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### Improved understanding of how irrigated area expansion enhances precipitation recycling by land–atmosphere coupling

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#### ABSTRACT

Large-scale agricultural activities can intensify atmospheric-terrestrial interactions, of which precipitation recycling plays a critical role. During 1982-2018, irrigated area has dramatically expanded in Northwest China (NWC). In this study, a regional precipitation recycling model-the Brubaker model was used to investigate the precipitation recycling ratio (PRR) and recycled precipitation (RP). Evapotranspiration (ET) estimated by the atmospheric-terrestrial water balance method (A-T) was employed to investigate precipitation recycling. Statistically, there was a turning point in 2002 for the rate in irrigated area increase, from  $0.07 \times 10^6$  ha/year before 2002–0.217  $\times$  10<sup>6</sup> ha/year after 2002. There were significant shifts in ET, PRR, and RP in NWC, using the turning point of irrigated area expansion as the line of demarcation. The contribution of the change in irrigated area to PRR increased from 18.3% (1982-2002) to 22.9% (2003-2018) in NWC. Prior to 2002, enhanced RP offset the increased ET by 72.9%. After 2002, the positive effect of irrigated area expansion on precipitation recycling disappeared in NWC. Due to the different climate and irrigation practices at the province level, the variations in irrigated area and their contributions to PRR were examined in three provinces, Xinjiang, Gansu, and Shaanxi. Results based on the Brubaker model and Budyko framework indicate that in Xinjiang and Gansu, the contribution of the irrigated area change after the turning point to PRR were 24.5% and -95.6%, respectively, and there is no potential for continued expansion of irrigated area. In Shaanxi, however, there is potential for continued expansion of irrigated area. The methodology for quantifying the impact of irrigated area change on PRR provides reliable references for the sustainable use of cultivated land and the protection of agricultural water resources.

#### 1. Introduction

Precipitation recycling is a critical aspect of the water cycle (Gao et al., 2020). In precipitation recycling, water evaporates from the land and returns to the local area in the form of rain or snow (Brubaker et al., 1993; Eltahir and Bras., 1996; Gimeno et al., 2012; Van Der Ent et al., 2010). Evapotranspiration (*ET*) supplies local water vapor for precipitation (Gui et al., 2022a). Another vital source of precipitation is water vapor transported from remote land or remote ocean (Brubaker et al., 1993). Recycled precipitation (*RP*) and the precipitation recycling ratio (*PRR*) have been proposed (Ma et al., 2019; Yao et al., 2020) to distinguish precipitation sources and accurately characterize the local

atmospheric–terrestrial water cycle. *RP* is generated from the water vapor provided by local *ET* (Dominguez et al., 2006; Li et al., 2020). *PRR* is the ratio of *RP* to total precipitation and reflects the intensity of local atmospheric–terrestrial water vapor exchange (Brubaker et al., 1993; Eltahir and Bras., 1996; Holgate et al., 2020; Zhang et al., 2021).

Precipitation recycling has been influenced by diverse factors, including global warming (Algarra et al., 2020), land use change such as afforestation or deforestation (Ellison and Ifejika Speranza, 2020; Hoek van Dijke et al., 2022; Medvigy et al., 2011), and agricultural irrigation (Kemena et al., 2018; Xu and Lin, 2021). Global warming increases the atmospheric water holding capacity (Tabari, 2020), intensifies the exchange between the atmosphere and land, which could accelerate

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precipitation recycling. Large-scale land use change tends to modify physical properties of land surfaces including vegetation cover, soil moisture, and albedo (Li et al., 2018; te Wierik et al., 2021). These changes inevitably alter water and energy partitioning, which in turn control the processes of atmospheric–terrestrial water vapor interaction and precipitation recycling (Sen Roy et al., 2011). Tree restoration directly promotes *ET* and indirectly promotes precipitation by enhancing atmospheric water vapor recycling (Hoek van Dijke et al., 2022). In the Congo Basin and Southern Asia, a modeling study indicates forest greening enhances precipitation recycling which, in turn, supplies soil moisture or increases runoff (Zeng et al., 2018). By contrast, deforestation inhibits precipitation recycling (Medvigy et al., 2011). As a consequence of atmosphere–vegetation feedback, precipitation has decreased in the Amazon in response to a reduction in atmospheric water vapor provided by *ET* (Spracklen and Garcia-Carreras, 2015).

Agricultural irrigation is the most water-consuming sector and accounts for > 2/3 of all global freshwater withdrawal (Siebert et al., 2010). In addition, large-scale agricultural irrigation has a non-negligible impact on precipitation recycling (Zeng et al., 2022). While consuming large amounts of surface and subsurface water, agricultural irrigation can increase soil moisture (Liu et al., 2021), promote ET from irrigated area (Yang et al., 2019), increase local precipitation (Pei et al., 2016), which in turn enhances the precipitation recycling (Harding and Snyder, 2012; Lo and Famiglietti, 2013). In Northern India, Eastern Pakistan, and Northern China, heavy irrigation has led to localized increases in precipitation (Wei et al., 2013). In the Taklamakan Desert, where the local atmospheric conditions have become warmer and wetter, precipitation increased thanks to the expansion of irrigated agriculture, leading to enhanced precipitation recycling (Xu and Lin, 2021). During the post Green Revolution period (1980-2005), precipitation increased by 121% in the growing seasons and soil moisture was elevated in response to the rapid expansion in irrigated agriculture in Northwestern India (Sen Roy et al., 2011). Irrigation in the Central Valley of California facilitated the formation of precipitation through atmospheric water vapor convergence, and summer precipitation increased by 15% in response to strengthened regional precipitation recycling (Lo and Famiglietti, 2013). Irrigation and afforestation of the Sahara in Northern Africa enhanced ET and precipitation, and could thereby reduce water for irrigation (Kemena et al., 2018). Hence, desert greening in Sahara had a positive effect (Bowring et al., 2014).

