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Permanent-Magnet Flux Adaptation for Sensorless Synchronous Motor Drives

Toni Tuovinen*, Hafiz Asad Ali Awan†, Jarno Kukkola*, Seppo E. Saarakkala†, and Marko Hinkkanen†

*ABB Drives, Helsinki, Finland
†Aalto University School of Electrical Engineering, Espoo, Finland

I. INTRODUCTION

Sensorless control is a relevant technology in permanent-magnet (PM) synchronous motor drives. As an example, removing the need for a fragile motion sensor makes it possible to reduce the overall size and to improve reliability in traction drives. Rotor-position estimation for sensorless control is typically realized using a back-electromotive-force (back-EMF)-based observer [1]–[4], augmented with a signal-injection method for operation at lowest speeds.

At low speeds, the back-EMF-based observers are sensitive to errors in the stator resistance. As the speed and the back-EMF increase, position estimation becomes more sensitive to errors in the magnetic model parameters. The inductances (or saturation characteristics) can be identified during the self-commissioning stage without rotating the shaft [5], [6]. On the other hand, the PM flux cannot be properly estimated without spinning the rotor. If the application allows only standstill commissioning, a rough estimate for the PM flux can be computed based on the motor nameplate data. The PM flux also changes with temperature [7]–[9]. Inaccuracies in the PM-flux estimate result in decreased control performance, position estimation errors, and even instability [10].

To increase the accuracy of the PM-flux estimate, online identification and adaptation schemes have been proposed [8], [11]–[14]. In [14], the back-EMF-based observer is augmented with a parameter adaptation law for the PM flux. Moreover, the PM flux is estimated from the d-direction current estimation error with an integral adaptation law while the speed is obtained from the q-direction estimation error [14].

In this paper, a back-EMF-based observer augmented with a PM-flux adaptation law is considered. The main contributions are:

1) The PM-flux adaptation law considered in [14] is modified in such a way that PM-flux estimation can be designed independently of speed estimation. This modification simplifies the observer design and eliminates undesired transients from the PM-flux estimate.
2) Analytical expressions for the gains of the augmented observer are developed in a form, which allows placing the closed-loop poles of the linearized estimation-error dynamics.
3) Practical design guidelines are given for the free design parameters of the augmented observer.

The observer design is evaluated by means of simulations and laboratory experiments using a 2.2-kW interior PM synchronous motor drive.

II. MOTOR MODEL

Real space vectors are used. Vectors are denoted using boldface lowercase letters and matrices using boldface uppercase letters. For example, the current vector is \( \mathbf{i} = [i_d, i_q]^T \), where \( i_d \) and \( i_q \) are the components of the vector. The identity matrix is \( \mathbf{I} = [\mathbf{1}_{2 \times 2}] \) and the orthogonal rotation matrix is \( \mathbf{J} = [\mathbf{0}_{2 \times 2}, \mathbf{1}_{2 \times 2}] \).

The electrical rotor angle is \( \vartheta_m \) and the electrical angular rotor speed is \( \omega_m = \frac{d\vartheta_m}{dt} \). The electrical radians are used throughout the paper. In rotor coordinates, the inductance matrix and the PM-flux linkage vector, respectively, are denoted by

\[
\mathbf{L} = \begin{bmatrix} L_{d} & 0 \\ 0 & L_{q} \end{bmatrix} \quad \mathbf{\psi}_f = \begin{bmatrix} \psi_{f_d} \\ 0 \end{bmatrix}
\]

where \( L_{d} \) is the direct-axis inductance, \( L_{q} \) is the quadrature-axis inductance, and \( \psi_f \) is the PM flux. The machine model is expressed in estimated rotor coordinates, whose d-axis is aligned at \( \vartheta_m \) with respect to the stator coordinates. The stator flux linkage is

\[
\psi = \mathbf{L}' \mathbf{i} + \mathbf{\psi}_f \quad (2)
\]

