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1 Hydrothermolysis of organosolv lignin for the production of bio-oil rich in 2 monoaromatic phenolic compounds

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28 ABSTRACT

Bio-oils rich in monoaromatic phenolic compounds were produced by a hydrothermal 29 treatment in a batch reactor from organosolv lignin derived from beech wood. Reaction 30 31 temperatures and times were varied (270 - 350 °C and 10 - 120 min, respectively). 32 Increase in the temperature at a particular reaction time had a positive impact on the biooil yields, which varied from 8.0 wt.% to 14.6 wt.%, based on the original amount of dry 33 34 lignin. GC-MS analysis of bio-oils revealed that the yields of monoaromatic compounds 35 ranged from 22 - 65 wt.% of bio-oil depending on the reaction conditions. Syringol (8.9 -22.8 wt.% of bio-oil), guaiacol (2.6 - 9.3 wt.% of bio-oil), pyrocatechol (0 - 12.4 wt.% of 36 37 bio-oil), 3-methoxycatechol (0 - 21 wt.% of bio-oil), 4-methylsyringol (0.5 - 5.9 wt.% of biooil), and syringaldehyde (0 - 9 wt.% of bio-oil) were identified as the major aromatic 38 compounds. In addition to bio-oil, gaseous components, water solubles, char, and 39 40 undegraded lignin were formed in the experiments. The mass balances of the 41 experiments were constructed. The results show that monoaromatics can be produced at 42 a moderate yield through uncatalysed lignin hydrothermolysis; char formation remains as an obstacle, however, and its prevention calls for the usage of catalysts and/or organic 43 solvents. 44

45 **KEYWORDS**

46 Bio-oil, Hydrothermal degradation, Lignin, Monoaromatics

47 **1. Introduction**

A biorefinery refers to a facility that integrates processes and technologies to convert 48 biomass to energy and value-added products such as fuels and chemicals [1]. Chemical 49 pulp mills have a high potential for becoming future biorefineries. They utilize a large 50 51 amount of lignocellulosic biomass for the production of chemical pulp. Currently, the 52 majority of chemical pulp mills produce paper grade pulp, consisting of cellulose and 53 hemicelluloses in a typical yield of 90% and 50% on the initial raw material, while the 54 lignin fraction, together with the degraded carbohydrates, is dissolved in the cooking 55 liquor after degradation and fragmentation reactions. The resulting black liquor is concentrated by the evaporation of water before it is incinerated. In this way, the cooking 56 57 chemicals are recycled and the energy content of the dissolved lignocellulose fraction is 58 utilized as steam and power for the energy supply of the pulp mill [2, 3].

Lignin is considered a side product of pulp industry. Kraft lignin and lignosulfonates are commercially available whereas organosolv lignin is produced for research purposes on small scale. In the kraft process, NaOH and Na₂S are utilized to degrade and dissolve lignin from wood in a water/alkali mixture at *ca.* 170 °C [4]. Recent developments have enabled the commercial extraction of kraft lignin with *e.g.* Lignoboost technology [5] through acidification of black liquor with CO₂ and H₂SO₄ followed by precipitation, filtration 65 and washing. Acid sulfite pulping utilizes hydrated SO₂ together with mono or divalent counter ions such Na⁺, Mg²⁺ and Ca²⁺ to solubilize lignin from wood at 120 - 180 °C. 66 Lignin obtained from acid sulfite process is known as lignosulfonate due to incorporation 67 of sulfonate groups in its structure. The organic sulfur content of kraft lignin is 1 - 3 wt.%, 68 in contrast to 4 – 8 wt.% in lignosulfonates. Lignosulfonates have a high molar mass 69 ranging from 12,000 to 60,000 gmol⁻¹. Organosolv lignin is produced by treating wood 70 71 with aqueous organic solvents such as ethanol or methanol at elevated temperature. The 72 Alcell process, a well-known organosolv process, utilizes 50% aqueous ethanol mixture 73 at 190 °C and 28 bar with a digestion time of 1 hour. The organic sulfur content of 74 organosolv lignin is negligible, the molar mass is low and the distribution is narrow, 75 ranging from less than 1,000 to 4,000 g mol⁻¹ [4].

From the annual production of 50 million tons of lignin only a small fraction [6] is recovered
as low-value products such as flocculating and dispersing agents. These applications can
be seen as an underutilization of lignin's potential; [7, 8] additionally, commercial products
from lignin available today include vanillin from sulfite lignin and dimethyl sulfoxide
(DMSO) from kraft lignin. [9, 10, 11]

81 As a renewable source for aromatics, lignin is an obvious choice: it is widely available and therefore it has the potential of becoming an alternative feedstock for aromatic 82 chemicals, currently obtained from petroleum (e.g. phenols). A hindrance for utilizing 83 84 lignin as a chemical feedstock is its complex, amorphous structure. The lignin polymer is an aromatic network composed of three basic monolignols, namely p-coumaryl alcohol 85 (H), coniferyl alcohol (G), and sinapyl alcohol (S) [12, 13]. These units are connected to 86 each other with a number of different ether and carbon-carbon bonds among which the 87 ether bonds are in majority [14, 4]. Along with these bonds, lignin is presumably covalently 88 89 linked to hemicelluloses forming so-called lignin carbohydrate complexes [14].