In theory, irrigation and greening could enhance water vapor transport via *ET* from the wetter land to the atmosphere (Pei et al., 2016). However, it is difficult to observe *ET* directly on a large scale (Gao et al., 2012). The traditional terrestrial water balance method has been adopted to estimate *ET* (Ohta et al., 2008; Sun et al., 2018). Nevertheless, it is constrained by the terrestrial water storage timespan and the time lag effects could substantially affect the accuracy of *ET* estimation (Moghim, 2020; Wang et al., 2021). In contrast, the atmospheric–terrestrial water balance method considers horizontal divergence of the vapor flux ( $\nabla Q$ ) and changes in column water vapor (*W*) (Yan et al., 2020). In this manner, it provides a novel way to estimate long–term *ET*. Accurate calculation of *ET* is necessary to characterize precipitation recycling, especially for regions with rapid expansion of irrigated agriculture.

Few studies have explored the effect of irrigated area expansion on *PRR* from the perspective of land-atmosphere coupling (Yu et al., 2016; Zemp et al., 2017; Zeng et al., 2018) and quantified the contribution of irrigated area change to *PRR* (Jódar et al., 2010; Shan et al., 2018). Northwest China (NWC) was selected as the study region as it has undergone remarkable expansion of its irrigated area. *PRR*, *RP*, and *ET* were investigated to clarify the variations in precipitation recycling due to irrigated area expansion. The main objectives of present study were to: (1) investigate the variations in irrigated area, precipitation, and water vapor transported into NWC ( $Q_{in}$ ); (2) accurately estimate *ET* in NWC by the atmospheric–terrestrial water balance method; (3) identify precipitation recycling and the contribution of irrigated area change to

PRR; and (4) explore the potential for irrigated area expansion in NWC.

#### 2. Materials and methods

#### 2.1. Study area

NWC is highly sensitive to global climate change (Li et al., 2012). It is located in the central part of the Eurasian continent and includes the provinces of Xinjiang, Qinghai, Gansu, Shaanxi, and Ningxia as well as western Inner Mongolia (Fig. 1). Its average annual precipitation is <300 mm and it is considered a typical arid/semi-arid region (Li et al., 2017; Wang et al., 2017). The major crop types in NWC include wheat, corn, and cotton and agricultural irrigation accounts for > 90% of the total water withdrawal (Shen et al., 2013). Over the past few decades, the irrigated area has significantly expanded in NWC in response to continuous population growth and socioeconomic development. There has been a rapid expansion of irrigation and an increase in water consumption in NWC (Han et al., 2016). In NWC, regions with annual precipitation less than 300 mm account for over 70%, and precipitation has also sharply increased over the past 50 years (Deng et al., 2014; Li et al., 2016). However, this trend has significantly declined since the onset of the 21<sup>st</sup> century (Chen et al., 2015; Yao et al., 2022). Hence, exploring precipitation recycling in the context of expanding irrigated area is crucial for the management and sustainable development of water resources in NWC and other arid and semi-arid regions.

#### 2.2. Data

#### 2.2.1. Data used to obtain irrigated area

Annual spatial cultivated area (*CA*) data for 1982–2018 were obtained from the National Earth System Science Data Center (NESSDC) and the National Science & Technology Infrastructure of China (http://www.geodata.cn/data/datadetails.html?dataguid=25007517 9225195&docid=7768, accessed on January 25, 2022), with a spatial resolution of 0.1°. The proportion of irrigated area (*IA*) and rainfed area (*RA*) to the total cultivated area was determined according to Land-Use Harmonization (https://luh.umd.edu/data.shtml, accessed on October 14, 2022) with a spatial resolution of 0.5° (Hurtt et al., 2020) and National Bureau of Statistics of China (https://data.stats.gov.cn/english/, accessed on October 14, 2022) at the province level.

#### 2.2.2. Precipitation data

Monthly precipitation data were obtained from the China Meteorological Forcing Dataset (CMFD) provided by the National Tibetan Plateau Data Center (TPDC) (https://data.tpdc.ac.cn/zh-hans/data/8 028b944-daaa-4511-8769-965612652c49/, accessed on June 12, 2021) (He et al., 2020; Yang et al., 2010), with a spatial resolution of 0.1°. CMFD was made through fusion of in-situ observation data at weather stations, remote sensing products and reanalysis dataset. CMFD was developed specifically for studies of land surface processes in China and provides precipitation information with high temporal and spatial resolution.

### 2.2.3. Data for ET estimation based on atmospheric-terrestrial water balance method

The fifth–generation reanalysis product of the European Centre for Medium–Range Weather Forecasts (ERA5) (https://cds.climate.cope rnicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels-monthly-me ans?tab=form, accessed on January 12, 2022) provided monthly precipitation (*P*, mm), specific humidity (*q*, kg/kg), eastward component of the wind (*u*, m/s), northward component of the wind (*v*, m/s), divergence of the vapor flux ( $\nabla Q$ , mm), and column water vapor (*W*, mm), with a spatial resolution of 0.1° (Hersbach et al., 2020).

#### 2.2.4. Data for ET evaluation

Four monthly ET products were used to evaluate ET estimated by the



Fig. 1. Location of (a) Northwest China (NWC) from the National Tibetan Plateau Data Center (http://data.tpdc.ac.cn/), spatial distribution of elevation (b) and annual precipitation(c).

