S[\tilde{\Phi}] = & - \int d^4x \text{Tr} \tilde{\Phi} \Omega \tilde{\Phi}^+ + \int d^4x \text{Tr} \tilde{\Phi} G \tilde{\Phi}^+ \Upsilon \\ & + \int d^4x \frac{1}{4} \text{Tr} (\Phi - \Phi^*) B (\Phi^T - \Phi^+) \Upsilon \\ & - i \text{Sp} \log(i\gamma \partial - \hat{m}) \\ & + \frac{i}{2} \text{Sp} \frac{1}{i\gamma \partial - \hat{m}} \mathcal{Q} \tilde{\Phi} \frac{1}{i\gamma \partial - \hat{m}} \mathcal{Q} \tilde{\Phi} + \dots \end{aligned} \quad (66)$$

Let us denote  $\Phi(p) = \int d^4x \Phi(x) e^{ipx}$ , and  $\tilde{\Phi}_{ia}(p) = \tilde{\Phi}'_{ia}(p) + i\tilde{\Phi}''_{ia}(p)$ . The  $CP$ -even scalar states are given by the real parts of the components of  $\Phi(p)$ , while imaginary parts correspond to the  $CP$ -odd states. Then we have  $S = \text{const} + S' + S''$  with

$$\begin{aligned} S'[\tilde{\Phi}] \approx & - \sum_{abi} \int \frac{d^4p}{(2\pi)^4} \tilde{\Phi}'_{ia}(p) \Omega_{ab} \tilde{\Phi}'_{ib}(p) + \sum_{abij} \int \frac{d^4p}{(2\pi)^4} \tilde{\Phi}'_{ia}(p) G_{ab} \tilde{\Phi}'_{jb}(p) \Upsilon^{ij} \\ & + \int \frac{d^4p}{(2\pi)^4} \sum_{ai} \frac{2iN_c}{(2\pi)^4} \int \frac{d^4k}{(k^2 - m_i^2)((k+p)^2 - m_a^2)} (k(p+k)[\Phi'_{ia}(p)]^2 + m_i m_a \Phi'_{ai}(p) \Phi'_{ia}(p)), \end{aligned} \quad (67)$$

$$\begin{aligned} S''[\tilde{\Phi}] \approx & - \sum_{abi} \int \frac{d^4p}{(2\pi)^4} \tilde{\Phi}''_{ia}(p) \Omega_{ab} \tilde{\Phi}''_{ib}(p) + \sum_{abij} \int \frac{d^4p}{(2\pi)^4} \tilde{\Phi}''_{ia}(p) G_{ab} \tilde{\Phi}''_{jb}(p) \Upsilon^{ij} \\ & - \sum_{abij} \int \frac{d^4p}{(2\pi)^4} \tilde{\Phi}''_{ia}(p) B_{ab} \tilde{\Phi}''_{jb}(p) \Upsilon^{ij} \\ & + \sum_{ai} \int \frac{d^4p}{(2\pi)^4} \frac{2iN_c}{(2\pi)^4} \int \frac{d^4k}{(k^2 - m_i^2)((k+p)^2 - m_a^2)} (k(p+k)[\Phi''_{ia}(p)]^2 - m_i m_a \Phi''_{ai}(p) \Phi''_{ia}(p)). \end{aligned} \quad (68)$$

The masses of scalar bosons appear as the zeros of operators,

$$\begin{aligned} \mathcal{P}'_{(ia)(jb)}(p) &= -(2\pi)^4 \frac{\delta^2}{\delta \tilde{\Phi}'_{ia}(p) \delta \tilde{\Phi}'_{jb}(p)} S[\tilde{\Phi}], \\ \mathcal{P}''_{(ia)(jb)}(p) &= -(2\pi)^4 \frac{\delta^2}{\delta \tilde{\Phi}''_{ia}(p) \delta \tilde{\Phi}''_{jb}(p)} S[\tilde{\Phi}]. \end{aligned} \quad (69)$$

We may represent

$$\begin{aligned}\mathcal{P}'_{(ia)(jb)} &= \Omega_{ab}\delta^{ij} - G_{ab}\Upsilon^{ij} + \Pi'_{(ia)(jb)}, \\ \mathcal{P}''_{(ia)(jb)} &= \Omega_{ab}\delta^{ij} - G_{ab}\Upsilon^{ij} + B_{ab}\Upsilon^{ij} + \Pi''_{(ia)(jb)},\end{aligned}\quad (70)$$

where  $\Pi$  is the polarization operator. For its nonvanishing components, we have ( $a \neq i$ )

$$\begin{aligned}\Pi'_{(aa)(aa)} &\approx -\frac{2iN_c}{(2\pi)^4} \int \frac{d^4k}{(k^2 - m_a^2)((k+p)^2 - m_a^2)} (k(p+k) + m_a^2), \\ \Pi'_{(ia)(ia)} &\approx -\frac{2iN_c}{(2\pi)^4} \int \frac{d^4k}{(k^2 - m_i^2)((k+p)^2 - m_a^2)} k(p+k), \\ \Pi'_{(ia)(ai)} &\approx -\frac{2iN_c}{(2\pi)^4} \int \frac{d^4k}{(k^2 - m_i^2)((k+p)^2 - m_a^2)} m_i m_a, \quad i \neq b, \\ \Pi''_{(aa)(aa)} &\approx -\frac{2iN_c}{(2\pi)^4} \int \frac{d^4k}{(k^2 - m_a^2)((k+p)^2 - m_a^2)} (k(p+k) - m_a^2), \\ \Pi''_{(ia)(ia)} &\approx -\frac{2iN_c}{(2\pi)^4} \int \frac{d^4k}{(k^2 - m_i^2)((k+p)^2 - m_a^2)} k(p+k), \\ \Pi''_{(ia)(ai)} &\approx +\frac{2iN_c}{(2\pi)^4} \int \frac{d^4k}{(k^2 - m_i^2)((k+p)^2 - m_a^2)} m_i m_a, \quad i \neq b.\end{aligned}\quad (71)$$

## 2. Calculation of the polarization operator

Let us introduce the notations

$$\begin{aligned}I(m) &= \frac{i}{(2\pi)^4} \int d^4l \frac{1}{l^2 - m^2} \\ &\approx \frac{1}{16\pi^2} \left( \Lambda^2 - m^2 \log \frac{\Lambda^2}{m^2} \right), \\ I(m_1, m_2, p) &= -\frac{i}{(2\pi)^4} \int d^4l \frac{1}{(l^2 - m_1^2)[(p-l)^2 - m_2^2]}.\end{aligned}\quad (72)$$

Using these notations, we rewrite

$$\begin{aligned}\Pi'_{(aa)(aa)} &\approx (-p^2 + 4m_a^2)N_c I(m_i, m_a, p) - 2N_c I(m_a), \\ \Pi'_{(ia)(ia)} &\approx (-p^2 + m_i^2 + m_a^2)N_c I(m_i, m_a, p) - N_c I(m_i) - N_c I(m_a), \\ \Pi'_{(ia)(ai)} &\approx 2m_i m_a N_c I(m_i, m_a, p), \\ \Pi''_{(aa)(aa)} &\approx -p^2 N_c I(m_i, m_a, p) - 2N_c I(m_a), \\ \Pi''_{(ia)(ia)} &\approx (-p^2 + m_i^2 + m_a^2)N_c I(m_i, m_a, p) - N_c I(m_i) - N_c I(m_a), \\ \Pi''_{(ia)(ai)} &\approx -2m_i m_a N_c I(m_i, m_a, p).\end{aligned}\quad (73)$$

At the same time, the gap equation can be written as

$$\omega_a - f_a = 2N_c I(m_a) \quad (74)$$

for  $a = t, \chi$ .



### C. Evaluation of the scalar boson masses

#### 1. Masses of charged scalar bosons

The masses of charged bosons appear as the solutions of the equation

$$\text{Det } \mathcal{P}_{\text{charged}}(p^2) = 0, \quad (75)$$

where

$$\mathcal{P}_{\text{charged}}(p^2) = \begin{pmatrix} (-p^2 + m_t^2) & & & \\ \times N_c I(0, m_t, p) & & \omega_{t\chi} & \\ +f_t - N_c(I(m_t) - I(0)) & & & \\ & (-p^2 + m_\chi^2) & & \\ & \times N_c I(0, m_\chi, p) & & \\ & +f_\chi - N_c(I(m_\chi) - I(0)) & & \\ \omega_{t\chi} & & & \end{pmatrix}. \quad (76)$$

Here the parameters  $\omega$  are the elements of the matrix  $\Omega$  in the basis of mass eigenstates and are given by Eq. (64). The parameters  $f$  are given by the next equation after Eq. (64). In those equations  $\alpha$  and  $\theta$  are the mixing angles that enter the transformation from the basis of the initial fermion fields to the mass eigenstates [see Eqs. (54) and (55)]. The integrals  $I$  are defined in Eq. (72).

First of all, it is clear that there is a massless charged scalar [one can check this using Eq. (76)] that has a vanishing determinant at  $p = 0$ . The second scalar is massive, and in order to evaluate its mass we have to substitute  $p^2 \approx m_\chi^2$  into Eq. (76). Let us define the following quantities:

$$N_c I(m_a, m_b, m_c) = Z_{abc}^2. \quad (77)$$

Here

$$N_c I(m_a, m_b, p) = \frac{N_c}{16\pi^2} \int_0^1 dx \log \frac{\Lambda^2}{m_a^2 x + m_b^2(1-x) - p^2 x(1-x)}, \quad (78)$$

and we substitute  $p^2 = m_c^2$ . Notice that these integrals have imaginary parts for  $m_c > m_a + m_b$ , which correspond to the decays of the corresponding state with mass  $m_c$  into the two fermions with masses  $m_a$  and  $m_b$ . In the following we will chose the definition of the logarithm (for negative values of arguments) in the above integral such that the imaginary part of the integral is positive. This will result in negative imaginary parts of the unstable scalar boson masses. If one of the arguments of  $I(m_a, m_b, m_c)$  is zero, we denote the corresponding constant by  $Z_{abc}^2$  with  $a = 0$ ,  $b = 0$ , or  $c = 0$  correspondingly. In the Euclidian region where  $p^2 < 0$ , the integrals remain real valued. Therefore, the mentioned

imaginary parts do not affect the stability of the vacuum (to be considered after the Wick rotation). We also take into account that

$$Z_{ab0}^2 = N_c I(m_a, m_b, 0) = \frac{N_c I(m_b) - N_c I(m_a)}{m_a^2 - m_b^2}. \quad (79)$$

In Table I we represent the real parts of  $Z_{abc}^2$  for various choices of the arguments. These values should be compared to the quantities

$$\begin{aligned} Z_t^2 &= \frac{N_c}{16\pi^2} \log \frac{\Lambda^2}{m_t^2}, \\ Z_\chi^2 &= \frac{N_c}{16\pi^2} \log \frac{\Lambda^2}{m_\chi^2} \end{aligned} \quad (80)$$

represented in Table II.