90 Despite all the challenges which lignin presents due to its structural complexity, there has 91 been a substantial interest in finding techniques to utilize lignin as a raw material for higher 92 value products. The techniques applied for lignin conversion include pyrolysis, 93 gasification, liquefaction and chemical degradation such as acid, base and metal 94 catalyzed reactions [15, 16]. Among the liquefaction techniques hydrothermal treatment 95 has recently gained attraction [17]. This process exploits water at subcritical (liquid water at 100 - 374 °C) and supercritical (water above 221 bar and 374 °C) conditions to convert 96 97 lignin to low molecular weight chemicals such as phenol, guaiacol, and catechols. The solvent properties of water vary along with changes in conditions, e.g. the values of 98 99 dielectric constant, ion product, pH, and density are heavily dependent on the 100 temperature and pressure [18]. As an example, the dielectric constant of water decreases 101 from 78 at 25 °C to 21 at 300 °C, with a further decrease to 4.1 at 500 °C [19]. Low 102 dielectric constant results in increased solubility of organic hydrophobic substances; this is manifested in results published by Zhang et al. [20]: the solubility of pine kraft lignin in 103

water is very limited at ambient conditions (2.7 %), whereas it dissolves completely in supercritical water. In addition to changes in the dielectric constant, the higher ion product (K_w) of water under subcritical conditions (10^{-12} (mol kg⁻¹)², *ca.* 350 °C) compared to water at room temperature (10^{-14} (mol kg⁻¹)², *ca.* 25 °C) leads to an increased concentration of H⁺ and OH⁻ ions, thus promoting acid or base catalyzed reactions [17, 19].

109 Recently, several attempts [20 - 26] have been reported for converting lignin into low 110 molecular weight phenolic compounds using hydrothermal techniques. In most of these studies emphasis is given to supercritical and near supercritical water. At short residence 111 time, supercritical water can result in a higher yield of phenolic compounds, such as 112 guaiacol, compared to subcritical water; however, the compounds are highly reactive in 113 114 supercritical water and undergo rapid repolymerization [21, 22]. Consequently, in several 115 studies [20 – 22] the yield of the solid residue of lignin degradation in supercritical water 116 is mentioned to be higher compared to depolymerization experiments carried out in 117 subcritical water [22]; nevertheless, contradicting results have also been published [23]. 118 The degradation rate of some abundant phenolic compounds such as guaiacol and 119 catechol has been observed to be faster in supercritical conditions compared to subcritical 120 and near supercritical conditions [21, 23]. Saisu et al [24] and Okuda et al [25] have suggested that the addition of phenol to water could supress the formation of residual 121 122 solids during hydrothermal treatment of lignin. However, complete suppression of solid 123 residue is still a challenge under non-catalytic hydrothermal conditions.

The objective of this work is to investigate the potential of hydrothermolysis at subcritical 124 125 conditions as a method for converting beech organosolv lignin to monoaromatic phenolic compounds. The reasons for prioritizing organosolv lignin over kraft lignin are sulfur free 126 127 nature, narrow polydispersity, and limited carbohydrate contamination. The presence of 128 sulfur complicates the subsequent derivatization of kraft lignin and hinders its use as a 129 substrate for producing biofuels. Special attention is given to separating residual lignin 130 from char and calculating mass balances, enhancing the understanding of the product distribution at various reaction conditions. Also, yields of water soluble compounds as 131 well as effects of reaction conditions on the formation of monoaromatic phenolic 132 133 compounds are studied. These aspects are largely missing from earlier publications in 134 this area [20 - 23], which report mostly experiments with softwood alkali lignin and in which the separation techniques fail to separate residual lignin from char; instead, in many 135 136 occasions residual lignin has been extracted with an organic solvent and included in the 137 bio-oil yield.

