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A Novel Biorefinery Integration Concept for Lignocellulosic Biomass

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ABSTRACT

The concept of an integrated biorefinery has increasing importance regarding sustainability aspects. However, the typical concepts have techno-economic issues: limited replacement in co-processing with fossil sources and high investment costs in integration to a specific plant. These issues have directed the current investigations to supply-chain network systems. On the other hand, these studies have the scope of a specific product and/or a feedstock type. This paper proposes a novel biorefinery concept for lignocellulosic biomass: sectoral integration network and a new hydrothermal process for biomass conversion. The sectoral integration concept has the potential for sustainable production from biomass: pre-treatment at the biomass sites, regional distributed conversion of biomass from various sectors (e.g. black liquor, sawdust, straw) and centralized upgrading/separation of crude biofuels. On the other hand, the conversion processes compose the vital part of such a concept. The new conversion involves partial wet oxidation - or simultaneous dissolution with partial wet oxidation for solid biomass - followed by lignin recovery with acidification and a reactor that can perform either hydrothermal liquefaction or supercritical water gasification. The process can intake both liquid and solid biomass to produce lignin as biomaterial and syngas or bio-oil. The new concept can contribute social development of rural areas by utilizing waste as valuable raw material for the production of multiple products and reduce the net greenhouse gas emissions by replacing fossil-based production.

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50 **KEYWORDS**

51 Supercritical water gasification, partial wet oxidation, hydrothermal liquefaction, biomass,
52 integrated biorefinery, supply-chain network

53 **INTRODUCTION**

54 Sustainability aspects have driven the industry to renewable sources for energy and chemical
55 production. Fossil fuel has the sustainability issues of depletion, carbon emission and other
56 environmental impacts. Therefore, the current need of industrial production includes
57 replacement of fossil fuels with renewable sources and accordingly process development.
58 Regarding raw material, biomass presents promising potential for this purpose [1]. Especially,
59 lignocellulosic biomass is the most abundant renewable source in the nature.

60
61 Biorefinery is classified as 1st generation (dedicated edible crops) and 2nd generation (non-
62 edible feedstocks or side streams) with respect to the feedstock [1]. The 1st generation
63 biorefinery provides close-to-uniform feedstock and conversion through inexpensive, simple
64 processes. Two examples include biodiesel production from vegetable oils and bioethanol
65 production from energy crops (such as sugar cane, corn and wheat). On the other hand, this
66 approach compromises the needs of food production, water and land usage. Therefore, the
67 emission calculations give much less carbon reduction or even negative (i.e. increase in carbon
68 emission) with respect to fossil-based production when the aspects of plant growth are also
69 counted, such as harvesting, machinery, fertilizers and distribution. Furthermore, the
70 agricultural crops are available in certain seasons and spontaneous degradation can be an issue
71 in case of long-time storage. As a result, it is evidently unsustainable to replace fossil fuels with
72 1st generation biofuels. In contrast, the 2nd generation biorefinery utilizes non-edible feedstocks
73 (e.g. wood, grass or non-edible crops) and side or waste streams (e.g. black liquor, straw, bark,
74 saw dust). Consequently, the 2nd generation biorefinery has the potential for sustainable
75 production of energy and chemicals. This approach includes more advanced processes, enabling
76 more value-added products. However, the 2nd generation biorefinery has techno-economic
77 issues: variety in feedstock, high costs of advanced processes and other operational concerns in
78 each process type.

79
80 The typical approaches to 2nd generation biomass utilization include co-processing with fossil-
81 based sources and biorefinery integration to a specific plant. As an example for the co-
82 processing approach, wood pellets or torrefied wood pellets are blended with coal as the feed
83 of boilers in combined heat and power (CHP) plants [2]. However, this approach provides
84 limited replacement of fossil source with biomass: around 10 % (by energy outcome)
85 replacement without modifying the units. Full replacement or high ratio of biomass will require
86 a secure biomass supply in high amounts and costly modifications in the process units.
87 Integration to a specific plant involves inserting a biorefinery process utilizing the side/waste
88 stream of the plant. This approach provides advantages in heat integration, infrastructure and
89 raw material costs. For instance, in the wood industry, the current trend is to convert pulp mills
90 into integrated biorefinery plants due to decreasing demand on paper. A recent development is
91 integration of lignin recovery by acidification to pulp mills which utilizes part of the black
92 liquor and recycle the residual liquid to the recovery boiler. When pH of black liquor is reduced
93 to around 9-10 by carbon dioxide treatment, lignin precipitates and is recovered through
94 filtration, namely LignoBoost process [3]. An improvement to this process involves partial wet
95 oxidation prior to the acidification step in order to enhance the filtration step and to obtain
96 sulphur-free lignin, namely LignoForce process [4]. However, it might be too costly to integrate
97 a process with the complete chemical conversion and separation to a specific plant. For instance,

98 black liquor gasification is another example investigated for either biofuel or energy production
99 [5]. The integrated process includes gasification reactor, gas cleaning, and further reactors and
100 separators together with upgrading units in case of chemical production or turbines in case of
101 energy production. In addition, the recovery of cooking chemicals is an important aspect to
102 address for integration. Kraft mills use sodium sulphide (Na_2S) and sodium hydroxide (NaOH)
103 for cooking. However, sulphur is converted to hydrogen sulphide (H_2S) gas in gasification, thus
104 requiring additional recovery operation. To sum up, each approach has some issues and the
105 techno-economic aspects need improvements.
106

107 The future target of biomass processing would be sustainable production from biomass without
108 the need of fossil fuels, considering the depletion of fossil fuels and biomass being renewable.
109 For instance, co-processing biomass with fossil-based fuel can only be a short- or middle-term
110 transition solution. Therefore, the current investigations have been directed towards the whole
111 supply-chain network of biomass-to-products: the harvesting of biomass, transporting from
112 field to conversion plants, the chemical conversion of biomass and finally transport of the
113 products to the demand sites. In this chain, the chemical conversion is still the heart of the
114 network: introducing the most influence to the optimum configuration of a supply-chain
115 network and performance with respect to sustainability elements (economic feasibility,
116 environmental impacts and social aspects). Therefore, the development of biorefinery concept
117 involves two main subjects: chemical conversion of biomass and the optimization of whole
118 supply-chain network of biomass-to-products. The sustainable biorefinery concept should fulfil
119 the criteria listed below:

- 120 • no compromise with critical needs, such as food and animal feed
 - 121 • maintaining the regeneration of biomass and biodiversity
 - 122 • minimum environmental impacts
 - 123 • the ability to adapt the variations in quality and quantity of biomass feedstock
 - 124 • flexible operation to adapt the changes in market demand
 - 125 • multi-feed-multi-product conversion processes
- 126

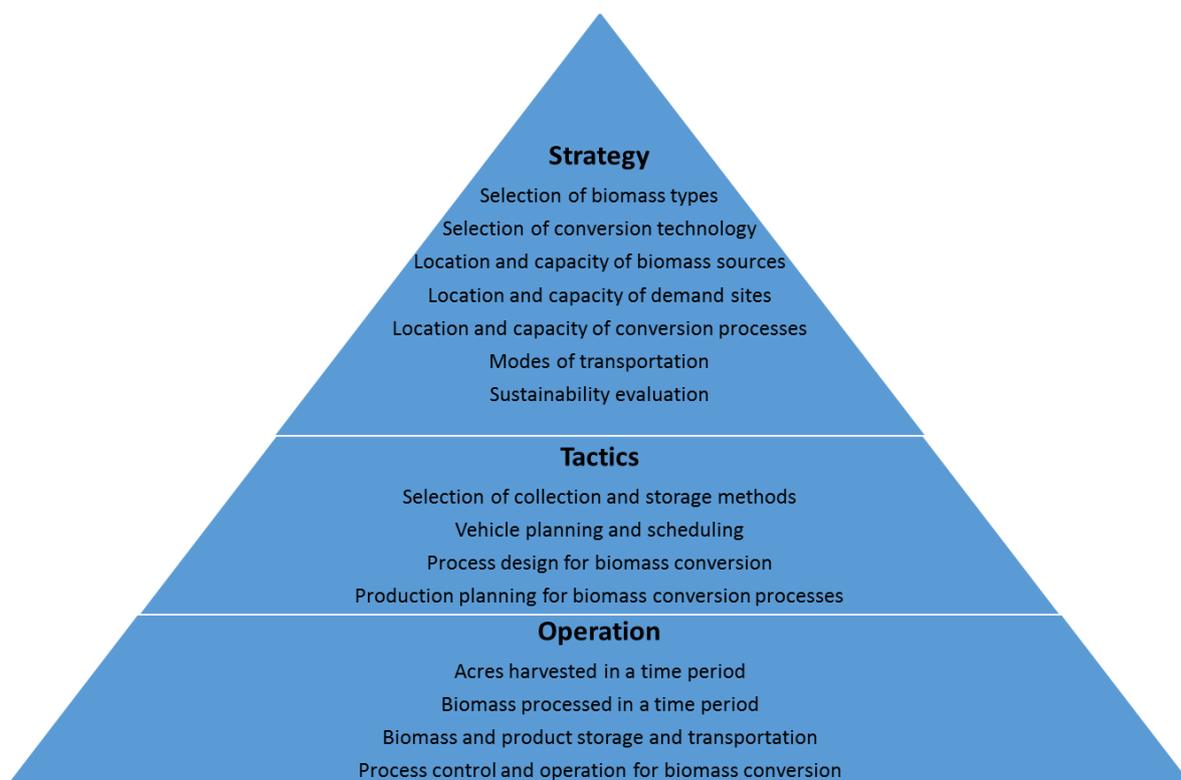
127 This paper proposes a novel biorefinery integration concept for lignocellulosic biomass:
128 sectoral integration network and a new hydrothermal conversion process. The concept of
129 sectoral integration network is developed for utilizing both solid and wet biomass from various
130 sectors (e.g. black liquor, sawdust, straw and other residues from agriculture and forestry), and
131 for flexible operation to adapt changes in market demand. To enable these features, a new
132 hydrothermal conversion process is introduced for the production of lignin and syngas or bio-
133 oil. This paper first discusses the current literature on the supply chain network and technologies
134 for 2nd generation biomass conversion. Then, the novel biorefinery concept is presented
135 including sectoral integration and the new hydrothermal conversion as well as sustainability
136 remarks of the concept.

