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Alternative chemo-enzymatic treatment for

2 homogeneous and heterogeneous acetylation of

3 wood fibers

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15 ABSTRACT

16 A new chemo-enzymatic treatment is proposed to produce cellulosic fibers suitable for heterogeneous- or 17 homogeneous-phase acetylation. The procedure included enzymatic (laccase-violuric acid) lignin removal from 18 the precursor fibers (unbleached sulfite pulp) followed by hydrogen peroxide treatment. An optional 19 intermediate stage included partial hydrolysis (endoglucanase) to increase fiber reactivity. The obtained 20 "biobleached" fibers were acetylated in the heterogeneous phase with acetic anhydride in nonpolar solvents, 21 yielding various acetyl group contents, depending on the severity of the reaction. The degree of acetylation was 22 highly sensitive to the treatment conditions, mainly the acetic anhydride activity in the system. The results were 23 compared to those obtained after acetylation of commercial, dissolving-grade fibers, used as reference. The 24 effect of the inherent nature of the fibers tested were elucidated as far as hemicellulose content, fiber length, fine 25 content and crystallinity (NMR). Acetyl group content of up to 24% were determined after heterogeneous 26 reaction with the chemoenzymatic fibers. The substitution of hydroxyl groups by acetyl moieties resulted in a 27 lower hydrophilicity, as assessed by measurement of the water contact angle. Homogeneous acetylation of the 28 chemo-enzymatic and reference fibers resulted in relatively similar acetyl group content (up to 36 and 33%, 29 respectively). These samples were soluble in acetone and produced transparent films (via solvent casting), with 30 enhanced dry strength and lower hydrophilicity. Overall, it is concluded that the proposed chemo-enzymatic 31 treatment is a feasible alternative for the production of fibers that are suitable for efficient acetylation. 32 33 34 *Keywords: Chemo-enzymatic treatment; endoglucanase; heterogeneous acetylation;* 35 homogeneous acetylation; films; hydrophobicity; acetic anhydride.

38 INTRODUCTION

39 Acetylation is a common chemical modification in which acetyl groups (CH₃CO⁻) 40 react with the surface hydroxyl groups (OH) of cellulose, making its surface less 41 hydrophilic. The acetylation process depends on the fiber accessibility and the 42 susceptibility of OH groups in the crystalline and less crystalline domains of cellulose 43 (Kalia et al. 2014). The greater the accessibility, the easier it is for the reactants to diffuse 44 into the interior of the fibers. The generic methods for acetylation are those in 45 heterogeneous (in fiber dispersions) and homogeneous (in solution) phase. The 46 heterogeneous acetylation process is performed in the presence of a non-solvent, such as 47 toluene, benzene or carbon tetrachloride. The reaction product (cellulose acetate) is 48 insoluble and thereby, this process preserves the morphological structure of the fiber. In 49 contrast, cellulose acetate is dissolved during the homogeneous acetylation and, therefore, 50 it demands solvents capable of deconstructing the crystalline network and interacting 51 with the anhydroglucose units of cellulose. This is usually done by reducing or 52 eliminating inter and intra- molecular hydrogen interactions. In the homogenous phase, 53 cellulose begins to react with acetic anhydride, with the initial reaction occurring mainly 54 in the amorphous regions of the structure. Sulfuric acid is used as a catalyst and it 55 combines with the cellulose, forming sulfate linkages; however, most of these are 56 removed during acetylation via exchange with acetyl groups. It is important that the final 57 cellulose acetate contains only a very small amount of sulfate groups because they affect 58 the properties adversely, especially the color. When acetylation is virtually complete, the 59 product of reaction is viscous and clear. The excess of acetic anhydride is then 60 neutralized by adding aqueous acetic acid, which helps to desulfate the residual sulfate 61 linkages (LaNieve and Richard 2007; Luo et al. 2013)

The extent to which the available hydroxyl groups in the repeating unit of cellulose are substituted, the degree of substitution (DS), does not quite reach the maximum of three units per anhydroglucose unit (as in cellulose triacetate). Cellulose triacetate (DS > 2.8) displays a limited solubility in acetone and is reported for use in a relatively narrower number of commercial applications (Cao et al. 2007). Diacetates with a DS from 2.2 to 2.7 (also named secondary acetates) are the most commonly reported cellulose esters. They are soluble in acetone and other organic solvents (Steinmeier 2004;

Fischer et al. 2008; Wan Daud and Djuned 2015), and can be used in applications such as
coatings, films, textiles, synthetic polymeric membranes, among others.

Following a heterogeneous route, it is possible to obtain more crystalline and less
biodegradable cellulose acetates (CA) than those produced through homogeneous routes
(Barud et al. 2008). On the other hand, the advantages of acetylation in homogeneous
phase include the excellent control of the degree of substitution (DS) and the possibility
of a uniform distribution of the functional groups along the polymer chain (Ass et al.
2004).