138

139 2. Materials and Methods

140 2.1. Materials

141 All degradation experiments of this study were performed with beech wood organosolv 142 lignin (supplied by Fraunhofer Institute, Germany). Lignin model compounds, including phenol (99.5%), guaiacol (98%), catechol (99.0%), 4-methylcatechol (95.0%), 143 syringaldehyde (98.0%), 4-methylsyringol (97.0%), 3-methoxycatechol (99.0%), 4-144 145 methylguaiacol (98.0%), and syringol (99.0%) were purchased from Sigma Aldrich and used as standard compounds for locating the peaks and plotting calibration curves to 146 calculate individual response factors in GC-MS. Anisole (purity 99.0%) purchased from 147 Sigma Aldrich was used as an internal standard. Tetrahydrofuran (THF; 99.9%), and 148 149 ethylacetate (99.7%) were also purchased from Sigma Aldrich and used either for 150 extraction or solubilizing bio-oil for analysis. Acetic anhydride (99.6%, VWR chemicals BDH Prolabo), methanol (99.8%, Sigma Aldrich), and ethanol (99.5%, Altia Oyj) were 151 152 used in acetylation and purification of lignin. Sodium hydroxide (99.2%, VWR chemicals 153 BDH Prolabo) was used for separating undegraded lignin from char. Sulfuric acid (95.0 -154 97.0%, Sigma Aldrich) was used for acidification. All chemicals were used as received except sodium hydroxide and sulfuric acid which were diluted to required concentrations 155 in distilled water. 156

157 2.2. Experimental Methods

158 2.2.1. Hydrothermal degradation of lignin

159

Hydrothermal degradation of lignin was performed in a stainless steel (T316) Parr 4575 160 batch reactor of 500 ml volume, equipped with a 4848 reactor controller. Reactions were 161 conducted by charging the reactor with 5 g of organosolv lignin together with 200 mL of 162 distilled water. The reactor was sealed and purged with nitrogen gas three times to 163 164 remove air and for detecting possible leakages. Subsequently, reactions were carried out 165 at 270, 290, 310, and 350 °C for 10, 20, 30, 60, and 120 min. Reactor contents were heated from room temperature to the desired temperature under constant stirring at 200 166 rpm. Upon reaching the reaction time, the reactor was cooled to room temperature by 167 circulating water in the cooling tube inside of the reactor vessel, after which the pressure 168 was released through the relief valve and the reactor was opened. 169

170 2.2.2. Bio-Oil Extraction

The separation procedure is schematically presented in Figure 1. The contents of the reactor were emptied and filtered to remove solids from the aqueous phase. The aqueous phase containing the degraded lignin compounds was solvent extracted by mixing 100 mL ethyl acetate to recover the bio-oil. Ethyl acetate was removed from the mixture with rotary evaporator and the bio-oil was recovered as a viscous liquid.

176 2.2.3. Solids Separation

177 The reactor was filled with 200 mL of 1 M NaOH and agitated under high speed (700 rpm) 178 for 30 minutes to completely clean the reactor from char and residual lignin remaining on the reactor walls and the propeller. The caustic solution from the reactor was mixed with 179 the filtered solids. Subsequently, the solution was filtered, separating the solid char from 180 the dissolved lignin residue. 5 M H₂SO₄ was added to acidify the filtered caustic solution 181 to a pH of 1.5 at which the residual lignin was precipitated and the solution was filtered to 182 recover the residual lignin. After thoroughly washing with distilled water, the precipitated 183 lignin was left for drying at room temperature overnight. 184





^{200 2.3.} Analytical Methods

The molecular weight averages of the substrate lignin and the bio-oil were determined with Agilent HPLC-system by means of Phenogel (5 μ m – 5 nm and 100 nm) columns and UV detector at 280 nm. THF was used as an eluent at a rate of 1.0 mL min⁻¹ and the analysis was carried out at room temperature. Calibration was performed using syringol and biphenyl together with polystyrene standards ranging from 76,600 g mol⁻¹ to 208 g mol⁻¹. Lignin sample was acetylated prior to analysis to make it soluble in THF by a published method [27] with a slight modification: ethanol (instead of methanol) was added to and removed from the sample seven times to completely remove unreacted acetylation chemicals and evaporated to dryness. Bio-oil samples were analyzed without acetylation.

210 Phenolic products present in bio-oil were characterized using GC-MS (Thermo scientific 211 trace 1300 ISQ and TG-200 MS capillary column with dimensions: 30 m, 0.25 mm, 0, 25 212 μ m). 1 μ L of bio-oil diluted in ethylacetate was injected at 280 °C into the column using 213 splitless mode. Helium was utilized as a carrier gas at a rate of 1 mL min⁻¹. Temperature 214 program for the analysis was as follows: After 2 min hold at 40 °C, oven was heated to 215 280 °C at 6 °C min⁻¹ and hold for 2 min. MS detector was operated in an electron ionization 216 mode at 70 eV.