Let us assume that the parameters  $b$  and  $g$  of the original Lagrangian are of the order of  $m_\chi^2$ . Then in order to calculate the second charged scalar boson mass (which is of the order of  $m_\chi$ ) we may apply an approximation in which the integrals  $I(m_1, m_2, p)$  are substituted by  $Z_{m_1 m_2 m_\chi}^2$ . This approximation may be used at least for the rough evaluation of the scalar boson masses as follows from Tables I and II, i.e., its accuracy is within about 20 percent for  $\Lambda = 10$  TeV,  $m_\chi = 10m_t$ , and it is improved when the ratios  $m_t/m_\chi$  and  $m_\chi/\Lambda$  decrease. For example, for  $\Lambda = 1000$  TeV,  $m_t/m_\chi = 1/100$  the accuracy is within about 5 percent, while for  $\Lambda = 5 \times 10^9$  TeV,  $m_t/m_\chi = 1/100$  the accuracy is within 2 percent. Later we shall improve this accuracy by substituting into the integrals  $I(m_1, m_2, p)$  the values of  $p^2$  equal to the calculated values of the corresponding scalar boson masses squared. Thus in the first approximation we come to

TABLE I. The values of  $\text{Re}Z_{abc}^2$  for the values of parameters encountered in the text. The masses entering the corresponding integrals are denoted here by  $m_a = m_1, m_b = m_2, m_c = m_3$ . For  $m_3 > m_1 + m_2$  the values of  $Z_{abc}^2$  have imaginary parts, which are omitted here.

$\Lambda = 10 \text{ TeV}, m_\chi = 10m_t$					
	$m_3 = 0$	$m_3 = m_t$	$m_3 = m_H$	$m_3 = m_\chi$	$m_3 = 2m_\chi$
$m_1 = m_t$	0.1727103569	0.1917080789	0.1785398615	0.1052842378	0.07821589679
$m_2 = 0$					
$m_1 = m_2 = m_t$	0.1537126350	0.1572500229	0.1553811083	0.1063659370	0.07854932119
$m_1 = m_t$	0.08433889975	0.08442804052	0.08438340225	0.09888674840	0.08900924267
$m_2 = m_\chi$					
$m_1 = m_\chi$	0.08522261432	0.08531792115	0.08527018798	0.1042203362	0.08856698817
$m_2 = 0$					
$m_1 = m_2 = m_\chi$	0.06622489239	0.06625658696	0.06624073174	0.06976228029	0.1042203362
$\Lambda = 100 \text{ TeV}, m_\chi = 10m_t$					
	$m_3 = 0$	$m_3 = m_t$	$m_3 = m_H$	$m_3 = m_\chi$	$m_3 = 2m_\chi$
$m_1 = m_t$	0.2601980996	0.2791958215	0.2660276041	0.1927719804	0.1657036394
$m_2 = 0$					
$m_1 = m_2 = m_t$	0.2412003776	0.2447377655	0.2428688510	0.1938536796	0.1660370638
$m_1 = m_t$	0.1718266423	0.1719157831	0.1718711449	0.1863744910	0.1764969853
$m_2 = m_\chi$					
$m_1 = m_\chi$	0.1727103569	0.1728056638	0.1727579306	0.1917080789	0.1760547308
$m_2 = 0$					
$m_1 = m_2 = m_\chi$	0.1537126350	0.1537443296	0.1537284743	0.1572500229	0.1917080789
$\Lambda = 100 \text{ TeV}, m_\chi = 100m_t$					
	$m_3 = 0$	$m_3 = m_t$	$m_3 = m_H$	$m_3 = m_\chi$	$m_3 = 2m_\chi$
$m_1 = m_t$	0.2601980996	0.2791958215	0.2660276041	0.1042397334	0.07788940920
$m_2 = 0$					
$m_1 = m_2 = m_t$	0.2412003776	0.2447377655	0.2428688510	0.1042591342	0.07789491718
$m_1 = m_t$	0.08520511502	0.08520605549	0.08520558924	0.1036341612	0.08857432364
$m_2 = m_\chi$					
$m_1 = m_\chi$	0.08522261432	0.08522356424	0.08522308927	0.1042203362	0.08856698817
$m_2 = 0$					
$m_1 = m_2 = m_\chi$	0.06622489239	0.06622520902	0.06622505070	0.06976228029	0.1042203362
$\Lambda = 1000 \text{ TeV}, m_\chi = 100m_t$					
	$m_3 = 0$	$m_3 = m_t$	$m_3 = m_H$	$m_3 = m_\chi$	$m_3 = 2m_\chi$
$m_1 = m_t$	0.3476858422	0.3666835641	0.3535153468	0.1917274761	0.1653771518
$m_2 = 0$					
$m_1 = m_2 = m_t$	0.3286881203	0.3322255082	0.3303565936	0.1917468768	0.1653826598
$m_1 = m_t$	0.1726928576	0.1726937981	0.1726933318	0.1911219038	0.1760620662
$m_2 = m_\chi$					
$m_1 = m_\chi$	0.1727103569	0.1727113068	0.1727108319	0.1917080789	0.1760547308
$m_2 = 0$					
$m_1 = m_2 = m_\chi$	0.1537126350	0.1537129516	0.1537127933	0.1572500229	0.1917080789
$\Lambda = 5 \times 10^9 \text{ TeV}, m_\chi = 100m_t$					
	$m_3 = 0$	$m_3 = m_t$	$m_3 = m_H$	$m_3 = m_\chi$	$m_3 = 2m_\chi$
$m_1 = m_t$	0.9337636057	0.9527613276	0.9395931101	0.7778052396	0.7514549153
$m_2 = 0$					
$m_1 = m_2 = m_t$	0.9147658838	0.9183032715	0.9164343571	0.7778246403	0.7514604233
$m_1 = m_t$	0.7587706210	0.7587715618	0.7587710286	0.7771996673	0.7621398296
$m_2 = m_\chi$					
$m_1 = m_\chi$	0.7587881204	0.7587890701	0.7587885946	0.7777858424	0.7621324942
$m_2 = 0$					
$m_1 = m_2 = m_\chi$	0.7397903985	0.7397907150	0.7397905568	0.7433277863	0.7777858424

TABLE II. The values of  $Z_t^2$  and  $Z_\chi^2$  for certain values of the parameters.

	$Z_\chi$	$Z_t$
$\Lambda = 10 \text{ TeV}$	0.06622489236	0.1537126349
$m_\chi = 10m_t$		
$\Lambda = 100 \text{ TeV}$	0.1537126349	0.2412003776
$m_\chi = 10m_t$		
$\Lambda = 100 \text{ TeV}$	0.06622489236	0.2412003776
$m_\chi = 100m_t$		
$\Lambda = 1000 \text{ TeV}$	0.1537126349	0.3286881202
$m_\chi = 100m_t$		
$\Lambda = 5 \times 10^9 \text{ TeV}$	0.7397903985	0.9147658838
$m_\chi = 100m_t$		

$$\mathcal{P}_{\text{charged}}(p^2) = \begin{pmatrix} (-p^2 + m_t^2)Z_{t0\chi}^2 + f_t - m_t^2 Z_{t00}^2 & \omega_{t\chi} \\ \omega_{t\chi} & (-p^2 + m_\chi^2)Z_{\chi0\chi}^2 + f_\chi - m_\chi^2 Z_{\chi00}^2 \end{pmatrix}. \quad (81)$$

Because of the  $SU(2)_L$  symmetry of the original Lagrangian we have  $\omega_{t\chi}^2 = f_t f_\chi$ . Let us neglect the difference between  $Z_{\chi0\chi}$  and  $Z_{\chi00}$ . This gives for the channels that include the  $b$  quark

$$\begin{aligned} M_{H_t^\pm, H_\chi^\pm}^{(2)} &= M_{H_t^\pm, H_\chi^\pm}^{\prime(2)} = 0, \\ [M_{H_t^\pm, H_\chi^\pm}^{(1)}]^2 &= \frac{1}{2} \left( \frac{g_\chi}{Z_{\chi0\chi}^2} (1 + w^2 \gamma_\chi^2) + m_\chi^2 \delta_\chi \right) + \frac{1}{2} \sqrt{\left( \frac{g_\chi}{Z_{\chi0\chi}^2} (1 + w^2 \gamma_\chi^2) - m_\chi^2 \delta_\chi \right)^2 + 4m_\chi^2 \delta_\chi \frac{g_\chi}{Z_{\chi0\chi}^2}} \\ &\approx \frac{g_\chi}{Z_{\chi0\chi}^2} (1 + w^2 \gamma_\chi^2) + m_\chi^2 \delta_\chi \frac{1}{1 + w^2 \gamma_\chi^2}, \\ \gamma_\chi &= \frac{Z_{\chi0\chi}}{Z_{t0\chi}}, \quad \delta_\chi = \frac{Z_{\chi0\chi}^2 - Z_{\chi00}^2}{Z_{\chi0\chi}^2}. \end{aligned} \quad (82)$$

At mentioned above, in this channel the charged exactly massless Goldstone boson appears (to be eaten by the  $W$  boson), which corresponds to the spontaneous breakdown of  $SU(2)_L$ . Notice that the constant  $Z_{t0\chi}^2$  has an imaginary part because we consider the case  $m_\chi > m_t$ . As a result,  $M_{H_t^\pm, H_\chi^\pm}^{(1)}$  receives an imaginary part as well, which corresponds to the decay of the charged scalar field into the pair  $\bar{t}b$  (or  $\bar{b}t$ ). As mentioned above, in order to improve the estimate of this mass, we should substitute into Eq. (82) the constants  $N_c I(m_t, 0, M_{H_t^\pm, H_\chi^\pm}^{\prime(1)})$  and  $N_c I(m_\chi, 0, M_{H_t^\pm, H_\chi^\pm}^{\prime(1)})$  instead of  $Z_{t0\chi}^2$  and  $Z_{\chi0\chi}^2$  with the masses  $M_{H_t^\pm, H_\chi^\pm}^{(1)}$  evaluated using the first-order approximation of the above expression.

## 2. Masses of CP-odd neutral scalar bosons

For the  $CP$ -odd neutral states we use the basis  $A_t = \tilde{\Phi}_t'' \sim [\bar{t}_L t_R - \bar{t}_R t_L]$ ,  $A_\chi = \tilde{\Phi}_\chi'' \sim [\bar{t}_L \chi_R - \bar{\chi}_R t_L]$ ,  $\pi_t = \tilde{\Phi}_{\chi t}'' \sim [\bar{\chi}_L t_R - \bar{t}_R \chi_L]$ ,  $\pi_\chi = \tilde{\Phi}_{\chi\chi}'' \sim [\bar{\chi}_L \chi_R - \bar{\chi}_R \chi_L]$ . We should solve the equation

$$\text{Det } \mathcal{P}''(p^2) = 0. \quad (83)$$

The matrix function  $\mathcal{P}''(p^2)$  in the above-mentioned basis is given by

$$\begin{pmatrix} (-p^2)N_c I(m_t, m_t, p) & \omega_{t\chi} - (g_{t\chi} - b_{t\chi})\lambda_t & -(g_t - b_t)\lambda_{t\chi} & -(g_{t\chi} - b_{t\chi})\lambda_{t\chi} \\ +f_t - (g_t - b_t)\lambda_t & (-p^2 + m_t^2 + m_\chi^2) & -2m_t m_\chi & \\ \omega_{t\chi} - (g_{t\chi} - b_{t\chi})\lambda_t & \times N_c I(m_t, m_\chi, p) & \times N_c I(m_t, m_\chi, p) & -(g_\chi - b_\chi)\lambda_{t\chi} \\ +N_c (I(m_\chi) - I(m_t)) & +f_\chi - (g_\chi - b_\chi)\lambda_t & -(g_{t\chi} - b_{t\chi})\lambda_{t\chi} & \\ - (g_t - b_t)\lambda_{t\chi} & -2m_t m_\chi N_c I(m_t, m_\chi, p) & \times N_c I(m_t, m_\chi, p) & \omega_{t\chi} - (g_{t\chi} - b_{t\chi})\lambda_\chi \\ - (g_{t\chi} - b_{t\chi})\lambda_{t\chi} & - (g_\chi - b_\chi)\lambda_{t\chi} & -N_c (I(m_\chi) - I(m_t)) & +f_t - (g_t - b_t)\lambda_\chi \\ - (g_{t\chi} - b_{t\chi})\lambda_{t\chi} & - (g_\chi - b_\chi)\lambda_{t\chi} & \omega_{t\chi} - (g_{t\chi} - b_{t\chi})\lambda_\chi & (-p^2)N_c I(m_\chi, m_\chi, p) \\ & & +f_\chi - (g_\chi - b_\chi)\lambda_\chi & \end{pmatrix}. \quad (84)$$

Here the parameters  $\lambda$  are given by

$$\lambda_t = \sin^2 \theta, \quad \lambda_{t\chi} = \sin \theta \cos \theta, \quad \lambda_\chi = \cos^2 \theta. \quad (85)$$

The parameters  $g$  are the elements of the matrix  $G$  in the basis of mass eigenstates and are given by Eq. (58). The parameters  $b$  are the elements of the matrix  $B$  in the same basis. The parameters  $\omega$  are the elements of the matrix  $\Omega$  in the basis of mass eigenstates and are given by Eq. (64). The parameters  $f$  are given by the next equation after Eq. (64). In those equations  $\alpha$  and  $\theta$  are the mixing angles that enter the transformation from the basis of initial fermion fields to the mass eigenstates [see Eqs. (54) and (55)]. The integrals  $I$  are defined in Eq. (72).