where the inductance matrix and PM-flux vector, respectively,

\[
\mathbf{L}' = e^{\vartheta_m \mathbf{J}} \mathbf{L} e^{-\vartheta_m \mathbf{J}} \quad \mathbf{\psi}_f' = e^{\vartheta_m \mathbf{J}} \mathbf{\psi}_f \quad (3)
\]

depend nonlinearly on the estimation error \( \hat{\vartheta}_m = \vartheta_m - \vartheta_m \) of the rotor position. The stator voltage is

\[
\mathbf{u} = R \mathbf{i} + \frac{d\mathbf{\psi}}{dt} + \hat{\omega}_m \mathbf{J} \mathbf{\psi} \quad (4)
\]

where \( R \) is the resistance and \( \hat{\omega}_m = \frac{d\vartheta_m}{dt} \) is the angular speed of the coordinate system.
The error signal used in (6) is defined by means of the scalar product
\[ \varepsilon_1 = \lambda^T \mathbf{J} e \] (8)
where the projection vector \( \lambda \) can be a constant vector or it may depend on \( \psi \) and \( i \).

The PM flux is adapted using the integral mechanism
\[ \frac{d\hat{\psi}_f}{dt} = k_\ell \varepsilon_2 \] (9)
where \( k_\ell \) is the adaptation gain and the error signal is
\[ \varepsilon_2 = \lambda^T e \] (10)
As can be noticed from (8) and (10), the orthogonal components of the correction signal \( e \) are used to calculate the error signals \( \varepsilon_1 \) and \( \varepsilon_2 \). Furthermore, the magnitude of the projection vector \( \lambda \) is irrelevant due to the adaptation gains, while its direction affects the properties of the observer.

B. Linearized Estimation-Error Dynamics

The nonlinear estimation-error dynamics can be linearized for analysis purposes, as explained in [14], [15]. The operating-point quantities are marked with the subscript \( 0 \). The accurate model parameters are assumed, making the operating-point estimation errors zero, e.g., \( \dot{\vartheta}_{m0} = \dot{\vartheta}_{m0} \), further leading to \( \mathbf{L}_0 = \mathbf{L} \) and \( \dot{\psi}_{i0} = \dot{\psi}_{i0} = \dot{\psi}_f \). The standard linearization procedure of (2)–(10) gives a state-space representation
\[
\frac{d\mathbf{x}}{dt} = \mathbf{A} \mathbf{x} + \mathbf{B}_1 \omega_m + \mathbf{B}_2 \dot{\psi}_f
\] (11a)
\[
\dot{\omega}_m = \mathbf{C}_1 \mathbf{x} + \mathbf{D}_1 \dot{\psi}_f
\] (11b)
\[
\dot{\dot{\psi}}_f = \mathbf{C}_2 \mathbf{x}
\] (11c)
where the state vector and system matrices are
\[
\mathbf{x} = \begin{bmatrix} \dot{\psi} \\ \dot{\psi}_f \\ \dot{\omega}_m \\ \dot{\vartheta}_{m0} \end{bmatrix}, \quad \mathbf{B}_1 = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}, \quad \mathbf{B}_2 = \begin{bmatrix} k_0 \\ -k_\ell \lambda_{d0} \\ -\lambda_{q0} \\ k_\ell \lambda_{d0} \end{bmatrix}
\]
\[
\mathbf{A} = \begin{bmatrix} \mathbf{M} & 0 & \mathbf{J} & \mathbf{0} \\ 0 & \mathbf{M} & \mathbf{J} & \mathbf{0} \\ 0 & 0 & \mathbf{J} & \mathbf{0} \\ 0 & 0 & \mathbf{0} & \mathbf{J} \end{bmatrix}
\]
\[
\mathbf{C}_1 = \begin{bmatrix} k_p \lambda_{d0} \mathbf{J} \\ k_p \lambda_{q0} \mathbf{J} \\ k_i \mathbf{J} \\ k_i \mathbf{J} \\ k_i \mathbf{J} \end{bmatrix}, \quad \mathbf{C}_2 = \begin{bmatrix} 0 & 1 & 0 & 0 \end{bmatrix}, \quad \mathbf{D}_1 = \begin{bmatrix} k_p \lambda_{d0} \\ k_p \lambda_{q0} \\ k_i \mathbf{J} \end{bmatrix}
\] (12)
and \( \ddot{\psi} = \dot{\psi} - \dot{\psi}_f \) is the flux estimation error. Furthermore, in order to simplify the notation, an auxiliary flux linkage vector is defined as
\[
\mathbf{\psi}_{a0} = (\mathbf{L} + \mathbf{JLJ}) \mathbf{i}_0 + \dot{\psi}_f
\] (13)
and the gains are given by
\[
k_0 = \mathbf{K}_0 \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \quad \lambda_0 = \begin{bmatrix} \lambda_{d0} \\ \lambda_{q0} \end{bmatrix}
\] (14)
The properties of (11) depend on the observer gain $K_0$, the projection vector $\lambda_0$, the speed-adaptation gains $k_p$ and $k_i$, and the PM-flux adaptation gain $k_t$.