137 **THE CURRENT STATE-OF-ART IN BIOREFINERY CONCEPT AND PROCESSES**

138 The main steps of biomass processing include collection, pre-treatment, chemical conversion
139 and upgrading/separation of products, and distribution of products. The complete process of 2nd
140 generation biomass-to-product are usually determined to be unfeasible in case of single
141 feedstock and single product, due to many operational steps and high costs of complicated
142 conversion and separation. Therefore, the trend has been directed towards combination of
143 biomass feedstock from several sources and multiple products in order to increase the
144 production capacity and to ensure feed supply of each plant, i.e. supply-chain network. As a
145 result, supply-chain network optimization and biomass conversion are the two main subjects
146 that needs improvements in order to achieve sustainable biorefinery.

147 **The Supply Chain Network**

148 As a wider perspective of integrated biorefinery, the whole supply chain network is investigated
149 from biomass to final products with respect to the elements of sustainability. Supply chain
150 network development involves strategic, tactical and operational decisions and designs for each
151 step of the network. Figure 1 shows the basic scheme of the biomass supply chain network
152 development [6]. The strategical aspects include selections of biomass types, conversion
153 technology, locations and capacities of source and demand sites, locations and capacities of
154 biomass conversion processes as well as performance measures for sustainability evaluation.
155 The tactical aspects include selection of collection and storage methods and planning for
156 transportation and production, in accordance with the strategical decisions. The operational
157 aspects include the implementation of tactical decisions: biomass harvesting at a time period,
158 process control and operation for biomass conversion plants, and storage and transportation of
159 feedstocks and products.
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Figure 1. Development of supply chain network for biomass [6]

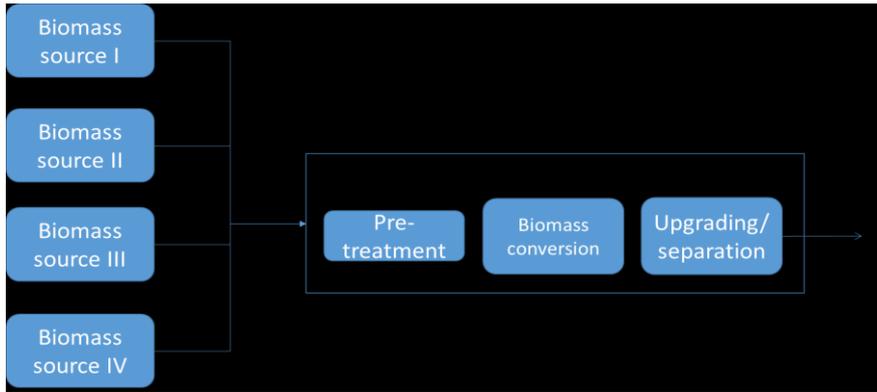
165 Supply chain network modelling refers to the optimization model that determines the location
166 and capacities of biomass pre-treatment and conversion processes with respect to the
167 sustainability issues as the objective, on the constraints of the amounts and the locations of
168 biomass sources and product demand sites. These models use the decisions on strategical
169 aspects (biomass type and technology selection, transportation modes and sustainability
170 evaluation) and information from tactical and operational aspects (e.g., product yields of
171 biomass conversion, fuel consumption and costs for transportation, biomass harvesting and
172 storage). Sharma et al. (2013) [7] provided a detailed review of the literature studies on supply
173 chain network modelling with respect to different aspects, such as objective functions, the
174 model types, supply chain structure and biomass and product types. Regarding the objective
175 functions, models can be formulated as single-objective or multi-objective optimization.
176 Single-objective optimization provides ease in development and solution of a model due to less

177 complications and less computational requirement. For instance, Marvin et al. (2012) [8]
178 investigated lignocellulosic biomass-to-ethanol by maximizing the net present value and Kim
179 et al. (2001) [9] modelled liquid fuels from biomass by maximizing the profit as the economical
180 aspect. However, single-objective models can involve only one aspect of sustainability
181 evaluation. The compromise between environmental performance and economic aspect is
182 determined through multi-objective functions in an optimization model. For example, You and
183 Wang (2011) [10] modelled biomass-to-liquid fuel network with respect to multi-objective
184 function covering the minimization of greenhouse gas emissions and the annualized cost. In
185 addition, bioethanol supply chain in the United Kingdom was modelled to minimize the total
186 supply chain cost as single-objective [11] and to minimize the daily cost with the constraint of
187 emission limits as well [12]. Regarding the model types, deterministic models comprises
188 accurate and precise calculations. Mixed-integer linear programs are one of the usual
189 optimization models. As an influential concern, uncertainties are the important part of supply
190 chain networks, such as weather, biomass properties and moisture content, product demand and
191 prices, biomass costs, changes in regulations and policies. The uncertainty aspects were
192 reviewed in detail by Awudu and Zhang (2012) [13]. These aspects are dealt with stochastic
193 models, e.g. Sharma et al. (2013) [14] studied scenario optimization for weather uncertainty in
194 biomass supply chain.

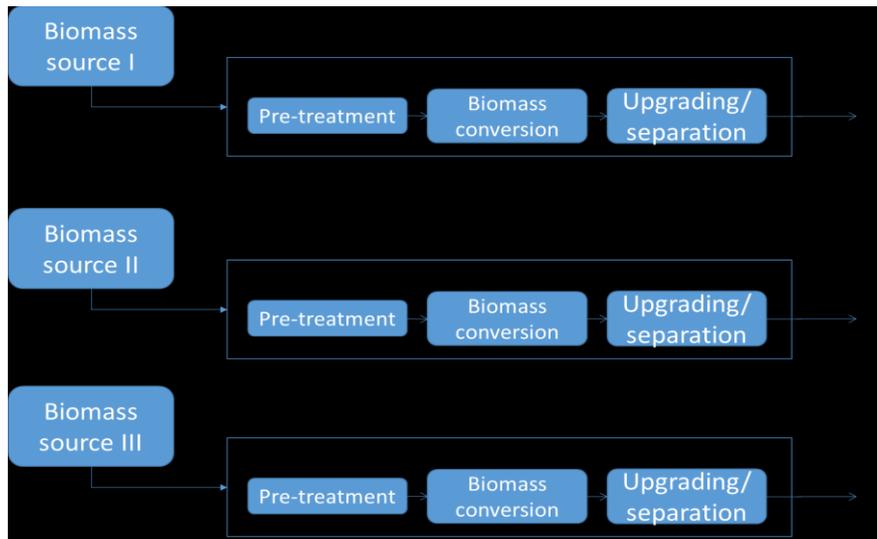
195
196 The network structure is classified into two main chain systems: centralized and distributed
197 networks, as shown in Figure 2. Centralized network refers to transporting biomass from all
198 source places and processing to final product in a large-capacity single plant. However, even
199 though this might provide more efficient processing, transport of biomass to processing plant
200 becomes too costly and some biomass types can degrade spontaneously in case of long-time
201 storage. On the other hand, a fully distributed network is not optimum either due to high
202 transportation costs of products to demand sites and high operation costs of small-capacity
203 plants. In this regard, Bowling et al. (2011) [15] proposed the distributed hubs for collection
204 and pre-treatment, and centralized structure for biomass processing to the desired products. As
205 a result, a balanced network provides the optimum production from biomass. However,
206 transportation costs must be reduced further and long storage time can cause deterioration of
207 biomass. These issues can be addressed by an intermediate conversion to increase the energy
208 density of feedstock. Consequently, as further progress in the structure, the recently developed
209 networks consist of pre-treatment and/or conversion to an intermediate compound on each set
210 of biomass sites (i.e. regions) then centralized conversion of these intermediates to the final
211 products, referred to as distributed-centralized network in the literature, as shown in Figure 2.
212 For example, Kim et al. (2011) [9] compared centralized and distributed-centralized structures
213 for gasification followed by Fischer-Tropsch synthesis in the absence or presence of pyrolysis
214 as the intermediate conversion. The optimum result was distributed-centralized network; in
215 addition, the same study showed that distributed-centralized network is more robust to the
216 variations in market demand or prices than centralized network. Similarly, You and Wang
217 (2011) [10] modelled biomass-to-liquid fuel network including biomass conversion and
218 upgrading. The optimum result was distributed-centralized network in that study as well.
219 Furthermore, as a social aspect, distributed-centralized approach contributes to the development
220 of rural areas as well: more industrial production and employment [16]. Therefore, the
221 population would be distributed to both rural and urban areas together with the social services,
222 rather than high accumulation in certain industrial regions.