77 Importantly, CA is usually produced from high quality cellulose fibers, namely, 78 dissolving grades derived from cotton or wood (α -cellulose content of > 95%) (Saka and 79 Matsumura 2004; Roselli et al. 2014; Wan Daud and Djuned 2015). In the case of cotton 80 sources, issues related to the large land area required for farming and water required for 81 irrigation, result in high economic and environmental burdens. Further, the so-called 82 "cotton gap" motivates a need for more extensive utilization of dissolving-grade fibers 83 derived from wood. According to FAO (2012), dissolving-grade fibers constitute a small 84 share of the global pulp production, but prospective consumer markets indicate that this 85 share will increase in the coming decades. Based on this scenario, new technologies are 86 being suggested as alternative to traditional dissolving pulp production processes. In previous studies (Quintana et al. 2013; Quintana et al. 2015a), the laccase-mediator 87 88 system was used to bleach sulfite pulp and the conversion to dissolving-grade was 89 achieved by cellulase treatment. According to the results, the obtained chemo-enzymatic 90 dissolving-grade fibers exhibited suitable characteristics for use in the synthesis of 91 cellulose derivatives.

92 In the present work, fibers obtained via chemo-enzymatic treatments of biobleached 93 fibers (termed herein as L_E and L_{CE}) were investigated as far as their suitability to 94 synthesize acetylated cellulose. A bleached commercial dissolving-grade fiber, used as a 95 reference and termed "Com", was used for comparison. This study focuses on the surface 96 acetylation reactions, typical of heterogeneous acetylation, while homogeneous 97 acetylation was also carried out for comparison purposes. In terms of surface acetylation, 98 given doses of acetic anhydride (Ac₂O) were tested and the degree of acetylation was 99 evaluated by FTIR spectroscopy. Paper handsheets were produced from fibers that were 100 acetylated on the surface (heterogeneous reaction) and characterized in terms of contact 101 angle, mechanical strength and surface morphology. Samples obtained by homogeneous 102 acetylation were used to prepare transparent films via solvent casting and characterized in

- 103 terms of the tensile strength and contact angle. This work, therefore, aims at determining
- 104 if chemo-enzymatic treatment is a suitable alternative for the synthesis of materials with
- 105 low hydrophilicity via heterogeneous and homogeneous acetylation.

106 MATERIALS AND METHODS

107 **Precursor fibers**

108 As starting fiber material, unbleached sulfite cellulose fibers were used and obtained 109 as a mixture of 60 % Norway spruce (*Picea abies*) and 40 % Scots pine (*Pinus sylvestris*) 110 (Domsjö Fabriker mill, Sweden). Fiber characteristics included a kappa number of $4.2 \pm$ 111 0.2, ISO brightness of 61.25 ± 0.6 % and viscosity of 511 ± 11 mL/g. The carbohydrate 112 content, as determined by high-performance liquid chromatography (HPLC), was $88.5 \pm$ 113 0.3 % glucan, 6.0 \pm 1.3 % mannan, 2.4 \pm 0.4 % xylan and 0.3 \pm 0.2 % rhamnan. As a 114 reference fiber source, a totally chlorine-free (TCF) bleached sulfite dissolving-grade 115 pulp was employed. This pulp was obtained from the unbleached fibers (as indicated 116 above). It has an ISO brightness of 91.70 ± 0.15 % and viscosity of 474 ± 1 mL/g. The 117 carbohydrate composition, also determined by HPLC, included 95.1 \pm 0.3 % glucan, 2.8 118 ± 0.2 % mannan, 0.8 ± 0.0 % xylan, 0.2 ± 0.2 % rhamnan, 0.2 ± 0.2 % arabinan, 0.3 ± 0.1 % glucuronic acid and 0.2 \pm 0.1 % acetic acid. The bleached fibers were obtained by 119 120 sulfite digestion followed by chemical bleaching at the Domsjö Fabriker mill (Sweden). 121 These fibers, which are used commercially, are thereafter referred to as Com.

122 Enzyme treatment

123 A laccase (Trametes villosa, TvL) was supplied by Novozymes® (Denmark) with an 124 activity of 746 U/mL and used for *biobleaching* the fibers. The laccase activity was 125 measured as the extent of oxidation of 5 mM 2,20-azinobis(3-ethylbenzothiazoline-6-126 sulphonic acid) (ABTS) to its cation radical ($\epsilon_{436} = 29,300 \text{ M}^{-1} \text{ cm}^{-1}$) in 0.1 M sodium 127 acetate buffer (pH 5) at 24 °C. One activity unit (U) was defined as the amount of enzyme 128 converting 1 µmol of ABTS per min. Violuric acid (VA), the mediator used for this 129 enzymatic treatment, was purchased from Sigma-Aldrich and used as received. A 130 hydrolytic treatment was also applied involving an endoglucanase produced from 131 *Cerrena unicolor* (supplied by Fungal Bioproducts[®], Spain). The activity measured as U/g dry enzyme powder of the cellulase preparation was 1700 CMCase U/g and 680 U/g 132

for the cellulase and xylanase activity, respectively. The activity was determined in ourlaboratory using the Somogyi–Nelson method.