First of all, we have checked using MAPLE that the determinant of Eq. (84) for  $p = 0$  is zero, which means that there exists a  $CP$ -odd neutral Goldstone boson that is eaten by the  $Z$  boson. Again, we assume that the parameters  $b$  and  $g$  are of the order of  $m_\chi^2$ . Therefore, the remaining masses are of the order of  $m_\chi$ . And as for the charged scalar bosons, we first apply the approximation in which all integrals  $I$  are substituted by the factors  $Z_{m_1 m_2 m_\chi}^2$ .

Next, we neglect the ratio  $m_t/m_\chi$  and arrive at the following expression for  $\mathcal{P}''(p^2)$ :

$$\begin{pmatrix} -p^2 Z_{t\chi}^2 + \frac{g_{t\chi}^2}{g_\chi} & g_{t\chi} & 0 & 0 \\ g_{t\chi} & (-p^2 + m_\chi^2) Z_{t\chi\chi}^2 - m_\chi^2 Z_{t\chi 0}^2 + g_\chi & 0 & 0 \\ 0 & 0 & (-p^2 + m_\chi^2) Z_{t\chi\chi}^2 + m_\chi^2 Z_{t\chi 0}^2 + \frac{g_{t\chi}^2}{g_\chi} - g_t + b_t & b_{t\chi} \\ 0 & 0 & b_{t\chi} & -p^2 Z_{\chi\chi\chi}^2 + b_\chi \end{pmatrix}.$$

The exactly massless Goldstone boson that is eaten by the  $Z$  boson is mostly given the combination of  $A_t$  and  $A_\chi$ . The masses of the remaining  $CP$ -odd neutral scalar bosons in this approximation are

$$\begin{aligned} M_{A_t A_\chi}^{(1)} &= 0, \\ [M_{A_t A_\chi}^{(2)}]^2 &= \frac{1}{2} \left( \frac{g_\chi}{Z_{t\chi\chi}^2} (1 + w^2 \gamma_t^2) + m_\chi^2 \delta_t \right) + \frac{1}{2} \sqrt{\left( \frac{g_\chi}{Z_{t\chi\chi}^2} (1 + w^2 \gamma_t^2) - m_\chi^2 \delta_t \right)^2 + 4 m_\chi^2 \delta_t \frac{g_\chi}{Z_{t\chi\chi}^2}} \\ &\approx \frac{g_\chi}{Z_{t\chi\chi}^2} (1 + w^2 \gamma_t^2) + m_\chi^2 \delta_t \frac{1}{1 + w^2 \gamma_t^2}, \\ \gamma_t &= \frac{Z_{t\chi\chi}}{Z_{t\chi}}, \quad \delta_t = \frac{Z_{t\chi\chi}^2 - Z_{t\chi 0}^2}{Z_{t\chi\chi}^2} \\ M_{\pi_\chi, \pi_t}^{(1,2)} &= \left( m_\chi^2 + \frac{b_\chi + \tilde{b}_t}{2 Z_{t\chi\chi}^2} \pm \left[ \left( m_\chi^2 + \frac{b_\chi + \tilde{b}_t}{2 Z_{t\chi\chi}^2} \right)^2 - \frac{b_\chi \tilde{b}_t}{Z_{t\chi\chi}^4} - 2 m_\chi^2 \frac{b_\chi}{Z_{t\chi\chi}^2} + \frac{b_{t\chi}^2}{Z_{t\chi\chi}^4} \right]^{1/2} \right)^{1/2}, \end{aligned}$$

where

$$\tilde{b}_t = b_t - g_t + \frac{g_{t\chi}^2}{g_\chi}. \quad (86)$$

In expression for  $M_{\pi_\chi, \pi_t}^{(1,2)}$  we neglect the difference between  $Z_{\chi\chi\chi}$ ,  $Z_{t\chi 0}$ , and  $Z_{t\chi\chi}$  for simplicity. In practical calculations of these masses for particular choices of parameters (see Sec. III D 3), we take this difference into account. It appears that the above expression is only a first approximation, and the actual values of the masses may have imaginary parts which correspond to the decays of the given states to the pairs of fermions [see Sec. III D 3, where we substitute into the mass matrix the constants  $N_c I(m_\chi, m_\chi, M_{\pi_\chi, \pi_t}^{(1,2)})$  and  $N_c I(m_t, m_\chi, M_{\pi_\chi, \pi_t}^{(1,2)})$  instead of  $Z_{\chi\chi\chi}^2$  and  $Z_{t\chi\chi}^2$ , with the masses  $M_{\pi_\chi, \pi_t}^{(1,2)}$  evaluated using the first-order approximation of the above expression]. Notice that  $Z_{t\chi}^2$  itself has a

nonzero imaginary part from the very beginning because  $m_\chi > 2m_t$ . Therefore, the mass  $M_{A_t A_\chi}^{(2)}$  has an imaginary part, which also means that the corresponding state is unstable and is able to decay into the pair  $\bar{t}t$ .

### 3. Masses of $CP$ -even neutral scalar bosons

For the  $CP$ -even neutral states we use the basis  $h_t = \tilde{\Phi}'_{t\chi} \sim [\bar{t}_L t_R + \bar{t}_R t_L]$ ,  $h_\chi = \tilde{\Phi}'_{\chi\chi} \sim [\bar{\chi}_L \chi_R + \bar{\chi}_R \chi_L]$ ,  $\varphi_t = \tilde{\Phi}'_{\chi t} \sim [\bar{\chi}_L t_R + \bar{t}_R \chi_L]$ ,  $\varphi_\chi = \tilde{\Phi}'_{\chi\chi} \sim [\bar{\chi}_L \chi_R + \bar{\chi}_R \chi_L]$ . In order to calculate the scalar boson masses we need to solve the equation

$$\text{Det } \mathcal{P}'(p^2) = 0 \quad (87)$$

and identify the lowest solution of this equation with  $M_H^2$ . The matrix function  $\mathcal{P}'(p^2)$  is



$$\begin{pmatrix}
(-p^2 + 4m_t^2) & & & & \\
\times N_c I(m_t, m_t, p) & \omega_{t\chi} - g_{t\chi}\lambda_t & -g_t\lambda_{t\chi} & -g_{t\chi}\lambda_{t\chi} & \\
+f_t - g_t\lambda_t & & & & \\
& (-p^2 + m_t^2 + m_\chi^2) & 2m_t m_\chi & & \\
& \times N_c I(m_t, m_\chi, p) & \times N_c I(m_t, m_\chi, p) & -g_\chi\lambda_{t\chi} & \\
\omega_{t\chi} - g_{t\chi}\lambda_t & +N_c(I(m_\chi) - I(m_t)) & -g_{t\chi}\lambda_{t\chi} & & \\
& +f_\chi - g_\chi\lambda_t & & & \\
& & (-p^2 + m_t^2 + m_\chi^2) & & \\
& & \times N_c I(m_t, m_\chi, p) & & \\
-g_t\lambda_{t\chi} & 2m_t m_\chi & -N_c(I(m_\chi) - I(m_t)) & \omega_{t\chi} - g_{t\chi}\lambda_\chi & \\
& \times N_c I(m_t, m_\chi, p) - g_{t\chi}\lambda_{t\chi} & +f_t - g_t\lambda_\chi & & \\
& & & & (-p^2 + 4m_\chi^2) \\
-g_{t\chi}\lambda_{t\chi} & -g_\chi\lambda_{t\chi} & \omega_{t\chi} - g_{t\chi}\lambda_\chi & \times N_c I(m_\chi, m_\chi, p) & \\
& & & +f_\chi - g_\chi\lambda_\chi & 
\end{pmatrix}. \quad (88)$$

Here the parameters  $\lambda$  are given by Eq. (85), and the parameters  $g$  are the elements of the matrix  $G$  in the basis of mass eigenstates and are given by Eq. (58). The parameters  $\omega$  are the elements of the matrix  $\Omega$  in the basis of mass eigenstates and are given by Eq. (64). The parameters  $f$  are given by the next equation after Eq. (64). In those equations  $\alpha$  and  $\theta$  are the mixing angles that enter the transformation from the basis of initial fermion fields to the mass eigenstates [see Eqs. (54) and (55)]. The integrals  $I$  are defined in Eq. (72).

Our aim is to check that there exists a region of the parameters where the lowest  $CP$ -even neutral scalar boson mass is given by  $M_H \approx m_t/\sqrt{2}$ . One can easily find that in the zeroth-order approximation in powers of  $m_t$  we have

$M_H^{(0)} = 0$ . In order to calculate the first- and the second-order approximations we substitute  $p^2 = M_H^2 = m_t^2/2$  into the integrals  $I(m_1, m_2, p)$  in Eq. (88). Since we know the exact value of the required mass, we can do this in order to evaluate the region of parameters that gives the correct lightest Higgs boson mass. For the calculation of this lightest  $CP$ -even scalar boson mass we use a more refined approximation than that for the calculation of the other scalar boson masses. Namely, in order to calculate the correction to  $[M_H^{(0)}]^2 = 0$  proportional to  $m_t^2$  we first consider the zeroth-order approximation to  $\mathcal{P}'(p^2)$  [with  $p^2 = M_H^2$  substituted into the integrals  $I(m_1, m_2, p)$ ] in the form

$$\begin{pmatrix}
-p^2 Z_{ttH}^2 + \frac{g_x^2}{g_\chi} & g_{t\chi} & 0 & 0 \\
g_{t\chi} & (-p^2 + m_\chi^2) Z_{t\chi H}^2 - m_\chi^2 Z_{t\chi 0}^2 + g_\chi & 0 & 0 \\
0 & 0 & (-p^2 + m_\chi^2) Z_{t\chi H}^2 + m_\chi^2 Z_{t\chi 0}^2 + \frac{g_{t\chi}^2}{g_\chi} - g_t & 0 \\
0 & 0 & 0 & (-p^2 + 4m_\chi^2) Z_{\chi\chi H}^2
\end{pmatrix}.$$

The zeroth order in powers of  $m_t$  gives the following value for the smallest mass:

$$\begin{aligned}
[M_H^{(0)}]^2 &= \frac{1}{2} \left( \frac{g_\chi}{Z_{t\chi H}^2} (1 + w^2 \gamma^2) + m_\chi^2 \delta \right) - \frac{1}{2} \sqrt{\left( \frac{g_\chi}{Z_{t\chi H}^2} (1 + w^2 \gamma^2) - m_\chi^2 \delta \right)^2 + 4m_\chi^2 \delta \frac{g_\chi}{Z_{t\chi H}^2}} \\
&\approx m_\chi^2 \delta \frac{w^2 \gamma^2}{1 + w^2 \gamma^2}, \\
\gamma &= \frac{Z_{t\chi H}}{Z_{ttH}}, \quad \delta = \frac{Z_{t\chi H}^2 - Z_{t\chi 0}^2}{Z_{t\chi H}^2}, \quad w = \frac{g_{t\chi}}{g_\chi},
\end{aligned} \quad (89)$$

and the corresponding Higgs scalar field

$$H \approx \sqrt{2} Z_{uH} \frac{h_t - \omega \gamma \zeta h_\chi}{\sqrt{1 + w^2 \gamma^2 \zeta^2}},$$

$$\zeta = 1 - \frac{m_\chi^2}{g_\chi(1 + w^2 \gamma^2)} \delta. \quad (90)$$

(The kinetic term for this field is normalized in such a way that it is given by  $\frac{1}{2} H^2 \hat{p}^2 H$ .)