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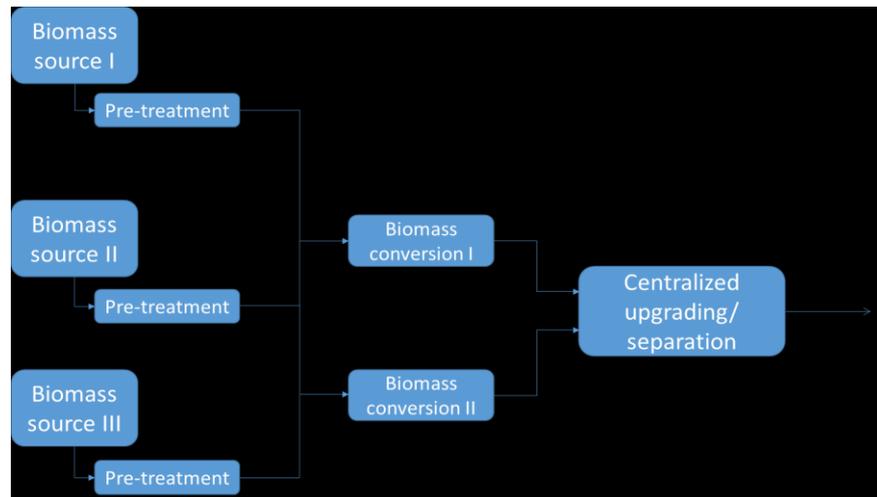
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Figure 2. Centralized (top), distributed (middle) and distributed-centralized (bottom) supply chain network structures

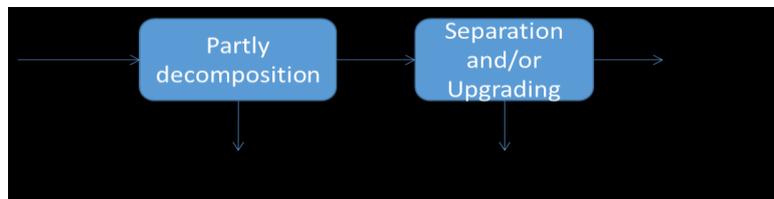
However, the current literature on this subject is limited to specified feedstock and/or specified product and process. For instance, Kim et al. (2001) [9] and You and Wang (2011) [10] investigated only pyrolysis followed by gasification of bio-oil and Fischer-Tropsch synthesis. In addition, both studies utilized only solid biomass but not wet biomass. As another example,

239 some studies considered only a single product. Marvin et al. (2012) [8] investigated economic
 240 optimization of a supply chain producing ethanol from lignocellulosic biomass. Akgul and Shah
 241 (2012) [11] investigated economic optimization of hybrid of 1st and 2nd generation biomass
 242 supply chain for ethanol production. Akgul and Shah (2012) [12] included multi-objective
 243 model in the hybrid supply chain: economic performance and environmental impact compiled
 244 in the objective function. However, ethanol is the only target product in these studies.
 245 Overcoming this issue requires biomass conversion processes enabling the production of multi-
 246 products and flexible transitions among different process conditions.

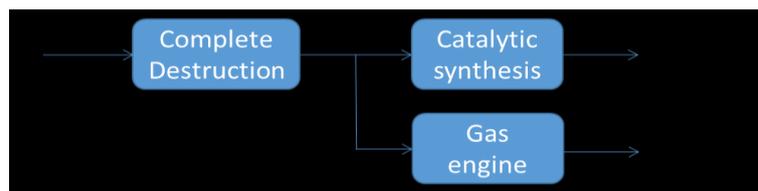
247 **Biomass Conversion Processes for 2nd Generation Biomass**

248 The chemical conversion approach and technology are the crucial aspects sharply influencing
 249 the techno-economic performance of a supply chain network. Regarding the chemistry, the
 250 conversion of lignocellulosic biomass occurs in three main approaches as illustrated in Figure
 251 3: partial degradation followed by upgrading and/or separation, fractionation followed by
 252 processing of each fraction, complete decomposition to gas followed by catalytic synthesis.
 253 Fractionation usually involves the recovery of one component and the mixture other
 254 components as side stream, e.g. pulping process for papermaking. This approach provides
 255 uniform inlet for further processing. Partial degradation generates wide spectrum of liquid
 256 species, thus requiring upgrading and intensive separation for the final use. Production of bio-
 257 oil through pyrolysis or liquefaction is an example of partial degradation; afterwards, an
 258 upgrading process improves the fuel properties of bio-oil. The complete decomposition occurs
 259 at high temperature with faster reaction kinetics. Then, target products are selectively produced
 260 from syngas by adjusting the operating conditions including catalysts, temperature, pressure
 261 and residence time. Alternatively, syngas can be combusted in a gas engine to produce energy.
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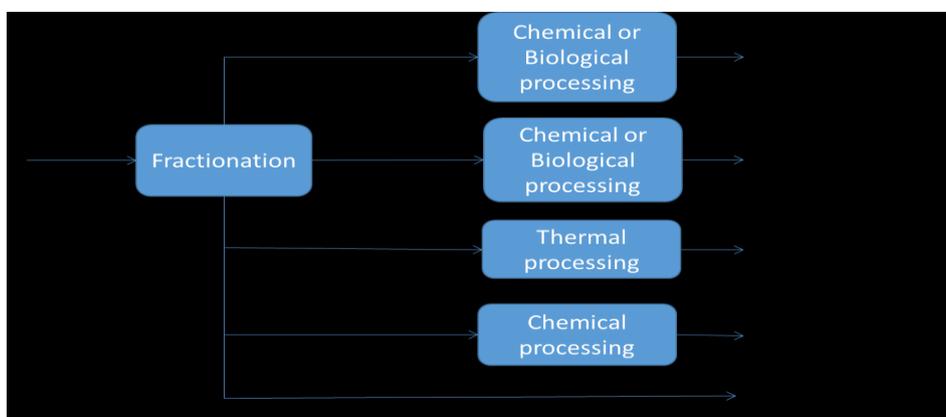


Figure 3. Chemical conversion approaches

270
271 The technology options include biological, thermal and hydrothermal conversion processes.
272 The biological conversion uses enzymes or organisms to hydrolyse cellulose and hemicellulose
273 and to ferment the sugar molecules for the production of liquid fuels (such as alcohols) or gases
274 (such as methane). This can provide more purified production compared to thermal and
275 hydrothermal degradation introducing many intermediate compounds. This conversion type has
276 four main approaches [17]: simultaneous saccharification and fermentation (SSF), and separate
277 hydrolysis and fermentation (SHF), simultaneous saccharification and co-fermentation, and
278 consolidated bioprocessing (CBP). However, biological conversions have operational issues
279 which prevent flexibility: very long residence time (days) due to cell culture growth, difficulty
280 in process control, product inhibition in hydrolysis and intermediates inhibiting fermentation,
281 and recovery of cell culture. As a new configuration, simultaneous saccharification, filtration
282 and fermentation (SSFF) process was developed to combine benefits of SSF and SHF. This
283 configuration was compared with SSF in case of wheat straw [18] and wood [19] feedstock.
284 Despite increasing ethanol yields and enabling reuse of cell culture, this configuration has also
285 the general issues of biological conversion which prevent the flexibility. Moreover,
286 fermentation of glucose and hemicellulose sugars require genetically engineered yeast, e.g. as
287 studied by Ishola et al. (2015) [18]. Therefore, this type of process is suitable only for 1st
288 generation lignocellulosic biomass or food waste and require fractionation prior to biological
289 conversion (as in Figure 3). Fractionation can be achieved by alkaline treatment [20] and
290 organosolv treatment with alcohol or acid [21]. On the other hand, perfect fractionation of 2nd
291 generation biomass might be unfeasible due to expensive solvents and solvent recovery
292 operations [17].

293
294 Thermal conversion can decompose either partly or completely the biomass by heat treatment.
295 These conversion processes are usually used for solid biomass. For instance, pyrolysis of wood
296 generates char as solid fuel, bio-oil as liquid intermediate and gases including hydrogen and
297 methane [22]. Fast pyrolysis maximizes the liquid yield up to 75 % whereas slow pyrolysis
298 generates more charcoal. Slow pyrolysis occurs at moderate temperature (280-680 °C) with
299 slow heating rate (0.1-10 K/s) and long residence time (450-550 s) whereas fast pyrolysis occurs
300 at higher temperature (580-980 °C) with higher heating rate (10-200 K/s) and shorter residence
301 time (10-200 s). Bio-oil is a major biofuel because fast pyrolysis has become a commercial
302 process due to low investment cost and high energy efficiency. However, bio-oil needs
303 upgrading because of high proportion of oxygenated compounds, high viscosity, high acidity
304 and thermal instability. The upgrading options include hydrodeoxygenation (HDO) and zeolite
305 upgrading [23]. Another thermal conversion is wood gasification to produce syngas for power,
306 heat or chemical production [24]. On the other hand, thermal processes require drying as pre-
307 treatment and include evaporation in the reaction phenomena, thus spending high energy for
308 biomass processing. For instance, Brammer and Bridwater (2002) [25] investigated the
309 economic performance of biomass gasification for a CHP system: for a feedstock with 50 %
310 moisture content, the optimum result was obtained when the feedstock was dried to 10 %
311 moisture content before gasification. Overall efficiency increases and cost of electricity
312 decreases with decreasing moisture content in the gasifier feed (i.e. more intensive drying).
313 However, increase in moisture content of the feedstock decreases the overall efficiency and
314 increases the electricity cost. Moreover, the drying unit causes significant rise in the capital cost
315 as well. In addition, black liquor gasification is the most investigated integration option to pulp
316 mills [5]. For instance, Petterson and Harvey (2012) [26] compared black liquor gasification
317 concepts including electricity, motor fuels and dimethyl ether production in terms of economic
318 performances. However, despite increasing the electricity efficiency or the economic
319 performance, this option has the same issues with the commercial recovery boiler treatment due

320 to the evaporation step consuming one third of the energy required by the whole mill [5]. As a
321 result, hydrothermal processes are potentially more suitable for biomass having high moisture
322 content: e.g. around 40-50 % moisture in solid wood chips and around 80 % water in weak
323 black liquor. Hydrothermal processes use water as the solvent (i.e. reaction medium) and the
324 reactions occur in aqueous phase. In other words, no drying is required in these processes. For
325 instance, an exergy analysis of biomass-based fuels stated the evaporation step as a main source
326 of exergy loss in wood biomass processing and reported higher exergy efficiency for
327 hydrothermal upgrading than thermal processes [27]. However, hydrothermal processes are
328 rarely included in the overview schemes of biomass processing routes. These hydrothermal
329 processes include aqueous phase reforming, carbonization, hydrothermal liquefaction,
330 oxidation and gasification [28].