- Elemental analysis for lignin was performed using a Flash EA 1112 Elemental Analyzer Series CHNS/O with auto sampler MAS200R from Thermo Finnigan. For bio-oil, elemental analyses were carried out by PerkinElmer Model 2400 Series II CHNS Elemental Analyzer (230 V). The amount of oxygen in the samples was calculated by subtracting the sum of other elements from 100%.
- The amount of water in THF and selected samples of bio-oil was determined by Karl Fischer titration. Bio-oil samples were dissolved in THF prior to analysis. The mixture was then titrated with solution of Hydranal Composit 5. Results for bio-oil water content were corrected by subtracting the water content of THF.
- The amount of organic carbon originating from water solubles after hydrothermal treatment was determined by analyzing the aqueous phase obtained after solids separation from the reaction mixture. The aqueous phase was analyzed with total organic carbon (TOC) analyzer (TOC-V_{CPH}) by Shimadzu. The amount of TOC was then converted to the stoichiometric amount of lignin by using the C-9 formula for lignin which is C₉H_{7.25}O_{2.28}(OCH3)_{1.54}. The details for the determination of the C-9 formula are given elsewhere [28].
- The moisture content of the substrate lignin was determined by drying to constant weight at 105 °C.
- 235

236 3. RESULTS AND DISCUSSION

237 3.1. Mass Balances

The basic characteristics of the used organosolv lignin are summarized in Table 1. It can be observed from Table 1 that used lignin sample is sulfur free, having high purity (low ash and carbohydrate content) and narrow molar mass distribution in comparison to thealkali lignins used in earlier studies [22, 23, 26, 29].

At any reaction condition, lignin degradation products were classified in four categories, 242 243 namely: bio-oil, residual lignin (RL), char, and water solubles. It is important to mention 244 that monoaromatic phenolic compounds were recovered as a part of the bio-oil and not 245 included in the water solubles. The solid residue which was insoluble in NaOH was defined as char whereas the solid residue which was soluble in NaOH and recovered 246 247 after acidification was named as residual lignin (see Figure 1). Besides the mentioned 248 products, gases were also produced during the reactions, particularly at 350 °C. The 249 gaseous phase was not recovered and analyzed, instead the gases were released to the atmosphere through the reactor's relief valve. The amount of gases formed was 250 251 determined by calculation: subtracting the weights of the other degradation products from 252 the original lignin dry weight. Figure 2 (a - d) represents the mass balances of the different products obtained after hydrothermal degradation of lignin at reaction times ranging from 253 10 min to 120 min and at temperatures ranging from 270 °C to 350 °C. 254

255 Table 1.

Properties of substrate beech organosolv lignin. The elemental composition as wt.% of dry lignin was:
 62.54% C, 5.91% H, 0.25% N, 30.85% O, 0.00% S

Ash	Sugars	Mw ^a	Mnª	PD⁵	Moisture	Heating Value (Absolute dry lignin)	S / G Ratio ^c	Amount of β-O-4 linkages
wt.%	wt.%	g mol ⁻¹	g mol ⁻¹	-	wt.%	kJ g⁻¹		Moieties per aromatic ring
0.05	2.4	3428	606	5.66	4.39	24.12	1.29	0.27

a. Number-average (Mn) and Weight-average (Mw) molecular weights b. Polydispersity (PD) c. Syringyl
 to guaicyl units

260 A significant degradation of lignin ranging between 36 and 54 wt.% was observed during 261 the first 10 min at all temperatures. The exact scheme of lignin degradation is unclear because of its complicated network structure with different types of bonds connecting the 262 phenolic and non-phenolic groups; still, based on the abundance and low bond 263 dissociation energies of ether linkages, it can be assumed that the decomposition starts 264 265 with the breakdown of ether bonds. After the initial 10 min, the degradation of lignin progressed slowly even at elongated reaction times for 270, 290 and 310 °C which could 266 be related to the presence of high-energy bonds in the lignin structure. The lignin 267 degradation rate was very high at 350 °C. The yield of residual lignin obtained at 350 °C 268 269 and 10 min was 45.7 wt.% which further decreased to 12.6 wt.% at 120 min. The rapid 270 lignin conversion at all reaction times of 350 °C could be attributed to the further 271 decomposition of some strong C-C bonds under high thermal stress in addition to the 272 ether linkages [30]. However, the increased degradation of lignin did not significantly

increase the bio-oil yield but contributed mostly to the formation of water solubles, char, and gas.





278 279

Fig 2. The yields of hydrothermal degradation products obtained at (a) 270 °C (b) 290 °C (c) 310 °C and (d) 350 °C at different reaction times, wt.% of original lignin. The gas yield was determined by calculation.

The yield of char increased along with the increase in reaction time at all tested 282 temperatures. However, the yield of char decreased from 9.1 wt.% at 270 °C and 10 min 283 to 6.1 wt.% at 310 °C and 10 min followed by an increase to 13.7 wt.% at 350 °C and 10 284 min. A similar trend was reported in an earlier study carried out by Hu et al. [31]. The 285 reason for the higher char yield at 270 °C may be the incomplete degradation of lignin 286 which then transforms to char. When temperature was raised to 310 °C, the char yield 287 decreased due to increased lignin degradation. Enhanced dealkylation and hydrolysis of 288 289 lignin at 350 °C could have increased the formation of reactive small molecular weight 290 compounds, which then repolymerize by radical coupling and condense with each other

causing increased char yield at 350 °C. It can be seen from Figure 2d that the char yield
at 350 °C and 10 min was 13.7 wt.%, prominently increasing to 26.3 wt % at 120 min.
Similar results have been reported earlier [20, 21].