We take into account that  $\delta \ll 1$ , i.e., that the difference between  $Z_{\chi H}^2$  and  $Z_{\chi 0}^2$  is small. For example, for  $\Lambda = 1000$  TeV,  $m_\chi = 100 m_t$  we have  $\delta \sim 3 \times 10^{-6}$  as follows from Table I. Thus, this is a reasonable approximation that allows one to evaluate the lightest mass even in the presence of a fine-tuning. In order to calculate the corrections to the value of  $M_H$  proportional to  $m_t^2$  we use ordinary second-order perturbation theory applied to the lowest eigenvalue of the following matrix  $\hat{M}_{\text{even}}^2$  (calculated up to the terms  $\sim m_t^2$ ):

$$\frac{1}{Z_{\chi H}^2} \begin{pmatrix} \frac{g_\chi^2 Z_{\chi H}^2}{g_\chi Z_{uH}^2} + \left( 4 Z_{\chi H}^2 m_\chi^2 + [g_\chi w^2 - 2 g_\chi w^4] \frac{Z_{\chi H}^2}{Z_{uH}^2} \right) \frac{m_t^2}{m_\chi^2} & [g_\chi w + w(g_t - 2w^2 g_\chi) \frac{m_t}{m_\chi}] \frac{Z_{\chi H}}{Z_{uH}} & [-g_t w \frac{m_t}{m_\chi}] \frac{Z_{\chi H}}{Z_{uH}} & -w^2 g_\chi \frac{m_t}{m_\chi} \frac{Z_{\chi H}}{Z_{uH}} \frac{Z_{\chi H}}{Z_{\chi H}} \\ [w(g_t - 2w^2 g_\chi) \frac{m_t}{m_\chi} + g_\chi w] \frac{Z_{\chi H}}{Z_{uH}} & g_\chi + m_\chi^2 (Z_{\chi H}^2 - Z_{\chi 0}^2) + ((Z_{\chi H}^2 + Z_{\chi 0}^2) m_\chi^2 - g_\chi w^2) \frac{m_t^2}{m_\chi^2} & (2 Z_{\chi H}^2 m_\chi^2 - w^2 g_\chi) \frac{m_t}{m_\chi} & -w g_\chi \frac{m_t}{m_\chi} \frac{Z_{\chi H}}{Z_{\chi H}} \\ -g_t w \frac{m_t}{m_\chi} \frac{Z_{\chi H}}{Z_{uH}} & (2 Z_{\chi H}^2 m_\chi^2 - w^2 g_\chi) \frac{m_t}{m_\chi} & \tilde{g}_t + (Z_{\chi H}^2 - Z_{\chi 0}^2) m_t^2 + (3 g_t - 2 g_\chi w^2) w^2 \frac{m_t^2}{m_\chi^2} & g_t w \frac{m_t}{m_\chi} \frac{Z_{\chi H}}{Z_{\chi H}} \\ -w^2 g_\chi \frac{m_t}{m_\chi} \frac{Z_{\chi H}}{Z_{uH}} \frac{Z_{\chi H}}{Z_{\chi H}} & -w g_\chi \frac{m_t}{m_\chi} \frac{Z_{\chi H}}{Z_{\chi H}} & g_t w \frac{m_t}{m_\chi} \frac{Z_{\chi H}}{Z_{\chi H}} & 4 Z_{\chi H}^2 m_\chi^2 + g_\chi w^2 \frac{m_t^2}{m_\chi^2} \frac{Z_{\chi H}^2}{Z_{\chi H}^2} \end{pmatrix}.$$

Here  $\tilde{g}_t = (Z_{\chi H}^2 + Z_{\chi 0}^2) m_\chi^2 + w^2 g_\chi - g_t$ , while  $w = \frac{g_\chi}{g_t}$ . This mass matrix is defined in the basis  $\tilde{\Phi}' = (Z_{uH} h_t, Z_{\chi H} h_\chi, Z_{\chi H} \phi_t, Z_{\chi H} \phi_\chi)^T$ , in which the effective action for  $p^2$  around  $m_t^2/2$  has the form

$$S_{\text{even}} \approx \int \frac{d^4 p}{(2\pi^4)} [\tilde{\Phi}']^T (\hat{p}^2 - \hat{M}_{\text{even}}^2) \tilde{\Phi}'. \quad (91)$$

In the correction to  $M_H^2$  proportional to  $m_t^2$  we may neglect  $\delta$ . The resulting expression for  $M_H^2$  has the form

$$M_H^2 \approx m_\chi^2 \frac{Z_{\chi H}^2 - Z_{\chi 0}^2}{Z_{\chi H}^2} \frac{w^2 Z_{\chi H}^2}{1 + w^2 \frac{Z_{\chi H}^2}{Z_{uH}^2}} + 4 m_t^2 \frac{1 - w^2 \frac{Z_{\chi H}^2}{Z_{uH}^2} \left( \frac{Z_{\chi H}^2 \left[ 1 + \frac{Z_{\chi 0}^2}{Z_{\chi H}^2} \right]^2 m_\chi^2}{\tilde{g}_t} - \left[ 1 + \frac{Z_{\chi 0}^2}{Z_{\chi H}^2} \right] \right)}{1 + w^2 \frac{Z_{\chi H}^2}{Z_{uH}^2}} + O(m_t^4). \quad (92)$$

In the following we may neglect  $\delta$  in all other expressions. This means, in particular, that  $\zeta = 1$  in Eq. (90). Notice that Eq. (92) is valid only for small values of the ratio  $m_t/m_\chi$ . Our numerical analysis demonstrates that Eq. (92) gives an accuracy within 1 percent for the calculation of the lightest neutral Higgs boson mass for  $\Lambda = 1000$  TeV and  $m_t/m_\chi = 1/100$ , while for  $\Lambda = 10$  TeV and  $m_t/m_\chi = 1/10$  it gives an accuracy of about 10 percent.

In order to calculate the remaining masses (that are of the order of  $m_\chi$ ), we neglect the ratio  $m_t/m_\chi$  and consider  $\mathcal{P}'(p^2)$  in the form

$$\begin{pmatrix} -p^2 Z_{uH}^2 + \frac{g_\chi^2}{g_\chi} & g_{t\chi} & 0 & 0 \\ g_{t\chi} & (-p^2 + m_\chi^2) Z_{\chi H}^2 - m_\chi^2 Z_{\chi 0}^2 + g_\chi & 0 & 0 \\ 0 & 0 & (-p^2 + m_\chi^2) Z_{\chi H}^2 + m_\chi^2 Z_{\chi 0}^2 + \frac{g_\chi^2}{g_\chi} - g_t & 0 \\ 0 & 0 & 0 & (-p^2 + 4 m_\chi^2) Z_{\chi H}^2 \end{pmatrix}.$$

This gives

$$\begin{aligned}
[M_{h_t h_\chi}^{(2)}]^2 &= \frac{1}{2} \left( \frac{g_\chi}{Z_{t\chi\chi}^2} (1 + w^2 \gamma_t^2) + m_\chi^2 \delta_t \right) \\
&\quad + \frac{1}{2} \sqrt{\left( \frac{g_\chi}{Z_{t\chi\chi}^2} (1 + w^2 \gamma_t^2) - m_\chi^2 \delta_t \right)^2 + 4m_\chi^2 \delta_t \frac{g_\chi}{Z_{t\chi\chi}^2}} \\
&\approx \frac{g_\chi}{Z_{t\chi\chi}^2} (1 + w^2 \gamma_t^2) + m_\chi^2 \delta_t \frac{1}{1 + w^2 \gamma_t^2}, \\
\gamma_t &= \frac{Z_{t\chi\chi}}{Z_{tt\chi}}, \quad \delta_t = \frac{Z_{t\chi\chi}^2 - Z_{t\chi 0}^2}{Z_{t\chi\chi}^2}, \\
M_{\varphi_\chi} &\approx 2m_\chi, \\
M_{\varphi_t} &\approx \frac{\sqrt{(Z_{t\chi\chi}^2 + Z_{t\chi 0}^2)m_\chi^2 + w^2 g_\chi - g_t}}{Z_{t\chi\chi}}. \tag{93}
\end{aligned}$$

Recall that  $Z_{t\chi\chi}^2$  has a nonzero imaginary part because  $m_\chi > 2m_t$ . Therefore, the mass  $M_{h_t h_\chi}^{(2)}$  has an imaginary part, which means that the corresponding state is unstable and may decay into the pair  $\bar{t}t$ . Again, as for the  $CP$ -odd states the above expression for  $M_{\varphi_t}$  is only a first approximation. It actually may have an imaginary part, which results from the more precise estimate

$$M_{\varphi_t} \approx \frac{\sqrt{(Z_{t\chi\varphi_t}^2 + Z_{t\chi 0}^2)m_\chi^2 + w^2 g_\chi - g_t}}{Z_{t\chi\varphi_t}}. \tag{94}$$

We should substitute  $Z_{m_t m_\chi \varphi_t}^2 = N_c I(m_t, m_\chi, M_{\varphi_t})$  with the first-order approximation for  $M_{\varphi_t}$ . If the latter mass is larger than the sum of  $m_t$  and  $m_\chi$ , the value of  $M_{\varphi_t}$  acquires an imaginary part. In the practical calculations in Sec. III D 3 we apply the same procedure to all other composite scalar boson masses.

## D. Phenomenology

### 1. Pseudo Nambu-Goldstone candidate for the 125 GeV Higgs

The symmetry-breaking pattern in the given model is as follows. Without the  $SU(3)$ -breaking terms we have the original global  $SU(3)_L \otimes U(1)_L \otimes U(1)_{t,R} \otimes U(1)_{\chi,R}$  symmetry that is broken spontaneously down to  $U(1)_t \otimes U(1)_\chi \otimes U(1)_b$ . [Here  $U(1)_t$ ,  $U(1)_\chi$  act on the left- and the right-handed components of  $t$  and  $\chi$ , while  $U(1)_b$  acts on the left-handed  $b$  quark.] As a result, among the 12 components of  $\tilde{\Phi}$  we have eight Goldstone bosons. There are four massless states that are composed of  $b$  quarks  $H_t^\pm, H_\chi^\pm$ ; three  $CP$ -odd massless states  $A_t, \pi_\chi$ , and  $\frac{A_\chi m_\chi + \pi_t m_t}{\sqrt{m_t^2 + m_\chi^2}}$ ; and one  $CP$ -even massless state  $\frac{m_\chi h_\chi - m_t \varphi_t}{\sqrt{m_t^2 + m_\chi^2}}$ .

When the  $SU(3)$ -breaking modification of the model is turned on, the original symmetry is reduced to

$SU(2)_L \otimes U(1)_L$ . This symmetry is broken spontaneously down to  $U(1)_b$ . As a result we have three exactly massless Goldstone bosons to be eaten by  $W^\pm$  and  $Z$ , and five pseudo-Goldstone bosons. When the  $SU(3)$ -breaking terms are turned on, the structure of the scalar spectrum is changed.