331
332 The properties of water play an important role in the hydrothermal conversion phenomena [29].
333 As a major feature, even though liquid water is polar under sub-critical conditions, polarity
334 decreases with temperature and water becomes non-polar at supercritical conditions [29]. In
335 other words, water becomes very effective solvent for organics and gases whereas the solubility
336 of inorganic salts becomes very low. For instance, the oxygen solubility decreases with
337 temperature at sub-critical conditions and increases with temperature at supercritical
338 temperature [30]. In addition, the solubility of hydrocarbons in water increases dramatically
339 when approaching critical temperature [31]. The negligible solubility of salts can cause
340 deposition issue in the reactor; nevertheless, this might be converted into an opportunity to
341 recover the inorganic salts with the suitable reactor configuration. As another feature, the
342 dissociation constant increases with temperature under sub-critical conditions and reaches the
343 highest values around critical point whereas supercritical water (SCW) has very low
344 dissociation constant [32]. In other words, ionic mechanism is dominant in the reaction
345 phenomena at sub-critical conditions and around critical point while radical mechanism
346 becomes dominant in SCW. As flow properties, density and viscosity are also influenced
347 mainly by temperature [33]. Viscosity decreases with temperature and is not affected
348 significantly by pressure. Density changes very slightly with temperature after 500 °C and
349 pressure influences slightly whereas temperature dramatically influences water density at
350 around critical point. The dramatic influence of temperature on density and high dissociation
351 constant around critical point cause difficulty in flow control and corrosion issue, respectively.
352 Therefore, hydrothermal conversion processes are operated at well above or well below the
353 critical point of water: e.g. partial wet oxidation (PWO) at 130-270 °C and over the
354 corresponding vapor pressure, hydrothermal liquefaction (HTL) at 250-370 °C and 4-25 MPa,
355 and supercritical water gasification (SCWG) at 500 °C or higher temperature and higher
356 pressure than critical pressure of 22.1 MPa.

357
358 PWO is a liquid-phase hydrothermal process: oxygen is first dissolved in water and then reacts
359 with the organics [34]. Muddassar et al. [35] investigated PWO of weak Kraft black liquor –
360 KBL- (with 10.81 % solid dissolved by weight) and wheat straw black liquor –WSBL- (with
361 10.27 % solid dissolved by weight) under oxygen partial pressure of 0.5 MPa and the residence
362 time of 30 minutes. The aim was to determine the generation of carboxylic acids. Acid content
363 increases significantly for WSBL but very slightly for KBL and the downstreams are still very
364 dilute. Consequently, the recovery of acids or sodium salts of these acids would be very
365 challenging and require intensive evaporation as investigated by Özdenkci, et al. (2015) [36].
366 Regarding solid biomass, Sipponen et al. (2016) [37] and Muddassar et al. (2014) [38]
367 investigated PWO of sawdust with 55 % moisture content. Sipponen et al. (2016) [37]
368 investigated dissolution and PWO simultaneously in a single unit at temperature range of 130-
369 270 °C with 30 minutes reaction time to determine the influences of oxygen (the absence or

370 presence with 0.5 MPa partial pressure) and sodium carbonate (Na_2CO_3) on the process. The
371 highest acid yields occurred in the case of PWO at 210 °C with sodium carbonate: further
372 increase in temperature provided more dissolution of wood but no significant change in the
373 yields. Muddassar, et al. (2014) [38] experimented with a two-stage process of cooking with
374 Na_2CO_3 or $\text{Na}_2\text{CO}_3/\text{Na}_2\text{S}\cdot 9\text{H}_2\text{O}$ followed by PWO at 210 °C under oxygen partial pressure of
375 0.6 MPa for 30 minutes. The single-stage operation provides higher yields and economically
376 more advantageous due to less processing units and steps. PWO has both benefits and issues
377 for industrial application. This is an exothermic process and oxygen dissolution can be
378 accelerated via mixing rate, reactor configuration and feeding system. However, the separation
379 and recovery of each acid might be a challenge due to dilute downstream [36]. Therefore, it can
380 be more advantageous to use PWO as an intermediate step for the optimization of a biorefinery
381 process rather than for chemical production. For instance, LignoForce process starts with PWO
382 for oxidizing the sulphur content and this improves the lignin recovery: less resistance in
383 filtering and sulphur-free lignin without sacrificing the mechanical properties of lignin
384 significantly [4]. For using PWO as an intermediate step of a hydrothermal process, the criteria
385 would be compromising between minimum reduction in TOC and the energy optimization:
386 conversion of organic carbon and hydrogen into gas is undesirable in case of PWO being an
387 intermediate step. Muddassar (2016) [39] investigated PWO of black liquor versus time (up to
388 40 minutes) and partial pressure of oxygen (0.5-1.5 MPa) as well. Higher oxygen pressure
389 caused slightly lower TOC and COD values with time. In addition, pH decreased with time
390 during PWO, indicating the formation of carboxylic acids, and remained almost constant after
391 around 10-20 minutes. Higher temperature caused faster decrease in pH as temperature
392 accelerates the reactions. The pH value has another importance as well: decreasing pH reduces
393 the carbon dioxide need in the acidification step for lignin recovery. Lignin starts precipitating
394 when pH decreases to around 9-10 while pH of KBL is around 13.

395
396 The HTL process produces bio-oil as the main product and aqueous phase, char and gas as the
397 by-products. The proportion depends on the biomass type and the process conditions. For
398 instance, cellulose is partly soluble in water at around 300 °C and becomes completely soluble
399 at 330 °C [40]. Hemicellulose degrades more easily than cellulose whereas lignin has low
400 solubility in water and is more stable but has more energy content. Even though upgrading
401 process is still required for bio-oil usage as fuel, HTL provides bio-oil with less oxygen content
402 compared to fast pyrolysis, thus requiring less hydrogen when upgrading [40]. Furthermore,
403 among the developed HTL technologies, 30-40 % of oil yield (by weight on dry basis) is
404 reached for lignocellulosic biomass, with the addition of alkaline (e.g. sodium carbonate) in
405 moderate amounts and at the temperature range of 330-350 °C (dramatically lower temperature
406 than fast pyrolysis) [41]. In addition, the energy recovery is usually around 80 % in HTL process
407 [41]. However, Zhu et al. (2014) [42] stated that HTL of wood biomass is not competitive
408 compared to petroleum-based gasoline in terms of techno-economic analysis. Nevertheless,
409 HTL investigated by Zhu et al. (2014) [42] has potential improvement aspects: wet biomass or
410 wet biomass blended with solid biomass to reduce the pre-treatment cost, less feedstock price
411 than wood in case of waste biomass, and a distributed HTL plant to reduce the capital cost.

412
413 SCWG is another hydrothermal process, which produces syngas. This is single-phase
414 phenomena as well. Yakaboğlu et al. (2015) [43] provided a review of SCWG technology for
415 various biomass, involving the reaction mechanism and kinetics, catalyst impacts, modelling
416 approaches in the literature as well as technology aspects and challenges. The hydrolysis occurs
417 very fast under SCW as determined by kinetic studies. For instance, hydrolysis is the rate-
418 determining step of cellulose decomposition under sub-critical water conditions; in contrast,
419 the hydrolysis step occurs much faster than further decomposition of monomers under

420 supercritical water temperature [44]. Similarly, decomposition of lignin to monomeric
421 compounds occurs very fast in few seconds as well, i.e. the yield of phenol, guaiacol, catechol
422 and cresol increase very sharply in the first few seconds and decrease with time due to further
423 decomposition of these compounds [45]. Since the reaction mechanism is complicated and not
424 well understood yet, many studies investigated SCWG of model compounds. Hao et al. (2003)
425 [46] investigated hydrothermal decomposition of glucose as the model compound for cellulose
426 under supercritical water conditions. Kabyemela et al. (1999) [47] investigated pathways and
427 kinetics of glucose and fructose decomposition in subcritical and supercritical water. Similarly,
428 Binder et al. (2009) [48] investigated the decomposition of model compounds representing
429 different function groups of lignin: eugenol representing guaiacyl aromatic structure, 2-
430 phenylethyl phenyl ether representing β -aryl esters, 4-ethylguaiacol representing
431 unfunctionalized alkyl structure. In addition, decomposition of guaiacol was investigated in
432 subcritical and supercritical water as well [49]. Some studies investigated SCWG of one
433 fraction of lignocellulosic biomass: for instance, cellulose decomposition with alkali or nickel
434 catalyst [50] and lignin gasification in supercritical water [51]. Regarding the industrial
435 purpose, SCWG of black liquor was investigated for the integration with a pulp and paper plant
436 [52]. For large-scale wood mills, SCWG can address the capacity and efficiency limitations of
437 the commercial recovery boiler treatment due to operational issues, such as salt deposition and
438 increasing viscosity in the evaporation stage. However, an important aspect is sulphur for Kraft
439 pulp mills since sodium sulphate (Na_2SO_4) is a cooking chemical. The sulphur content can
440 occur in the outlet streams as hydrogen sulphide (H_2S) in the syngas, dissolved form in the
441 liquid effluent, and in the solid residue. The liquid effluent is recycled to the recovery boiler,
442 thus recovering sulphur. On the other hand, sulphur in the syngas causes the need of gas
443 cleaning operation, and sulphur in the solid can lead to the need of chemical make-up in the
444 cooking unit. For small-capacity non-wood mills, SCWG can be the solution for black liquor
445 treatment as no feasible solution is currently available for those mills. The evaporation limit is
446 only around 50 % organic content due to sharper increase in viscosity caused by silica content.
447 This makes the recovery boiler unit inefficient and hence unfeasible. Nevertheless, SCWG does
448 not require evaporation and viscosity of supercritical water decreases more dramatically with
449 temperature. The experiments of De Blasio et al. (2016) [52] determined the influence of
450 temperature and catalytic impact of nickel-chromium-molybdenum reactor on the product and
451 the reaction mechanism: the experiments covered the temperature range of 500-700 °C in
452 stainless steel and INCONEL 625 reactor under 25 MPa. Temperature enhances the efficiencies
453 and gas yields. Carbon gasification efficiency (CGE – defined as the ratio of carbon in gas
454 product to the carbon content of feedstock) reached around 70-75 % at 700 °C. Among the
455 experiments, the highest hydrogen yield was obtained in INCONEL 625 reactor at 600 °C (as
456 32.9 moles of H_2 per kg dry-ash-free feedstock). The highest hot gas efficiency was obtained
457 as 80 % in INCONEL 625 reactor at 700 °C. Nevertheless, the efficiencies in stainless steel
458 reactor were also remarkable and stainless steel is cheaper material. Therefore, both reactors
459 should still be investigated in feasibility analysis. Even though SCWG has advantages regarding
460 high reaction rate, yields and efficiency; this process has some issues to be addressed for
461 industrial application as well [43, 53]. These issues include high investment and operating costs
462 due to high-pressure operation, char and tar formation, and precipitation of inorganic salts. In
463 addition, sulphur outcome is another aspect as well: gas cleaning is required in further use of
464 syngas in case of the presence of H_2S in gas product. On the other hand, reduced form of sulphur
465 (Na_2S) is needed by Kraft pulp mills as the recycle of the cooking chemical and this is obtained
466 in the recovery boiler unit. Regarding the readiness level of the technology, pilot-scale
467 operation has been reached: Boukis et al. (2007) [54] introduced Verena pilot plant for SCWG
468 of biomass. The feeding system of this plant involves biomass heated to sub-critical temperature
469 and mixed with supercritical water. In addition, a vertical reactor with biomass feed from the