(

atmospheric–terrestrial water balance method (A–T) for the period of 2003–2017. Global Land Surface Data Assimilation System (GLDAS) (https://disc.gsfc.nasa.gov/datasets/GLDAS\_NOAH025\_M\_2.1/su mmary?keywords=GLDAS, accessed on February 20, 2022) (Rodell et al., 2004) and Global Land Evaporation Amsterdam Model (GLEAM v3.5a) (https://www.gleam.eu/, accessed on February 25, 2022) (Miralles et al., 2011) provided *ET* with a spatial resolution of 0.25°. TPDC (Ma and Szilagyi, 2019) and ERA5 provided *ET* with a spatial resolution of 0.1°.

#### 2.3. Methodology

#### 2.3.1. Data pre-processing

All spatial data were uniformed to a resolution of  $0.5^{\circ}$  for comparison and analysis. The irrigated area and rainfed area were separated from the cultivated area according to the proportion of irrigated area and rainfed area to the total cultivated area, which was calculated by the following formula:

$$CA = IA + RA \tag{1}$$

$$IA = CA \cdot P_{IA} \tag{2}$$

$$RA = CA \cdot P_{RA} \tag{3}$$

where *CA* is the cultivated area (ha), *IA* is the irrigated area (ha), and *RA* is the rainfed area (ha),  $P_{IA}$  is the proportion of irrigated area (%),  $P_{RA}$  is the proportion of rainfed area (%). Cultivated area with a spatial resolution of 0.1° could be unified to 0.5° through resampling.

#### 2.3.2. Model description

2.3.2.1. *ET* estimation based on atmospheric–terrestrial water balance method. The atmospheric–terrestrial water balance method was used to estimate *ET*. *ET* estimated by the atmospheric–terrestrial water balance method was based on monthly ERA5 data.

The atmospheric–terrestrial water balance method (Peixóto and Oort, 1983) is applied as follows:

$$ET = P + \nabla Q + \frac{\Delta W}{\Delta t} \tag{4}$$

where  $\nabla Q$  is the horizontal divergence of the vapor flux (mm/month) and  $\frac{\Delta W}{\Delta t}$  is the change in column water vapor (mm/month).

 $\frac{\Delta W}{\Delta t}$  is calculated as follows:

$$\frac{\Delta W}{\Delta t} = W_i - W_{i-1} \tag{5}$$

where  $W_i$  is the column water vapor in the *i*<sup>th</sup> month (mm/month) and  $W_{i\cdot 1}$  is the column water vapor in the (*i*-1)<sup>th</sup> month (mm/month).

The horizontal vapor flux (*Q*, kg m<sup>-1</sup>s<sup>-1</sup>) and  $\nabla Q$  are calculated as follows:

$$Q = \frac{1}{g} \int_{p_S}^{p_T} V \cdot q dp \tag{6}$$

$$\nabla Q = \frac{\partial}{\partial x} \left( \frac{uq}{g} \right) + \frac{\partial}{\partial y} \left( \frac{vq}{g} \right)$$
(7)

where *g* is the gravitational acceleration (9.8 m/s<sup>2</sup>),  $P_T$  is the top-level atmospheric pressure (hPa),  $P_S$  is the pressure at the land surface (hPa), *q* is the specific humidity (kg/kg), *V* is the horizontal wind velocity vector (m/s), *x* is the zonal distance (m), *y* is the meridional distance (m), *u* is the zonal component of the wind velocity vector (m/s), and *v* is the meridional component of the wind velocity vector (m/s).

2.3.2.2. Precipitation recycling model. The PRR and RP were calculated by taking the entire NWC and different provinces as a whole. Based on the Brubaker model, the total precipitation is composed of advective and evaporative sources:

$$P = P_a + P_m \tag{8}$$

where  $P_a$  is the fraction of the precipitation from advective water vapor and  $P_m$  is the fraction of the precipitation from *ET*.  $P_a$  is from water vapor outside the region and  $P_m$  is from local water vapor.

PRR and RP are calculated as follows:

$$PRR = \frac{P_m}{P}$$
(9)

$$RP = P_m = P \cdot PRR \tag{10}$$

 $P_a$ ,  $P_m$ , and ET are treated as constants and are represented by their averages (Fig. 2). Thus, there are linear increases in the evaporated and advected water vapor (Brubaker et al., 1993). The horizontal fluxes of the advected water vapor ( $Q_a$ ) and the evaporated water vapor ( $Q_m$ ) over the region are calculated as follows:

$$Q_{a} = \frac{F_{in} + (F_{in} - P_{a} \cdot A)}{2} = F_{in} - \frac{P_{a} \cdot A}{2}$$
(11)



**Fig. 2.** Conceptual precipitation recycling model based on the Brubaker model. *ET* is the evapotranspiration, *P* is the precipitation,  $F_{in}$  is the column–integrated water vapor transported into a terrestrial region,  $F_{out}$  is the column–integrated water vapor transported out of a terrestrial region,  $P_a$  is the fraction of precipitation from advective water vapor, and  $P_m$  is the fraction of precipitation from *ET*, *W* is the column water vapor.

$$Q_m = \frac{0 + (ET - P_m) \cdot A}{2} = \frac{(ET - P_m) \cdot A}{2} \tag{12}$$

where  $Q_a$  is the water vapor transported from outside and  $Q_m$  is the water vapor provided by *ET*.

Assuming a fully mixed atmosphere:

$$\frac{P_a}{P_m} = \frac{Q_a}{Q_m} \tag{13}$$

PRR is calculated as follows:

$$PRR = \frac{ET \cdot A}{ET \cdot A + 2F_{in}} = \frac{ET}{ET + 2Q_{in}}$$
(14)

$$Q_{in} = \frac{F_{in}}{A} \tag{15}$$

where  $F_{in}$  is the column–integrated water vapor transported into a terrestrial region, A is the area of the terrestrial region, and  $Q_{in}$  is the column–integrated water vapor (mm).