We consider the particular case when there are the following relations between the parameters of the model:

$$m_t^2 \ll g_{t,\chi,t\chi} \sim m_\chi^2 \ll \omega_t \sim \omega_\chi \sim \Lambda^2. \tag{95}$$

In the considered case the lightest  $CP$ -even state  $H$  is given mostly by the combination of  $h_t, h_\chi$  instead of the combination of  $\varphi_t, h_\chi$  [Eq. (90)]. This state realizes the conventional top-quark condensation scenario when  $g_{t\chi} \ll g_\chi$  so that it is composed mostly of  $\bar{t}t$ . When  $m_t = 0$  it becomes massless. The presence of a nonzero  $m_t$  gives it the mass. The expression for the mass in the general case is very complicated. It depends on five parameters:  $g_t, g_\chi, g_{t\chi}, m_t, m_\chi$ . The leading order in  $m_t$  is  $M_H^2 \sim m_t^2$ . We demonstrate that there exists an appropriate choice of the remaining parameters such that the Higgs boson mass is set to its observed value, that is,  $M_H^2 \approx \frac{m_t^2}{2}$ .

We derived Eq. (92) for the Higgs boson mass, which is valid at  $m_t \ll m_\chi$ . The parameters  $g$  entering this expression are the elements of the matrix  $G$  in the basis of mass eigenstates and are given by Eq. (58). The corresponding values of the parameters satisfy the relation  $M_H = m_t/\sqrt{2}$ ;  $g_t, g_\chi, g_{t\chi}, Z, m_t, m_\chi$  are expressed through the above-mentioned bare parameters via the gap equations (63) and Eq. (64), and Eqs. (58) and (59) allow one to precisely determine  $\theta$  and  $\alpha$  as functions of  $g_{t,\chi,t\chi}^{(0)}$ , and  $g_{t,\chi,t\chi}$  as functions of  $g_{t,\chi,t\chi}^{(0)}$ . (As was already mentioned, the corresponding expressions are so complicated that we do not represent them here.)

In Euclidean space the effective potential for the  $CP$ -even neutral scalar bosons and charged scalar bosons is stable if

$$g_\chi > 0, \quad \tilde{g}_t > 0. \tag{96}$$

The appropriate choice of the parameters  $b_t, b_\chi, b_{t\chi}$  always allows one to make the effective potential stable for the  $CP$ -odd scalar bosons [these parameters do not enter Eq. (92)]. Therefore, we consider Eq. (96) as the condition for the stability of the vacuum.

### 2. Electroweak symmetry breaking

We have calculated the effective action for the field  $\tilde{\Phi}$ , which is the fluctuation above the condensate. We may consider the part of this effective action that contains  $\hat{p}^2$  and reconstruct the whole effective action for the field  $\Phi$ :

$$\begin{aligned}
S \approx & \int d^4x \begin{pmatrix} \Phi_{bt} \\ \Phi_{b\chi} \end{pmatrix}^+ \hat{p}^2 \begin{pmatrix} N_c I(m_t, 0, \hat{p}) & 0 \\ 0 & N_c I(m_\chi, 0, \hat{p}) \end{pmatrix} \begin{pmatrix} \Phi_{bt} \\ \Phi_{b\chi} \end{pmatrix} \\
& + \int d^4x \begin{pmatrix} \Phi_{tt} \\ \Phi_{t\chi} \end{pmatrix}^+ \hat{p}^2 \begin{pmatrix} N_c I(m_t, m_t, \hat{p}) & 0 \\ 0 & N_c I(m_t, m_\chi, \hat{p}) \end{pmatrix} \begin{pmatrix} \Phi_{tt} \\ \Phi_{t\chi} \end{pmatrix} \\
& + \int d^4x \begin{pmatrix} \Phi_{\chi t} \\ \Phi_{\chi\chi} \end{pmatrix}^+ \hat{p}^2 \begin{pmatrix} N_c I(m_t, m_\chi, \hat{p}) & 0 \\ 0 & N_c I(m_\chi, m_\chi, \hat{p}) \end{pmatrix} \begin{pmatrix} \Phi_{\chi t} \\ \Phi_{\chi\chi} \end{pmatrix} - \mathcal{V}(\hat{p}, \Phi),
\end{aligned} \tag{97}$$

where the potential  $\mathcal{V}(\hat{p}, \Phi)$  depends on the momentum operator as well as on the scalar fields.  $\mathcal{V}(0, \Phi) \equiv \mathcal{V}(\Phi)$  has its minimum at  $\langle \Phi_{tt} \rangle = \frac{v_t}{\sqrt{2}} = m_t$  and  $\langle \Phi_{\chi\chi} \rangle = \frac{u_\chi}{\sqrt{2}} = m_\chi$ . We are not interested in the particular form of  $\mathcal{V}$ .

In order to calculate the gauge boson masses we should substitute  $\hat{p} \rightarrow \hat{p} - A$ , where  $A$  is the corresponding gauge field. At the tree level we should then substitute the scalar fields by the condensates, and omit  $\hat{p}$ . The mass term with the gauge field squared originates from the factor  $\hat{p}^2$  of the above expression if the integrals  $I(m_1, m_2, p)$  are constants. Since these integrals are slow-varying logarithmic-like functions, for the evaluation of the gauge boson masses we are able to substitute them by the values  $I(m_1, m_2, \bar{p})$  for a certain typical value of the momentum  $\bar{p}$ . For example, for  $\Lambda = 1000$  TeV and  $m_\chi = 17.5$  TeV (and for  $\Lambda = 10$  TeV and  $m_\chi = 1.75$  TeV) the difference between the values  $N_c I(m_t, m_t, 0)$ ,  $N_c I(m_t, m_t, M_H)$ , and  $N_c I(m_t, m_t, iM_H)$  is within 1 percent. The typical value of  $\bar{p}^2$  in this problem is, in turn, of the order of the gauge boson mass squared, which is of the same order as  $M_H^2$ . Therefore,

instead of  $N_c I(m_a, m_b, p)$  in the following we substitute the constants  $Z_{abH}^2$ .

The mass eigenstates  $\chi_L$  and  $t_L$  are composed of the original  $\chi'_L$  and  $t'_L$ :

$$\begin{aligned}
\chi_L &= -\sin \theta t'_L + \cos \theta \chi'_L, \\
t_L &= \cos \theta t'_L + \sin \theta \chi'_L.
\end{aligned} \tag{98}$$

These make up the field  $\begin{pmatrix} b'_L \\ t'_L \end{pmatrix}$ , which carries the quantum numbers of the SM  $SU(2)_L$  left-handed doublets. At the same time,  $t'_R$ ,  $\chi'_L$ ,  $\chi'_R$  carry the quantum numbers of the right-handed top quark. Correspondingly, we represent

$$\begin{aligned}
\Phi_{\chi t} &= -\sin \theta \Phi_{t'_L t} + \cos \theta \Phi_{\chi'_L t}, \\
\Phi_{\chi\chi} &= -\sin \theta \Phi_{t'_L \chi} + \cos \theta \Phi_{\chi'_L \chi}, \\
\Phi_{tt} &= \cos \theta \Phi_{t'_L t} + \sin \theta \Phi_{\chi'_L t}, \\
\Phi_{t\chi} &= \cos \theta \Phi_{t'_L \chi} + \sin \theta \Phi_{\chi'_L \chi}.
\end{aligned} \tag{99}$$

This gives

$$\begin{aligned}
S \approx & \int d^4x \begin{pmatrix} \Phi_{bt} \\ \Phi_{b\chi} \end{pmatrix}^+ \hat{p}^2 \begin{pmatrix} Z_{t0H}^2 & 0 \\ 0 & Z_{\chi 0H}^2 \end{pmatrix} \begin{pmatrix} \Phi_{bt} \\ \Phi_{b\chi} \end{pmatrix} \\
& + \int d^4x \begin{pmatrix} \Phi_{t'_L t} \\ \Phi_{t'_L \chi} \end{pmatrix}^+ \hat{p}^2 \begin{pmatrix} Z_{t\chi H}^2 \sin^2 \theta + Z_{ttH}^2 \cos^2 \theta & 0 \\ 0 & Z_{\chi\chi H}^2 \sin^2 \theta + Z_{t\chi H}^2 \cos^2 \theta \end{pmatrix} \begin{pmatrix} \Phi_{t'_L t} \\ \Phi_{t'_L \chi} \end{pmatrix} \\
& + \int d^4x \begin{pmatrix} \Phi_{\chi'_L t} \\ \Phi_{\chi'_L \chi} \end{pmatrix}^+ \hat{p}^2 \begin{pmatrix} \frac{1}{2} \sin 2\theta (Z_{ttH}^2 - Z_{t\chi H}^2) & 0 \\ 0 & \frac{1}{2} \sin 2\theta (Z_{t\chi H}^2 - Z_{\chi\chi H}^2) \end{pmatrix} \begin{pmatrix} \Phi_{\chi'_L t} \\ \Phi_{\chi'_L \chi} \end{pmatrix} \\
& + \int d^4x \begin{pmatrix} \Phi_{\chi'_L t} \\ \Phi_{\chi'_L \chi} \end{pmatrix}^+ \hat{p}^2 \begin{pmatrix} \frac{1}{2} \sin 2\theta (Z_{ttH}^2 - Z_{t\chi H}^2) & 0 \\ 0 & \frac{1}{2} \sin 2\theta (Z_{t\chi H}^2 - Z_{\chi\chi H}^2) \end{pmatrix} \begin{pmatrix} \Phi_{t'_L t} \\ \Phi_{t'_L \chi} \end{pmatrix} \\
& + \int d^4x \begin{pmatrix} \Phi_{\chi'_L t} \\ \Phi_{\chi'_L \chi} \end{pmatrix}^+ \hat{p}^2 \begin{pmatrix} Z_{ttH}^2 \sin^2 \theta + Z_{t\chi H}^2 \cos^2 \theta & 0 \\ 0 & Z_{\chi\chi H}^2 \cos^2 \theta + Z_{t\chi H}^2 \sin^2 \theta \end{pmatrix} \begin{pmatrix} \Phi_{\chi'_L t} \\ \Phi_{\chi'_L \chi} \end{pmatrix} - \mathcal{V}(\Phi).
\end{aligned} \tag{100}$$

In this basis  $(t'_L, \chi'_L, t_R, \chi_R)$  the vacuum averages are

$$\begin{pmatrix} \langle \Phi_{t'_L t} \rangle & \langle \Phi_{t'_L \chi} \rangle \\ \langle \Phi_{\chi'_L t} \rangle & \langle \Phi_{\chi'_L \chi} \rangle \end{pmatrix} = \begin{pmatrix} \frac{1}{\sqrt{2}} v_t \cos \theta & -\frac{1}{\sqrt{2}} u_\chi \sin \theta \\ \frac{1}{\sqrt{2}} v_t \sin \theta & \frac{1}{\sqrt{2}} u_\chi \cos \theta \end{pmatrix}. \tag{101}$$



The fields  $\Phi'_{t_L t}$  and  $\Phi'_{t_L \chi}$  are transformed under the action of the SM gauge group, while  $\Phi'_{\chi' t}$  and  $\Phi'_{\chi' \chi}$  are not. In order to calculate the gauge boson masses induced by the scalar fields, we need to keep in the effective action the terms proportional to  $p^2$  standing at the products of  $\Phi'_{t_L t_R}$  and  $\Phi'_{t_L \chi_R}$ :

$$S_{p^2, t_L} = \int d^4x \Phi'_{t_L \chi_R} \hat{p}^2 (Z_{\chi\chi H}^2 \sin^2 \theta + Z_{t\chi H}^2 \cos^2 \theta) \Phi'_{t_L t_R} + \int d^4x \Phi'_{t_L t_R} \hat{p}^2 (Z_{t\chi H}^2 \sin^2 \theta + Z_{t\chi H}^2 \cos^2 \theta) \Phi'_{t_L \chi_R}. \quad (102)$$

In this expression we should substitute  $\langle \Phi'_{t_L t_R} \rangle = v_t \cos \theta$  and  $\langle \Phi'_{t_L \chi_R} \rangle = -u_\chi \sin \theta$ . At the same time we substitute  $\hat{p}^2$  by the gauge field squared,  $A^2 = \frac{1}{4} (2g_W^2 W_\mu^+ W^\mu + g_Z^2 Z_\mu Z^\mu)$ . Then Eq. (102) gives the masses of the  $W$  and  $Z$  bosons,  $M_Z = g_Z \eta / 2$  and  $M_W = g_W \eta / 2$ , where

$$\eta^2 = v_t^2 \cos^2 \theta (Z_{t\chi H}^2 \cos^2 \theta + Z_{t\chi H}^2 \sin^2 \theta) + u_\chi^2 \sin^2 \theta (Z_{\chi\chi H}^2 \sin^2 \theta + Z_{t\chi H}^2 \cos^2 \theta) \approx 2Z_{t\chi H}^2 m_t^2 \left( 1 + \frac{g_{t\chi}^2 Z_{t\chi H}^2}{g_\chi^2 Z_{t\chi H}^2} \right). \quad (103)$$

(We neglect the terms proportional to  $m_t^2/m_\chi^2$ .) The  $W$  and  $Z$  bosons acquire their observable masses if  $\eta \approx 246$  GeV.