470 top helps avoid the plugging problems associated with salt deposition: salts are transferred
471 downwards by gravity, and gas product goes upwards through a vertical pipe inside the reactor.
472

473 To sum up, no commercialized technology has been achieved yet from 2nd generation biomass
474 to fuels and value-added chemicals, even though pilot- or demonstration-scale processes exist
475 for several technologies. Biological conversion is suitable only for biomass with high sugar
476 content and does not enable flexibility. Thermal conversion has the issue of energy-consuming
477 drying. Hydrothermal conversion has the issue of high operation cost due to high pressure.
478 Therefore, further improvements are required to achieve the economic feasibility.

479 **THE NOVEL BIOREFINERY CONCEPT**

480 The core subjects of biorefinery concept are the supply-chain network and chemical conversion
481 of biomass. This paper proposes sectoral integration as the network and a novel hydrothermal
482 conversion process for biomass conversion. The main advantages of this concept are to ensure
483 biomass supply and to convert all the waste/side streams from various sectors. Furthermore, the
484 hydrothermal conversion process provides the flexibility. From the chemistry viewpoint, the
485 conversion process mainly implements modification by partial degradation or complete
486 destruction (but not complete fractionation except for specific feedstocks), depending on the
487 market need, thus enabling conversion of different feedstocks in the same process. Last but not
488 the least, the process produces intermediates for liquid fuels and biomaterials in an adjustable
489 way regarding the product option and quantity.

490 **Sectoral Integration**

491 Sectoral integration network is an enhanced version of distributed-centralized network:
492 utilizing both solid and wet biomass from various sectors (e.g. black liquor, sawdust, straw and
493 other residues from agriculture and forestry), and flexible operation to adapt changes in market
494 demand. For sustainable biorefinery systems, it is crucial to utilize the waste streams and by-
495 products from all sectors; however, the majority of biomass energy is produced from wood, 64
496 %, whereas agricultural waste covers only 5 % of biomass energy [22]. Therefore, sustainable
497 biorefinery requires an integration system and conversion processes for multiple and/or mixed
498 feedstocks.
499

500 Sectoral integration is shown conceptually in Figure 4 for a wide area divided into regions. The
501 network includes biomass pre-treatment at each source side, transport to the regional conversion
502 plant, the conversion process at each region, transport to the centralized upgrading plant,
503 upgrading to final product and finally transport to the demand places. The pre-treatment
504 operations in source sites convert waste into suitable feedstock for regional conversion plants,
505 thus providing additional income for rural areas. For instance, pre-treatment operations can
506 involve physical-chemical and/or mechanical processes - e.g. removing inorganic salts through
507 ion exchange to avoid salt deposition in the hydrothermal process, and dewatering and/or
508 grinding to reduce the transportation costs. As a result, this network integrates the various
509 sectors since the final products are combined contribution of each sector providing feedstock
510 for the regional conversion plant. Afterwards, each regional conversion plant generates energy
511 for its own region, products for other applications and intermediates for further valorisation in
512 the centralized plants. Finally, the centralized plants produce the products transferred to demand
513 sites.
514

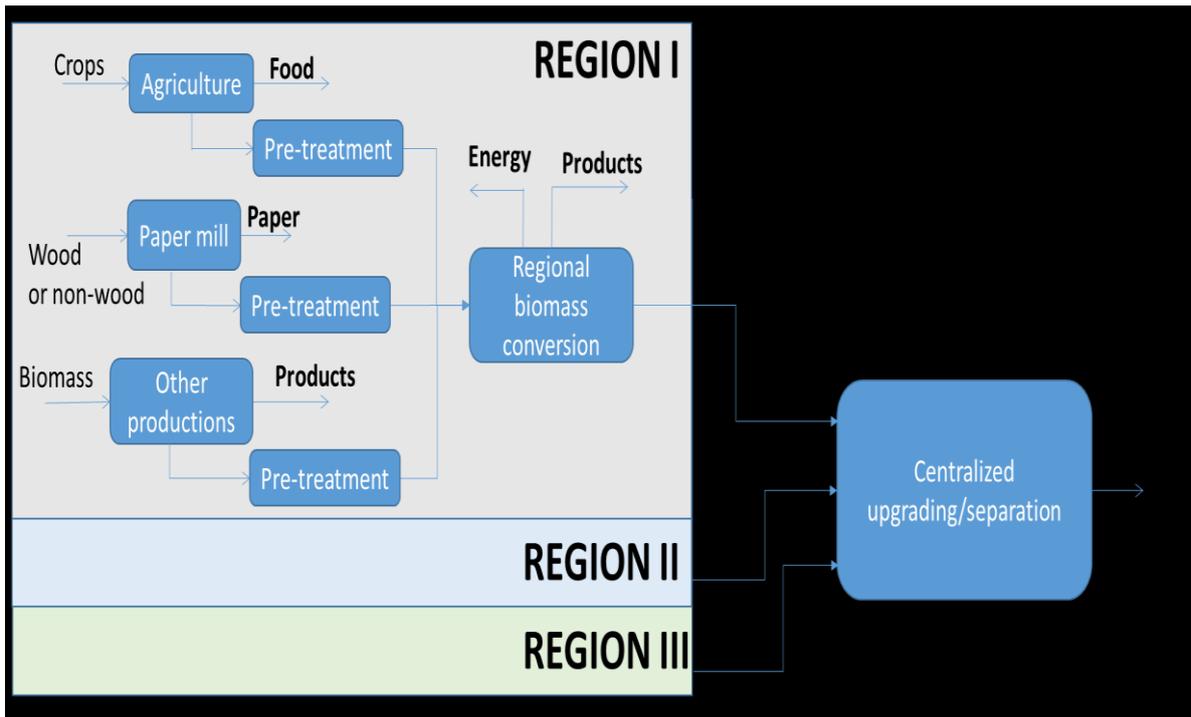
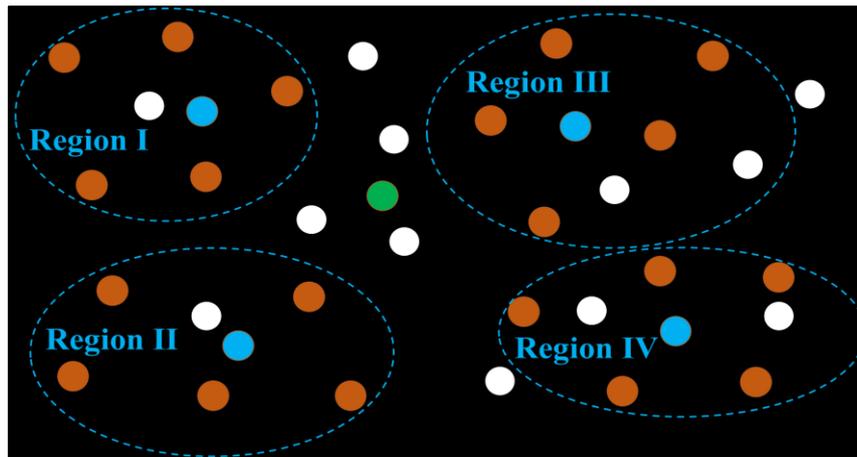


Figure 4. Sectoral integration concept for supply chain network

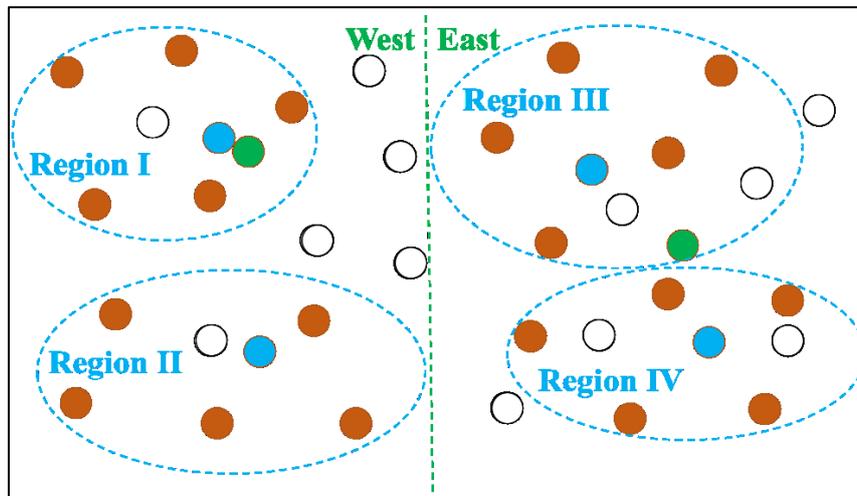
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Supply chain network design determines the plant location and capacities by optimizing the total cost and environmental impacts. Total cost involves transportation costs of feedstock and products as well as operational costs of regional and centralized plants. The network design also defines the feedstock sources for biomass conversion plants, i.e. formulating the regions with a specific conversion plant for a set of biomass activity sites. Figure 5 briefly illustrates the network design with respect to locations: only for illustration, not a complete network design for a real case with all the data and optimization model. The biomass activity sites involve pre-treatment to prepare the feedstock from waste streams and by-products. Afterwards, in each region, the feedstock streams from the activity sites are transferred to the regional biomass conversion plant. These regional plants produce energy, products and intermediates. Then, the intermediates are transferred to the centralized upgrading/separation plants and converted into final products. Finally, those products are transferred to the demand sites. The top picture of Figure 5 shows the basic network design with one regional conversion plant per region and a single centralized plant in the whole network. Nevertheless, some case-specific improvements can result from the optimization model as illustrated in the bottom picture of Figure 5. For instance, the network can involve more than one centralized plants (of course, less than the number of regional conversion plants) in order to reduce the transportation costs of intermediates and products. For example, in the bottom picture of Figure 5, the centralized plant in the west side receives feedstock from the western regions and delivers the products to the demand sites in the west side. The same applies to the centralized plant in the east side as well. In addition, a centralized plant can be located nearby a regional conversion plant in case of difficult transfer of an intermediate. For instance, the centralized HDO plant can be located nearby a regional plant producing hydrogen gas, and bio-oil can be transferred from the other regional plants.