294 The yield of the formed gas is lower than the char yield under all reaction conditions. It is observed that the gas formation also increased significantly at 350 °C from 5.6 wt.% at 295 296 10 min to 17.7 wt.% at 120 min. These results are comparable to results obtained from 297 the hydrothermal treatment of aspen wood lignin at 350 °C and 10 min [20]. Our results 298 suggest that the change in the yield of gases is higher than the changes in the yields of water solubles and bio-oil at 350 °C. Similar increase in gas formation at higher 299 temperatures was also observed in the study carried out by Daniel et al. [32]. H₂, CO₂. 300 301 and CH₄ have been identified as the main gaseous components forming during lignin 302 hydrothermolysis [22].

The yield of bio-oil generally increased along with increasing reaction time at each 303 temperature except for 350 °C and 120 min where a slight decrease in the bio-oil yield 304 was observed. The highest yield of bio-oil was 14.7 wt.% achieved at 310 °C and 120 min 305 whereas the minimum oil yield of 8.0 wt.% was obtained at 270 °C and 10 min. The 306 307 increase in the bio-oil yield is due to further degradation of lignin and reaction 308 intermediates either by hydrolysis or by the fragmentation of C-C bonds through radical cleavage [21, 33]. The decrease in the yield of bio-oil at 350 °C and 120 min might be 309 310 caused by repolymerization of reaction intermediates to form char or by degradation into 311 smaller molecular components and formation of gases [21, 32].

312 The water solubles represent the soluble lignin fractions as determined by total organic carbon (TOC) measurement. The yield of water solubles obtained at 270, 290, 310 and 313 350 °C with 10 min reaction time were 14.1 wt.%, 16.2 wt.%, 20.9 wt.% and 22.7 wt.%, 314 respectively, which increased to only 17.2 wt.%, 18.9 wt.%, 23.4 wt.% and 29.2 wt.%, 315 316 respectively, at reaction time of 120 min indicating an overall increase in the yield of water solubles along with increasing temperature. It is important to mention that under all 317 reaction conditions, the yield of water solubles is higher than the yield of char. This 318 319 increase in the water solubles might be caused by the formation of alcohols, such as 320 methanol, due to hydrolysis of methoxy groups, and ethanol by hydrolysis of alkyl side 321 chains. Another reason could be the presence of acetic acid which could result from the 322 reactions of carbohydrates present as an impurity in the feed lignin. The presence of 323 aromatic compounds in water solubles in our study is unclear as the individual chemical 324 compounds in this fraction were not characterized.

325 3.2. Bio-oil Characterization

A GC-MS chromatogram of a selected bio-oil sample after hydrothermolysis at 350 °C and 60 min is shown in Figure 3 with the list of identified monoaromatic phenolic compounds given in Table 2. Compounds 1 – 5, 7, 8, 10, 11 were identified and quantified

using model compounds while 6, 9, 12, 13 and 14 were identified based on literature [34]

and not quantified. GC-MS chromatograms at all temperatures are presented as Figures

A1, A2, A3 and A4 and in additional information.



332

Figure 3. GC-MS chromatogram of selected bio-oil sample after hydrothermolysis of lignin at 350 °C and 60 min.

335 **Table 2**. List of identified monomeric compounds.

	Compounds	Main Fragments	RT (min)
1	Anisole	108, 78	7.18
2	Phenol	94, 66, 65	8.18
3	Guaiacol	109,124, 81	12.28
4	Pyrocatechol	110, 81, 92	13.33
5	4-Methylguaiacol	138, 123, 95	14.62
6	3-Methylcatechol	124, 78, 123	14.81
7	4-Methylcatechol	124, 123, 78	15.52
8	3-Methoxycatechol	140, 125, 97	16.36
9	3-Methylguaiacol	123, 138, 139	17.37
10	Syringol	154, 139, 93	18.92
11	4-Methylsyringol	168, 153, 125	20.73
12	4-Ethylsyringol	167, 182	22.02
13	4-Propylsyringol	167, 196	23.41
14	Guaiacylacetone	137, 180, 122	24.16

336

337

Figure 4 (a - d) reveals the change in the yield of selected individual monoaromatic phenolic compounds over time and Figure 4 (e) represents the change in the yield of total monoaromatic phenolic compounds in bio-oil samples obtained at various reaction conditions.







Fig 4. Quantification of identified monoaromatic phenolic compounds present in bio-oil samples obtained at (a) 270 °C (b) 290 °C (c) 310 °C and (d) 350 °C at different reaction times. (e) Yield of total monoaromatics at all reaction conditions.