135 For biobleaching, unbleached sulfite fibers were first conditioned at pH 4 adjusted 136 with H₂SO₄, stirred at 2 % solids content for 30 min and washed with de-ionized water in 137 a glass filter funnel. This step was needed to remove contaminants and metals, and also to 138 bring the fiber dispersion to the pH required for the enzymatic treatment. The 139 biobleaching process included a sequence denoted as $L_{VA}(PO)(PO)$, where L_{VA} denotes an 140 enzymatic (laccase) treatment and PO the hydrogen peroxide stage assisted with oxygen. 141 The enzymatic stage was carried out with the laccase-violuric acid system in an oxygen 142 pressurized reactor (0.6 MPa) at stirring rate of 30 rpm, using 50 mM sodium tartrate 143 buffer (pH 4) to adjust 5 % (w/w) fiber content, at 50 °C for 4 h. The enzyme dose was 20 144 U/g odp (oven dry weight of fibers) of laccase and 1.5 % odp of violuric acid (Quintana 145 et al. 2013). The enzymatic treatment was followed by a chemical bleaching stage 146 involving hydrogen peroxide assisted with oxygen. PO was carried out at 5 % (w/w) 147 solids in an oxygen pressurized (0.6 MPa) reactor at a stirring rate of 30 rpm under the 148 following conditions: 3 % odp H₂O₂, 1.5 % odp NaOH, 0.3 % odp DTPA and 0.2 % odp 149 MgSO₄, at 90 °C for 1 h. Treated fibers were washed extensively with deionized water, 150 and then followed with another hydrogen peroxide stage assisted with oxygen. The 151 treatment was performed under same conditions described above but 2.5% odp H₂O₂ and 152 3 h of reaction were used. The chemical stage was finished by washing the bleached 153 fibers with deionized water.

154 The resulting *biobleached* fibers $(L_{VA}(PO)(PO))$, denoted here as L, for simplicity, 155 were used in two different additional treatments to produce the chemo-enzymatic samples 156 used later for acetylation reactions. One was subjected to enzymatic hydrolysis with an 157 endoglucanase (resulting in fibers that are denoted thereafter as L_E). The other included 158 the application of cold caustic extraction before endoglucanase treatment (resulting in 159 fibers that are denoted thereafter as L_{CE}). The purpose of introducing an endoglucanase 160 treatment was to improve fiber reactivity. By its side, cold caustic extraction was a 161 purification stage where hemicelluloses were removed and, as a result, fiber quality was 162 improved. Both enzymatic treatments were performed in polyethylene bags that were 163 placed in a laboratory water bath, at 10% solids (w/w) in 0.05 M sodium acetate buffer at 164 pH 5.5 at 55 °C for 1h and with 12 U/g odp enzyme. The samples were periodically 165 kneaded and the reaction was stopped by washing the fibers with de-ionized water in a 166 porous glass filter funnel of porosity grade 2. The cold caustic extraction was also

- 167 conducted in a polyethylene bag. The treatment was performed at 10 % (w/w) solids 168 adjusted with 9 % (w/v) NaOH at 25 °C for 1 h. Treated fibers were washed with de-169 ionized water until the filtrate pH was neutral (Quintana et al. 2015a).
- 170

LE, LCE, and Com Fiber Analysis

171 The commercial dissolving grade and chemo-enzymatic fiber samples (Com, L_E and 172 L_{CE}) were characterized in terms of kappa number, brightness and viscosity according to ISO 302:2004, ISO 2470:2009, ISO 5351:2004, respectively. The cellulose reactivity of 173 174 the fiber samples was determined according to slightly modified version of Fock's 175 method (Fock 1959; Köpcke et al. 2010). This is a micro-scale method simulating the 176 industrial viscose process for manufacturing regenerated cellulose. Prior to analysis, the 177 samples were dried at 50 °C and conditioned in a climate room at 23 °C and 50% RH 178 overnight. Carbohydrate composition of treated fibers was determined using high 179 performance liquid chromatography (HPLC). Samples were studied by duplicate using a 180 modified version of TAPPI 249 cm-09 test method. Prior to HPLC analysis, samples 181 were filtered using a 0.45 µm pore size Whatman membrane. Chromatographic analysis 182 was performed using a 1200 Agilent HPLC instrument furnished with a Biorad Aminex 183 HPX-87H ion-exchange column. Concentrations were calculated by interpolation in 184 calibration curves ran from standards of glucose, xylose, rhamnose and arabinose. In 185 order to resolve xylose, mannose and galactose peaks, the hydrolyzed effluents were 186 neutralized with barium carbonate (BaCO₃), then were filtered through a membrane of 187 0.45 µm pore size and then were analyzed with a Biorad Aminex HPX-87P column. The 188 chromatographic determination was performed with the following conditions: mobile 189 phase, 6 mmol/L (acid samples) or ultrapure water (neutralized samples); flow rate, 0.7 190 mL/min; column temperature, 60 °C (acid sample) or 80 °C (neutralized sample). 191 ¹³C-CP/MAS NMR spectra were recorded in a Bruker AMX-300 instrument operating at 7.05 T and at 75.5 MHz for ¹³C. Samples were immersed in deionized water 192 193 for at least 2h. All measurements were performed at 290 ± 1 K. The magic angle spinning 194 (MAS) rate was 4 kHz. The cross-polarization contact time was 1 ms and the recycle 195 delay time 2.5 s. Acquisition time was 98.3 ms and sweep-width was 31.2 kHz. The 196 number of scans was 5100.