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Figure 5. Illustrative example of plant locations through network design: brown circles for the biomass activity sites, blue circles for the regional biomass conversion plants and green circles for the centralized upgrading plants

551 **The Hydrothermal Conversion Process**

552 The sectoral integration concept requires multi-feedstock-multi-product processes as regional
553 conversion of biomass, i.e. the ability to process different feedstocks and multi-functional
554 process units for flexible production. Even though hydrothermal processes are suitable for
555 biomass, no process option alone has been proven as sufficient for industrial application in
556 terms of techno-economic performance.

557

558 Figure 6 shows the proposed regional biomass conversion process that produces lignin and
559 syngas or bio-oil. The idea was filed as a provisional patent application as well [55]. The process
560 starts with a unit of PWO for liquid feedstocks or simultaneous dissolution and PWO for solid
561 feedstocks. In this way, the process can utilize both liquid and solid feedstocks from various
562 sectors of agriculture and forestry. This unit can operate under oxygen partial pressure of 0.5-
563 1.5 MPa and at temperature range of 180-240 °C, depending on the energy optimization of the
564 process. Then, some portion of the downstream goes to lignin recovery through acidification.
565 For alkaline biomass, lignin precipitates when pH reduces to 9-10. Then, washing and filtering
566 steps are required to get lignin as a product. The other portion and residual liquid from the lignin
567 recovery section are transferred to the thermochemical conversion reactor, which produces bio-
568 oil through sub-critical liquefaction (under 4-22 MPa and at 250-350 °C) or syngas through

569 SCWG (supercritical water conditions, depending on the plant-wide optimization of the whole
570 process). Then, the separation of the products and aqueous effluent is performed: two-stage
571 syngas separation or drum. Based on the product demand, this conversion process operates in
572 a flexible way by adjusting the flow rates and conditions in the units. Alternatively, for the
573 feedstocks with high polysaccharide content, the first unit can include acid hydrolysis as well
574 to recover sugars that can be processed separately, e.g. by fermentation in case of alcohol
575 demand. Especially, agricultural feedstocks have less lignin and more cellulose and
576 hemicellulose compared to woody biomass. Melin and Hurme (2010) [56] evaluated the
577 conversion routes of lignocellulosic biomass and stated that anaerobic fermentation has high
578 product yield. Afterwards, the proportion to lignin recovery section and the reactor can be
579 adjusted based on the lignin demand. Then, the reactor can function SCWG or sub-critical
580 hydrothermal liquefaction depending on temperature and pressure. The vertical reactor can
581 address the deposition issue by removing char and tar: feed from top and product upwards
582 through an internal pipe as in Verena pilot plant [54]. As further development, a cyclone can
583 also be inserted as a separate unit for the gas outlet in order to provide more efficient solid
584 removal. The cyclone unit can remove free-flowing solid particles based on Stoke's law by
585 utilizing high difference in densities of particles and supercritical water phase. In addition, the
586 reactor and the cyclone introduce pressure drop of 5-10 bars and 10-15 bars, respectively.
587 Therefore, in case of SCWG, the reactor inlet should have high enough pressure to ensure
588 supercritical phase, e.g. 250 bars is suitable. In the separation section, syngas is cooled and
589 separated to hydrogen-rich and carbon dioxide-rich products in two stages: high-pressure and
590 low-pressure gas/liquid separators. Temperature of separators can be set in accordance with the
591 desired use of syngas and solubility of gases: maximizing the heating value of H₂-rich gas for
592 energy production purpose or maximizing the mole fraction of H₂ for hydrogen production
593 purpose. Low temperature around 80 °C gives higher H₂ mole fraction in H₂-rich gas since the
594 solubility of other gases in water increase with decreasing temperature. In contrast, high
595 temperature provides higher fraction of combustible gases in H₂-rich gas; however, the amount
596 of water vapour also increases with temperature. Therefore, temperature of "HP G/L Separator"
597 unit can be around 200-250 °C in case of the energy production purpose, depending on the
598 plant-wide optimization. In case of bio-oil production, the cooled product can go to low pressure
599 separator which would function as drum in that case. Finally, some or all of the aqueous effluent
600 can also be recycled for dilution purposes for hydrothermal conversion, e.g. SCWG operates
601 with less than 10 % organic content.
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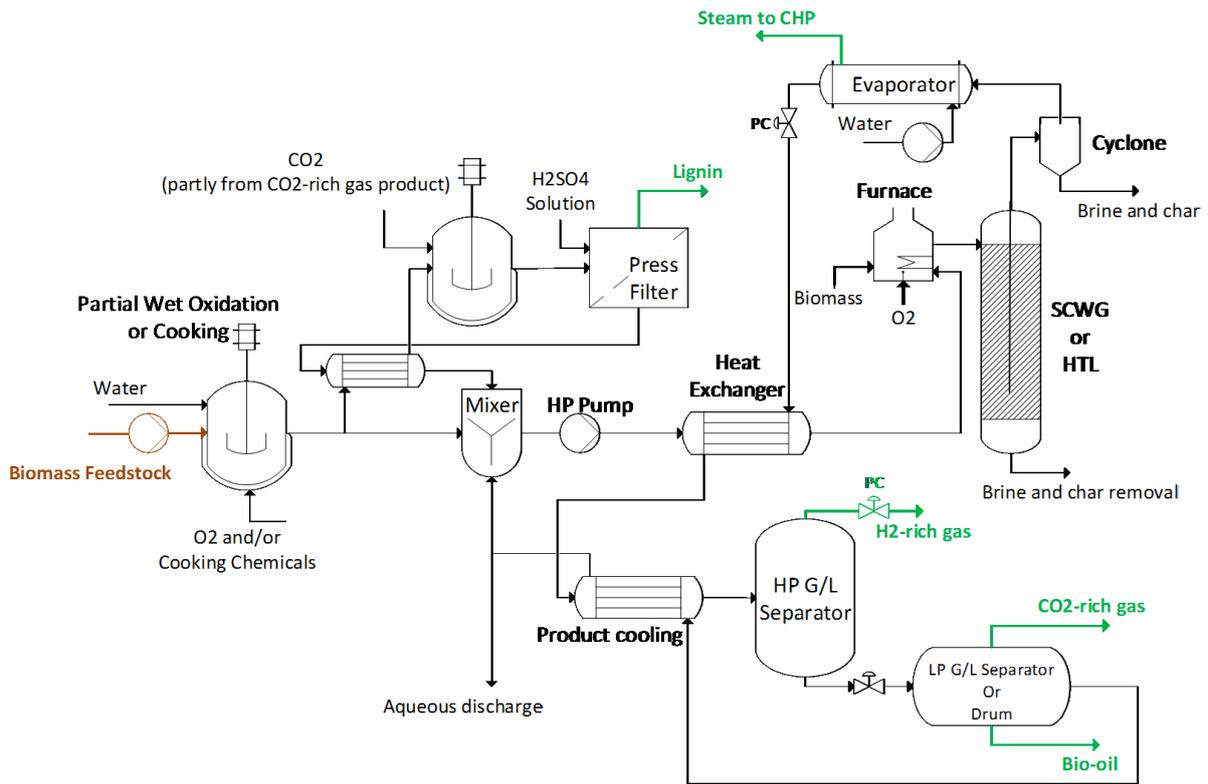


Figure 6. The proposed process of regional biomass conversion for sectoral integration [55]