The hydrolysis of low energy ether linkages gives rise to the formation of phenoxy and 350 351 alkyl aromatic radicals, which transform to different phenolic products. At higher 352 temperatures weak acids are also produced from degradation of lignin side chains and as degradation products of carbohydrate contamination in the substrate lignin. This 353 assumption is well supported by the measured pH ($\sim 4.0 - 4.5$) of selected water phases 354 obtained after hydrothermolysis. Under severe reaction conditions, these acids can 355 356 further catalyze the hydrolysis process causing an increase in the formation of smaller phenolic compounds such as catechol and methoxycatechols. 357

The minimum yield of monoaromatic compounds achieved at 270 °C and 10 min was 1.8 358 wt.% of initial amount of lignin (21.6 wt.% of bio-oil) which contained 0.8 wt.% syringol, 359 0.7 wt.% syringaldehyde, and 0.2 wt.% guaiacol. On the contrary, the maximum yield of 360 361 monoaromatic compounds achieved at 350 °C and 60 min was 10.1 wt.% (65.6 wt.% of bio-oil) which contained 3.2 wt.% 3-methoxycatechol, 2.3 wt.% syringol, 1.7 wt.% 362 363 pyrocatechol, 1.1 wt.% guaiacol, and less than 1 wt.% of each 4-methylsyringol, 4-364 methylguaiacol, 4-methylcatechol, and phenol. This trend of increasing total yield of 365 monoaromatics with increasing temperature in subcritical water has been observed also in other studies [22, 23]. 366

Syringol and guaiacol are the basic hydrolysis products of lignin and their yields increased
 with time. The yield of syringol reached 1.3 and 2.3 wt.% after 120 min at 270 and 290
 °C, respectively. The guaiacol yield was comparatively lower than the syringol yield. This

370 is due to the fact that more syringyl than guaiacyl units are present in the substrate lignin, 371 which originates from hardwood. Moreover, the two methoxyl groups present in the syringyl units, compared to only one in guaiacyl units, could kinetically favor the formation 372 of more syringyl than guaiacyl derivatives [35]. The yield of guaiacol reached 0.5 and 0.4 373 wt.% after 120 min at 270 and 290 °C, respectively. The yield of syringaldehyde is 374 observed to be at its highest at the shortest reaction time (10 min) in all reaction 375 temperatures. It can be seen from Figure 3d that syringaldehyde vanishes at 350 °C and 376 60 min possibly to char by etherification and esterification reactions. At 350 °C syringol 377 yield started to decrease after 30 min; guaiacol seemed to have a stable behaviour at 378 379 both 310 and 350 °C.

380 The impact of increasing temperature was higher compared to the reaction time on the formation of monoaromatics. Pyrocatechol started to be produced at 310 °C and 60 min 381 382 but the yield was less than 1 wt.%. 3-methoxycatechol was formed at 290 °C but the yield 383 was below 1 wt.%. The yield of pyrocatechol increased rapidly at 350 °C whereas the 384 yield of 3-methoxycatechol increased at both 310 and 350 °C. As seen (Figure 3 d), at 385 350 °C and 10 min the formation of pyrocatechol and 3-methoxycatechol were 0 wt.% and 0.6 wt.%, respectively. These values increased to 1.7 wt.% and 3.2 wt.% at 60 min. 386 The yield of 3-methoxycatechol was higher than pyrocatechol under all reaction 387 conditions. Pyrocatechol has been reported to be formed due to cleavage of a methyl 388 group from guaiacol under severe hydrothermal conditions [22, 30]. Our results show, 389 390 however, an increase in the yield of pyrocatechol along with increasing time at 350 °C 391 without any significant decrease in the yield of guaiacol. This may be due to a constant 392 formation of guaiacol along with a concomitant transformation of the compound to pyrocatechol. Another explanation mentioned in an earlier work [32] is that catechols 393 394 could be generated from the bulk reactive intermediate phase through a parallel reaction 395 path which is still unknown.

396 The production of 4-methylcatechol was also observed in the reactions at 350 °C above 397 30 min of residence time. The observed 4-methylcatechol possibly resulted as a product of 4-methyl guaiacol dealkylation. The yield of 4-methylcatechol reached only 0.8 wt.% at 398 399 350 °C and 120 min. Phenol was only detected in extreme conditions of 350 °C above 60 400 min in negligible amounts. Phenol is reported [30] to originate from guaiacol via catechol 401 as an intermediate product. For syringol an analogous degradation route with guaiacol 402 can be suggested: under severe hydrothermal conditions syringol is hydrolyzed to 3-403 methoxycatechol with simultaneous production of methanol. A decrease in the yield of abundant monoaromatics such as syringol and 3 -methoxycatechol at longer reaction 404 times was seen, caused either by their reaction to form other monomers or by their 405 repolymerization to dimers, trimers, and oligomers leading eventually to formation of char. 406 Due to the limitations of our current analytical methods we were only able to identify 407

408 monoaromatics from the bio-oil samples but based on the GPC results (discussed below)
 409 we speculate that the bio-oil contains also phenolic dimers, trimers, and oligomers.