197 Heterogeneous (surface) and homogenous (bulk) acetylation

198 In the heterogeneous phase acetylation, 2.0 g oven dried pulp (odp) of each type 199 (Com, L_{E} , L_{CE}) was disintegrated and then filtered using a filter paper (Whatman 1) for 200 water removal. The samples were then placed in a glass beaker containing a mixture of 201 20 mL of acetic acid (99.7% w/w) and 35 mL toluene. The dispersion was stirred for 5 202 min and 0.2 mL sulfuric acid (95% w/w) was added. Then, a desired amount of acetic 203 anhydride (Ac₂O) was added and the mixture was stirred for 1 h at room temperature. 204 The specific conditions for acetylation reactions and the nomenclature used were as 205 follows: 0.53 g (Lowest), 2.67 g (Low), 5.35 g (Medium) and 10.7 g (High) Ac₂O per 206 gram of dried fiber sample (Com, L_E, L_{CE}). The "Lowest" conditions were not applied to 207 the L_{CE} pulp. The reaction was quenched by adding 6 mL of distilled water and ethanol, 208 3:7 v/v. The mixture was allowed to stand for 20 min and then washed 3 times with 209 methanol and finally with water until neutral pH (Fig.1).

210 Homogeneous acetylation was performed as reference. For this purpose, 2.5 g odp of 211 respective fiber type (Com, L_E, L_{CE}) was disintegrated and then filtered using a filter 212 paper for water removal. Then, 50 mL of acetic acid was added to the sample, stirred 5 213 min and then filtered. This step was done by duplicate. After filtration, 45 mL of acetic 214 acid and 0.25 mL sulfuric acid was dropped into the sample and stirred for 1 min. Then, 215 5.35 g Ac₂O/g dried fiber (~12.5 mL Ac₂O) was added and continuously stirred for 30 216 min at room temperature. The reaction was quenched with the addition of 6.25 mL of 217 distilled water and acetic acid at a ratio of 3:7 v/v, respectively. Finally, cellulose acetate 218 (CA) was obtained by pouring the viscous reaction mixture into distilled water obtaining 219 a continuous droplet and with constant stirring. With precipitation, cellulose acetate was 220 regenerated. The obtained product was washed with distilled water until neutrality and 221 subsequently dried using a freeze-drying (Fig. 1).



Fig. 1 Outline of experimental procedures and samples studied. Biobleached (*L* or *L_{VA}(PO)(PO)*) sulfite

- fibers were subjected to cellulase treatment $(1a, L_E)$ or cellulase treatment after cold caustic extraction,
- 225 CCE (1b, L_{CE}). The fibers after L_E , L_{CE} treatment were subjected to heterogeneous (surface) or
- 226 homogeneous acetylation reactions. Fiber handsheets or films were prepared and characterized. Bleached

227 commercial dissolving fibers (Com) were used as a reference, and same heterogeneous and homogeneous 228 acetylation reactions were performed on such reference fibers.

229

230 The acetylated samples were analyzed by Fourier transform infrared spectroscopy

231 (FTIR) by using a Nicolet Avatar 360 spectrophotometer (Nicolet Instrument

232 Corporation). The samples were prepared by mixing 1 mg of the sample in a matrix of

233 300 mg of KBr followed by pressing. The spectrum was recorded in the range of 400-

234 4000 cm^{-1} and 32 scans were run at 4 cm⁻¹ resolution.

235

Determination of acetyl group content of acetylated cellulose

236 The nominal degree of substitution was determined according to ASTM D871-96 237 (2010). Firstly, the respective acetylated sample was ground and 100 mg (oven dried) 238 were weighed accurately and placed into 20 mL of 75% v/v of ethanol in an Erlenmeyer 239 flask. The bottle, loosely stoppered, was heated to 50-60 °C for 30 min for better swelling 240 of the material. Then, 20 mL of 0.5 N NaOH solution was added to the sample and the 241 mixture was heated to 50-60 °C for 15 min. A blank was also conducted but in absence of 242 fiber sample. The flasks were stoppered tightly and allowed to stand at room temperature 243 for 72h. The excess alkali was then titrated with 0.5 N HCl using phenolphthalein as 244 indicator. An excess of about 1 mL of 0.5 N HCl was added and allowed the NaOH to 245 diffuse from the regenerated cellulose overnight. The small excess of HCl was titrated 246 with 0.5 N NaOH to a phenolphthalein end point. The percentage of acetyl groups was 247 calculated as follows:

248 Acetyl groups, $\% = [(D - C)Na + (A - B)Nb] \cdot (F/W)]$

249 where A and B are the volumes (mL) of the NaOH solution (normality = Nb) required for 250 titration of the sample and the blank, respectively. C and D are the volumes (mL) of the

251 HCl solution (normality=Na) used for the titration of the sample and the blank,

252 respectively.

253 F is a constant (4.305) for acetyl and W the mass (g) of the sample used.

254

Handsheets from surface acetylated fibers and cellulose acetate films

255 Fibers obtained by surface acetylation were used for preparing handsheets. For sheet 256 manufacture 1g of each sample at 1% solids was disintegrated and poured into an over-257 pressurized device (< 1 bar pressure difference) allowing few minutes drainage to obtain 258 a web or handsheet of the acetylated fibers. The device was equipped with open mesh

fabric screen (Sefar Nitex 03-10/2, mesh opening of 10 μ m with open area of 2 %) to remove the excess water and retain the fibrils. The webs were pressed between two blotting papers using a metal roller (10 kg) and then dried at 80 °C, for 1 h in a tumble drier. The obtained sheets were then stored in a conditioned room (23 °C and 50 % relative humidity) until further use.