In principle, this expression works reasonably well even for  $\Lambda = 10$  TeV,  $m_\chi = 10m_t$ .

Notice that in our approach the two composite scalar fields  $\Phi_{tt}$  and  $\Phi_{\chi\chi}$  are condensed and both contribute to the gauge boson masses. While the condensate of  $\Phi_{\chi\chi}$  (proportional to the mass of the heavy fermion  $\chi$ ) is larger than the condensate of  $\Phi_{tt}$ , the coupling of  $\Phi_{\chi\chi}$  to the  $W$  and  $Z$  bosons is suppressed by the factor  $m_t/m_\chi$ . Thus, in the general case the contributions of both scalars to the gauge boson masses are of the same order. For large values of  $\Lambda$  the  $\Phi_{tt}$  dominates, while for low values of  $\Lambda$  the  $\Phi_{\chi\chi}$  dominates. The 125 GeV Higgs boson is composed mostly of  $\Phi_{tt}$  and  $\Phi_{t\chi}$ . Therefore, for the low scale of the hidden interaction its contribution to electroweak symmetry breaking is not dominant.

### 3. Example parameter choices

Below we consider two specific choices of parameters, which give a realistic spectrum for the scalar boson masses.

- (1) Let us suppose first that the scale of the new interaction is  $\Lambda \sim 10^3$  TeV while  $m_\chi = 100m_t$ . We require

$$M_H \approx m_t / \sqrt{2} \approx 125 \text{ GeV} \quad (104)$$

and consider as an example the following particular choice of parameters [that gives Eqs. (103) and (104)]:

TABLE III. Values of bare and intermediate coupling constants as well as the observable masses for the first considered choice of initial parameters. Bare coupling constants enter the original Lagrangian [Eqs. (39), (41), (43), and (44)]. The ultraviolet cutoff  $\Lambda$  is present there implicitly. Intermediate coupling constants appear when the Lagrangian is written in terms of mass eigenstates. These parameters enter the gap equation (63) and the expressions for the scalar boson masses. The mixing angles  $\alpha$  and  $\theta$  enter the relation between the original fermion fields of the model and the mass eigenstates in Eqs. (54) and (55). The accuracy of our calculations is within about 5 percent for the considered choice of parameters. All scalar bosons excluding the 125 GeV Higgs are unstable, which corresponds to their decay into pairs of fermions. Correspondingly, their masses have imaginary parts. The imaginary part of  $M_{\phi_\chi}$  is suppressed by the factor  $m_t/m_\chi$  and is not represented here.

Bare parameters									
$\omega_t^{(0)} - \frac{N_c}{8\pi^2} \Lambda^2$	$\omega_\chi^{(0)} - \frac{N_c}{8\pi^2} \Lambda^2$	$g_t^{(0)}$	$g_{t\chi}^{(0)}$	$g_\chi^{(0)}$	$b_t^{(0)}$	$b_{t\chi}^{(0)}$	$b_\chi^{(0)}$	$\Lambda$	
87 TeV <sup>2</sup>	−84 TeV <sup>2</sup>	106 TeV <sup>2</sup>	18 TeV <sup>2</sup>	5.9 TeV <sup>2</sup>	563 TeV <sup>2</sup>	33 TeV <sup>2</sup>	−0.073 TeV <sup>2</sup>	1000 TeV	
Intermediate parameters									
$\omega_t - \frac{N_c}{8\pi^2} \Lambda^2$	$\omega_\chi - \frac{N_c}{8\pi^2} \Lambda^2$	$g_t$	$g_{t\chi}$	$g_\chi$	$b_t$	$b_{t\chi}$	$b_\chi$	$f_t$	$f_\chi$
78 TeV <sup>2</sup>	−74 TeV <sup>2</sup>	92 TeV <sup>2</sup>	39 TeV <sup>2</sup>	20 TeV <sup>2</sup>	528 TeV <sup>2</sup>	105 TeV <sup>2</sup>	264 TeV <sup>2</sup>	77 TeV <sup>2</sup>	20 TeV <sup>2</sup>
Fermion masses, scalar boson masses, and mixing angles									
$m_t$	$m_\chi$	$M_H$	$M_{h_t h_\chi}^{(2)}$			$M_{A_t A_\chi}^{(2)}$	$M_{H_t^\pm, H_\chi^\pm}^{(1)}$		
175 GeV	17.5 TeV	125 GeV	(22 − 2.9 <i>i</i> ) TeV			(22 − 2.9 <i>i</i> ) TeV	(22 − 2.9 <i>i</i> ) TeV		
$M_{\varphi_t}$	$M_{\varphi_\chi}$	$M_{\pi_t, \pi_\chi}^{(1)}$	$M_{\pi_\chi, \pi_t}^{(2)}$			$\alpha$	$\theta$		
(22 − 0.5 <i>i</i> ) TeV	35 TeV	(63 − 10 <i>i</i> ) TeV	(38 − 7 <i>i</i> ) TeV			−0.0763 <i>π</i>	0.00627 <i>π</i>		

$$g_{t\chi} = g_\chi \frac{Z_{tH}}{Z_{t\chi H}} \sqrt{\frac{1}{Z_{tH}^2} - 1},$$

$$g_\chi = 0.379 Z_{t\chi H}^2 m_\chi^2, \quad g_t = 1.74 Z_{t\chi H}^2 m_\chi^2. \quad (105)$$

All values of the bare and intermediate coupling constants as well as all observable masses for this choice of initial parameters are collected in Table III.

- (2) The second choice of parameters corresponds to  $\Lambda = 10$  TeV and  $m_\chi = 10m_t$ . In this case we consider the following particular choice of parameters [that gives Eqs. (103) and (104)]:

$$g_{t\chi} = g_\chi \frac{Z_{tH}}{Z_{t\chi H}} \sqrt{\frac{1}{Z_{tH}^2} - 1},$$

$$g_\chi = 0.169 Z_{t\chi H}^2 m_\chi^2, \quad g_t = 1.74 Z_{t\chi H}^2 m_\chi^2. \quad (106)$$

All values of the bare and intermediate coupling constants as well as all observable masses for this choice of initial parameters are collected in Table IV.

Recall that the values of  $g_t, g_\chi, g_{t\chi}$  are the elements of the matrix  $G$  in the basis in which the fermion mass matrix is diagonal. The original parameters of the model  $g_{t,\chi,t\chi}^{(0)}$  are the elements of the matrix  $G$  in the basis in which  $(b_L' t_L')^T$  is the  $SU(2)_L$  doublet,  $\chi_L'$  is the  $SU(2)_L$  singlet, and matrix  $\Omega$  is diagonal. [Here  $SU(2)_L$  is part of the SM gauge group.] The values  $g_{t,\chi,t\chi}^{(0)}$  are related to  $g_{t,\chi,t\chi}$  via Eq. (58), while  $\alpha$  is

given by Eq. (61). The parameters  $\omega_{t,\chi}$  are related to the values of the masses through the gap equations (63) and are of the order of  $\frac{N_c}{8\pi^2} \Lambda^2$ , which is much larger than the other quantities we have encountered here. The original parameters are related to  $\omega_{t,\chi}$  as  $\omega_{t,\chi} = \cos^2 \alpha \omega_{t,\chi}^{(0)} + \sin^2 \alpha \omega_{\chi,t}^{(0)}$  and are also of the order of  $\frac{N_c}{8\pi^2} \Lambda^2$ . This is the difference between  $\omega_{t,\chi}$  and  $\frac{N_c}{8\pi^2} \Lambda^2$  that—together with the values of  $g_{t,\chi,t\chi}$ —define the dynamical fermion masses. The angle  $\theta$  relates the mass eigenstates  $t_L, \chi_L$  with the original states  $t_L', \chi_L'$  [where  $t_L'$  is transformed under the action of the SM  $SU(2)_L$  gauge group].

In the first of the above examples the difference of the scales between  $\Lambda \sim 10^3$  TeV,  $m_\chi \sim 17.5$  TeV, and  $m_t \sim 175$  GeV implies a kind of fine-tuning. Such a difference may survive in the theory only if the values of the coupling constants are close to their critical values at which the chiral symmetry breaking occurs. Moreover, to provide this we disregard the higher-order  $1/N_c$  corrections. The latter implies that the given NJL model should be defined with counterterms that cancel the dangerous terms of the order of  $\sim \Lambda^2$  coming in the next-to-leading  $1/N_c$  corrections. (As mentioned in the Introduction, we imply this kind of NJL model. For a discussion of this issue see also Refs. [14,39,46] and references therein.) Notice that the results of Ref. [30] are valid under the same assumptions.

In the general case the masses of the remaining  $CP$ -even scalar bosons are of the order of  $m_\chi$  if  $g_\chi \sim m_\chi^2$  and may be

TABLE IV. Values of bare and intermediate coupling constants as well as the observable masses for the second considered choice of initial parameters. Bare coupling constants enter the original Lagrangian [Eqs. (39), (41), (43), and (44)]. The ultraviolet cutoff  $\Lambda$  is present there implicitly. Intermediate coupling constants appear when the Lagrangian is written in terms of mass eigenstates. These parameters enter the gap equation (63) and the expressions for the scalar boson masses. The mixing angles  $\alpha$  and  $\theta$  enter the relation between the original fermion fields of the model and the mass eigenstates in Eqs. (54) and (55). The accuracy of our calculations is within about 15 percent for the considered choice of parameters. All scalar bosons excluding the 125 GeV Higgs are unstable, which corresponds to their decay into pairs of fermions. Correspondingly, their masses have imaginary parts. The imaginary part of  $M_{\phi_\chi}$  is suppressed by the factor  $m_t/m_\chi$  and is not represented here.

