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Published in:
Journal of Cleaner Production

DOI:
[10.1016/j.jclepro.2019.01.197](https://doi.org/10.1016/j.jclepro.2019.01.197)

Published: 20/04/2019

Document Version
Peer-reviewed accepted author manuscript, also known as Final accepted manuscript or Post-print

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Please cite the original version:
Li, Y., Yang, S., Taskinen, P., He, J., Liao, F., Zhu, R., Chen, Y., Tang, C., Wang, Y., & Jokilaakso, A. (2019). Novel recycling process for lead-acid battery paste without SO₂ generation - Reaction mechanism and industrial pilot campaign. *Journal of Cleaner Production*, 217, 162-171. <https://doi.org/10.1016/j.jclepro.2019.01.197>

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1 **Novel recycling process for lead-acid battery paste without SO₂** 2 **generation - Reaction mechanism and industrial pilot campaign**

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11 **ABSTRACT**

12 This study proposes an innovative and environment-friendly method for
13 recycling spent lead-acid batteries without SO₂ generation. Iron-containing waste
14 was employed as a sulfur-fixing agent to retain sulfur as ferrous matte, which
15 eliminated the generation and emissions of gaseous SO₂. This work investigated
16 the thermodynamic and experimental feasibility and conversion mechanism of the
17 method, and evaluated its industrial applicability. A bench-scale test showed direct
18 recoveries of 93.5 % and 97.7% in crude lead and ferrous matte for lead and sulfur,
19 respectively. The phase transformation mechanism study indicated that metallic
20 lead from the lead paste was extracted mainly through the sequence of
21 $PbSO_4 \xrightarrow{C/CO} PbS \xrightarrow{Fe_3O_4} PbO \xrightarrow{C/CO} Pb$. Sulfur in PbSO₄ was thus first transferred to
22 PbS and finally fixed as FeS. An industrial-scale pilot campaign was also conducted
23 to confirm the feasibility and reliability of the new process.

24 **Keywords:** reductive sulfur-fixing smelting; efficient lead extraction; reaction
25 mechanism; SO₂ emission; industrial application evaluation.
26

27 **List of abbreviations**

28 LAB --- lead-acid battery;

29 ICP-AES --- Inductively Coupled Plasma-Atomic Emission Spectrometry;

30 XRD --- X-ray diffraction;

31 FC_d --- fixed carbon;

32 Vd --- dry basis volatiles;

33 A_d --- dry basis ash;

34 TG-DTA --- Thermogravimetric Analysis- Differential Thermal Analysis;

35 SEM-EDS --- Scanning Electron Microscope and Energy Diffraction Spectrum.

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1 **1. Introduction**

2 Lead is used in lead-acid batteries (LABs) (Dahodwalla and Herat, 2000), in
3 building and military applications, and in various alloys. Advances in the
4 automobile, chemical, energy, transportation, and telecommunication industries
5 are increasingly expanding both the demand for lead and also its scrap volume
6 growth worldwide (Tian et al., 2015). The annual amount of LAB scrap in China is
7 more than 2.6 Mt, around 1.79 Mt in the Americas, and approximately 1.5 Mt in
8 Europe (Tian et al., 2015; Zhang, 2013). In addition, considering the shortage of
9 primary lead ores, increasing interest is being shown in recycling lead from
10 secondary resources, including LABs, lead-bearing sludges, glass, and the dusts and
11 slags produced in various metallurgical processes.

12 LAB scrap is a significant secondary lead source worldwide (Zhang, 2013).
13 Lead produced in recycling now dominates the world's lead market (Ellis and
14 Mirza, 2010). More than 95% of the LABs used in the US and Europe are recycled
15 (Higgins, 2007; Kreusch et al., 2007). In China, the recycling degree of lead-bearing
16 secondary materials is alarmingly low: the production of secondary lead in 2015
17 was approximately 1.85 Mt, accounting for only 40% of lead production (Tian et al.,
18 2015). More effort is needed to develop economic and environment-friendly
19 recycling technologies for lead secondaries.

20 An end-of-life LAB consists of four main components: waste electrolyte
21 (11-30%), polymeric materials (22-30%), lead alloy grids (24-30%), and lead
22 paste (30-40%). Of these, lead paste is the most difficult to recycle. It is a mixture
23 of 50-60% PbSO₄, 15-35% PbO₂, 5-10% PbO/Pb(OH)₂, 2-5% metallic Pb, and a
24 small amount of impurities, such as iron, antimony, tin, and barium (Lin and Qiu,
25 2011). However, it is a high-quality lead-bearing material.

26 LAB recycling technology can be divided into the traditional routes of
27 pyrometallurgy and hydrometallurgy, and advanced approaches including
28 electrowinning (Andrews et al., 2000), biological techniques (Schröder-Wolthoorn
29 et al., 2008), and vacuum methods (Lin and Qiu, 2011). In hydrometallurgy (Pan et
30 al., 2016; Singh, 2015), Na₂CO₃, NaOH, and K₂CO₃ solutions or citric acid and citrate
31 are employed as desulfurizing reagents. Hydrometallurgical desulfurization
32 (Karandikar, 2015) has been widely adopted for battery recycling in western
33 countries thanks to its environmental advantages (Hotea, 2013).

1 In spite of increasing attention on hydrometallurgical recycling (Ma et al.,
2 2016), pyrometallurgy is still the predominant methodology worldwide (Kreusch
3 et al., 2007). It seems to be more appropriate for the Chinese lead industry (Tian et
4 al., 2014; Zhang, 2013), because it is proven, simple, and economical. In addition,
5 considering the shortage and high price of electricity in China, the operational cost
6 of the hydrometallurgical methods is high (Zhang, 2013). In the secondary lead
7 industry, a blast furnace, electric furnace, reverberatory furnace, converter furnace,
8 or short rotary furnace is employed to smelt lead paste to produce
9 antimony-bearing lead bullion and soda slag or matte (Kreusch et al., 2007;
10 Queneau et al., 2015). Some smelters also feed small amounts of lead paste
11 (generally less than 20%) together with galena concentrate in primary smelting
12 (Zhang, 2013). The pyrometallurgical recycling of LABs includes smelting after
13 pre-desulfurization (Gong et al., 2015) at low temperatures or direct smelting of
14 lead paste without desulfurization at temperatures above 1000°C (Jeong and Kim,
15 2017). However, these technologies do not focus on the recovery of sulfur; sulfur
16 dissolves into the crude metal during the desulfurization process and reacts to
17 form gaseous SO₂ during smelting.

18 Pyrometallurgical treatment of spent LABs always involves a potential health
19 and environmental risk (Tian et al., 2017; Van der Kuijp et al., 2013). Therefore, the
20 lead recycling industry is keen to seek novel technologies to treat secondary
21 materials economically, reduce energy usage and production cost, and minimize
22 environmental pollution (Jaeck, 2013; Wei et al., 2014). This includes the storage of
23 lead residues, and emissions of lead-containing particle dusts and SO₂ gas (Kreusch
24 et al., 2007; Sonmez and Kumar, 2009).

25 For the above reasons, an innovative and cleaner pyrometallurgical process
26 was proposed to promote lead extraction from secondary materials through
27 reductive smelting (Li et al., 2017; Ye et al., 2015). The novelties of this process
28 were sulfur fixation and recycling, co-treatment of iron-containing solid wastes, a
29 shorter flowsheet, and wide adaptability for secondary materials. After several
30 years of laboratory studies (Huang et al., 2012; Tang et al., 2004), this process is in
31 use in a number of recycling plants in China (Guoda Nonferrous Metals Smelting
32 Co., 2009); (Guangdong Xinsheng Environmental Science & Technology Co., 2014).

33 In this study, the fundamental conversion mechanisms and reaction paths of
34 reductive smelting were further examined. In addition, a lab-scale process

1 optimization and an industrial application trial campaign were completed and
 2 evaluated.

3 2. Materials and methods

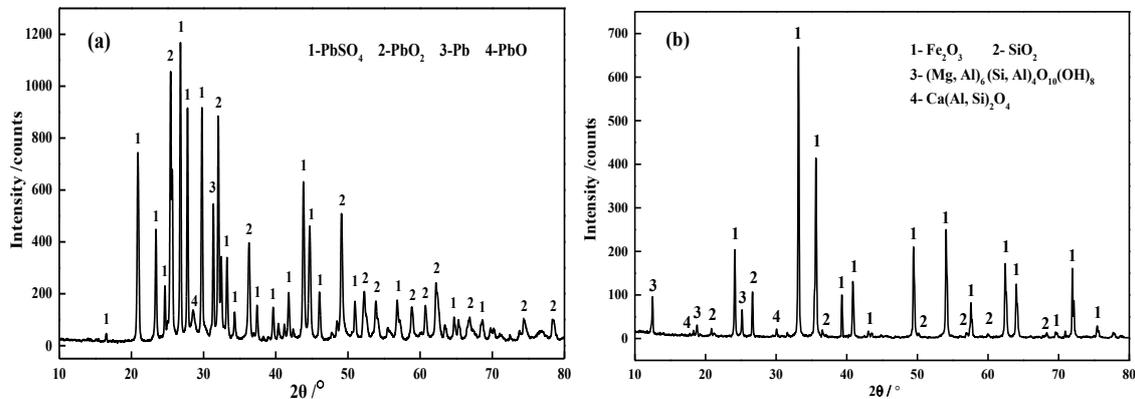
4 2.1 Materials

5 Spent lead paste was obtained from Yuguang Gold & Lead Co., Henan, China.
 6 Iron-oxide-rich hematite and coke were supplied by Xiangtan Iron & Steel Co., Ltd
 7 of Hunan Valin, China. The chemical compositions of these materials were analyzed
 8 by Inductively Coupled Plasma-Atomic Emission Spectrometry (ICP-AES, Perkin
 9 Elmer, Optima 3000; Norwalk, USA). The results are shown in Table 1. The X-ray
 10 diffraction (XRD) results of the lead paste and hematite are illustrated in Figure 1.
 11 They indicate that the hematite contained 88.0% Fe₂O₃ (total iron 64.8%) and that
 12 the main impurity was SiO₂. The lead paste contained 72.9% lead and 4.7% sulfur.
 13 The main lead-bearing phases in the lead paste were PbSO₄ (54.7%), PbO₂ (22.1%),
 14 metallic Pb (8.5%), and PbO (8.5%).