264 Surface acetylated handsheets were used to determine different properties. The 265 morphological characteristics of fibers (viz., length, width and curl), and fine content 266 were determined in accordance with TAPPI T 271 on a Metso kajaaniFS300 fiber 267 analyzer. High-resolution imaging of surfaces (handsheets were taken on a JEOL JSM-268 6400 scanning electron microscope (SEM). Samples were placed on the SEM sample 269 holding stub with the aid of conductive double side sticky carbon film and coated with 270 Au/Pd alloy prior to analysis. The wetting characteristics of the acetylated handsheets 271 was determined by the initial water contact angle (WCA) using a Dataphysics OCA15EC 272 contact angle goniophotometer (Dataphysics, USA). A 4 µL water drop was dropped to 273 the sample surface, and an image capture ratio of 25 frames/s was used to calculate the 274 initial contact angle. A minimum of ten readings were taken on every sample to reduce 275 possible influence of the heterogeneity of the surface. Also, changes in contact angle 276 were monitored until complete absorption of each water drop. Wet and dry tensile 277 strength of the surface acetylated sheets were measured on a MTS 400/M Vertical Tensile 278 Tester equipped with a 50 N load cell, in accordance with ISO 1924-3:2005.

279 Cellulose acetate (CA) obtained from homogeneous acetylation reaction was used for 280 preparing transparent films by means of a casting technique. Dried cellulose acetate was 281 dissolved in given amounts of acetone in order to obtain a concentration of 8 wt%. The 282 solutions for film casting were firstly centrifuged at 6000 rpm for 10 minutes. The 283 supernatant was carefully transferred and centrifuged again at 2000 rpm for 5 minutes. 284 The films were cast by pouring the transparent solution on a glass plates, well distributed 285 and followed by drying in a vacuum desiccator for at least 2h. The film samples were 286 finally kept in a desiccator. Tensile strength tests for CA films resulted from 287 homogeneous acetylation reactions were performed on a MTS 400/M vertical Tensile 288 Tester, with a cross-head speed of 40 mm/min. Specimen strips presented 10 mm width 289 and 40 cm length. Note that comparison of the handsheet (paper) and film samples is not 290 possible since they are quite different systems. The water contact angle, water drop test 291 (Tappi standard T835 om-08) and dry zero-span strength (ISO 15361:2000) were also 292 determined.

293 **RESULTS AND DISCUSSION**

294 Precursor fiber characterization

295 The effect of acetylation on the quality of the systems obtained from L_E , L_{CE} or Com 296 was evaluated. The characteristics of respective treated fibers are indicated in Table 1. All 297 applied sequences resulted in similar lignin content, as assessed by the kappa number, 298 ISO brightness and viscosity. However, the commercial dissolving fibers (Com) exhibited 299 the highest ISO brightness. Similar values of Fock solubility were found for all the fibers. 300 Some authors obtained a higher Fock solubility if endoglucanases were applied after a 301 cold caustic extraction stage (concentration > 8 wt). This was due to the transformation of 302 cellulose I into cellulose II and the fact that endoglucanases have a greater affinity for the 303 latter allomorph (Engström et al. 2006; Köpcke et al. 2008; Gehmayr and Sixta 2011, 304 Quintana et al. 2015b). However, although L_{CE} solubility tended to be higher compared to 305 L_E , no significant differences were produced. It is also known that lower viscosity can 306 influence cellulose solubility (i.e. reactivity); however, in general, all fibers presented 307 comparable viscosity.

308

309 Table 1 Main characteristics (mean \pm standard deviation) of L_E , L_{CE} fibers as well as Com reference L_E Com L_{CE} Kappa Number $< 0.5 \pm 0$ $< 0.5 \pm 0$ < 0.5 ISO Brightness (%) 84.6 ± 0.9 83.7 ± 1.5 90.3 ± 0.1 Viscosity (mL/g) 473 ± 55 447 ± 18 476 ± 1 Fock solubility (%) 66.9 ± 2.9 71.5 ± 2.3 67.3 ± 2.1

310

311 The carbohydrate composition was determined by HPLC, with special attention to the 312 hemicelluloses content (Fig. 2). The endoglucanase treatment applied to the biobleached 313 fibers (L) to obtain L_E reduced the amount of hemicelluloses by 35%, especially the 314 mannan and galactan fractions. The introduction of a cold caustic extraction followed by 315 hydrolytic treatment (L_{CE}) further decreased the amount of hemicelluloses by 46.2%. To 316 be precise, compared to L_E , L_{CE} treatment contribution amounted to 11.2%, resulting in a 317 smaller xylan fraction and similar mannan and galactan content. The lowest 318 hemicellulose content was measured in Com.





Fig. 2 Hemicellulose composition of biobleached fibers before (*L*) and after chemo-enzymatic treatment (L_E and L_{CE}). The composition of commercial dissolving fibers (*Com*) is also indicated. The total content of hemicelluloses is indicated on top of each column. Mn: mannan: Xn: xylan; Glt: galactan; Rn: rhamnan; Others: it includes acetic acid, glucuronic acid and galacturonic acid

Solid state 13 C-NMR spectra of biobleached fibers (*L*), biobleached fibers followed 325 326 by endoglucanase treatment (L_E) , and biobleached fibers submitted to cold caustic 327 extraction (9 % (w/v) NaOH) followed by endoglucanase treatment (L_{CE}) are included as 328 Supporting Information (Fig. S1). L_{CE} treatment presented slightly different polymorphic 329 form from unbleached and L_E sample. Caustic extraction converted cellulose I to 330 cellulose II: the C-6 signal at 64 ppm increased, obtaining two peaks with nearly identical 331 heights at 66 and 64 ppm. However, a shoulder at 108 ppm of C-1 signal, which is 332 characteristic of cellulose II, was not observed (Janzon et al. 2008a). Note that the small 333 proportion of cellulose II in L_{CE} is associated with the similar Fock solubility values 334 between samples (Krässig 1993; Janzon et al. 2008b).