Fig. 2 shows the block diagram corresponding to the linearized model. The transfer functions are obtained from (11). As examples, the transfer function from the actual speed $\omega_m(s)$ to the estimated speed $\hat{\omega}_m(s)$ is

$$G_{11}(s) = \frac{\hat{\omega}_m(s)}{\omega_m(s)} = C_1(sI - A)^{-1}B_1$$ (15)

and the transfer function from the actual PM-flux $\psi_l(s)$ to the PM-flux estimate $\hat{\psi}_l(s)$ is

$$G_{22}(s) = \frac{\hat{\psi}_l(s)}{\psi_l(s)} = C_2(sI - A)^{-1}B_2$$ (16)

The closed-form expressions for these transfer functions can be easily calculated using any symbolic mathematics package.

IV. PROPOSED GAINS AND DESIGN GUIDELINES

A. Proposed Gain Selection

A gain selection for the observer with PM-flux adaptation is proposed. The analysis is based on the linearized model (11), which is of the fifth order and has two inputs and two outputs. To ease the gain selection, the dynamics of this system are to be split and to be partly decoupled.

Based on the system matrix $A$ in (12), the speed-adaptation loop can be decoupled from the flux observer and from the PM-flux adaptation loop if the following conditions are fulfilled

$$K_0J\psi_{a0} = 0 \quad \lambda_0^TJ\psi_{a0} = 0$$ (17)

resulting in $G_{21}(s) = 0$ in Fig. 2. The latter condition can be met simply by choosing a suitable projection vector

$$\lambda_0 = \frac{\psi_{a0}}{\|\psi_{a0}\|^2}$$ (18)

Only the direction of the vector $\lambda_0$ is relevant, while the magnitude of $\lambda_0$ is chosen to simplify the following expressions. It is also worth noticing that the projection vector $\lambda_0 = [\lambda_{a0}, 0]^T$, which is often applied for speed estimation [14], [15], does not fulfil the latter condition in (17).

1) Flux Observer: The first condition in (17) is also met, if the observer gain matrix is selected as [4], [16]

$$K = \begin{bmatrix} k'_1 \psi_{a0}^T \\ k'_2 \psi_{ad0} \end{bmatrix} = \begin{bmatrix} k'_1 - \beta k'_1 \\ k'_2 - \beta k'_2 \end{bmatrix}$$ (19)

where $k'_1$ and $k'_2$ are free parameters and $\beta$ is an auxiliary variable given by

$$\beta = -\frac{\psi_{ad0}}{\psi_{a0}} = \frac{(L_d - L_q)i_{d0}}{\psi_l + (L_d - L_q)i_{d0}}$$ (20)

The division by $\psi_{a0}$ in (19) is introduced in order to simplify the following equations only.