Table 3 presents the results of bio-oil elemental analysis. Minor changes in the elemental 410 411 composition of the bio-oil samples along with the reaction conditions are observed. The 412 slight decrease in the oxygen content of bio-oils compared to the feed lignin indicates the formation of volatiles by decarbonylation (loss of CO), decarboxylation (loss of CO₂), or 413 414 dehydration (loss of H₂O). The high heating values (HHV) of the samples slightly increased at longer reaction times at 350 °C, which is in line with the results of the 415 elemental analysis. The water content of bio-oil samples was taken into account in order 416 to calculate the elemental analysis on dry basis. Water content of bio-oil samples was in 417 418 the range of 2 – 6 wt.%. Water content in bio-oil samples at all reaction conditions are

419 presented in table B of additional information.

420 Table 3.

Elemental analysis of bio-oil samples obtained at 270, 290, 310 and 350 °C at different reaction times on
 wt % dry basis.

Temp (°C)	270							290						
Time (min)	% N	% C	% H	% O	Sum	HHV ^ª by Dulong (kJ g ⁻¹)	% N	% C	% H	% O	Sum	HHVª by Dulong (kJ g ⁻¹)		
Lignin	0.25	62.6	6.0	30.8	99.6	24.12								
10	0.2	63.4	6.5	30.0	100.0	25.3	0.3	63.9	6.6	29.3	100.0	25.8		
20	0.2	63.5	6.3	30.0	100.0	25.1	0.1	64.3	6.3	29.3	100.0	25.5		
30	0.3	63.9	6.8	29.0	100.0	26.2	0.3	63.8	6.4	29.5	100.0	25.5		
60	0.2	63.5	6.0	30.3	100.0	24.7	0.2	64.6	6.0	29.3	100.0	25.1		
120	0.2	63.9	6.0	29.9	100.0	24.9	0.2	64.8	6.1	28.9	100.0	25.6		
Temp (°C)				310						350				
Time (min)	% N	% C	% H	% O	Sum	HHVª by Dulong (kJ g ⁻¹)	% N	% C	% H	% O	Sum	HHVª by Dulong (kJ g ⁻¹)		
10	0.2	62.7	6.4	30.7	100.0	24.9	0.2	63.8	6.1	29.9	100.0	25.0		
20	0.1	64.7	6.2	29.0	100.0	25.6	0.2	64.9	5.9	29.0	100.0	25.3		
30	0.2	64.8	6.6	28.5	100.0	26.2	0.1	65.3	6.0	28.6	100.0	25.6		
60	0.1	65.3	6.2	28.4	100.0	25.9	0.1	64.7	6.3	28.8	100.0	25.8		
120	0.1	66.5	6.0	27.4	100.0	26.2	0.1	66.0	6.1	27.8	100.0	26.1		

423 a. High heating values (HHV) are calculated for water free samples.

Results of molecular weight distribution of bio-oil samples are presented in Table 4. A small decrease in the molar mass at 270 °C with time was observed but no notable change was seen at 350 °C. The polydispersity (PD) of all samples are below 1.4 suggesting that the degraded lignin monoaromatics and oligomers have much narrower molecular weight distribution than the original lignin (with a PD of around 5.5). The deviation from 1 in PD of bio-oil samples is indicating presence of di and tri-aromatic compounds.

431 **Table 4.** Weight-average (Mw) and number-average (Mn) molecular weights and poly dispersity (PD) of 432 bio-oil samples at 270, 290, 310 and 350 °C at different reaction times.

Temp (°C)		270		290			310			350		
Time (min)	Mw (g mol⁻¹)	Mn (g mol⁻¹)	PD	Mw (g mol ⁻¹)	Mn (g mol⁻¹)	PD	Mw (g mol ⁻¹)	Mn (g mol⁻¹)	PD	Mw (g mol⁻¹)	Mn (g mol⁻¹)	PD
Lignin	3428	606	5.5									
10	291	218	1.3	288	218	1.3	261	209	1.2	242	201	1.2
20	289	218	1.3	305	222	1.4	263	209	1.3	264	206	1.3
30	273	215	1.3	269	214	1.3	254	207	1.2	239	206	1.2
60	257	210	1.2	244	202	1.2	256	258	1.0	253	218	1.2
120	250	207	1.2	241	204	1.2	212	251	0.8	261	224	1.2

433

Figure 5 represents molecular weight distribution of bio-oil samples obtained at different 434 temperatures after 120 min of reaction. It can be seen that peaks in the monomeric region 435 (molecular weight less than 200 g mol⁻¹) are shifting towards low molecular weight region 436 437 with increasing temperature. This may be caused by the subsequent degradation of larger 438 monomers to smaller monomers with longer reaction times (e.g. syringol degrading to 3methoxycatechol). Nevertheless, it is interesting to note that in dimer and trimer region 439 (200 g mol⁻¹ < M_w < 300 g mol⁻¹), peaks first shift from high to low molecular weights at 440 reaction temperatures 270 - 310 °C, but at 350 °C are shifted towards higher molecular 441 442 weights. This indicates that repolymerization reactions take place at 350 °C. Similar trend 443 can be seen for the oligometric compounds (molecular weight higher than 300 g mol-¹). 444