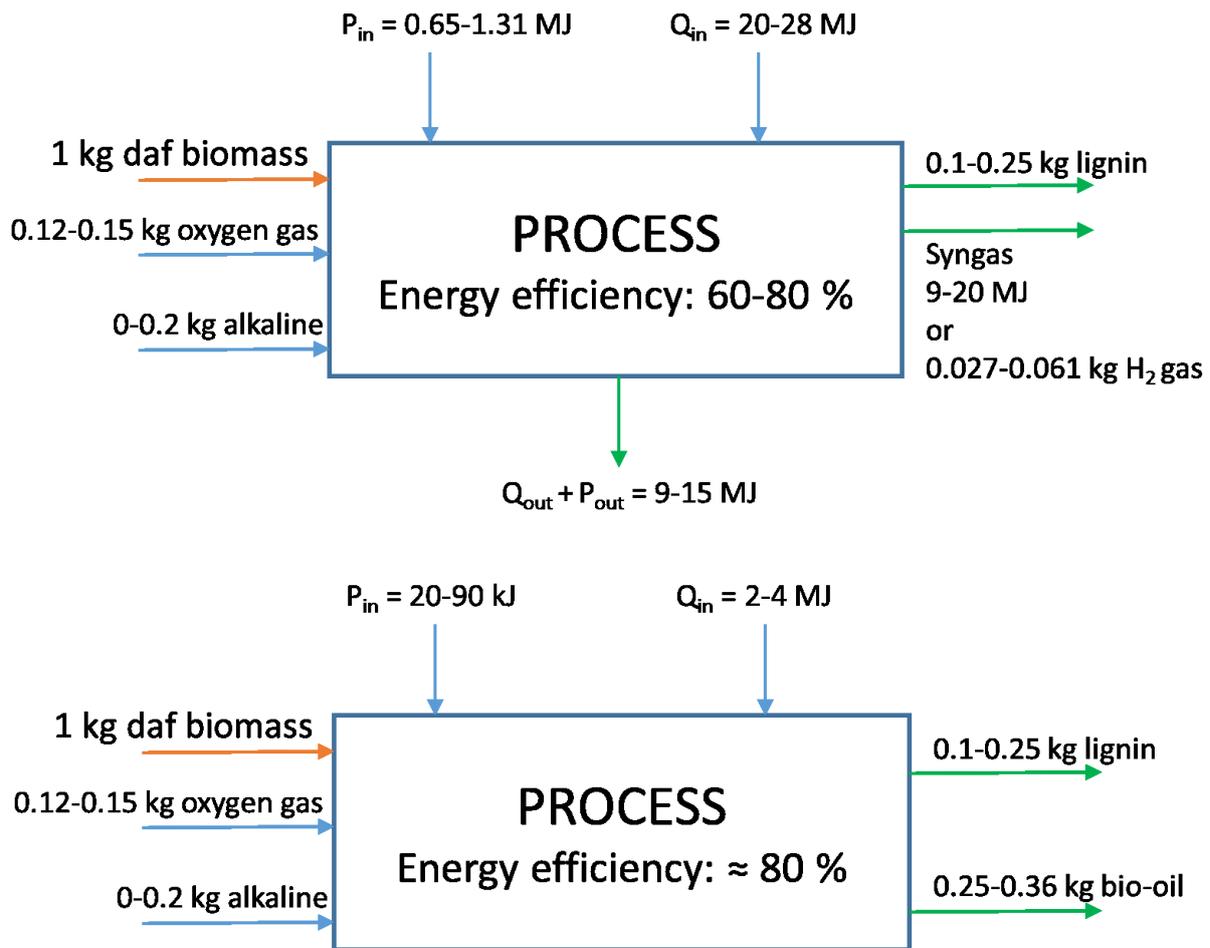
The ranges of process conditions are given in Table 1. PWO can be implemented at 170-240 °C and 0.5-1 MPa of oxygen partial pressure. The residence time of 10-20 minutes can be sufficient for liquid biomass. In case of solid biomass, 30 minutes time can be applied or the solid can be blended with liquid feedstock in relatively low amounts to ensure the dissolution in 10-20 minutes, depending on the relative amounts of solid and wet biomass received by the regional conversion plant. The reactor conditions depend on the feedstock and the desirable product. HTL occurs at 4-22 MPa and 250-350 °C. The conditions can be selected based on the product quality and plant-wide optimization. SCWG conditions are selected based on the further use of syngas. For instance, based on the experimental conditions presented by De Blasio et al. (2016) [52], the yields and energy efficiency are very low at 500 °C for black liquor. For the purpose of energy generation, higher temperature provides higher hot gas efficiency; however, the heat needed to maintain the reactor temperature would be also higher [52]. Thus, temperature should be selected based on the optimum compromise between hot gas efficiency and the heat needed in the reactor. For the purpose of hydrogen production, temperature can be 600 or 700 °C depending on the reactor material and plant-wide optimization. The hydrogen yield increased with temperature in stainless steel reactor; in contrast, the yield of hydrogen decreased in Inconel reactor at 700 °C compared to 600 °C in case of SCWG of black liquor [52]. The pressure has no major influence on gas yields even though it affects water properties associated with energy and flow, such as viscosity, specific heat and density; therefore, pressure in the reactor can be selected based on plant-wide optimization. The feed to reactor will be pressurized by high-pressure liquid pumps; thus, no gas compressors are needed.

631 Table 1. Process conditions of the proposed hydrothermal conversion process as illustrated in
 632 Figure 6
 633

The unit	The conditions	Remarks
PWO	T: 170-240 °C P _{O2} : 0.5-1 MPa t: 10-20 min.	Self-heating of the feedstock
Acidification	T: 80 °C P: 1 atm	Lignin precipitation at pH 9-10
The conversion reactor	T: 600-700 °C P: 25 MPa or above t: 1-5 min.	SCWG
The conversion reactor	T: 250-350 °C P: 4-22 MPa t: 1-12 min.	HTL
HP G/L Separator	T: 80-250 °C P: 25 MPa or above	Separation of H ₂ -rich gas in case of SCWG
LP G/L Separator	T: 80 °C or less P: 1 atm	Separation of CO ₂ -rich gas in case of SCWG or Phase separation of aqueous effluent and bio-oil in case of HTL

634 This process would potentially have improved techno-economic performance. The process
 635 combines the advantages of exothermic PWO and fast hydrothermal conversion. First, the
 636 energy integration and optimization can determine the extent of oxidation in the first unit, thus
 637 reducing the energy need for heating prior to SCWG or hydrothermal liquefaction. In addition,
 638 oxidation of sulphur enables sulphur-free lignin recovery with less filtering resistance.
 639 Afterwards, the reactor can produce either syngas or crude bio-oil.
 640

641 The heat integration is briefly involved as well in Figure 6 and can be improved further when
 642 designing the process. The reactor outlet heats the reactor feed in “Heat Exchanger” unit.
 643 However, in case of SCWG, temperature of the feed stream should not exceed the critical water
 644 temperature to avoid salt deposition in the pipelines and in the heat exchanger. Therefore, a
 645 furnace is included to increase the reactor inlet temperature further. The extra internal energy
 646 of product gas can further be used to generate steam for turbines: the reactor outlet first can
 647 exchange heat with water in another unit then can pass through “Heat Exchanger” unit as in
 648 Figure 6. In addition, the purpose for further use of H₂-rich gas can influence “Product cooling”
 649 unit. The fractions of combustible gases in the H₂-rich gas stream are maximized for the purpose
 650 of energy production in gas engine. In contrast, the fraction of hydrogen is maximized for the
 651 purpose of hydrogen production. In other words, the purpose determines temperature in “HP
 652 G/L Separator” unit. In case of HTL, temperature difference between two inlets of “Heat
 653 Exchanger” unit would be less, thus influencing the heat integration and introducing less heat
 654 duty to the furnace.
 655
 656



661 Figure 7. The input-output diagrams of the proposed hydrothermal conversion as illustrated in
662 Figure 6 (per kg of dry-ash-free “Biomass Feedstock” stream) for the cases of SCWG (top)
663 and HTL (bottom) operations in the reactor
664

665 Figure 7 shows the ranges of product yields and utility needs for the process as illustrated in
666 Figure 6: the minimum and maximum values are approximately calculated based on the
667 operational ranges given in Table 1. Oxygen gas inlet shows the amount needed in the PWO
668 unit. P_{in} shows the electricity needed by the mixer and the high pressure pump. Q_{in} shows the
669 amount of heat needed in the furnace. $Q_{out} + P_{out}$ shows the amount of excess heat in the reactor
670 outlet: transferred to “Steam to CHP” stream for combined heat and power production. On the
671 other hand, it should be noted that these values are case-dependent and vary depending on the
672 operating conditions as well as biomass type. Therefore, approximate ranges are given in Figure
673 7. The oxygen need in PWO is calculated assuming 20 % solid content in the “Biomass
674 Feedstock” stream, based on the solubility of oxygen in water and some degradation of
675 organics. The values have the basis of unit mass dry-ash-free (daf) content in the “Biomass
676 Feedstock” stream in Figure 6. In other words, depending on the portion transferred to
677 acidification, 0.9-0.75 kg organic content remains for the hydrothermal reaction. Then, the
678 yields reported in the literature are adjusted accordingly. For instance, HTL giving 40 % yield
679 on mass means 40 % of 0.9 kg and leads to 0.36 kg bio-oil/kg daf biomass feedstock. For
680 SCWG, the reactor inlet is assumed to have 5 % organic content and the identical heat transfer-
681 related properties with pure supercritical water. The heat available for CHP process and needed
682 in furnace are calculated accordingly. The syngas product is listed as hydrogen yield and the

683 energy amount when used in combustion. The H₂-rich gas has lower heating value of 20-25
684 MJ/kg dry syngas in case of separation for maximizing the heating value.

685

686 The proposed hydrothermal process gives promising figures compared to the alternative
687 conversion processes. Even though high amount of energy is needed in the furnace, SCWG of
688 wet biomass can reach the energy efficiency of 60-80 %. The energy need in furnace can be
689 fulfilled by burning 1-1.5 kg of biomass per kg daf biomass in the “Biomass Feedstock” stream.
690 Nevertheless, the energy efficiency can be improved further by operating with more
691 concentrated reactor feed (e.g. 10 % solid content provided that the gas yields are maintained
692 either by longer residence time or more effective catalyst). As an alternative to produce the
693 same product, thermal gasification of wet biomass consumes high energy as well for
694 evaporation as a pre-treatment process and drying step in the gasifier. For instance, 8-10 MJ
695 per kg daf biomass is the energy cost due to water content of concentrating biomass feed from
696 20 % to 80 % solid content and gasifying this stream afterwards. In addition, thermal
697 gasification occurs very high temperature; therefore, oxygen is introduced into the gasifier.
698 Introducing air to gasifier does not help reduce the cost since the syngas becomes dilute in the
699 presence of nitrogen. Moreover, the syngas from thermal gasification requires gas cooling and
700 cleaning to remove the sulphur content, thus reducing the efficiency of post-processing of the
701 gas. In contrast, the syngas from the proposed process may not require gas cleaning if sulphur
702 remains as the oxidized form and occurs in the liquid effluent after the separation stages.
703 Furthermore, the combustible gases can be separated more effectively, giving H₂-rich gas at
704 high pressure. Regarding bio-oil, HTL produces bio-oil in higher quality than pyrolysis, thus
705 requiring less hydrogen in upgrading. For instance, the oxygen content of bio-oil is 10-20 % by
706 weight and the heating value is in the range of 30-37 MJ/kg in case of HTL [41]. In contrast,
707 biomass pyrolysis produces bio-oil having the heating value range of 18-29 MJ/kg [57].
708 Furthermore, HTL occurs at lower temperature than pyrolysis and avoids the need of
709 evaporation as well.