The enzymatically-treated pulps displayed properties comparable to those of comercial dissolving pulp. The environmental advantages of enzymatic technologies have been reported previously via Life Cycle Assessments (LCA), which indicated a reduced contribution to global warming. In addition, a reduced contribution to acidification, eutrophication, photochemical ozone formation and energy were noted (Jegannathan and Nielsen 2013). Skals et al. 2008 also reported that the introduction of xylanase in biobleaching contributed to reduce global warming. Zhi Fu et al. 2005 showed that the 342 introduction of a laccase-mediator stage in biobleaching reduced the contribution to 343 ozone depletion and acidification, as well as reducing solid waste generation and energy 344 consumption. Related work highlighted the benefits of producing the enzyme and 345 mediator at the point of use.

346

Heterogeneous acetylation

347 Different degrees of surface acetylation were achieved by varying the concentration 348 of acetic anhydride (Ac₂O) in the nonpolar solvent used. The Ac₂O loading correspond to relative activities denoted thereafter as "lowest", "low", "medium" and "high". The effect 349 350 of acetylation on L_E , L_{CE} and Com samples was assessed via FTIR spectroscopy (Fig. 3). 351 Changes in non-acetylated and acetylated samples were identified. Specifically, the 352 structural changes of acetylated fibers were confirmed by the appereance of three new 353 bands characteristic of the acetyl group vibration at about 1735-1740, 1368-1375 and 1259-1277 cm⁻¹. The peaks located at 1735-1740 cm⁻¹ were attributed to the C=O 354 stretching of carbonyl in the ester bonds. The peaks located at 1368-1375 cm⁻¹ were 355 356 assigned to C-H symmetrical deformation in methyl group. The vibration peaks between 357 1259 and 1277 cm⁻¹ corresponded to C-O stretching of the acetyl group. The absence of 358 peaks in the 1840-1760 cm⁻¹ region demonstrated that there was no residual, unreacted 359 acetic anhydride in the acetylated fibers (Rodionova et al. 2011; Cunha et al. 2014; 360 Muhammad Djuned et al. 2014; Mashkour et al. 2015).

361 In the case of *Com* fibers, it is noted that by increasing the amount of Ac₂O used for acetylation resulted in a higher intensity of the C=O band at 1735 cm⁻¹; at the same time, 362 a decrease in the C-O band at 1235 cm⁻¹ was clear. Although the C-H band at 1375 cm⁻¹ 363 364 is charactersitic of acetylated fibers, no variation in absorption was evident for the 365 different acetylated conditions. A similar observation applies to L_E and L_{CE} fibers but differences in the intensity peak at 1735 cm⁻¹ with respect to the acetylation conditions 366 were less pronounced. For both, L_E and L_{CE} , a high intensity peak at 1735 cm⁻¹ was 367 368 observed when a high (10.7 g) Ac₂O level was introduced.



371Fig. 3 FTIR spectra for L_E , L_{CE} and Com (commercial dissolving fibers) samples at different acetylation372levels (lowest, low, medium and high).

370

The degree of acetylation (i.e. acetyl group content) was determined by titration with NaOH and HCl (Fig. 4). L_E sample did not show differences in terms of acetyl content

after reaction with lowest and medium Ac₂O levels, but a significant gain in acetyl group

377 content was observed for the high dose level (10.7 g). In fact, from all studied fibers, the 378 highest acetyl group content (~24%) was determined for the L_E sample. In general, 379 compared to L_E , acetylation of L_{CE} fibers yielded a smaller amount of acetyl groups. The 380 application of "low" Ac₂O levels was not effective in incorporating enough acetyl groups. 381 Only by using two or four-fold the Ac₂O dosage level introduced a suitable amount of 382 acetyl groups. Specifically, 13% and 15% of acetyl group content were measured for 383 medium and high Ac₂O dosages. In the case of *Com* sample, a gradual improvement in 384 acetyl group content was observed from the lowest (0.53 g Ac_2O) to the medium (5.35 g 385 Ac₂O) Ac₂O addition. Unexpectedly, a high Ac₂O addition produced a relatively small 386 acetylation degree. Com subjected to medium conditions resulted in 15% acetyl group 387 substitution, while only 7.9% was measured at high Ac₂O levels (a 47.3% reduction). 388 These results were not in agreement with FTIR data that indicated that the sample treated 389 under "high" conditions displayed the highest peak intensity in the C=O band. Actually, 390 the same acetyl content was found using low and high amounts of Ac₂O. Several reasons 391 can explain these observations. For example, the distribution of the functional groups 392 along the polymer chain may not be uniform after heterogeneous acetylation, which 393 introduces artifacts in the determination of acetyl group content.



□LE □LCE ■Com



Fig. 4 Content of acetyl group (%) as a function of acetic anhydride used in the respective acetylation reactions for L_E (diagonal bar), L_{CE} (dotted bar) and *Com* (filled bar) samples.