2.3.2.3. Attribution of change in PRR. The contribution of the irrigated area ( $C_{IA}$ ) was proposed to quantify the effects of change in irrigated area (IA) on the change in PRR through ET. A similar approach was used in the Budyko framework (Budyko, 1974; Xu et al., 2014). The derivation method of calculating the contribution of the irrigated area change to the change in PRR ( $C_{IA}$ ) through  $Q_{in}$  and the elasticity coefficient ( $\varepsilon_{IA}$ ) was similar to that used for  $C_{IA}$  and  $\varepsilon_{IA}$ . Therefore, relevant equations were included in Text S1 of the Supplementary Material.

The partial derivative of PRR for ET is expressed as:

$$\frac{\partial PRR}{\partial ET} = \frac{2Q_{in}}{\left(ET + 2Q_{in}\right)^2} \tag{16}$$

Before calculating  $C_{IA}$ , the elasticity coefficient ( $\epsilon_{IA}$ ) was determined to characterize the sensitivity of *IA* to *PRR* (Xin et al., 2021):

$$\varepsilon_{IA} = \frac{\partial PRR}{\partial IA} \cdot \frac{IA}{PRR} = \frac{\partial PRR}{\partial ET} \cdot \frac{\partial ET}{\partial IA} \cdot \frac{IA}{PRR} = \frac{2Q_{in}}{(ET + 2Q_{in})^2} \cdot \frac{\partial ET}{\partial IA} \cdot \frac{IA}{PRR}$$
(17)

$$\frac{\Delta PRR_{IA}}{PRR} = \varepsilon_{IA} \cdot \frac{\Delta IA}{IA} \tag{18}$$

$$C_{IA} = \frac{\Delta PRR_{IA}}{\Delta PRR} = \varepsilon_{IA} \cdot \frac{\Delta IA}{IA} \cdot \frac{PRR}{\Delta PRR}$$
(19)

$$C_{IA} = \frac{2Q_{in}}{\left(ET + 2Q_{in}\right)^2} \cdot \frac{\partial ET}{\partial IA} \cdot \frac{IA}{PRR} \cdot \frac{\Delta IA}{IA} \cdot \frac{PRR}{\Delta PRR} = \frac{2Q_{in}}{\left(ET + 2Q_{in}\right)^2} \cdot \frac{\partial ET}{\partial IA} \cdot \frac{\Delta IA}{\Delta PRR}$$
(20)

where  $\Delta IA$  is the change in *IA* during a specific period,  $\Delta PRR$  is the change in *PRR* during the same time period, and  $\Delta PRR_{IA}$  is the change in *PRR* caused by  $\Delta IA$ .

#### 2.3.3. Evaluation metrics for all results

Four *ET* products from TPDC, ERA5, GLDAS, and GLEAM were used to systematically evaluate *ET* estimated by the atmospheric–terrestrial water balance method. Correlation coefficient (*R*) and root mean square error (*RMSE*) were used to evaluate *ET* derived from four products and A–T.

$$R = \frac{\sum_{i=1}^{n} (\mathbf{x}_i - \overline{\mathbf{x}}) \cdot (\mathbf{y}_i - \overline{\mathbf{y}})}{\sqrt{\sum_{i=1}^{n} (\mathbf{x}_i - \overline{\mathbf{x}})) \cdot (\sum_{i=1}^{n} (\mathbf{y}_i - \overline{\mathbf{y}})}}$$
(21)

$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (x_i - y_i)^2}{n}}$$
(22)

where  $x_i$  is the variable to be evaluated,  $y_i$  is the corresponding baseline variable, the overbar is the average value, and n is the number of data pairs.

#### 2.3.4. Identification of turning point and threshold for irrigated area

The Pettitt test was employed to identify turning point of irrigated area in the NWC and its three provinces during 1982–2018. Relevant equations for the Pettitt test were summarized in Text S2 of the Supplementary Material. Threshold is a value within which irrigated area expansion can enhance precipitation recycling. The simultaneous increase or decrease in irrigated area and *PRR* indicates that the irrigated area has not exceeded the threshold.

#### 3. Results

## 3.1. Characteristics of the variations in irrigated area, precipitation, and $Q_{\rm in}$ in NWC

Fig. 3 shows that the irrigated area significantly expanded (p < 0.01) at the rate of  $0.17 \times 10^6$  ha/year between 1982 and 2018. The irrigated area expanded from 2.88  $\times$   $10^{6}$  ha in 1982–8.23  $\times$   $10^{6}$  ha in 2018 and the rate of increase was 185.8%. During 1982-2018, irrigated area change in Xinjiang accounted for 74.1% of the NWC. The results based on the Pettitt test indicated a change point in 2002 for the rate in irrigated area increase (Fig. S1), from  $0.07 \times 10^6$  ha/year to  $0.217 \times 10^6$ ha/year. The irrigated area had significantly increased (p<0.01) at the rate of  $0.07 \times 10^6$  ha/year before 2002. After 2002, the irrigated area had significantly increased (p < 0.01) at the rate of  $0.217 \times 10^6$  ha/year, three times as much as before 2002. Precipitation also significantly increased (p<0.01) between 1982 and 2018 at the rate of 2.47 mm/ year. Precipitation in NWC significantly increased (p < 0.05) at the rate of 2.16 mm/year before 2002 and slightly decreased thereafter, consistent with previous studies (Peng and Zhou, 2017; Yang et al., 2017). Precipitation also increased from  $\sim$ 183.5 mm to  $\sim$ 267.3 mm (> 40%) between 1982 and 2018.