15 **Table 1.** Chemical analysis of raw materials /wt.% .

Materials	TPb	S	Fe	Zn	Ca	Sn	Na	Mg	Al	Ba	Sb
Lead paste	72.90	4.69	0.02	0.01	0.16	0.05	0.33	0.05	0.05	0.15	0.07
	PbSO ₄	PbO	PbO ₂	Pb							
	54.68	8.49	22.05	8.53							
Hematite	Fe ₂ O ₃	S	TFe	Zn	CaO	SiO ₂	Na	MgO	Al ₂ O ₃		
	87.98	0.025	64.81	0.01	0.28	8.96	0.042	0.78	1.67		
Coke	Industrial analysis/%				Chemical composition of the ash/%						
	FC _d	V _d	A _d	Fe _{total}	SiO ₂	CaO	Al ₂ O ₃	MgO			
	81.27	3.30	15.43	25.23	41.23	6.60	25.24	0.53			

16 FC_d: fixed carbon; V_d: dry basis volatiles; A_d: dry basis ash.



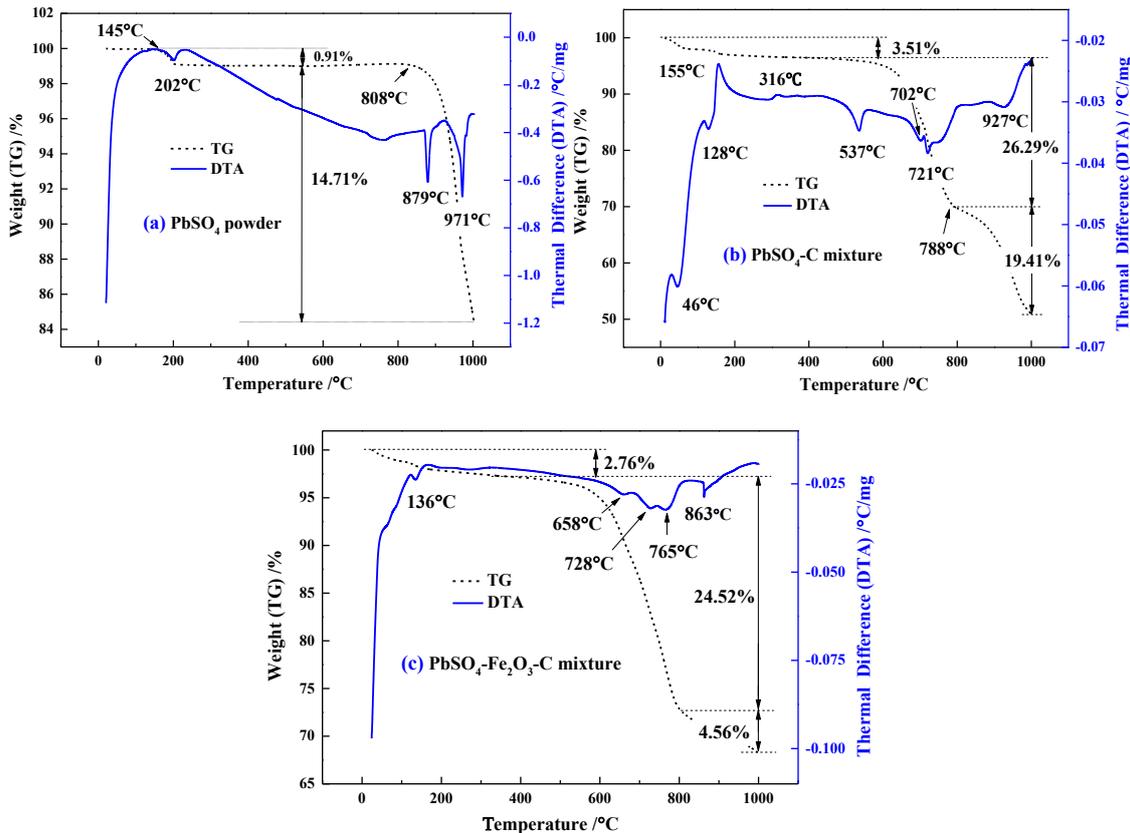
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Fig. 1. XRD patterns of (a) lead paste and (b) hematite

1 Analytical purity materials, including PbSO_4 powder, ferric oxide Fe_2O_3 , carbon,
 2 SiO_2 , and CaO , were purchased from Aladdin Industrial Corporation (China). The
 3 materials were pre-ground to a particle size passing through a 200-mesh screen
 4 (<0.074 mm). The argon gas used for protection during the reaction was more than
 5 99.99 vol% pure.

6 **Figures 2 (a)-(c)** show the thermogravimetric analysis (TG-DTA, STA 494 F3;
 7 NETZSCH, Germany) curves of the PbSO_4 powder, $\text{PbSO}_4\text{-C}$, and $\text{PbSO}_4\text{-Fe}_2\text{O}_3\text{-C}$
 8 mixtures. The TG curve of PbSO_4 in **Figure 2 (a)** shows two different zones within
 9 the tested temperature range. First, 0.9% of the weight loss appeared at 145°C
 10 (418 K) and peaked at 202°C (475 K), generating a small endothermic peak. This
 11 weight loss was associated with vaporization of crystalline water. The main weight
 12 loss was recorded from 808°C (1081 K) to 1001°C (1274 K), with a total loss of
 13 14.7%. Two pronounced endothermic peaks were observed at 879°C (1152 K) and
 14 971°C (1244 K), associated with the volatilization and decomposition reactions of
 15 PbSO_4 .



16

17

18 **Fig. 2.** TG-DTA results of (a) PbSO_4 powder, (b) $\text{PbSO}_4\text{-C}$ mixture (molar ratio 1:6),
 19 and (c) $\text{PbSO}_4\text{-Fe}_2\text{O}_3\text{-C}$ mixture (molar ratio 2:1:12) in Ar gas (heating rate
 20 10 °C/min, Ar flow 100 mL/min)

1 The TG-DTA of the PbSO₄-C and PbSO₄-Fe₂O₃-C mixtures shown in [Figures 2 \(b\)](#)
2 and [\(c\)](#) illustrate that there were three mass loss processes. The first weight loss of
3 3.5% in the PbSO₄-C mixture and 2.7% in the PbSO₄-Fe₂O₃-C mixture were
4 associated with the loss of water. The major weight loss in both systems was
5 recorded in the second step. In the PbSO₄-C mixture, a distinct 26% weight loss
6 began at 537°C (810 K) and ended at 788°C (1061 K). This was assumed to be the
7 decomposition of PbSO₄ and consumption of carbon. Two weak endothermic peaks
8 were observed at 702°C and 721°C, associated with the reaction of PbSO₄. In the
9 PbSO₄-Fe₂O₃-C system, 29% of the weight loss was recorded in the second zone.
10 Two weak endothermic and one relatively strong endothermic peaks were
11 detected at 658°C (931 K), 728°C (1001 K), and 765°C (1038 K), respectively. They
12 were related to solid-state reactions in the mixture. A pronounced endothermic
13 peak was recorded at 863°C (1136 K) in connection with the final 4.5% weight loss,
14 which corresponded to reactions occurring in the molten PbO-PbSO₄. The third
15 weight loss in the PbSO₄-C mixture (19%) was recorded from 788°C (1061 K) to
16 1000°C (1273 K). An endothermic peak was detected at 927°C (1200 K), assumed
17 to be due to the reduction-decomposition reaction of PbSO₄. The total of 49%
18 weight loss in the PbSO₄-C mixture was larger than that in the PbSO₄ system, even
19 after deducting the loss of additional carbon. This indicates that the presence of
20 reductant promoted PbSO₄ decomposition.