For pole placement purposes, the parameters $k'_1$ and $k'_2$ are selected as

$$k'_1 = -k_1 + \frac{k_2a}{\omega_m0} \quad k'_2 = -k_2 - \frac{k_1a}{\omega_m0}$$ (21)

where the coefficient $a \geq 0$ is related to the pole location of PM-flux adaptation. The gains $k_1$ and $k_2$ are given by

$$k_1 = \frac{-b + \beta(c/(\omega_m0) - \omega_m0)}{\beta^2 + 1} \quad k_2 = \frac{\beta b - c/(\omega_m0) + \omega_m0}{\beta^2 + 1}$$ (22)

where the coefficients $b \geq 0$ and $c \geq 0$ are related to the pole locations of the flux observer. If the PM-flux adaptation bandwidth is zero ($a = 0$), the observer gain reduces to the gain in [4], [16], where no PM-flux adaptation is used.

2) Speed Adaptation: Under the proposed gain selection, the transfer function (15) from the actual speed to the speed estimate reduces to

$$\frac{\hat{\omega}_m(s)}{\omega_m(s)} = \frac{(s + a)(s^2 + bs + c)(sk_p + k_i)}{(s + a)(s^2 + bs + c)(s^2 + sk_p + k_i)}$$

$$= \frac{sk_p + k_i}{s^2 + sk_p + k_i}$$ (23)

where the speed-adaptation gains $k_p > 0$ and $k_i > 0$ are now directly the coefficients of the characteristic polynomial. Even if the flux-observer dynamics and the PM-flux adaptation dynamics cancel out from (23), they still are a part of the whole system and the corresponding closed-loop poles should be properly placed.

3) PM-Flux Adaptation: If the PM-flux adaptation gain is

$$k_i = -\frac{ac}{\lambda_{do}(\omega_m0^2)}$$ (24)

the transfer function (16) reduces to

$$\frac{\hat{\psi}_l(s)}{\psi_l(s)} = \frac{(ac/\omega_m0^2)(s^2 + \omega_m0^2)(s^2 + sk_p + k_i)}{(s + a)(s^2 + bs + c)(s^2 + sk_p + k_i)}$$

$$= \frac{(c/\omega_m0^2)(s^2 + \omega_m0^2) + a}{s^2 + bs + c + a}$$ (25)

It can be noticed that because the conditions in (17) are met, the speed-adaptation loop does not affect the operation of the PM-flux adaptation loop. This decoupling feature simplifies the design procedure of the observer, since PM-flux adaptation can be designed independently of speed adaptation. However, the coupling between the flux observer and the PM-flux adaptation loop still exists.
### TABLE I

**DATA OF A 2.2-kW INTERIOR PM SYNCHRONOUS MOTOR**

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
<th>Value (p.u.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Voltage (phase-neutral, peak)</td>
<td>$\sqrt{2/3} \cdot 370$ V</td>
<td>1</td>
</tr>
<tr>
<td>Current (peak)</td>
<td>$\sqrt{2} \cdot 4.3$ A</td>
<td>1</td>
</tr>
<tr>
<td>Frequency</td>
<td>75 Hz</td>
<td>1</td>
</tr>
<tr>
<td>Speed</td>
<td>1500 r/min</td>
<td>1</td>
</tr>
<tr>
<td>Torque</td>
<td>14 Nm</td>
<td>0.80</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Model</th>
<th>Resistance $R$</th>
<th>$d$-axis inductance $L_d$</th>
<th>$q$-axis inductance $L_q$</th>
<th>PM flux $\psi_l$</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>4.75 $\Omega$</td>
<td>36 mH</td>
<td>51 mH</td>
<td>0.57 Vs</td>
</tr>
</tbody>
</table>

B. Design Guidelines

1) **Flux Observer:** In the following, some design guidelines for the observer with PM-flux adaptation are given. In order to keep the observer gain (19) within reasonable limits, the design parameters $b$ and $c$ should be selected such that the closed-loop poles of the flux observer remain in the vicinity of the poles of the open-loop system poles, which can be solved from $\det(sI - RL^{-1} - \omega_m I) = 0$. The damping of the open-loop poles decreases as the speed increases [4]. In the closed-loop system, it is favorable to increase the damping of the poles at higher speeds. This condition can be achieved, e.g., by selecting the design parameters as follows [16]

$$b = b' + 0.75|\omega_m|, \quad c = 1.5b|\omega_m|$$  \hspace{1cm} (26)

At zero speed, the flux-observer poles are placed at $s = 0$ and $s = -b'$. It is recommended to choose the constant $b'$ larger than $R/L_d$ and $R/L_q$ [4].