397 Changes in fiber morphology upon chemo-enzymatic treatment and398 acetylation

399 Endoglucanase treatment (L_E) of the biobleached fibers (L) caused a significant 400 reduction (~65%) in fiber length and increase of the fines content (Table S1 of

401 Supporting Information). The fiber length decreased from 1.8 mm (L) to 0.68 and 0.62 402 mm for L_E and L_{CE} samples, respectively. A further length reduction was observed for L_E , 403 *L_{CE}* and *Com* samples upon acetylation (from lowest to high Ac₂O reaction levels). 404 Specifically, Com sample consisted at first of longer fibers than those in L_E and L_{CE} , but 405 at medium and high acetylation conditions (5.35 and 10.7 g Ac_2O) the fiber length 406 reduction and fines generation was more severe for the Com sample. A high acetylation 407 degree was achieved in Com by using medium level conditions (5.35g Ac₂O). Moreover, 408 fiber length was reduced by about 77% (from 1.33 to 0.30) and fines increased to 65%. 409 However, the greatest reduction in fiber length (87%) and amount of fines generated (> 410 than 90% of fines) took place when high Ac_2O levels were used (10.7 g), indicating the 411 strong degradation of fibers under these conditions. Importantly, the acetyl groups 412 incorporated on the cellulose surface are associated with an increase of mass (coarseness 413 results) and fiber width, and with a reduction in curl. In fact, the strongest effect in these 414 properties was also produced under the "high" conditions of acetylation (coarseness and 415 fiber width increased by 384% and 22% respectively, and fiber curl decreased by 66%). 416 Therefore, the effects on fiber morphology correlate with FTIR results, which indicated 417 an increased acetylation at the "high" conditions. The low values measured for acetyl 418 content in Fig. 4 may be explained by the high fines content measured in the sample.

419 L_E and L_{CE} also suffered a reduction in fiber length with increasing acetylation degree 420 but, to a lesser extent if compared to the *Com* sample. In particular, at the highest 421 acetylation level (23.6% of acetyl group) of L_E , a mass gain (coarseness) of about 163%, 422 a fiber reduction of about 68% and an increase of fines up to 82% were observed 423 compared to the initial value. Meanwhile, similar values for L_{CE} at the highest acetylation 424 level (15.2% of acetyl groups) were measured (178%, 68% and 84.5%, respectively)

425 (Table S1 of *Supporting Information*).

426 Surface changes in handsheets of acetylated fibers

The change in the surface morphology of the acetylated fibers was evaluated by scanning electron microscopy (SEM). A clear fiber degradation due to acetylation reactions was confirmed by fiber morphology and also by SEM analyses. As can be seen for all samples treated at the medium Ac₂O level, fiber length was reduced; in addition, the greater amount of fines produced yielded a more entangled structure, with smaller pore size. The greatest changes were observed for fibers subjected to more severe acetylation conditions. In this case, in fact, whole fibers were not observed at the given

- 434 SEM magnification and the pattern of the mesh used for web preparation was observed
- 435 (Fig. 5). In addition, the increase in bulk density observed from non-acetylated to the high
- 436 acetylation conditions indicated a denser and more compact structure (data not shown).







440 **Fig. 5** SEM images of handsheets produced from L_E , L_{CE} and *Com* fibers that were subjected to 441 heterogeneous acetylation at different Ac₂O levels, as indicated

442

443 Mechanical properties of the fibers webs

444 The effect of fiber morphology and acetylation degree was assessed as far as the 445 mechanical properties of the corresponding handsheets (Fig. 6). A high acetylation level 446 is expected to limit hydrogen bonding capacity since acetyl groups substitute -OH's 447 otherwise available for bonding in the cellulose network (Ernest-Saunders et al. 2014). 448 Moreover, the strong deterioration of fibers during acetylation (much shorter and with 449 higher fines content), may yield weaker bonding. Additionally, sheet formation (spatial 450 distribution of mass) was limited and large variations in the measured physical properties 451 were noted between samples. Generally, a negative effect in tensile strength was 452 observed upon acetylation (Fig. 6a). Fibers subjected to high Ac₂O reaction levels 453 suffered a strength loss of about 70% and 90% for the *L*_{CE} and *Com* fibers, respectively. 454 In addition, the observed strength loss for L_E and L_{CE} samples correlated with a reduced 455 bulk density. Acetylated fibers from L_E and L_{CE} samples produced slightly higher wet 456 strength compared to that on non-acetylated fibers (Fig. 6b).



459 Fig. 6 Dry (a) and wet (b) tensile strength of webs produced with non-acetylated and high acetylated fibers460 as a function of acetyl group content.

461 Wetting properties of acetylated fibers

458

462 The effect of acetylation treatment on the hydrophilicity of the fibers was examined 463 by means of initial water contact angle (WCA) of the respective handsheets (Figure S2a 464 of Supporting Information). In general, the water absorption of paper depends on the 465 porous structure of the sheet and the nature of the interactions that occur between fibers 466 and the fluid (Mashkour et al. 2015). Acetyl groups were expected to reduce the 467 hydrophilicity of fibers and lower the interfiber bonding. The different WCA observed 468 between non-acetylated and acetylated samples confirm the effect of chemical surface 469 modification. Samples with the highest degree of acetylation presented twice the WCA 470 value compared to non-acetylated ones. To be precise, a WCA of 64, 58 and 55° were 471 obtained for acetylated Com, L_E and L_{CE} samples, confirming that the acetylation 472 reactions reduced the hydrophilicity of fibers.