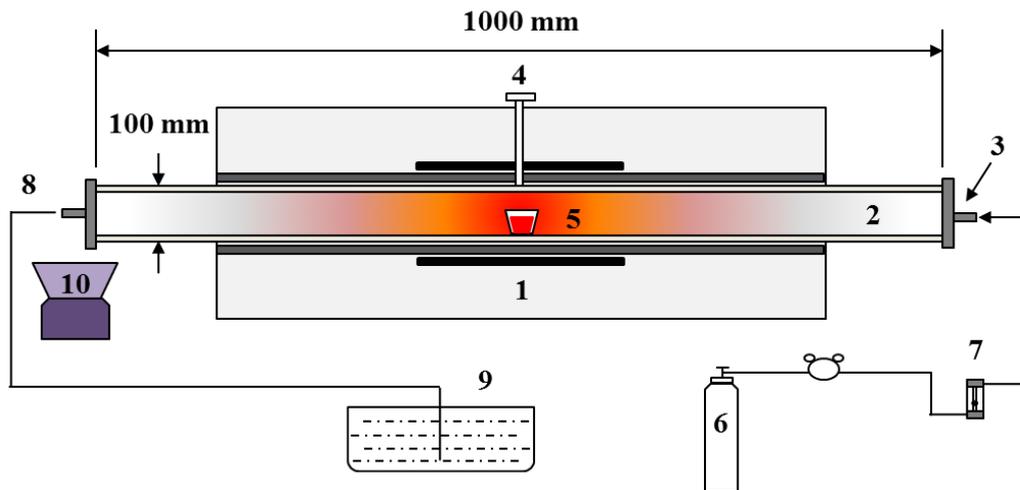
21 **2.2 Methods**

22 In the lab-scale batch experiments, 300 g of lead paste was mixed with a given
23 amount of coke, hematite, and other fluxes (SiO₂ and CaO), and the mixture was
24 placed in an alumina crucible. The crucible was pushed into the constant
25 temperature zone of the furnace at the desired temperature. After the required
26 smelting time, the crucible was taken out and quickly quenched in liquid nitrogen
27 and weighed. The crucible was broken to carefully separate and weigh the crude
28 lead, ferrous matte, and slag. The separated layers were then crushed and prepared
29 for ICP-AES analysis. The direct Pb recovery rate (γ) and sulfur-fixing rate (ξ) were
30 calculated based on the following equations:

$$\gamma = \frac{\text{Mass of Pb in the crude lead}}{\text{Mass of Pb in the initial feeding materials}} \times 100\%$$

$$\xi = \frac{\text{Mass of sulfur in the ferrous matte and slag}}{\text{Mass of sulfur in the initial feeding materials}} \times 100\%$$

1 In the reaction mechanism experiments, synthetic PbSO_4 powder was selected
2 as the raw material, since it is the major as well as the most difficult component to
3 deal with in the spent lead paste. It was mixed with carbon and ferric oxide
4 according to the stoichiometries of their possible reactions. First, a small amount of
5 mixture was analyzed using TG-DTA at a heating rate of $10\text{ }^\circ\text{C}/\text{min}$ and Ar flow of
6 $100\text{ mL}/\text{min}$ from 25°C to 1000°C . The remaining mixtures were pressed uniaxially
7 under 15 MPa pressure into cylindrical samples of 10 mm diameter. The samples
8 were put into a 10 mL alumina crucible and pushed slowly into the constant
9 temperature zone of the furnace (as shown in Figure 3), after flushing away air
10 with Ar, and held there for a preset time. The temperature was measured with a Pt-
11 Rh thermocouple and controlled using a SHIMADEN SR25 Intelligent Temperature
12 Controller (accuracy $\pm 1^\circ\text{C}$; Japan). The Ar gas flow was fixed to $1.0\text{ L}/\text{min}$.



13
14 (1) Horizontal tube furnace; (2) Alumina work tube; (3) Sealing flange; (4) Pt-PtRh
15 thermocouple; (5) Alumina crucible; (6) Ar gas cylinder; (7) Flowmeter; (8) Off gas;
16 (9) Alkali liquor vessel; (10) Liquid-nitrogen cooling device.

17 **Fig. 3.** Schematic of the experimental apparatus.

18 After polishing, the samples were carbon coated and analyzed immediately.
19 The phase compositions and microstructures were determined by XRD and
20 Scanning Electron Microscope and Energy Diffraction Spectrum analysis (SEM-EDS,
21 LEO 1450, Carl Zeiss, Germany; EDS, INCA Wave 8570, Oxford Instruments, UK),
22 respectively. The remaining samples were ground to a particle size of less than
23 0.074 mm for XRD analysis. The XRD profiles of the samples were measured using
24 an X-ray diffractometer (XRD, D/max 2550PC, Rigaku Ltd; Japan) with Cu Ka
25 radiation. The data were collected in the range of $2\theta=10\text{--}80^\circ$ with a 2θ step width

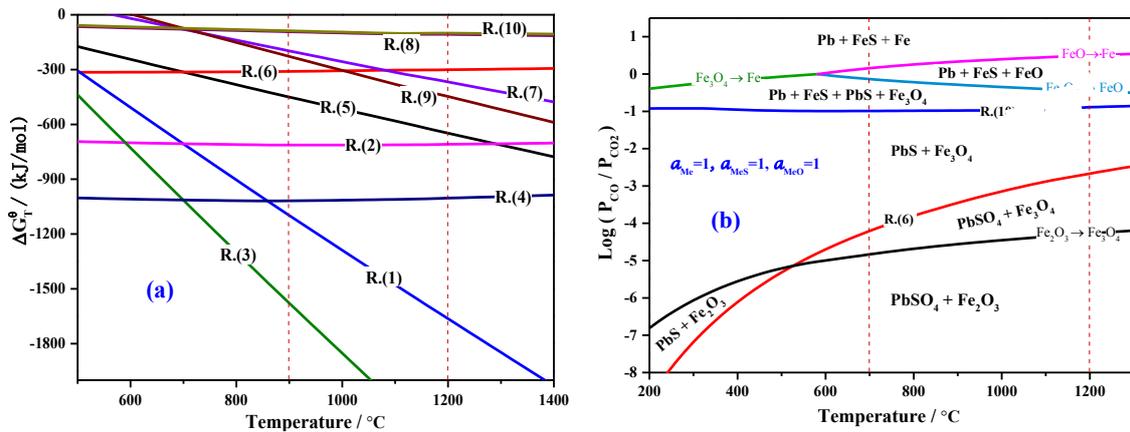
1 of 1°. The recorded patterns were evaluated using the PDF-2 powder diffraction
 2 pattern database (Toby, 2005). The determination of different phases in the SEM
 3 images was based on EDS analysis results of 10 different points in the same
 4 mineral.

5 3. Thermodynamic calculation

6 PbSO₄ can react in reducing atmospheres with the sulfur-fixing agent hematite
 7 (Fe₂O₃) to produce metallic Pb and FeS. The sulfur in PbSO₄ will be transferred to
 8 FeS and ultimately fixed. As intermediate products, PbSO₄ and Fe₂O₃ will be
 9 reduced to PbS and Fe₃O₄, respectively. The various reaction paths can be briefly
 10 represented with the reactions shown in Table 2.

11 **Table 2.** Possible reactions taking place in the reductive sulfur-fixing smelting
 12 process of lead paste ($\Delta G_T^\theta / (kJ/mol)$; $T/^\circ C$).

$2PbSO_4 + Fe_2O_3 + 11 C = 2Pb + 2FeS + 11 CO_{(g)}$	$\Delta G_T^\theta = -1.958 T + 669.86$	(1)
$2PbSO_4 + Fe_2O_3 + 11 CO_{(g)} = 2Pb + 2FeS + 11 CO_{2(g)}$	$\Delta G_T^\theta = 0.025 T - 738.06$	(2)
$3PbSO_4 + Fe_3O_4 + 16 C = 3Pb + 3FeS + 16 CO_{(g)}$	$\Delta G_T^\theta = -2.808 T + 964.2$	(3)
$3PbSO_4 + Fe_3O_4 + 16 CO_{(g)} = 3Pb + 3FeS + 16 CO_{2(g)}$	$\Delta G_T^\theta = 0.062 T - 1076.7$	(4)
$PbSO_4 + 4 C = PbS + 4 CO_{(g)}$	$\Delta G_T^\theta = -0.681 T + 166.39$	(5)
$PbSO_4 + 4 CO_{(g)} = PbS + 4 CO_{2(g)}$	$\Delta G_T^\theta = 0.025 T - 331.38$	(6)
$2PbS + Fe_2O_3 + 3C = 2Pb + 2 FeS + 3 CO_{(g)}$	$\Delta G_T^\theta = -0.589 T + 335.2$	(7)
$2PbS + Fe_2O_3 + 3 CO_{(g)} = 2Pb + 2FeS + 3 CO_{2(g)}$	$\Delta G_T^\theta = -0.064 T - 32.355$	(8)
$3PbS + Fe_3O_4 + 4 C = 3Pb + 3FeS + 4 CO_{(g)}$	$\Delta G_T^\theta = -0.765 T + 465.04$	(9)
$3PbS + Fe_3O_4 + 4 CO_{(g)} = 3Pb + 3FeS + 4 CO_{2(g)}$	$\Delta G_T^\theta = -0.066 T - 24.184$	(10)



13
 14 **Fig. 4.** The ΔG_T^θ and T diagram of reactions (1)-(10) and a reductive sulfur-fixing
 15 equilibrium diagram of PbSO₄ and Fe₂O₃.