Bare parameters									
$\omega_t^{(0)} - \frac{N_c}{8\pi^2} \Lambda^2$	$\omega_\chi^{(0)} - \frac{N_c}{8\pi^2} \Lambda^2$	$g_t^{(0)}$	$g_{t\chi}^{(0)}$	$g_\chi^{(0)}$	$b_t^{(0)}$	$b_{t\chi}^{(0)}$	$b_\chi^{(0)}$	$\Lambda$	
0.45 TeV <sup>2</sup>	−0.38 TeV <sup>2</sup>	0.48 TeV <sup>2</sup>	0.063 TeV <sup>2</sup>	0.0094 TeV <sup>2</sup>	2.7 TeV <sup>2</sup>	0.27 TeV <sup>2</sup>	−0.056 TeV <sup>2</sup>	10 TeV	
Intermediate parameters									
$\omega_t - \frac{N_c}{8\pi^2} \Lambda^2$	$\omega_\chi - \frac{N_c}{8\pi^2} \Lambda^2$	$g_t$	$g_{t\chi}$	$g_\chi$	$b_t$	$b_{t\chi}$	$b_\chi$	$f_t$	$f_\chi$
0.43 TeV <sup>2</sup>	−0.36 TeV <sup>2</sup>	0.45 TeV <sup>2</sup>	0.14 TeV <sup>2</sup>	0.044 TeV <sup>2</sup>	2.6 TeV <sup>2</sup>	0.5 TeV <sup>2</sup>	1.3 TeV <sup>2</sup>	0.44 TeV <sup>2</sup>	0.044 TeV <sup>2</sup>
Fermion masses, scalar boson masses, and mixing angles									
$m_t$	$m_\chi$	$M_H$	$M_{h_t, h_\chi}^{(2)}$			$M_{A_t, A_\chi}^{(2)}$		$M_{H_t^\pm, H_\chi^\pm}^{(1)}$	
175 GeV	1.75 TeV	125 GeV	(2.0 − 0.5 <i>i</i> ) TeV			(2.0 − 0.5 <i>i</i> ) TeV		(2.0 − 0.5 <i>i</i> ) TeV	
$M_{\varphi_t}$	$M_{\varphi_\chi}$	$M_{\pi_t, \pi_\chi}^{(1)}$	$M_{\pi_\chi, \pi_t}^{(2)}$			$\alpha$		$\theta$	
(2.3 − 0.1 <i>i</i> ) TeV	3.5 TeV	(5.8 − 2 <i>i</i> ) TeV	(3.5 − 1 <i>i</i> ) TeV			−0.054 <i>π</i>		0.0098 <i>π</i>	

made sufficiently large by an appropriate choice of the ratio  $m_t/m_\chi$ . Correspondingly, they are able to decay into pairs of fermions, which results in the imaginary part of their masses. The masses of  $CP$ -odd scalar bosons depend on the additional parameters  $b_t, b_\chi, b_{t\chi}$ . These parameters should be chosen large enough in order to provide the stability of the vacuum. We may choose their values in such a way that the corresponding masses are also of the order of  $m_\chi$ . The mass of the charged scalar boson is given by Eq. (82) and is approximately equal to  $M_{h,h_\chi}^{(2)} \approx M_{A,A_\chi}^{(2)}$ . In the considered examples the  $CP$ -even pseudo-Goldstone boson—the candidate for the 125 GeV Higgs—is the only stable composite boson and is sufficiently lighter than the other composite scalar states. Due to mixing, all neutral scalar bosons (except the 125 GeV scalar) are able to decay into the pair  $\bar{t}t$ . We do not exclude that some of the composite scalar bosons may become stable if the scale of the interaction is lower than 10 TeV while the heavy fermion mass is smaller than 1.75 TeV; this may occur if the masses of the scalar bosons are smaller than  $2m_t$  (for the neutral scalar bosons) and  $m_t + m_b \approx m_t$  (for the charged scalar boson).

#### 4. The effective Lagrangian for the decays of the $CP$ -even pseudo-Goldstone boson (neglecting the ratio $m_t/m_\chi$ )

As we will see below, the decay probabilities of the given scalar boson do not contradict the present experimental constraints. The  $H$ -boson production cross sections and the decays of the Higgs bosons are typically described by an effective Lagrangian of the following form:

$$L_{\text{eff}} = c_W \frac{2m_W^2}{\eta} HW_\mu^+ W_\mu^- + c_Z \frac{m_Z^2}{\eta} HZ_\mu Z_\mu + c_g \frac{\alpha_s}{12\pi\eta} HG_{\mu\nu}^a G_{\mu\nu}^a + c_\gamma \frac{\alpha}{\pi\eta} HA_{\mu\nu} A_{\mu\nu}. \quad (107)$$

Here  $G_{\mu\nu}$  and  $A_{\mu\nu}$  are the field strengths of the gluon and photon fields. We do not consider here the masses of the fermions other than the top quark and  $\chi$ . Therefore, we omit in this Lagrangian the terms responsible for the corresponding decays. This effective Lagrangian should be considered at the tree level only and it describes the channels  $H \rightarrow gg, \gamma\gamma, ZZ, WW$ . The fermions and  $W$  bosons have been integrated out in the terms corresponding to the decays  $H \rightarrow \gamma\gamma, gg$ , and their effects are included in the effective couplings  $c_g$  and  $c_\gamma$ . In the SM we have  $c_Z = c_W = 1$ , while  $c_g \approx 1.03$ ,  $c_\gamma \approx -0.81$  (see Ref. [47]).

Below we evaluate the previously mentioned coupling constants in our model neglecting the ratio  $m_t/m_\chi$ . We will demonstrate that the result is given by the SM values. Therefore, corrections to these values depend on the ratio  $m_t/m_\chi$  and are small provided that this ratio is small. The evaluation of these corrections is out of the scope of the present paper.

Let us define the neutral scalar field given by the sum of the condensate and the fluctuation  $H$  around the condensate:

$$\begin{aligned} \Phi_H &\approx \sqrt{2} \frac{Z_{ttH} \Phi'_{tt} - \omega \frac{Z_{t\chi H}^2}{Z_{ttH}^2} \Phi'_{t\chi}}{\sqrt{1 + w^2 \frac{Z_{t\chi H}^2}{Z_{ttH}^2}}} \\ &\sim \sqrt{2} \frac{Z_{ttH} (\bar{t}_L t_R + \bar{t}_R t_L) - \omega \frac{Z_{t\chi H}^2}{Z_{ttH}^2} (\bar{t}_L \chi_R + \bar{\chi}_R t_L)}{\sqrt{1 + w^2 \frac{Z_{t\chi H}^2}{Z_{ttH}^2}}}. \end{aligned} \quad (108)$$

The vacuum average of this field is

$$\langle \Phi_H \rangle \approx \frac{Z_{ttH} v_t}{\sqrt{1 + w^2 \frac{Z_{t\chi H}^2}{Z_{ttH}^2}}}. \quad (109)$$

We also define the neutral scalar fields

$$\begin{aligned} \Phi_{h,h_\chi} &\approx \sqrt{2} \frac{\omega Z_{t\chi H} \Phi'_{tt} + Z_{t\chi H} \Phi'_{t\chi}}{\sqrt{1 + w^2 \frac{Z_{t\chi H}^2}{Z_{ttH}^2}}}, \\ \Phi_{\varphi_t} &\approx \sqrt{2} Z_{t\chi H} \Phi'_{\chi t}, \\ \Phi_{\varphi_\chi} &\approx \sqrt{2} Z_{\chi\chi H} \Phi'_{\chi\chi}. \end{aligned} \quad (110)$$

The latter field has the vacuum average

$$\langle \Phi_{\varphi_\chi} \rangle \approx Z_{\chi\chi H} u_\chi. \quad (111)$$

In order to calculate the decay constants of the Higgs boson we should substitute into Eq. (102) the following expressions:

$$\begin{aligned} \Phi'_{t't} &= \cos \theta \Phi_{tt} - \sin \theta \Phi_{\chi t}, \\ \Phi'_{t'\chi} &= \cos \theta \Phi_{t\chi} - \sin \theta \Phi_{\chi\chi}. \end{aligned} \quad (112)$$

This gives

$$\begin{aligned} S_{p^2, t'L} &= \int d^4x (\cos \theta \Phi_{t\chi} - \sin \theta \Phi_{\chi\chi}) \hat{p}^2 (Z_{\chi\chi H}^2 \sin^2 \theta \\ &\quad + Z_{t\chi H}^2 \cos^2 \theta) (\cos \theta \Phi_{t\chi} - \sin \theta \Phi_{\chi\chi}) \\ &\quad + \int d^4x (\cos \theta \Phi_{tt} - \sin \theta \Phi_{\chi t}) \hat{p}^2 (Z_{t\chi H}^2 \sin^2 \theta \\ &\quad + Z_{ttH}^2 \cos^2 \theta) (\cos \theta \Phi_{tt} - \sin \theta \Phi_{\chi t}). \end{aligned} \quad (113)$$

The real parts of the scalar fields should be expressed through  $\Phi_H$ ,  $\Phi_{h,h_\chi}$ ,  $\Phi_{\varphi_t}$ , and  $\Phi_{\varphi_\chi}$ :

$$\begin{aligned}\Phi'_{tt} &= \frac{(\Phi_H + w \frac{Z_{t\chi H}}{Z_{tH}} \Phi_{h_t h_\chi})}{\sqrt{2} Z_{tH} \sqrt{1 + w^2 \frac{Z_{t\chi H}^2}{Z_{tH}^2}}}, \\ \Phi'_{t\chi} &= \frac{(-\Phi_H w \frac{Z_{t\chi H}}{Z_{tH}} + \Phi_{h_t h_\chi})}{\sqrt{2} Z_{t\chi H} \sqrt{1 + w^2 \frac{Z_{t\chi H}^2}{Z_{tH}^2}}}, \\ \Phi'_{\chi t} &\approx \frac{\Phi_{\phi_t}}{\sqrt{2} Z_{t\chi H}}, \\ \Phi'_{\chi\chi} &\approx \frac{\Phi_{\phi_\chi}}{\sqrt{2} Z_{\chi\chi H}}.\end{aligned}$$

Next, we expand them around the condensates and keep only the terms linear in  $H$ :

$$\begin{aligned}S_{p^2, H} &= \int d^4x \frac{\cos \theta H w \frac{Z_{t\chi H}}{Z_{tH}}}{Z_{t\chi H} \sqrt{1 + w^2 \frac{Z_{t\chi H}^2}{Z_{tH}^2}}} \hat{p}^2 (Z_{\chi\chi H}^2 \sin^2 \theta \\ &\quad + Z_{t\chi H}^2 \cos^2 \theta) \sin \theta u_\chi \\ &\quad + \int d^4x \frac{\cos \theta H}{Z_{tH} \sqrt{1 + w^2 \frac{Z_{t\chi H}^2}{Z_{tH}^2}}} \hat{p}^2 (Z_{t\chi H}^2 \sin^2 \theta \\ &\quad + Z_{tH}^2 \cos^2 \theta) \cos \theta v_t.\end{aligned}\quad (114)$$

Finally, we substitute  $\hat{p}^2$  by the field  $A^2 = \frac{1}{4}(2g_W^2 W_\mu^+ W^\mu + g_Z^2 Z_\mu Z^\mu)$ :

$$\begin{aligned}S_{p^2, H} &= \int d^4x \frac{H w^2 \frac{Z_{t\chi H}}{Z_{tH}}}{\sqrt{1 + w^2 \frac{Z_{t\chi H}^2}{Z_{tH}^2}}} A^2 Z_{t\chi H} v_t \\ &\quad + \int d^4x \frac{H}{\sqrt{1 + w^2 \frac{Z_{t\chi H}^2}{Z_{tH}^2}}} A^2 Z_{tH} v_t \\ &\approx \int d^4x H v_t Z_{tH} \sqrt{1 + w^2 \frac{Z_{t\chi H}^2}{Z_{tH}^2}} A^2\end{aligned}\quad (115)$$

$$\approx \int d^4x H \eta A^2.\quad (116)$$

Recall that  $M_Z = g_Z \eta / 2$  and  $M_W = g_W \eta / 2$ . Thus we are able to evaluate the values of  $c_W$  and  $c_Z$  entering Eq. (107):

$$|c_W|^2 = |c_Z|^2 = 1.\quad (117)$$

In order to evaluate the constant  $c_g$  we need to consider the vertex for the transition  $H \rightarrow \bar{t}t$ . It comes from the interaction term of the Lagrangian,

$$L_{\Phi \rightarrow \bar{t}t} = -[\bar{t}_L \Phi_{tt} t_R + \text{H.c.}].\quad (118)$$

This gives the interaction term of  $H$  and the top quark,

$$L_{H \rightarrow \bar{t}t} = - \frac{H}{\sqrt{2} Z_{tH} \sqrt{1 + w^2 \frac{Z_{t\chi H}^2}{Z_{tH}^2}}} \bar{t}t = - \frac{m_t}{\eta} \bar{t}t H,\quad (119)$$

and results in the Standard Model value

$$|c_g|^2 = 1.\quad (120)$$

The expression for  $c_\gamma$  is more complicated. However, in the considered approximation (where we neglect corrections proportional to  $m_t^2/m_\chi^2$ ) it is also given by the SM value. Notice that the top quark is integrated out in Eq. (107), and its coupling to  $H$  is absorbed by  $c_g$  and  $c_\gamma$ .