473 Changes in WCA are mainly due to absorption in the sheet structure and to
474 evaporation—the latter, however, is only relevant for relative long absorption times

- 475 (Cusola et al. 2013). Water drops were absorbed rapidly for non-acetylated samples,
- 476 giving an equilibrium WCA close to 0° (Figure S2b of *Supporting Information*).
- 477 Acetylated L_E and L_{CE} samples also showed fast drop absorption, 2.4 and 56 s respectively
- 478 (Figure S2c of *Supporting Information*). In contrast, *Com* acetylated sample indicated no
- 479 change in water drop during 2 min and a WCA of 45° was recorded after 20 min. Finally,
- 480 after about 36 min the water drop was fully absorbed.

481 Homogeneous acetylation

Homogeneous acetylation was conducted in order to evaluate the dissolution behavior of fibers treated chemo-enzymatically (L_E and L_{CE}). The results were compared to *Com* reference fibers. In the absence of toluene in the acetylation medium (homogeneous acetylation), a higher percentage of substituted acetyl groups are determined relative to the results from heterogeneous acetylation. FTIR spectroscopy confirmed that acetylation reactions were substantial, as indicated by the fingerprint peak at 1730 cm⁻¹ (Fig. 7).



489



491

492 Quantification of the degree of substitution by titration showed similar acetylation
493 degrees for all studied fibers. Values between 33 to 36% of acetyl substituted groups
494 were found (Table 2), indicating a high level of acetylation comparable to commercial
495 available cellulose acetate (from Sigma-Aldrich ~39%).

496	Table 2 Acetyl group % determined by the titration method and dry tensile strength index of films
497	produced by solvent casting of L_E , L_{CE} and Com samples after homogenous acetylation reaction.

	Acetyl Groups (%)	Dry Tensile Strength Index (N·m/g)	Dry zero-span tensile strength (kN/cm)	Water drop test (s)	Contact Angle (°)
L_E	36.2 ± 4.9	19 ± 3	0.06 ± 0.01	5810 ± 117	76 ± 3
L_{CE}	35.5 ± 3.9	22 ± 11	0.07 ± 0.01	5435 ± 293	67 ± 4
Com	33.3 ± 4.4	67 ± 28	0.05 ± 0.006	5445 ± 507	67 ± 7

499 Fibers obtained after acetylation were freeze-dried, then dissolved in acetone and the 500 resulting viscous solution was used to prepare films via solvent casting. Films made from 501 the chemo-enzymatic samples presented notably greater strength values than those of 502 heterogeneous acetylation reaction at the highest acetylation level (Table 2). However, 503 despite the fact that a similar acetyl content was measured for all samples, *Com* films 504 presented a tensile strength three times higher than those measured for the samples 505 acetylated after chemo-enzymatic treatment, this can be explained by the differences in 506 fiber morphology of precursor fibers prior to acetylation. In terms of dry zero-span tensile 507 strength, *Com* and chemoenzymatic acetylated fibers showed values in the same range. 508 As observed with heterogeneous acetylation reactions, the presence of acetyl groups 509 reduced the hydrophilic character, giving a contact angle between 67 and 76°. Although 510 high hydrophobicity was not achieved (contact angle $< 90^{\circ}$), water drops remained long 511 time on the surface until complete absorption as WDT assay showed. Overall, cellulose 512 acetate fibers with new functional groups and high strength-related properties were 513 achieved.

514 **CONCLUSIONS**

498

515 Various surface acetylation conditions were studied from a dissolving fiber grade 516 (Com) and from a set of newly introduced fibers obtained by chemo-enzymatic treatment 517 of sulfite fibers (L_E , L_{CE}). The respective precursor fibers presented different 518 hemicellulose content, crystallinity and fiber morphology. As a result, upon given 519 heterogeneous reaction conditions, different acetylation degrees were achieved. FTIR and 520 acetyl group content titrations confirmed the fact that much higher acetyl group content 521 was developed for the more severe acetylation conditions. Morphological studies 522 revealed that acetyl groups were introduced via heterogeneous reactions on the surface of 523 the fibers, as indicated by the gain in coarseness that was observed. Generally, the fiber 524 length decreased with the acetylation degree and a larger amount of fines were produced. 525 Notably, the greatest fiber degradation was observed for *Com* sample under high 526 acetylation conditions giving a 86% fiber length reduction and a gain of about 115% of 527 fines. Handsheets obtained with acetylated fibers exhibited lower dry tensile strength and 528 lower hydrophilicity (determined by contact angle) compared to the non-acetylated 529 grades. Compared to the heterogeneous acetylation, homogeneous reactions led to higher 530 acetyl group degree of substitution. These samples exhibited good solubility in acetone

- and produced transparent films (via solvent casting) with enhanced dry strength, less
- 532 hydrophilic character and long time absorption resistance. In conclusion, the synthesis of
- 533 cellulose esters from the unbleached fibers after the chemo-enzymatic treatment in
- beterogeneous or homogenous phase (surface or bulk acetylation, respectively) was
- 535 demonstrated.
- 536

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