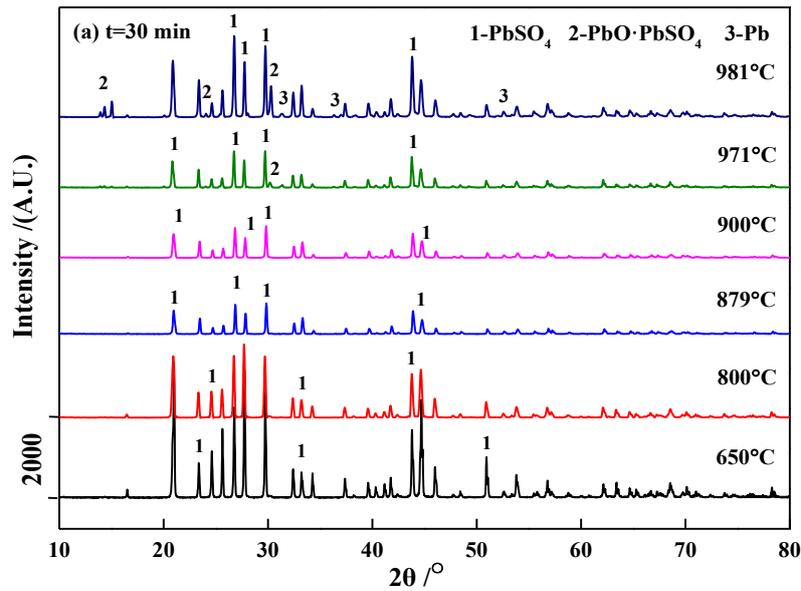
1 HSC version 9.2.6 (Roine, 2002) was employed for the thermodynamic
2 calculation of the above reactions. Figure 4(a) shows a ΔG_T^θ vs. T diagram of
3 reactions (1)-(10). It indicates that extraction of metallic lead is
4 thermodynamically favorable in a temperature range of 900-1200°C, in the
5 presence of Fe_2O_3 and a reductant. Increasing the temperature will promote
6 reactions (1), (3), (5), (7), and (9).

7 The reductive equilibrium diagram of PbSO_4 and Fe_2O_3 presented in Figure
8 4(b) further illustrates that seven stability regions exist in reducing atmospheres.
9 PbSO_4 is easily reduced to PbS in weakly reducing conditions. In the same domain,
10 Fe_2O_3 will also be reduced to Fe_3O_4 . Therefore, reactions (1)-(4) and (7)-(8) do not
11 advance during smelting. As $P_{\text{CO}}/P_{\text{CO}_2}$ and temperatures are increased, Pb
12 extraction reactions take place between PbS and Fe_3O_4 . Within that domain,
13 metallic Pb, FeS, PbS , and Fe_3O_4 will coexist. Sulfur will transfer from PbS to FeS
14 and finally become fixed as sulfide matte.

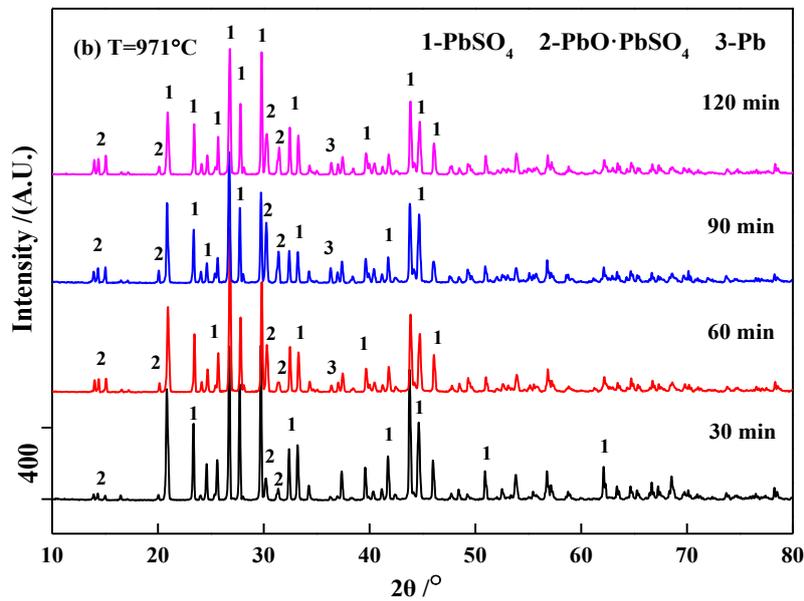
15 **4. Results and discussion**

16 **4.1 PbSO_4 reaction behavior**

17 The XRD patterns of PbSO_4 at different reaction temperatures and times are
18 shown in Figure 5. It is apparent from Figure 5(a) that PbSO_4 was stable and did
19 not decompose below 879°C (1152 K), nor even at 900°C (1173 K) in an inert
20 atmosphere. Combined with the TG-DTA analysis, the X-ray patterns indicated that
21 volatilization of PbSO_4 was the main reaction. However, at 971°C (1243 K), the
22 decomposition of PbSO_4 was identified, producing $\text{PbO}\cdot\text{PbSO}_4$. As the temperature
23 rose to 1000°C (1273 K), the decomposition product PbO from $\text{PbO}\cdot\text{PbSO}_4$ was
24 increasingly converted to metallic Pb.



1



2

3

Fig. 5. XRD patterns of PbSO_4 (a) at different temperatures and (b) after different reaction times.

4

5

Figure 5(b) illustrates the phase evolution of PbSO_4 at 971°C (1243K) as a function of reaction time. It indicates that the decomposition $\text{PbSO}_4 \rightarrow \text{PbO} \cdot \text{PbSO}_4$ advanced with increasing reaction time. Metallic Pb was detected after 1 h and its diffraction peak intensity rose gradually as the process time was extended.

8

9

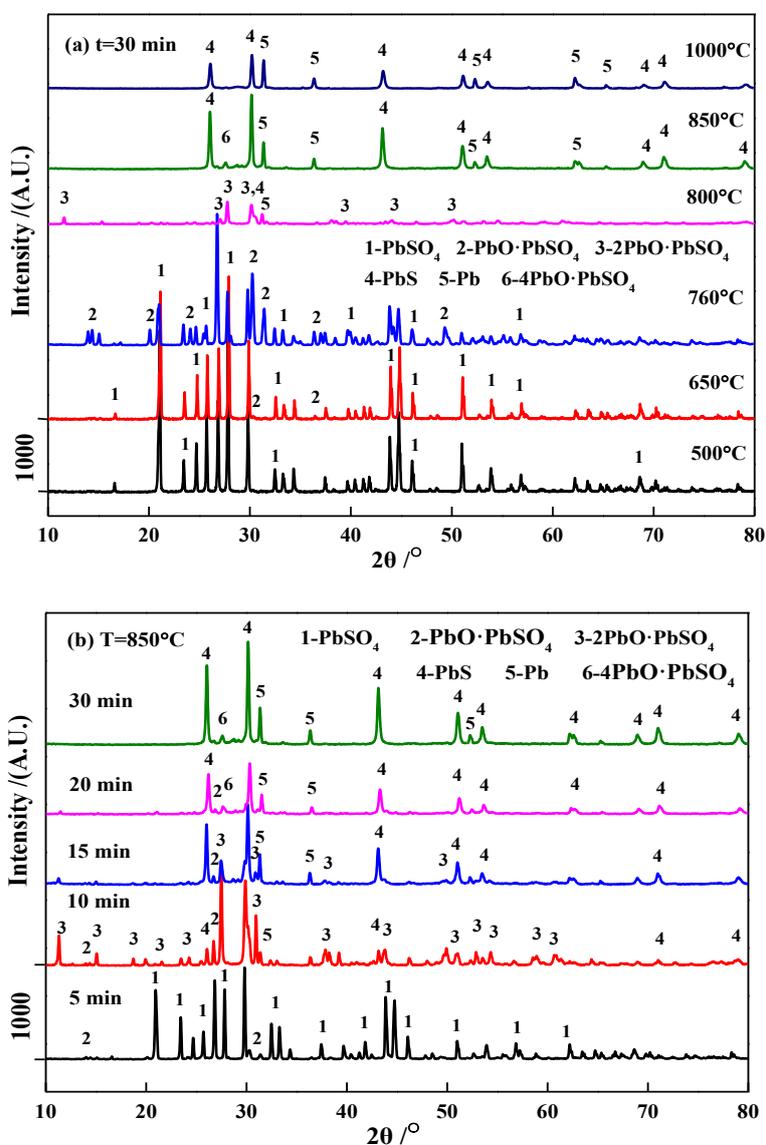
4.2 PbSO_4 -C mixture reaction behavior

10

Figure 6 shows the XRD patterns of the PbSO_4 -C mixture at different temperatures and times. Figure 6(a) proves that PbSO_4 did not decompose in the reducing atmosphere at 500°C (773 K) and remained stable after 30 min reaction time. $\text{PbO} \cdot \text{PbSO}_4$ was detected at approximately 650°C (923 K). It appears that the

13

1 presence of carbon promotes the decomposition and reduction of PbSO_4 . When the
 2 temperature was increased to 760°C (1033 K), the diffraction peak intensity of
 3 $\text{PbO}\cdot\text{PbSO}_4$ increased. At 800°C (1073 K), PbSO_4 and $\text{PbO}\cdot\text{PbSO}_4$ disappeared
 4 completely, and new products, $2\text{PbO}\cdot\text{PbSO}_4$, Pb , and PbS , emerged. This indicates
 5 that a part of the PbSO_4 was reduced to PbS . With the increase in temperature, the
 6 decomposition reaction intensified until $2\text{PbO}\cdot\text{PbSO}_4$ disappeared at 850°C (1123
 7 K), and $4\text{PbO}\cdot\text{PbSO}_4$, Pb , and PbS appeared. Above 850°C (1123 K), the diffraction
 8 peak intensity of PbS decreased and that of Pb increased with increasing
 9 temperature. This indicates that the fraction of metallic Pb in the product increased
 10 and PbS reduced gradually.