2) **Speed Adaptation:** The two poles related to the speed-adaptation loop can be placed at $s = -\omega_c$, i.e.,

$$k_p = \omega_c, \quad k_i = \omega_c^2$$  \hspace{1cm} (27)

where $\omega_c$ can be considered as an approximate speed-adaptation bandwidth. It is favourable to select the bandwidth of the speed-adaptation loop to be at least 20...30 times higher than the bandwidth of the speed-control loop.

3) **PM-Flux Adaptation:** The actual PM-flux magnitude changes slowly as a function of the temperature. The PM-flux adaptation dynamics (25) are governed by the flux-observer poles, the pole at $s = -\alpha$, and the zeros at $s = \pm j\omega_m$. Due to these speed-dependent dynamics, it is advisable to enable PM-flux adaptation only at medium and higher speeds, e.g., at $|\omega_m| > 0.25$ p.u. Furthermore, the parameter $\alpha$ should have a lower value (e.g., $\alpha = 0.1$ p.u.) in order to make the pole at $s = -\alpha$ to dominate the PM-flux adaptation dynamics,

$$\frac{\dot{\psi}_l(s)}{\psi_l(s)} \approx \frac{a}{s + a}$$  \hspace{1cm} (28)

Higher values for $\alpha$ could also be selected, but then $\alpha$ does not anymore represent the approximate bandwidth of the PM-flux adaptation loop.

---

**Fig. 3. Areas of stable operation (marked with blue color) in the space of the angular speed $\omega_m$ and actual torque $T$.** The relative parameter uncertainty in parameter estimates $L_d$, $L_q$, and $R$ is: (a) 25%; (b) 50%. The stable operation areas are limited due to the $i_{d,ref} = 0$ control principle. With the MTPA control principle, the corresponding stable areas would be larger.

---

V. **Robustness Analysis**

The effect of the parameter errors on the local stability of the estimation-error dynamics is analyzed via the linearized model. The stability analysis can be carried out as follows. First, the erroneous values of the inductances and the stator resistance are inserted in the observer equation (5) and in the auxiliary flux linkage vector (13). The actual (correct) motor parameters are inserted in (1). Then, the steady-state operating point (i.e. $\dot{\psi}_l$, $\dot{\theta}_{mb}$) is solved from (1)–(5) by assuming $\dot{\psi}_l/dt = \dot{\theta}_{mb}/dt = e = 0$. Finally, the nonlinear model (2)–(10) is linearized in the vicinity of the given operating point in a similar manner as (11), taking into account the effects of the parameter errors. If the steady-state operating point does not exist or if any of the five eigenvalues of the linearized system matrix has a positive real part, the observer is considered unstable. Otherwise, the observer is considered stable.

In the following examples, the parameters of a 2.2-kW six-pole interior PM given in Table I are used. The design parameters are: $b' = 2\pi \cdot 20$ rad/s, $\omega_c = 2\pi \cdot 100$ rad/s, and $\alpha = 2\pi \cdot 7.5$ rad/s. For the sake of simplicity, the $i_{d,ref} = 0$ control principle is applied. Fig. 3 shows areas of stable operation in the space of the angular speed and actual torque with erroneous model parameters. The relative parameter uncertainty is 25% in Fig. 3(a) and 50% in Fig. 3(b). The same relative uncertainty is assumed in all the parameter estimates at every speed-torque point and only the worst case is illustrated.