Due to the east-west topography, the NWC was mainly influenced by the water vapor flux along the westerly wind belt in the latitudinal direction (Wu et al., 2019). The column–integrated water vapor transported into NWC ( $Q_{in}$ ) non-significantly decreased at the rate of 1.32 mm/year between 1982 and 2018. During the period of 1982–2018, the trend of  $Q_{in}$  is not clear but with large variations.  $Q_{in}$ significantly decreased (p<0.05) at the rate of 7.71 mm/year before 2002 and slightly increased at the rate of 1.02 mm/year thereafter.

However, during the period of 1982–2018, the irrigated area significantly expanded and precipitation significantly increased. The formation of precipitation might depend more on the water vapor provided by local *ET*. Irrigation and greening could also accelerate precipitation recycling (Pei et al., 2016; Yu et al., 2016). The rapid



**Fig. 3.** Land use types (a), horizontal vapor flux (b), irrigated area (c), precipitation (d), and column–integrated water vapor ( $Q_{in}$ ) transported into NWC (e). \*\* represents p<0.01; \* represents p<0.05. Vertical dash–dotted line represents dividing year of 2002. Shaded area represents 95% confidence level.

development of irrigated agriculture in NWC may have influenced local precipitation recycling and variations in precipitation. Thus, the mechanisms and the patterns of variation in precipitation recycling must be examined within the context of irrigated area expansion in NWC.

#### 3.2. Accurate ET estimation

#### 3.2.1. Temporal ET verification

The mean, maximum and minimum values of annual ET provided by A-T, ET-TPDC, ET-ERA5, ET-GLDAS, and ET-GLEAM during 2003–2017 are shown in Table 1. Similar to the spatial distribution of precipitation (Fig. 1), ET was relatively higher in southeastern part of the NWC (Fig. S2), especially in Shaanxi and southern Gansu. Temporal and spatial results of ET were provided in the Supplementary Material, including calculations for cool months (September to February) and warm months (March to August) (Fig. S3 and Fig. S4). The ET products from TPDC, ERA5, GLDAS, and GLEAM were used to validate and systematically evaluate ET estimated by the atmospheric-terrestrial water balance method. Fig. 4 shows that all four ET products mutually displayed high  $R^2$  (0.97–0.98) and low *RMSE* (2.18–11.13 mm/month). Hence, it was reasonable to adopt ET derived from all four products as baselines to evaluate the performance of ET for NWC estimated by the atmospheric-terrestrial water balance method. The scatterplots in Fig. 5 show that ET estimated by atmospheric-terrestrial water balance method was consistent with ET provided by all four products. A-T exhibited considerably high  $R^2$  and low *RMSE* with respect to *ET* provided by the four products. When ET-TPDC, ET-ERA5, ET-GLDAS, and ET–GLEAM were the baseline, the  $R^2$  for A–T were 0.93, 0.95, 0.93, and

#### Table 1

The mean, maximum and minimum values of annual ET.

Annual ET	Average values	Maximum values	Minimum values
	(mm)	(mm)	(mm)
A–T	234.6	276.3	209.7
ET–TPDC	247.7	269.2	222.0
ET–ERA5	313.5	332.4	295.9
ET–GLDAS	216.0	233.9	197.1
ET-GLEAM	214.9	243.6	198.5

Note: A–T is *ET* estimated by atmospheric–terrestrial water balance method. ET–TPDC is *ET* provided by TPDC. ET–ERA5 is *ET* provided by ERA5. ET–GLDAS is *ET* provided by GLDAS. ET–GLEAM is *ET* provided by GLEAM. 0.94, respectively, while the *RMSE* for A–T were 5.47 mm/month, 8.58 mm/month, 5.15 mm/month, and 4.79 mm/month, respectively. The scatterplots for irrigated area, rainfed area, grassland and forestland were provided in Supplementary Material (Fig. S5, Fig. S6, Fig. S7 and Fig. S8), including annual, cool months and warm months.

#### 3.2.2. Spatial ET verification

A–T had a high spatial correlation with *ET* provided by all four products. Fig. 6 shows that the spatial correlation coefficient for A–T reached a maximum of 0.97 and was > 0.5 in most areas when ET–TPDC was the baseline. Similar results were obtained using *ET* provided by ERA5, GLDAS, and GLEAM as baselines. Fig. 7 shows the spatial distribution of the correlation coefficients between *ET* provided by the four products and *ET* estimated by the atmospheric–terrestrial water balance method. Overall, A–T made accurate spatial characterizations of *ET* in NWC. The spatial correlation coefficients were low only in the central and northern parts of Xinjiang and western Inner Mongolia.

The annual scale correlation results (Fig. S9) also indicated that the atmospheric–terrestrial water balance method could accurately describe the *ET* in NWC and provided a reliable reference for effective *ET* estimation. For these reasons, the atmospheric–terrestrial water balance method was used to estimate the long–term (1982–2018) characteristics of the variations in *ET* and *PRR*.

### 3.3. Precipitation recycling and the contribution of change in irrigated area to PRR

*ET* is the first step in precipitation recycling and the local water vapor source for *RP*. The variation characteristics of *ET* in NWC between 1982 and 2018 are shown in Fig. 8. *ET* was relatively large in areas covered by irrigated land such as Shaanxi, southern Gansu, and western Xinjiang. In contrast, *ET* was comparatively smaller in desert areas such as the eastern and central parts of Xinjiang, western Inner Mongolia, and northwestern Qinghai and Gansu. There were regions of negative *ET* and condensed water at the junction of desert and oasis. Sharp nocturnal temperature drops caused water vapor to condense in these areas (Smirnov, 2020). Condensation might also be enhanced by the expansion of irrigated area because *ET* provided considerable water vapor through water vapor transport. Condensation captured by A–T indicates a land–atmosphere coupling mechanism in NWC. There was a noticeable shift in *ET* during 1982–2018. *ET* significantly increased (p<0.01) at the



Fig. 4. Heatmap of R<sup>2</sup> (a) and RMSE (b) among monthly ET derived from TPDC, ERA5, GLDAS and GLEAM and by the atmospheric–terrestrial water balance method.