11

12

13 **Fig. 6.** XRD patterns of the PbSO_4 -C mixture (molar ratio 1:6) (a) at different
 14 temperatures and (b) after different reaction times.

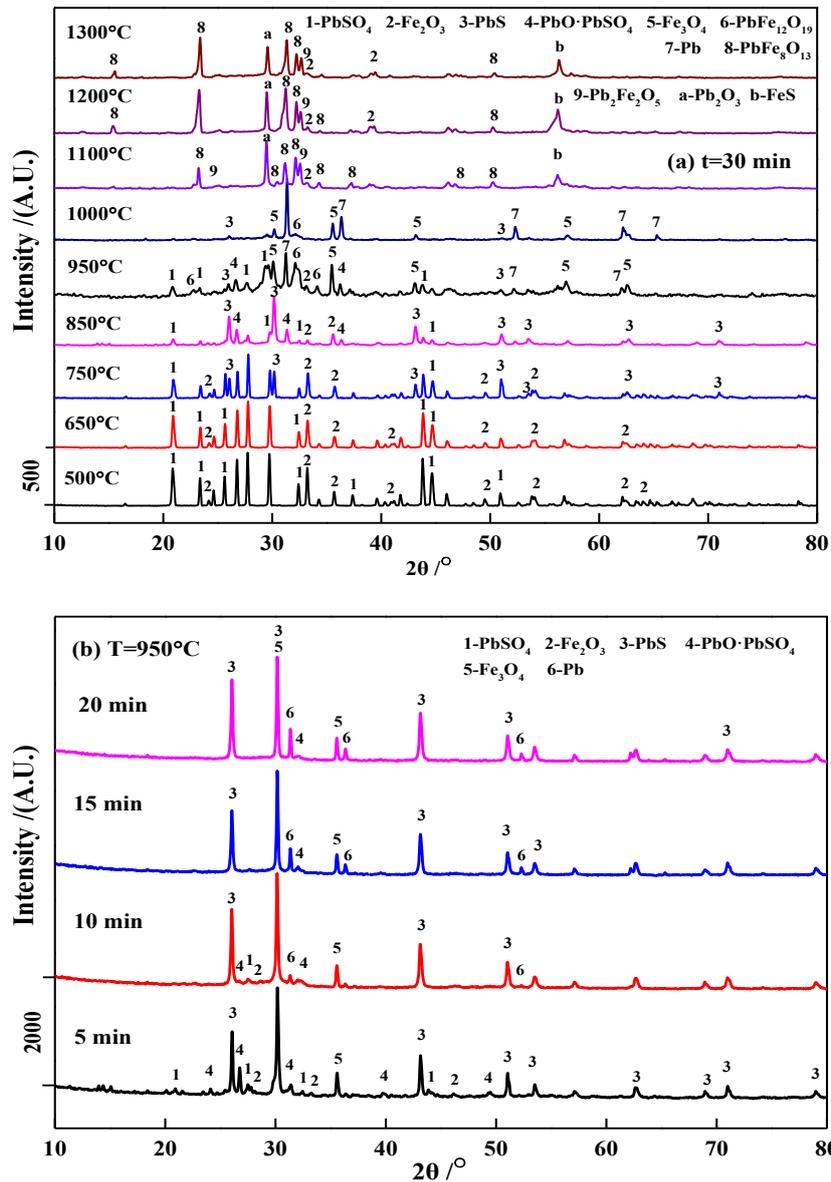
1 **Figure 6(b)** illustrates the XRD patterns of the $\text{PbSO}_4\text{-C}$ mixture at $850\text{ }^\circ\text{C}$
2 (1123 K) for different reaction times. It shows that, at $850\text{ }^\circ\text{C}$ (1123 K), PbSO_4
3 quickly decomposed to $\text{PbO}\cdot\text{PbSO}_4$, within 5 min, in the presence of carbon. After
4 10 min, PbSO_4 was replaced by $\text{PbO}\cdot\text{PbSO}_4$ and $2\text{PbO}\cdot\text{PbSO}_4$. Some lead sulfate was
5 also reduced to PbS , and the generated lead oxide was reduced further to metallic
6 Pb . When smelting time was extended to 15 min, the diffraction peak intensities of
7 $\text{PbO}\cdot\text{PbSO}_4$ and $2\text{PbO}\cdot\text{PbSO}_4$ decreased gradually, but the intensities of PbS and Pb
8 increased. After 20 min smelting, the generation of lead oxide in the product
9 continued and $4\text{PbO}\cdot\text{PbSO}_4$ appeared. After 30 min, the product mainly comprised
10 PbS , Pb , and a small fraction of $4\text{PbO}\cdot\text{PbSO}_4$.

11 When comparing the results in the $\text{PbSO}_4\text{-C}$ and PbSO_4 systems, it is apparent
12 that, at low temperatures and weakly reducing atmospheres, PbSO_4 decomposed to
13 $\text{PbO}\cdot\text{PbSO}_4$, and $2\text{PbO}\cdot\text{PbSO}_4$. At the same time, a small fraction of PbSO_4 was
14 reduced to PbS . Later, intermediate products $x\text{PbO}\cdot\text{PbSO}_4$ ($x=1$ or 2) continued to
15 produce $4\text{PbO}\cdot\text{PbSO}_4$. At high temperatures, PbSO_4 was directly reduced to PbS . In
16 such conditions, the intermediate products $x\text{PbO}\cdot\text{PbSO}_4$ ($x=1, 2$ or 4) would also
17 decompose into PbO and reduce to metallic Pb . The final products were PbS and Pb .
18 Therefore, a reductive atmosphere and the smelting temperature are the key
19 factors affecting the efficiency of lead recovery and sulfur fixation. A sufficiently
20 reductive atmosphere can selectively reduce PbSO_4 to PbS , as shown above. These
21 reactions guarantee sulfur fixation in the smelting system without formation of
22 $\text{SO}_{2(g)}$ or $\text{SO}_{3(g)}$, and the subsequent discharge of sulfur into the atmosphere in the
23 process off-gas.

24 **4.3 $\text{PbSO}_4\text{-Fe}_2\text{O}_3\text{-C}$ mixture reaction behavior**

25 **Figure 7** presents the XRD patterns of the $\text{PbSO}_4\text{-Fe}_2\text{O}_3\text{-C}$ mixture at different
26 temperatures and times. **Figure 7(a)** shows that the exchange reactions between
27 PbSO_4 and Fe_2O_3 did not occur at low temperatures, typically below $650\text{ }^\circ\text{C}$ (923 K),
28 within 30 min, even if a reductant was present. PbSO_4 was reduced to PbS in
29 reducing atmospheres, as at $750\text{ }^\circ\text{C}$ (1023 K) PbS was detected in the reaction
30 products. When the temperature was increased to $850\text{ }^\circ\text{C}$ (1123 K), PbSO_4 also
31 decomposed to $\text{PbO}\cdot\text{PbSO}_4$, while iron oxides remained in the system as Fe_2O_3 .
32 However, at $950\text{ }^\circ\text{C}$ (1223 K), Fe_2O_3 was reduced to Fe_3O_4 . In addition, the
33 decomposition product PbO reacted with Fe_2O_3 , forming $\text{PbFe}_{12}\text{O}_{19}$. As the
34 temperature was increased, PbSO_4 disappeared and metallic Pb appeared at

1 1000°C (1273 K). The products were PbS, Pb, Fe₃O₄, and PbFe₁₂O₁₉. Above 1100°C
 2 (1373 K), secondary products, such as PbFe₈O₁₃, Pb₂Fe₂O₅, Pb₂O₃, and FeS,
 3 detected. At the same time, metallic Pb beads were generated and separated from
 4 the reaction mixture. Therefore, the diffraction peaks of Pb were not found in the
 5 XRD patterns. The final products of the conversion reactions were Pb, Fe₂O₃,
 6 PbFe₈O₁₃, Pb₂Fe₂O₅, Pb₂O₃, and FeS.

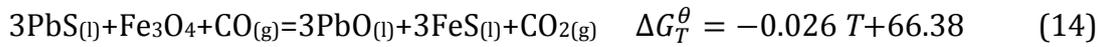
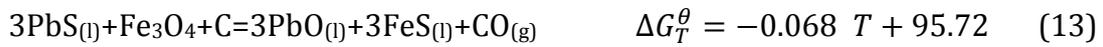
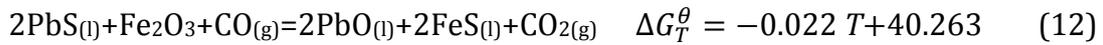
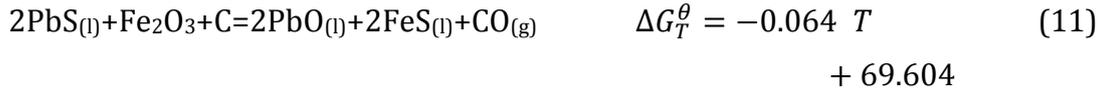


7
 8
 9 **Fig. 7.** XRD patterns of the PbSO₄-Fe₂O₃-C (molar ratio 2:1:12) mixture (a) at
 10 different temperatures and (b) after different reaction times.

11 Combined with the XRD results of PbSO₄ shown in Figure 7(b) and the results
 12 of PbSO₄-C mixture shown in Figure 6(b), Figure 7(b) further proved that at 950°C
 13 (1223 K) PbSO₄ was reduced to PbS within 5 min. Fe₂O₃ was also reduced to Fe₃O₄.