710

711 Regarding the development of sectoral integration supply chain, this process is very suitable
712 for regional conversion of biomass. Due to biomass inlets from several sectors, the continuous
713 feedstock supply is secured unless there is sharp decline in all sectors simultaneously. In
714 addition, the process can respond changes in market demand: switching between liquid biofuel
715 production and syngas besides adjusting the proportion passing through lignin recovery section.
716 The process can perform all the chemical concepts of lignocellulosic biomass conversion shown
717 in Figure 3.

718

719 For deeper process development of the proposed hydrothermal conversion, future studies will
720 involve several aspects: further experimental data, kinetic modelling of hydrothermal
721 decomposition of biomass, preliminary process design for a selected region with forestry and
722 agricultural activities, feasibility and environmental performance evaluations. More data are
723 available in the literature for a technology option alone. However, the future experiments will
724 cover HTL and SCWG of PWO downstream for various feedstocks.

725 **Sustainability Evaluation**

726 The sustainability consists of environmental, economic and social aspects. A process is
727 evaluated as sustainable when it succeeds in all these three aspects. The proposed sectoral
728 integration together with the novel hydrothermal conversion process has the potential to be
729 sustainable.

730

731 From the environmental viewpoint, the proposed sectoral integration concept utilizes the by-
732 products and waste streams from various sectors, thus improving the environmental
733 performance of the operations of those sectors as well. For instance, non-wood pulp mills have
734 no commercial treatment solution for black liquor due to high content of silica causing extreme
735 increase in viscosity when concentrating. The proposed hydrothermal process can include non-
736 wood black liquor as feedstock as well, thus enabling non-wood mill operation. The agricultural
737 residues become valuable raw material rather than waste with this concept and the proposed
738 conversion process, thus improving LCA (life cycle assessment) results of agricultural
739 activities. In addition, lignin can replace fossil-based phenol and phenol derivatives in various
740 applications, thus providing further improvement in the environmental assessment [58]. As a
741 future aspect, further integration can be implemented by utilizing waste streams from other
742 biomass sectors than lignocellulosic ones. For instance, some studies investigate SCWG of
743 mixed feedstocks, e.g. manure-eucalyptus wood [59] and waste paper sludge-black liquor [60].
744 As another perspective, there is a potential for integration through CO₂ utilization as well. For
745 instance, Sharifzadeh et al. (2015) [61] investigated the utilization of CO₂ emission from
746 biomass pyrolysis in microalgae production and reported improved product yield as well as
747 environmental performance. Regarding the sectoral integration network, CO₂ generated in the
748 regional conversion can go to a biomass processing at source site, such as algae production.
749 Then, algae can possibly be used in the proposed hydrothermal process together with
750 lignocellulosic biomass. Alternatively, Michalak et al. (2015) [62] studied the applications of
751 supercritical algal extracts in animal feed and food supplement, pharmaceutical and plant
752 protection products.

753
754 The environmental evaluation can be performed with LCA for the whole system covered by the
755 concept including biomass fields, regional conversions and centralized upgrading plants.
756 Alternatively, regional evaluation can be performed for the combination of all involved sectors
757 with the system boundary from biomass fields to the regional conversion process in a specific
758 region. The new biomass implementation can be evaluated by comparing the combined LCA
759 results with and without the new implementation, rather than LCA analysis for a proposed
760 implementation alone.

761
762 From the economic viewpoint, the proposed concept and conversion process provide value-
763 added products from by-products and waste streams. In other words, pre-treatment operations
764 in biomass activity sites convert waste into raw material for regional conversion plants, i.e.
765 providing additional revenue for rural areas. Furthermore, regional conversion plants can
766 provide energy for the region or intermediates converted into biofuels and other valuable
767 products in the centralized upgrading plants. Considering the depletion in fossil resources and
768 increasing energy demand, biorefinery will potentially have increasing economic value. In
769 addition, regional conversion plants can recover lignin as well. Lignin is a valuable material for
770 3-D printing [63] carbon fibers [64] and other composite material applications [65]. For
771 example, the sectoral integration provides the improvements below compared to the distributed-
772 centralized network presented by Kim et al. (2011) [9]:

- 773 • possible reduction in transportation costs from biomass site to the regional conversion
774 plant by pre-treatment at biomass sites, e.g. mechanical dewatering or grinding
- 775 • increasing the revenue by 1.1-1.4 times through lignin recovery in the regional
776 conversion plants (assuming that lignin has the prices of 1000 \$/ton [4]): 352.4 million-
777 1.4 billion dollars of additional revenue
- 778 • saving transportation cost of bio-oil from the regional biomass sites to the centralized
779 plant: around 30 % reduction in cost per transferred energy content, i.e. from 128.7
780 million dollars to 92.7 million dollars and saving 36 million dollars

- 781 • reducing the operation costs of bio-oil upgrading, that is one of the main costs
- 782 • reducing the acquisition costs of biomass by using waste biomass rather than chips and
- 783 grass, another main cost
- 784 • more secured feed supply by introducing the agricultural wastes as well
- 785 • instead of relying on a single product; adapting market demand by switching among
- 786 the products of lignin, bio-oil derived liquid fuels and syngas derived heat and power
- 787 or chemicals

788 Even further improvements can be achieved by re-designing the network with these pieces of
789 information. However, the operation costs of the proposed hydrothermal process are to be
790 calculated more precisely as a future aspect, requiring more experimental data and feasibility
791 studies. Then, the whole supply-chain network can be designed for a real case with the sectoral
792 integration network and the proposed hydrothermal process for the regional conversion plants.

793
794 The sectoral integration network provides regional development as well regarding the social
795 aspect. The biomass source sites (rural areas) can provide valuable feedstock for the regional
796 conversion processes. Therefore, regional processes provide industrial employment in rural
797 areas: local farming people, engineers, scientists and other associated business management
798 people. Consequently, the concept will provide distributed population over the rural and urban
799 areas as well as mixing people with urban and rural backgrounds, thus facilitating the social
800 services reaching everybody and everywhere. In addition, supporting this concept also with
801 local wind turbines and solar panels, this concept has potential to switch the energy policy from
802 central power plants distributing electricity to very large areas to energy-independent smaller
803 areas, thus saving energy distribution losses. Furthermore, the potential implementation of the
804 sectoral integration would provide independence with respect to energy to the countries with
805 biomass activities [66]. Especially, agricultural countries can fulfil energy and food need
806 simultaneously, thus securing the most critical two sectors.

807

808 CONCLUSION

809 Due to sustainability issues of fossil fuels, biomass has increasing importance as a renewable
810 source. However, chemical and energy production from biomass should not compete with the
811 food sector, i.e. 2nd generation biorefinery is more suitable. In addition, sustainable biorefinery
812 requires flexible and multi-feed-multi-product conversion processes in order to adapt the
813 variations in product demand and feedstock properties. On the other hand, regarding the supply
814 chain network, neither centralized nor fully distributed network provides the optimum value
815 chain with respect to profitability and environmental performance. The distributed-centralized
816 approach has been investigated in the literature; however, most studies are limited to single
817 product and/or single biomass type. Moreover, each conversion process has drawbacks and no
818 commercial technology has been achieved for 2nd generation biorefinery.

819
820 This paper proposes sectoral integration as the concept for biorefinery value chain: distributed
821 pre-treatment at the harvesting sides, regional chemical conversion of side products or waste
822 streams of all forestry and agricultural activities, and finally centralized upgrading to final
823 products. This network integrates the various sectors since all the sectors providing biomass to
824 the regional conversion process contributes to the final product. Furthermore, this integration
825 concept can address the variations in feedstock and market demand as well as reducing the
826 transport costs.

827
828 The biomass conversion part is the crucial part of the sectoral integration network concept. This
829 paper proposes a novel hydrothermal conversion for lignocellulosic biomass. The proposed

830 process enables multi-feedstock-multi-product and flexible operation, producing lignin and
831 syngas or bio-oil. The process uses the benefits of hydrothermal conversion methods and
832 potentially provides energy efficient production. This process concept can also overcome the
833 concerns of the availability of feedstock since it utilizes biomass from various sectors.

834
835 The future aspects from the scientific viewpoint include obtaining more experimental data on
836 hydrothermal processes and designing the sectoral integration network with the proposed
837 regional conversion process for a real case as well as feasibility and environmental analysis.
838 The future aspect from an application viewpoint includes the lignocellulose-aquatic biomass
839 mixture integration as the feedstock and carbon dioxide utilization, e.g. integration with algae
840 growth for the coastal areas.

841 **NOMENCLATURE**

842 AC: Activated carbon
843 CGE: Carbon gasification efficiency in percentage
844 CHP: Combined heat and power
845 COD: Chemical oxygen demand in g/L
846 daf: dry-ash-free
847 HP G/L Separator: High pressure gas/liquid separator
848 KBL: Kraft black liquor
849 LCA: Life cycle assessment
850 LHV: lower heating value in kJ/kg dry-ash-free
851 LP G/L Separator: Low pressure gas/liquid separator
852 LRP: the portion to lignin recovery
853 LY: lignin recovery yield in acidification and filtration
854 P_{O_2} : Partial pressure of oxygen in MPa
855 PWO: Partial wet oxidation
856 SCW: Supercritical water
857 SCWG: Supercritical water gasification
858 TOC: Total organic carbon in g/L
859 WSBL: Wheat straw black liquor
860
861 η : Hot gas efficiency

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