Fig. 5. Scatterplots of monthly ET derived from TPDC (a), ERA5 (b), GLDAS (c), and GLEAM (d) vs. A-T. Shaded area represents 95% confidence level.

rate of 1.18 mm/year before 2002 and nonsignificantly decreased at the rate of 1.6 mm/year thereafter. Before 2002, the increase in precipitation and irrigated area contributed to the significant (p<0.01) increase in *ET* (Kemena et al., 2018). After 2002, although the irrigated area increased, the precipitation decreased nonsignificantly (Deng et al., 2014). In addition, the increased withdrawal of surface water and groundwater for irrigation led to a significant decline in terrestrial water

storage after 2002 (Lai et al., 2022).

*PRR* in NWC nonsignificantly decreased between 1982 and 2018 at the rate of 0.01%/year. *PRR* significantly increased (p<0.01) at the rate of 0.21%/year before 2002 and nonsignificantly decreased at the rate of 0.13%/year thereafter. The decrease in *PRR* after 2002 also caused the decreasing trend in *PRR* between 1982 and 2018. In addition, *PRR* increased with the expansion of spatial scale (Fig. S10). *RP* significantly



**Fig. 6.** Violin diagram of spatial correlations between monthly *ET* provided by four products and A–T.

increased (p<0.01) between 1982 and 2018 at the rate of 0.37 mm/ year. *RP* significantly increased (p<0.01) at the rate of 0.86 mm/year before 2002 and reached a maximum of 60.4 mm in 2002 with an increase of ~100%. Before 2002, the elevation in *RP*, precipitation, and *PRR* were consistent with the trend in irrigated area, and the significant increases in precipitation and *PRR* substantially improved *RP*. Moreover, the enhancement of *RP* before 2002 could offset any water loss caused by *ET*. After 2002, *RP* nonsignificantly decreased at the rate of 0.43 mm/year.

The irrigated area is mainly concentrated in Xinjiang, Gansu, and Shaanxi, which account for 59.3%, 16.2%, and 15.5% of the irrigation area in NWC, with a total proportion of more than 90%. Therefore, to further investigate the effects and spatial heterogeneity of irrigated area changes on *PRR*, we examined the variations in irrigated area and their contributions to change in *PRR* in Xinjiang, Gansu, and Shaanxi. Fig. 9 shows that the irrigated area contributed 18.3% to the increase in *PRR* in NWC between 1982 and 2002. Rapid expansion of the irrigated area enhanced precipitation recycling, promoted *RP* and, by extension, significantly increased precipitation. After the turning point (2002), irrigated area in NWC increased more significantly. Consequently, the contribution of irrigated area change to the change in *PRR* increased

from 18.3% to 22.9%, with *PRR* decreased. And the elasticity coefficient changed from positive to negative, indicating that the positive effect of irrigated area expansion on precipitation recycling disappeared after 2002.

Fig. 10 shows that the irrigated area in Xinjiang, Gansu and Shaanxi all increased significantly during the period of 1982-2018. In Xinjiang, both irrigated area and *PRR* significantly increased (p < 0.01) before 2000, but PRR decreased after 2000. The contribution of the irrigated area to the changes in PRR in Xinjiang was 30.7% before 2000 and decreased to 24.5% after 2000. In Gansu, irrigated area significantly increased (p<0.05) as did the *PRR* (p<0.01) before 2002. After 2002, irrigated area significantly increased (p < 0.01) whereas the *PRR* nonsignificantly decreased. However, the contribution of the irrigated area to the change in PRR decreased from 19.9% to -95.6%. Hence, the positive effect of irrigated area expansion on precipitation recycling in Xinjiang and Gansu disappeared after the turning point, which consumed large amounts of water resources and led to water loss (Harding and Snyder, 2012). In Shaanxi, both irrigated area and PRR decreased nonsignificantly before 2006 and increased nonsignificantly after 2006. The contribution of the irrigated area to the change in PRR decreased from 121.1% to 14.5%. From the perspective of increasing PRR, continued expansion of the irrigated area in Shaanxi is possible, as increased precipitation recycling could mitigate agricultural water consumption(Gui et al., 2022b).

#### 4. Discussion

#### 4.1. Threshold and potential for irrigated area expansion

If the irrigated area and *PRR* increase or decrease simultaneously, it indicates that the irrigated area has not exceeded the threshold. In this case, increased precipitation caused by the expansion of irrigated agriculture could compensate for water loss through *ET* and there is potential for continued expansion. If water loss due to *ET* is much larger than increased precipitation, it indicates that the irrigated area has exceeded the threshold, which results in net water loss (Harding and Snyder, 2012). However, the threshold for irrigated area is related to



Fig. 7. Spatial distribution of correlation coefficients between A-T and monthly ET provided by TPDC (a), ERA5 (b), GLDAS (c), and GLEAM (d).



Fig. 8. Spatial distribution of *ET* (a) and variations in *ET* (b), *PRR* (c), and *RP* (d) in NWC between 1982 and 2018. Green dots represent regions with condensed water. *PRR* denotes the precipitation recycling ratio and *RP* denotes the recycled precipitation. Shaded area represents 95% confidence level.