1 Metallic Pb was detected after 10 min reaction. However, before this time, neither
 2 Fe₂O₃ nor Fe₃O₄ was involved in Pb generation, as no FeS was detected. This
 3 suggests that some metallic Pb was generated through the sequence PbSO₄→
 4 PbO·PbSO₄→2PbO·PbSO₄→4PbO·PbSO₄→PbO→Pb by gas-solid processes.

5 The appearance of FeS in [Figure 7\(a\)](#) implies that, below approximately
 6 1100°C (1373 K), an exchange reaction occurred between PbS and iron oxide,
 7 evidently Fe₃O₄, producing FeS and PbO. The possible reactions ([Roine, 2002](#)) are:



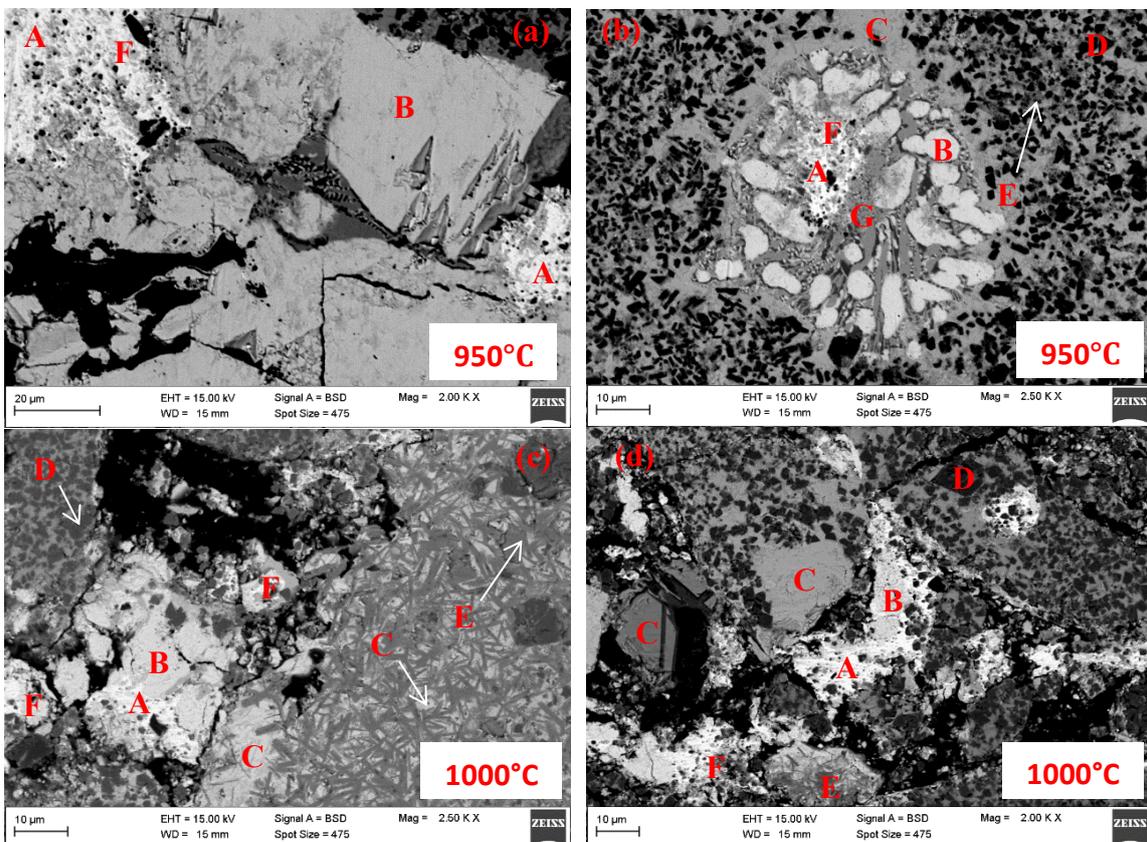
8 The Gibbs energies of reactions (11)-(14) are expressed in kJ/mol, and
 9 temperatures are in °C.

10 It is evident from the XRD patterns of the PbSO₄-Fe₂O₃-C mixture that the
 11 presence of carbon facilitated the exchange reactions between PbSO₄ and Fe₂O₃
 12 and ultimately generated metallic Pb and ferrous sulfide.

13 SEM-EDS analyses were employed to detect the microstructures, element
 14 distributions, and transformation mechanism of the PbSO₄-Fe₂O₃-C reaction system
 15 at 950°C (1223 K) and 1000°C (1273 K) after 30 min reaction. The results are
 16 presented in [Figures 8\(a\)-\(d\)](#).

17 As shown in [Figure 8](#), ferric oxide Fe₂O₃ (area D) was surrounded by magnetite
 18 Fe₃O₄ (area E), which indicates that the reduction of Fe₂O₃ advanced at 950°C
 19 (1223 K) within 30 min. At the same time, the intermediate product PbS (B areas)
 20 was detected, but it gradually declined with increasing temperature due to the
 21 exchange reactions between PbS and Fe₃O₄. Furthermore, it was observed (see
 22 [Figure 8](#)) that metallic Pb droplets (A areas) were often close to PbO minerals (F
 23 areas) and PbS particles. Pb, PbO, and PbS grains were generally surrounded by
 24 lead iron oxides (Pb₂Fe₂O₅, PbFe₈O₁₃, or PbFe₁₂O₁₉, areas C). FeS domains (G areas)
 25 were detected around PbS and lead iron oxides. This proves that metallic Pb was
 26 generated through reduction of PbO. Moreover, the generation of PbO depended
 27 on the exchange reactions between PbS and Fe₃O₄. In addition, unreacted PbO had
 28 infiltrated the pores of Fe₃O₄ and Fe₂O₃ because of its low melting point (886°C
 29 (1159 K)). As a result, some PbO had combined with Fe₂O₃ to form PbFe_xO_y (x=2, 8

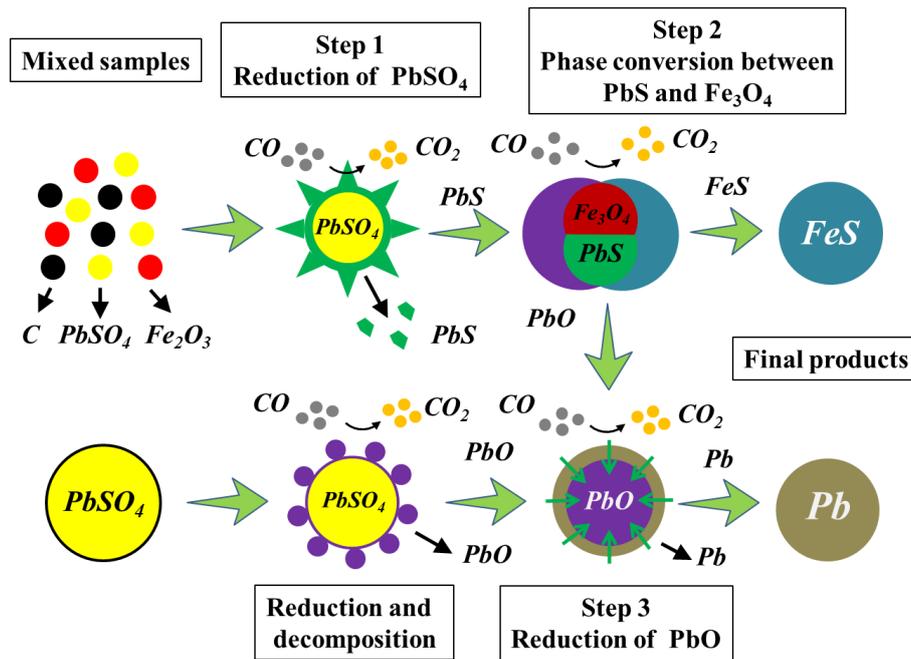
1 or 12 etc.), as shown in the C areas in [Figure 8](#). A brighter color in the C areas
2 means a higher PbO concentration.



A: Metallic lead (Pb); B: Lead sulfide (PbS); C: Lead iron oxide (PbFe_xO_y);
D: Ferric oxide (Fe_2O_3); E: Magnetite (Fe_3O_4); F: Lead monoxide (PbO); G:
3 Ferrous sulfide (FeS)

4 **Fig. 8.** SEM micrographs of products in the $\text{PbSO}_4\text{-Fe}_2\text{O}_3\text{-C}$ (molar ratio 2:1:12)
5 mixture at 950°C and 1000°C after 30 min reaction time

6 The above TG-DTA, XRD, and SEM-EDS results suggest that recycling lead from
7 lead paste using iron oxide as the sulfur-fixing agent is fundamentally and
8 technically possible. The presence of a reductant was essential and promoted the
9 reduction-decomposition of PbSO_4 to PbS. It also facilitated the exchange reactions
10 between PbS and iron oxide to produce PbO and FeS. As a result, PbO was reduced
11 to metallic Pb. These results agree well with the thermodynamic calculations
12 presented in [Figure 3\(b\)](#). The detailed reactions and conversion mechanisms of
13 $\text{PbSO}_4\text{-Fe}_2\text{O}_3\text{-C}$ smelting are illustrated in [Figure 9](#).