445 In the GPC experiments, UV detection was used. This explains why peak intensities in 446 the monomeric region decrease along with increasing reaction temperature even though 447 the relative amount of monomeric compounds in the bio-oils is increasing: the monomeric 448 compounds formed at lower temperatures are probably powerful UV chromophores, 449 causing a strong absorbance. For example, as observed in the GC-MS results, the yield 450 of syringaldehyde (incorporating a carbonyl group which is a strong UV chromophore) decreased from 0.5 wt.% at 270 °C to 0 wt.% at 350 °C after 120 min of reaction time. 451 452 Analogously, the increasing peak intensities in the di,- tri, and oligomeric region does not 453 necessarily indicate increasing overall yield of compounds in these areas, but only 454 formation of one or more structures with strong UV absorbance, such as stilbenes [36].

These structures could not be identified by GC-MS because the dimers are impossible to ionize in the MS detector.

457









460

Figure 6. Comparison of molecular weight distribution of selected bio-oil sample, residual lignin and feed
 organosolv lignin.

The comparison of molecular weight distributions of a selected bio-oil sample, residual lignin, and feed organosolv lignin is shown in Figure 6, strongly indicating successful degradation of the lignin, as well as efficient isolation of the formed small molecular weight
compounds. The peaks for monomers, dimers and trimers present in the feed lignin are
completely lost and are not present in the residual lignin; nevertheless, bio-oil sample's
GPC results show clear peaks for monomers, dimers, and trimers, confirming their
presence.

470 4. REACTION PATHWAYS

It is challenging to describe the reaction pathways for the decomposition of lignin because 471 472 of the formation of a large number of different intermediates and products through a multitude of reaction steps. However, based on the obtained results and the discussion 473 above, a simplified network of reaction pathways is proposed in Figure 7 considering the 474 major products (e.g. residual lignin, char, water solubles, gas, as well as the most 475 important monoaromatic compounds present in bio-oil). At temperatures of 270 and 290 476 °C, lignin was converted mainly to water solubles and residual lignin with low char yields, 477 478 especially after 10 min - with longer times char yield increased but stayed lower than the 479 yield of water solubles. A noteworthy increase in the formation of gas was observed at 480 310 °C and 60 min together with an increase in the yield of water solubles, char, and bio-481 oil. The formation of char and gas phase components was substantial at 350 °C, resulting in high conversions of lignin. 482

483 Our reaction pathway describing lignin degradation contains a bulk reactive phase, which 484 contains the reaction intermediates. These reaction intermediates are considered to behave in two different ways: firstly they may convert into smaller molecular weight 485 486 compounds through hydrolysis; secondly, repolymerization - especially at higher temperature and longer residence times - to dimers, trimers, oligomers, and finally to 487 488 char is also possible. After the reactions are stopped, the formed phenolic monomeric compounds - together with dimers, trimers, and oligomers - are extracted with 489 490 ethylacetate and collected as bio-oil.



492 **Figure 7**. Proposed network of reaction pathways for lignin degradation under hydrothermolysis.

493

494 **5. CONCLUSIONS**

Sulfur-free lignin was depolymerized in an environmentally friendly process using water 495 as a solvent. The results indicate that lignin can be effectively degraded into phenolic 496 497 compounds using non-catalytic hydrothermolysis. Bio-oil was recovered as a viscous 498 liquid, containing a substantial amount of monoaromatic compounds (the maximum yield of monoaromatics was 10.1 wt.%, at 350 °C and 60 min). Reaction temperature proved 499 500 to be highly influential on the spectrum of the products. Syringol, guaiacol, and 501 syringaldehyde were the most abundant monoaromatics at 270 and 290 °C, whereas 3-502 methoxycatechol, pyrocatechol, 4-methylsyringol together with syringol and guaiacol were the dominant monoaromatics at 310 and 350 °C. The molecular weight of the 503 feedstock, organosolv lignin, was 3,428 g mol⁻¹ while the respective value for the bio-oil 504 samples was 200 - 310 g mol⁻¹ (Mw 212-305; Mn = 202-258) indicating a substantial 505 506 degradation and a dominance of mono- and di-aromatic constituents in the bio-oil. 507 Suppressing the repolymerization of the reaction products leading to char formation is highly challenging during non-catalytic hydrothermal reactions, particularly at higher 508 509 reaction temperatures. Maximizing the bio-oil yield through inhibiting the formation of char 510 calls for further research with catalysts and co-solvents, for example alcohols, which is a 511 part of our future plans.

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