![](_page_8_Figure_4.jpeg)

Fig. 9. Contribution of the change in irrigated area to the change in PRR in NWC (a), Xinjiang (b), Gansu (c) and Shaanxi (d) between 1982 and 2018.

precipitation, temperature, irrigation efficiency, atmospheric circulation and other factors (Layton and Ellison, 2016; Pei et al., 2016). The threshold may be relatively high for regions with favorable climatic conditions where water vapor is conducive to precipitation formation (Gui et al., 2022a). Precipitation and terrestrial water storage in Shaanxi are more abundant than those in Gansu and Xinjiang(Lai et al., 2022), so irrigation area in Shaanxi has not exceeded the threshold after the turning point. Recent study has revealed that as irrigation efficiency improved, crop water stress in the Tarim River Basin significantly declined, which in turn raised the threshold for irrigated area (Fu et al., 2022). Global warming leads to glacier and snow melting and alteration of surface available water resources, which will inevitably affect the stability of threshold and turning point of (Biemans et al., 2019).

Whether expansion of the irrigated area is sustainable deserves concern. In NWC, the contribution of the change in irrigated area to the increase in *PRR* was 18.3% between 1982 and 2002. Thus, the expansion of irrigated area before 2002 played a non-negligible role in the improvement of *PRR*. After 2002, the positive effect of irrigated area expansion on precipitation recycling disappeared. The irrigated area in NWC, with a multi-year average of  $6.49 \times 10^6$  ha after 2002, exceeded

![](_page_9_Figure_2.jpeg)

Fig. 10. Spatial distribution and proportion of irrigated area in 1982 (a), 2000 (b) and 2018(c), irrigated area in Xinjiang (d), Gansu (e), and Shaanxi (f), *PRR* in Xinjiang (g), Gansu (h), and Shaanxi (i). Shaded area represents 95% confidence level.

the threshold and had the opposite effect on *PRR*. Hence, the threshold of irrigated area in NWC is less than 6.49  $\times$  10<sup>6</sup> ha.

Xinjiang is the largest province in NWC and the effect of irrigated area expansion on *PRR* was relatively more apparent there. With the rapid expansion of irrigated area, the *PRR* showed a decreasing trend after 2000, and the irrigated area in Xinjiang exceeded the threshold. In Gansu, the irrigated area exceeded the threshold, with *PRR* decreased after the turning point. A study on the Great Plains also indicated that the expansion of irrigated agriculture could result in a net water loss, because the water loss caused by *ET* was much greater than the increase in *RP* in this region (Harding and Snyder, 2012). Prior to 2006, the reduction of irrigated area in Shaanxi had an inhibitory effect on precipitation recycling. After 2006, expansion of the irrigated area in Shaanxi had a positive effect on the increase in *PRR*. For this reason, there is potential for continued expansion of the irrigated area in Shaanxi as enhanced precipitation recycling could offset the increase in agricultural water consumption. Similarly, results based on GCM model indicated that increased precipitation caused by irrigation could

![](_page_9_Figure_7.jpeg)

Fig. 11. Precipitation recycling in NWC. 1.18/–1.60 denotes that *ET* increased at the rate of 1.18 mm/year before 2002 and decreased at the rate of 1.60 mm/year after 2002. The same holds true for the other variables. *IA* denotes the irrigated area. Other acronyms remain consistent with those in the previous figure captions.

mitigate the water consumption by *ET* (Ornstein et al., 2009). The positive vegetation–precipitation feedback in the Sahara was dominated by amplified precipitation recycling (Yu et al., 2017).

#### 4.2. Mechanism of change in precipitation recycling

Although PRR in NWC did not exceed 25%, the changes in RP had a significant impact on the variation in total precipitation. Fig. 11 shows that the rates of increase in total precipitation and RP between 1982 and 2002 were 2.16 mm/year and 0.86 mm/year, respectively. In addition, the rates of decrease in total precipitation and RP between 2003 and 2018 were 0.60 mm/year and 0.43 mm/year, respectively. The increase in RP accounted for 39.8% of the total increase in precipitation before 2002, and the decrease in RP accounted for 71.7% of the total increase in precipitation after 2002. Prior to 2002, ET increased at the rate of 1.18 mm/year with the irrigated area expanded at the rate of  $0.07 \times 10^6$ ha/year. The enhancement of RP offset the effect of agricultural irrigation on water consumption. In the Southern United States (Wei et al., 2016) and on the Tibetan Plateau (Yan et al., 2020), water vapor is transported from the outside and atmospheric circulation has a strong impact on variations in precipitation. By contrast, NWC is located deep within the central part of the Eurasian continent and is distant from the ocean (Liu et al., 2019). Hence, NWC is more likely to be affected by water vapor originating from local ET, compared to the coastal areas. For NWC, RP is an essential water source, which can effectively alleviate the contradiction between water supply and demand caused by agricultural expansion (Tuinenburg et al., 2014).

The rapid development of irrigated agriculture in NWC had a nonnegligible impact on local precipitation recycling and variations in precipitation. During the period of 1982-2018, the irrigated area significantly expanded and precipitation significantly increased. The formation of precipitation might depend more on the water vapor provided by local ET. Thus, it is important to elucidate the mechanisms by which changes in the irrigated area influence precipitation recycling. Irrigation and greening could accelerate precipitation recycling (Pei et al., 2016; Yu et al., 2016). Large-scale expansion in irrigated area alters surface cover and land use, consumes relatively more surface and groundwater resources for agricultural irrigation, enhances ET, promotes water vapor exchange between the atmosphere and the land, and increases RP and PRR. Precipitation in NWC has increased in response to enhanced local precipitation recycling. Moreover, precipitation recycling has seasonality. In summertime, water vapor input from the outside also increases. Nevertheless, ET provided by crops in the growing season plays a comparatively more important role in precipitation recycling. During 1982–2018, the annual mean RP in the NWC was 65.0 mm, with 53.3 mm in warm months. In NWC, more than 80% of RP is concentrated in warm months as the temperature rises, the interaction between the atmosphere and the land is strengthened during the growing season, and precipitation recycling is accelerated, which increases the risk of floods. It has been demonstrated that the risk of floods in Xinjiang is expected to increase due to extreme precipitation, especially in the Tianshan Mountains (Yao et al., 2022). In the arid Heihe River Basin of China, transpiration from vegetation contributes relatively more water vapor to the atmosphere and plays a comparatively greater role in precipitation recycling than soil evaporation (Zhao et al., 2019). ET is the primary source of water vapor for growing season precipitation in the agroecosystems of the Canadian Prairies (Raddatz, 2007). Therefore, *ET* could be a vital connection between the change in irrigated area and precipitation recycling.