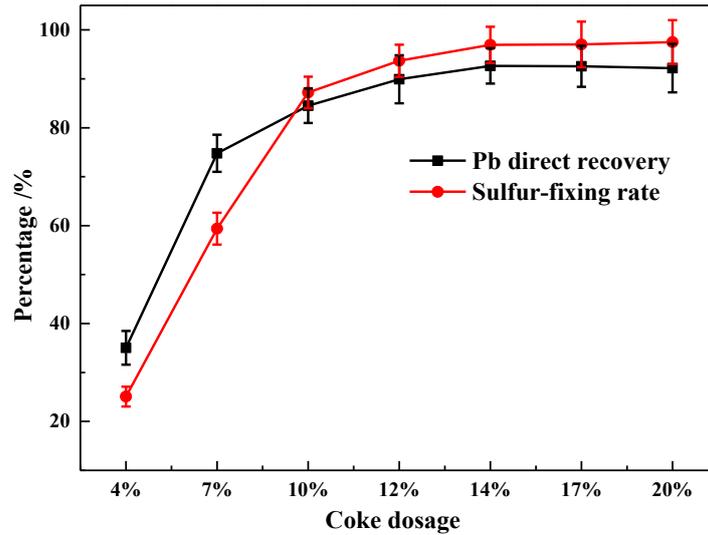


1
2 **Fig. 9.** Phase transformation mechanism in the reductive sulfur-fixing process of
3 lead acid battery paste recycling.

4 As the conversion mechanism of reducing LAB paste smelting, $PbSO_4$ is first
5 reduced to PbS , forming a small amount of lead oxide at a relatively low
6 temperature. Next, the intermediate sulfide product, PbS , reacts with iron oxide to
7 produce PbO and FeS . PbO is also constantly reduced to metallic Pb . With the help
8 of the exchange reactions, the sulfur in $PbSO_4$ is transferred to PbS , and is finally
9 fixed and stabilized as FeS , which prevents the generation and emissions of SO_2 gas.

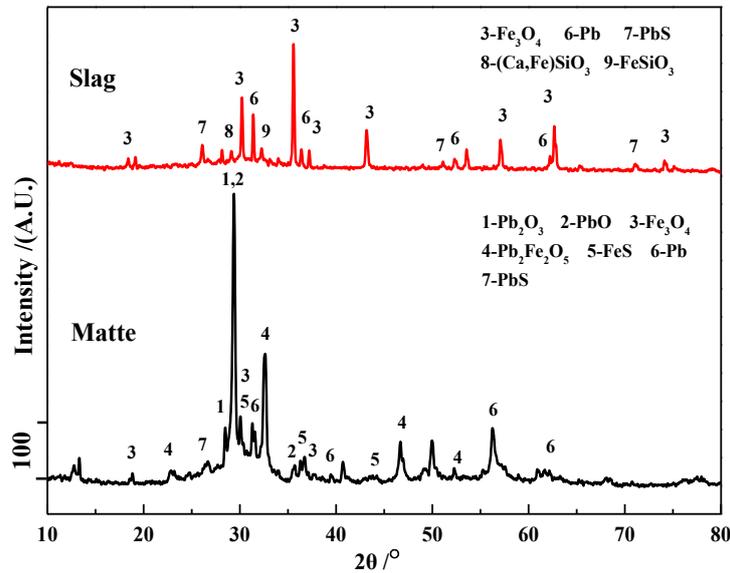
10 **4.4 Process optimization investigation and industrial pilot campaign**

11 **Figure 10** illustrates the effects of coke addition in the lab-scale batch
12 experiments on lead recovery and sulfur-fixing rate in reductive sulfur-fixing
13 smelting. The lead recovery and sulfur-fixing rate increased gradually along with
14 the coke dosage and peaked at addition of 14% coke. In these conditions, 92.7% of
15 the lead was recovered to crude lead and 96.9% of the sulfur was fixed in a ferrous
16 matte and slag. When coke dosage was further increased, lead recovery and
17 sulfur-fixing rate remained constant at around 93% and 97%, respectively. This
18 indicates that a reducing atmosphere is essential for lead recovery and sulfur
19 fixation. Optimized additions of coke thus improve lead extraction and sulfur
20 fixation.



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Fig. 10. The effects of coke dosage on lead recovery and sulfur-fixing rates ($W_{\text{lead paste}} : W_{\text{hematite}} = 300:60$ g. $\text{FeO}/\text{SiO}_2 = 1.3$, $\text{CaO}/\text{SiO}_2 = 0.4$, 1200°C , 2 h).



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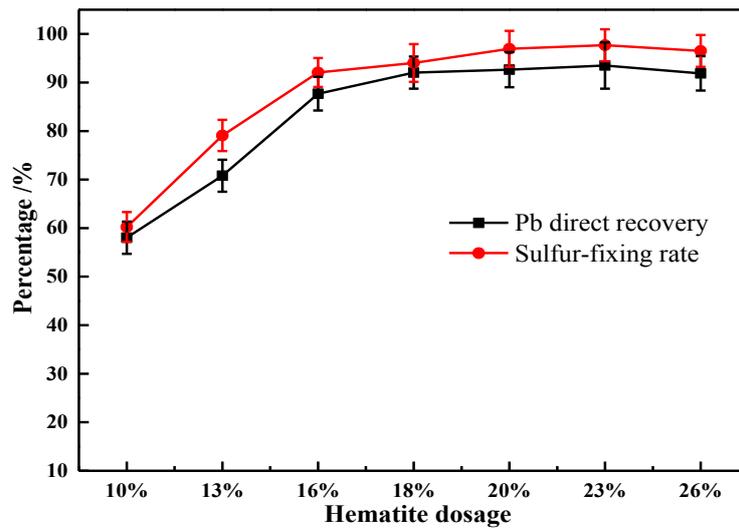
Fig. 11. XRD patterns of matte and slag products at 1200°C ($W_{\text{lead paste}} : W_{\text{hematite}} : W_{\text{coke}} = 300:60:12$ g. $\text{FeO}/\text{SiO}_2 = 1.3$, $\text{CaO}/\text{SiO}_2 = 0.4$, 2 h).

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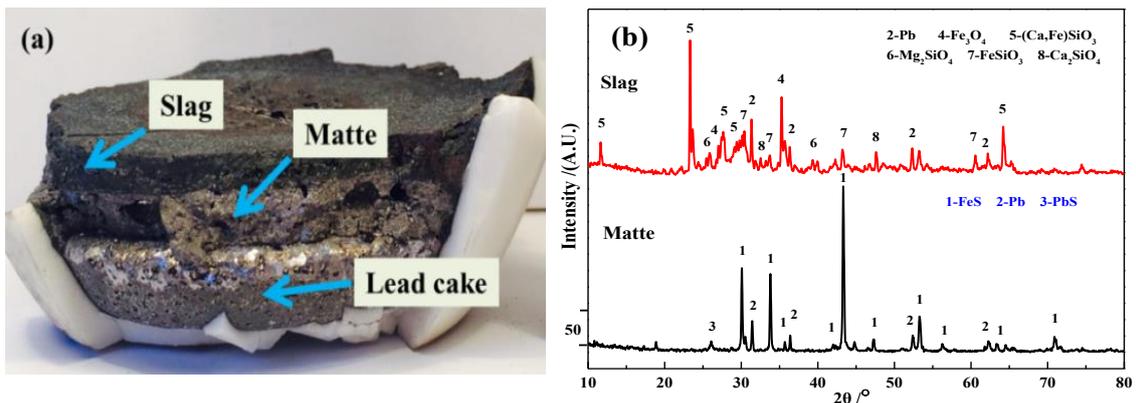
Figure 11 shows the XRD patterns of the products with additions of 4% coke and 20% hematite. Lead sulfide (PbS) and lead oxides (Pb_2O_3 , PbO , and $\text{Pb}_2\text{Fe}_2\text{O}_5$) were detected in the matte, which indicates that insufficient coke additions cannot provide acceptable lead recovery. Metallic lead was also detected, entrained in the matte and slag. This implies that settling of metallic lead was poor in a weakly reductive atmosphere and some lead was lost in matte and slag. Fe_3O_4 and PbS were detected at the same time in the matte, indicating that the reductive sulfur-fixing reactions between Fe_3O_4 and PbS were unsatisfactory. An optimized addition of reductant is required to facilitate the reactions, improve the settling,

1 and increase the recovery of metallic lead. These results agree well with the
 2 thermodynamic constraints mentioned above.

3 The influence of hematite addition on the lead recovery and sulfur-fixing rates
 4 is presented in Figure 12, which illustrates that lead recovery rose from 58% to
 5 93%, and sulfur fixation from 60% to 97% with increasing addition of sulfur-fixing
 6 agent. An appropriate hematite feed thus promoted lead recovery and sulfur
 7 fixation. A further increase in hematite feed showed no increase in lead recovery or
 8 sulfur-fixing rate.



9
 10 **Fig. 12.** The effects of hematite dosage on lead recovery and sulfur fixation
 11 ($W_{\text{lead paste}} : W_{\text{coke}} = 300:42$ g. $\text{FeO}/\text{SiO}_2 = 1.3$, $\text{CaO}/\text{SiO}_2 = 0.4$, 1200°C , 2 h).