In principle, if we consider the choice of coupling constants that corresponds to a sufficiently light  $\chi$ , the valuable corrections to the Higgs boson decay constants would appear. The corresponding experimental data are presented in Fig. 25 of Ref. [48].

Thus we see that, although the contribution of the 125 GeV Higgs to electroweak symmetry breaking may not be dominant, its decay constants are close to their values in the Standard Model, where it gives the only contribution to the gauge boson masses.

It is worth mentioning that in our estimates we completely disregarded the running of coupling constants from the scale  $\Lambda$  to the electroweak scale. This running affects essentially the values of the scalar boson masses if the scale is sufficiently high [24,25]. It is more or less obvious, however, that our large number of free parameters allows a choice that leads to the necessary relation between the renormalized values of the scalar boson masses and the renormalized values of the effective coupling constants entering Eq. (107).

In this paper we did not consider the other contributions of the electroweak gauge fields to the effective Lagrangian. Those contributions are suppressed, however, due to the smallness of the electroweak gauge coupling (see Refs. [29,30]). We also did not consider the contribution of the heavy fermion  $\chi$  to the electroweak polarization operators ( $S$  and  $T$  parameters). The latter contribution is controlled by the ratio  $m_t/m_\chi$  and if its value is sufficiently small the contribution of  $\chi$  to the  $S$  and  $T$  parameters is suppressed [30].

#### IV. CONCLUSION AND DISCUSSIONS

In the considered scenario, the symmetry breaking takes place at a high-energy scale where there is a hidden symmetry. (In  $^3\text{He-B}$  it is the separation of the spin and orbital rotations; in the proposed model of top-quark condensation it is the  $\text{SU}(3)_L$  symmetry). This symmetry



is violated at low energy. As a result, some of the Nambu-Goldstone modes transform to the light Higgs bosons. Such scenarios of the emergence of a light Higgs may have some (though not always exact) parallels in other models of high-energy physics.

Let us consider, for example, the hidden chiral symmetry in QCD. It is provided by an approximation in which the  $u$  and  $d$  quarks are considered as massless. The spontaneous breaking of the hidden symmetry leads to three pions (one neutral and two charged) as the massless Goldstone bosons. These pions become massive when one takes into account the nonzero masses of the  $u$  and  $d$  quarks. The masses of the pions are much smaller than the mass of the local Higgs boson (the  $\sigma$  meson). This situation is similar to that of the top-seesaw models of Refs. [29,30], where the explicit mass term was introduced that breaks the hidden  $SU(3)_L$  symmetry. However, it is different from that of  ${}^3\text{He-B}$ , where there is no explicit mass term for the fermions. Instead, the spin-orbit interaction appears as a modification of the original four-fermion interaction. In the present paper we proposed a model of top-quark condensation in which the  $SU(3)_L$  symmetry is broken by the modification of the four-fermion interaction in analogy with  ${}^3\text{He-B}$ .

The top-quark condensation model considered in the present paper is similar to the top-seesaw models of Refs. [29,30]. Our model (as well as the models of Refs. [29,30]) contains the  $CP$ -even light Higgs, whose mass appears as a result of the soft breakdown of  $SU(3)_L$  symmetry. In this respect this model differs from QCD, where the massive pions are  $CP$ -odd states. The light Higgs of our model is similar to the light Higgs boson of  ${}^3\text{He-B}$ , which has all the signatures of the Higgs boson: it is the amplitude mode of the Higgs triplet vector field  $\mathbf{n}$ , while the rotational modes of the Higgs triplet represent the NG bosons in full correspondence with the Higgs scenario.

The situation in  ${}^3\text{He-B}$  and in the complicated top-quark condensation model considered here is also close to that of the little Higgs models (see the review [16] and references therein). In the little Higgs approach the Higgs particles also appear as the pseudo-NG bosons (although they not composed of top quarks). The corresponding field has all the properties of the Higgs field, whose collective modes contain both the amplitude Higgs modes (the Higgs bosons) and the NG modes (in gauge theories the NG modes are absorbed by the gauge fields and become the massive gauge bosons). This is why we may also say that the massive mode #15 in  ${}^3\text{He-B}$  (the gapped spin wave) represents the condensed matter analog of the little Higgs. The appearance of the analogs of the little Higgs bosons is also possible in other condensed matter systems. The abstracts of the recent International Workshop “Higgs Modes in Condensed Matter and Quantum Gases” can be found in Ref. [49].

In  ${}^3\text{He-B}$ , there is a large difference in energy scales between the heavy Higgs bosons and the light little Higgs.

This is why the transformation of the NG mode to the little Higgs practically does not violate the Nambu sum rule [13]. The Nambu partner of the little Higgs is the heavy Higgs with energy close to  $2\Delta$ , which has the same quantum numbers ( $J = 1, J_z = 0$ ) but different parity. The considered light Higgs is essentially lighter than the fermionic quasiparticles, which have the gap  $\Delta$ . This indicates that if this scenario works in the SM and the observed 125 GeV Higgs is the pseudo-Goldstone boson, then there should be an additional fermion that is much heavier than the top quark.

Indeed, in the considered model of top-quark condensation the additional fermion  $\chi$  is much more heavy than the top quark. In the proposed model we evaluated in the leading order of the  $1/N_c$  expansion the decay branching ratios of the Higgs boson. Their deviations from the SM values are suppressed by the ratios  $m_t/m_\chi$ , and therefore do not contradict the present LHC data. The  $CP$ -even neutral pseudo-Goldstone boson may be composed mostly of the  $\bar{t}_L t_R$  and  $\bar{t}_L \chi_R$  pairs (with a valuable contribution from the first pair). The corresponding coupling constants in the effective Lagrangian (that describe its decays) may be very close to the SM values. The parameters of the model may be chosen in such a way that the Higgs boson mass is given by the observable value 125 GeV. In the present paper we did not analyze the phenomenology of the model in detail. In particular, we did not consider the effect of the SM gauge interactions on the model and the mechanism for the generation of the masses of the other SM fermions. (Only the mechanism for the generation of  $m_t$  has been discussed.) Besides, we disregarded completely the running of coupling constants from the scale  $\Lambda$  to the electroweak scale. This running may affect the values of the scalar boson masses if the scale  $\Lambda$  is sufficiently high [24,25]. It is more or less obvious, however, that even in such a case our large number of free parameters allows a choice that leads to the necessary relation between the renormalized values of scalar boson masses and renormalized values of the effective coupling constants entering Eq. (107). On the other hand, for low values of  $\Lambda$  our estimate for the Higgs boson mass (92) becomes less accurate. For example, at  $\Lambda = 10$  TeV and  $m_\chi = 1.75$  TeV it gives an accuracy of about 10 percent. However, the proposed approach clearly remains valid for  $\Lambda$  equal to a few TeV. The detailed consideration of this case is technically rather complicated if we need to achieve a better accuracy for the estimates. Thus we expect that our consideration may give a sufficient qualitative pattern of the theory, in which the pseudo-Goldstone boson plays the role of the 125 GeV Higgs. We prefer not to call our construction the top-seesaw model because (unlike Ref. [31]) the traditional scheme with the off-diagonal condensate  $\langle \bar{t}_L \chi_R \rangle$  is not necessary (though allowed).

Unlike Refs. [29,30], in our case the explicit mass term is absent and the soft breaking of the  $SU(3)$  symmetry is given

solely by the four-fermion terms. This reveals the complete analogy with  $^3\text{He}$ , where there is no explicit mass term and the spin-orbit interaction has the form of a modification of the original four-fermion interaction.

The top-quark condensation model with the four-fermion interaction considered here should necessarily appear as the effective low-energy approximation of the unknown microscopic theory. Certain non-NJL corrections to various physical quantities should arise from this microscopic theory. If the discussed scenario (in which the 125 GeV Higgs boson appears as the composite pseudo-Goldstone boson) is confirmed by experiment, such a theory is to be constructed. It may be very unusual. In particular, the nature of the forces binding fermions in a Higgs boson may be related to such complicated objects as the emergent bosonic fields that exist within the fermionic condensed matter systems (graphene and superfluid He-3). In condensed matter systems various emergent gauge and gravitational fields appear [50]. These emergent gravitational fields should not be confused with the real gravitational fields. Typically, the emergent gravity in condensed matter does not have the main symmetry of the gravitational theory (invariance under diffeomorphisms does not arise). That is why in the majority of cases we may speak of emergent gravity only as the geometry experienced by the fermionic quasiparticles. The fluctuations of the gravitational fields themselves are not governed by a diffeomorphism-invariant theory. We suppose that objects like these emergent gauge and gravitational fields may play a certain role in the formation of forces binding fermions within the composite Higgs bosons.

We also do not exclude the possibility that a certain part of the extended real gravitational fields may play a role in the formation of such forces. In particular, there exist theories of quantum gravity with torsion [46] in which the fluctuations of torsion have a scale slightly above 1 TeV, while the scale of the fluctuations of the metric is the Plank mass. The mentioned fluctuations of torsion may also be related to the formation of composite Higgs bosons.

A less unusual scenario of physics behind the four-fermion interactions of the top-seesaw model involves the exchange of massive gauge bosons, which appear in the conventional renormalizable field theory (see, for example, Ref. [39] and references therein).

It is worth mentioning that our model, in principle, admits a generalization to the case when all remaining SM quarks and leptons are present. In the framework of top-seesaw models the corresponding generalization has been discussed, for example, in Ref. [31]. In our case we should start from the generalization of Eqs. (39) and (40), where all left-handed and right-handed quarks and leptons are present. In addition, the Lagrangian may include several extra fermions  $\chi^{(i)}$ ,  $i = 1, 2, \dots$  (similar to the  $\chi$  of the present paper). The Lagrangian should be invariant under the unitary transformation group  $G$  that mixes left-handed quarks and leptons and the extra fields  $\chi_L^{(i)}$ . At the next step of the construction we should break this  $G$  softly by the four-fermion interactions and, possibly, by the explicit mass terms that involve the extra fermions  $\chi^{(i)}$ . This will result in the appearance of the pseudo-Goldstone bosons. The whole construction should give rise the appearance of the  $CP$ -even pseudo-Goldstone boson that may be identified with the 125 GeV Higgs boson, while the remaining scalar bosons should have much larger masses (or much smaller production cross sections) in order to avoid the present experimental exclusions. From the technical point of view such a construction should be rather complicated.

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