It can be noticed that slightly higher torque can be obtained...
in the motoring mode than in the regenerating mode. For example, if the parameter uncertainty is 25%, the motoring torque of 1 p.u. can be reached at \( \omega_{m0} > 0.04 \) p.u. If the parameter uncertainty is 50%, the motoring torque of 1 p.u. can be obtained at \( \omega_{m0} > 0.10 \) p.u. However, this analysis does not include any errors there might be in the output voltage. With exact parameter estimates, any torque value could be reached, except at zero speed, where the observer is only marginally stable. The maximum available torque in this study is strongly limited due to the \( i_d, ref = 0 \) control principle. This makes the system very sensitive to overestimation of \( L_q \). If the maximum torque-per-ampere (MTPA) control principle is applied, the stable operation areas in Fig. 3 would clearly increase.

VI. RESULTS

A. Implementation of a Control System

The motion-sensorless control system with PM-flux adaptation is evaluated by means of simulations and experiments using the 2.2-kW interior PM synchronous motor drive. The parameters of the motor are given in Table I. A sensorless control system was implemented on a dSPACE DS1006 processor board, following the guidelines given in [4]. The stator currents and the DC-link voltage are sampled in the beginning of each PWM period; both the switching and sampling frequencies are 5 kHz. The inverter nonlinearities are compensated for using a simple current feedforward method. The actual rotor speed is measured using an incremental encoder only for monitoring purposes. The control scheme shown in Fig. 1(a) was augmented with a speed controller (having the bandwidth of \( 2\pi \cdot 2 \) rad/s), which provides the torque reference based on the speed reference and the estimated speed. The current controller has the bandwidth of \( 2\pi \cdot 200 \) rad/s. For simplicity, the \( d \)-axis current reference \( i_{d, ref} = 0 \) is applied. Thus, the \( q \)-axis current reference is \( i_{q, ref} = 2T_{ref}/(3\hat{\psi}) \).

B. Dynamic Performance

Fig. 4(a) shows the simulated response of PM-flux adaptation in a no-load condition at the speed of 750 r/min. PM-flux adaptation is enabled at \( t = 0.01 \) s. As predicted by the linearized model, PM-flux adaptation affects speed adaptation. Fig. 4(b) shows the corresponding experimental results, which match very well with the simulation results. The rise time of the PM-flux estimate from 10% to 90% is approximately 45 ms, which agrees very closely with the designed approximate bandwidth \( a = 2\pi \cdot 7.5 \) rad/s.

C. Sensitivity to Parameter Errors

Fig. 5 demonstrates the sensitivity of the observer to the PM-flux error. The motor is first accelerated to half the rated speed, and a 10-Nm load-torque step is applied at \( t = 2.6 \) s. Initial value of the PM-flux estimate is \( \hat{\psi}_l(0) = 0.49 \) Vs and the actual value is \( \psi_l = 0.57 \) Vs (the error is 15%). The actual value is obtained from a separate test, where the no-load back-EMF voltage is measured at half the rated speed. PM-flux adaptation is enabled at \( t = 4 \) s. It can be seen from Fig. 5 that the PM-flux estimate converges rapidly to the actual value and, simultaneously, the position-estimation error disappears. Fig. 6 shows the sensitivity of the observer to the stator-resistance error. The motor is operating at the speed of 375 r/min and PM-flux adaptation is enabled throughout the sequence. The resistance estimate is erroneous between \( t = 1 \) and \( t = 5 \) s. Moreover, a 10-Nm load-torque step is applied at \( t = 3 \) s. It can be seen that the PM-flux estimate becomes inaccurate at this lower speed, if the resistance estimate is erroneous while the load torque is applied. Similar test sequences were applied to test the sensitivity to the inductance errors. According to the results, the observer is sensitive mostly to the errors in \( L_q \) and \( R \).

VII. CONCLUSIONS

A back-EMF-based observer with PM-flux adaptation for motion-sensorless synchronous motor drives is proposed. A
gain selection is proposed for the augmented observer based on the linearized model. The proposed gain selection makes PM-flux estimation independent of speed estimation and enables straightforward analytical pole placement of the observer. Based on the simulation and experimental results, fast and accurate estimation of the PM flux can be obtained.

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