Climate change intensifies the hydrological cycle (Tabari, 2020). Its remarkable warming effect on NWC and expansion of the irrigated area have accelerated precipitation recycling there. NWC is highly sensitive to global warming. Its temperature has increased by  $0.34 \,^\circ$ C/decade and has significantly exceeded the global average over the past 50 years (Chen et al., 2015). The increases in temperature have enhanced *ET* and the atmospheric water holding capacity in NWC, triggered more

convective precipitation, and fortified local precipitation recycling (Algarra et al., 2020). However, with the melting of glacier and snow and the decline in terrestrial water storage (Lai et al., 2022), the sustainability of surface water resources in NWC may be threatened. Therefore, while paying attention to the intensification of precipitation recycling caused by irrigated area expansion, sustaining water cannot be ignored in the context of climate warming.

#### 4.3. Uncertainties and limitations

This study may have some uncertainties and limitations regarding the PRR quantification and its calculation model development. The accuracy of PRR quantification relies on the methodology for quantifying water vapor transformation attributed to atmospheric-terrestrial interactions. In this study, the Brubaker model used to calculate PRR assumed a linear relationship between evaporated and advected water vapor, and set the fraction of the precipitation from advective water vapor and the fraction of the precipitation from ET as constants on regional averages. Therefore, Only the PRR covering the whole NWC and its three provinces was calculated, but the spatial heterogeneity of PRR within the NWC was not investigated. Given that irrigated land is mainly distributed in northwestern Xinjiang, the PRR in northwestern Xinjiang may be larger than that in southeastern Xinjiang (Yao et al., 2022). In addition, the contribution of local water vapor provided by ET to precipitation in NWC was quantified through PRR. It has been demonstrated that the sources of water vapor for precipitation in NWC include the Arctic Ocean, the North Atlantic, the tropical Indian Ocean, and South China Sea (Wu et al., 2019; Yao et al., 2020). During 1982–2018, contribution of the change in irrigated area to the change in PRR through Q<sub>in</sub> was quantified (Fig. S11). However, the contribution of external water vapor from different regions to precipitation in NWC was not explored. These could be clarified in the future by combining other models such as WAM2-layers, FLEXPART etc (Huang and Cui, 2015; Zhang et al., 2017).

The data employed in the study may also give rise to some uncertainties and limitations for our results. In NWC, the radiation is strong and cannot be ignored (Huang et al., 2016). However, the sublimation module in GLEAM does not consider radiation (Li et al., 2019), which may affect the accurate estimation of ET–GLEAM and result in uncertainty in the verification of *ET*. In addition, ET–TPDC is derived from the complementary–relationship method, with input data including surface temperature and humidity provided by ERA5 (Ma and Szilagyi, 2019). Therefore, ET–TPDC and ET–ERA5 are not completely independent, which may have an impact the validation of *ET* in NWC.

#### 5. Conclusions

The present study explored the variations in the characteristics of the irrigated area, precipitation, and  $Q_{in}$  in NWC between 1982 and 2018. The atmospheric–terrestrial water balance method was used to estimate *ET* and precipitation recycling was investigated based on the Brubaker model and A–T. The contributions of the changes in the irrigated area to *PRR* were quantified for NWC and Xinjiang, Gansu, and Shaanxi Provinces. Moreover, the potential for irrigated area expansion was explored. The main conclusions are summarized as follows.

The atmospheric–terrestrial water balance method could accurately describe the spatiotemporal characteristics of *ET* in NWC and has been verified for different land use types and different time scales. A–T considers atmospheric–terrestrial interactions and external water vapor transport, which lays a solid foundation for the accurate calculation of *PRR* and *RP* in NWC. Expansion of the irrigated area increased the amount of local water vapor that *ET* delivers to the atmosphere which, by extension, enhanced local precipitation recycling. Rapid increases in temperature promote *ET* and atmospheric water holding capacity, had a positive impact on precipitation recycling. However, after 2002, more surface water and groundwater were needed to maintain the rapid

expansion of irrigated area in NWC. In NWC, rapid expansion of the irrigated area enhanced precipitation recycling before 2002, and the irrigated area exceeded the threshold after 2002. In Shaanxi, there is potential for continued expansion of the irrigated area. However, the irrigated area in Xinjiang and Gansu exceeded the threshold after the turning point and needs to be limited.

#### CRediT authorship contribution statement

Guanhua Huang: Writing – review & editing, Supervision. Qiankun Niu: Writing – review & editing, Data curation. Liu Liu: Writing – review & editing, Writing – original draft, Validation, Project administration, Methodology, Investigation, Funding acquisition, Formal analysis, Conceptualization. Yongming Cheng: Writing – review & editing, Visualization, Methodology, Data curation. Xuanxuan Wang: Writing – review & editing, Writing – original draft, Visualization, Validation, Methodology, Formal analysis, Conceptualization.

#### **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### Data availability

Data will be made available on request.

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#### Appendix A. Supporting information

Supplementary data associated with this article can be found in the online version at doi:10.1016/j.agwat.2024.108904.

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