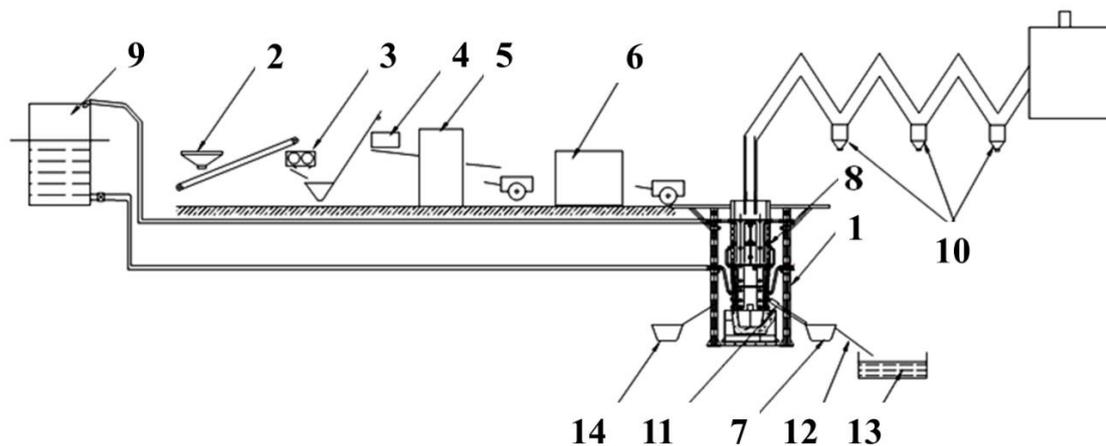


12
 13 **Fig. 13.** (a) Physical macrograph and (b) XRD patterns of the matte and slag
 14 ($W_{\text{lead paste}} : W_{\text{hematite}} : W_{\text{coke}} = 300:60:42$ g. $\text{FeO}/\text{SiO}_2 = 1.3$, $\text{CaO}/\text{SiO}_2 = 0.4$, 1200°C , 2 h).

15 Figure 13(a) presents a macrograph of the smelting products at 1200°C , with
 16 14% addition of coke, 20% hematite addition, and 2 h reaction time. It shows that
 17 the products were separated into three distinct layers: slag, ferrous matte, and
 18 crude lead. Slag floats on the surface of the ferrous matte, and metallic Pb settles at

1 the bottom of the crucible due to density differences. [Figure 13\(b\)](#) shows the XRD
 2 patterns of the matte and slag. It shows that FeS was the major constituent of the
 3 matte, and only small diffraction peaks of Pb and PbS were detected. The lead
 4 oxides detected earlier, see [Figure 11](#), had disappeared. This implies that most PbS
 5 had reacted with Fe_xO_y to generate metallic Pb, and the settling of lead had also
 6 improved. The 14% coke and 20% hematite additions provided a sufficient
 7 sulfur-fixing atmosphere to recover metallic lead. The slag comprised Fe_3O_4 ,
 8 $(Ca,Fe)SiO_3$, Mg_2SiO_4 , $FeSiO_3$, and Ca_2SiO_4 . However, there was still some metallic
 9 lead entrained and lost in the slag. A longer settling time would be needed to
 10 decrease mechanical lead losses in the matte and slag.

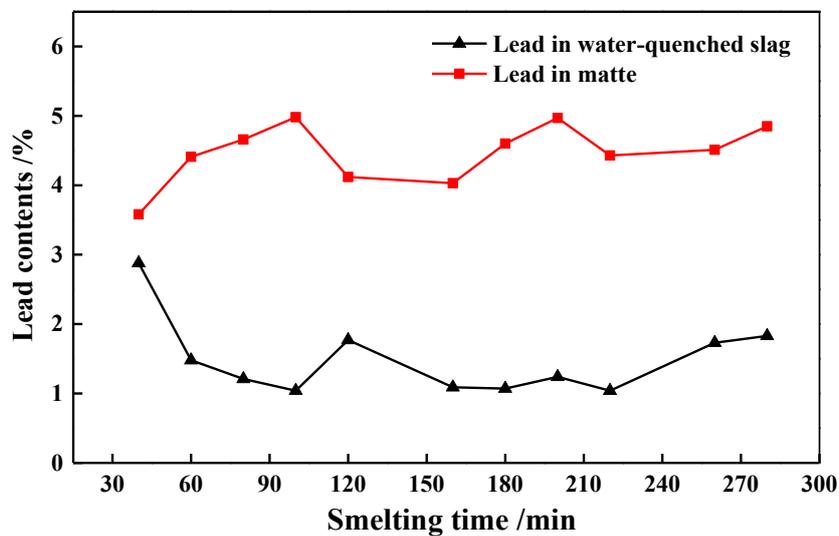
11 The industrial-scale experiments were conducted in a 4 m³ blast furnace. The
 12 flowsheet used is presented in [Figure 14](#). Various lead-bearing secondary materials,
 13 including LAB paste, lead dust, lead sludge, and lead slag, were mixed carefully and
 14 briquetted with iron-bearing wastes, including pyrite cinder and jarosite residue,
 15 to obtain a mixture containing 30% Pb, 8% S, and 25% Fe. 1200 kg of dry
 16 briquettes were fed into the blast furnace with an appropriate amount of coke and
 17 fluxes (silica sand and limestone). The smelting products, i.e., crude lead, matte,
 18 and slag, were discharged through their corresponding tap holes. Matte was
 19 laundered together with slag and settled in cast iron molds. The slag floated on the
 20 surface of the matte, preventing oxidation. After tapping, the slag was quenched in
 21 a water pool.



22
 23 1. blast furnace, 2. feed hopper, 3. roller machine, 4. blender, 5. briquetting machine,
 24 6. dry storage yard, 7. cast matte and slag molds, 8. water jacket, 9. power-loss
 25 circulating water cooling, 10. cyclone dust collector, 11. water-cooled launder, 12.
 26 slag launder, 13. quenching water pool, 14. cast lead molds.

27 **Figure 14.** Flowsheet of the industrial pilot trial campaign.

1 The results of the industrial pilot campaign are collected in [Figures 15 and 16](#)
 2 and [Tables 3 and 4](#). [Figure 15](#) shows that the lead content of the sulfide matte was
 3 approximately 4%-5%, and that the lead content of the slag decreased to 1-2%
 4 within one hour. The grade of crude lead produced was 96%-98% Pb. [Table 3](#) and
 5 [Figure 16](#) indicate that 90-96% of the lead can be recovered in one step into crude
 6 lead. 97-99% of the sulfur in the feed was fixed in the condensed state, instead of
 7 generating and emitting SO₂ gas. The Pb recovery rate in [Table 3](#) is the sum of lead
 8 in the crude lead and matte; the dust rate was calculated according to the mass of
 9 dry briquettes and the sulfur-fixation rate was measured from the sulfur content of
 10 the off-gas.



11
 12 **Figure 15.** Pb content in the matte and slag during industrial production trial
 13 (1150-1200°C).

14 **Table 3.** The key technical parameters of the industrial pilot campaign.

Production intensity	Direct Pb recovery	Total Pb recovery	Coke addition	Dust rate	Sulfur-fixation rate	Coke consumption	Limestone consumption
/(kg/ (m ² ·d))	/%	/%	/%	/%	/%	/(kg/t Pb)	/(kg/t Pb)
21000-33000	87-92	90-96	12-16	3-6	97-99	420-600	200-500

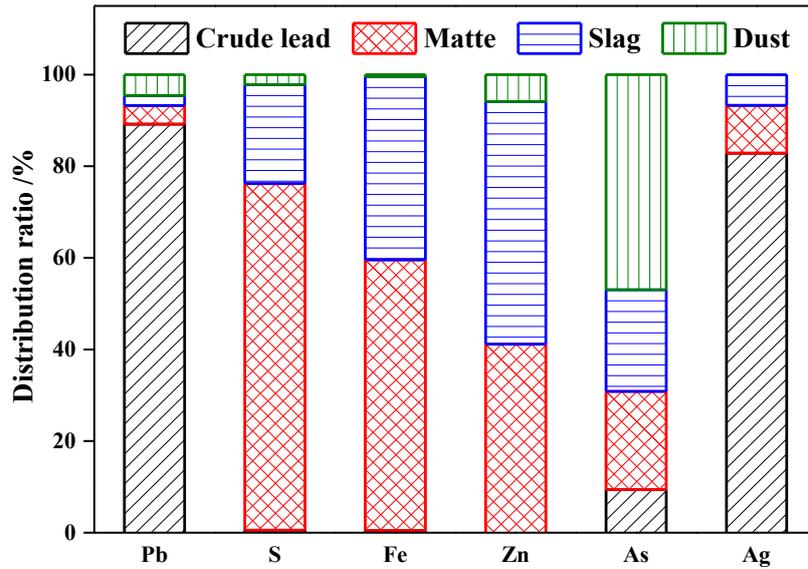


Figure 16. Distribution behavior of the main components during the industrial pilot campaign /%.

Compared with the lab-scale results under “ideal” conditions, it is evident that more sulfur was fixed in the industrial-scale pilot experiment. The industrial pilot campaign also revealed that this novel process can be executed on a continuous basis and offers advantages, e.g., in terms of low dust generation and high production capacity.

Table 4 shows the monitoring results of the blast furnace off-gas. It clearly reveals that all the emission targets meet the standard GB 25466-2010 (National standard of P.R. China --- Emission Standard of Pollutants for Lead and Zinc Industry).

Table 4. The blast furnace off-gas monitoring results during the pilot trial.

Location of monitoring point	Monitored parameters	Monitoring results				Executive standard
Blast furnace smoke window	Pb / (mg/m ³)	0.046	0.041	0.034	0.037	0.5
	SO ₂ / (mg/m ³)	380	363	354	372	400
	Cd / (mg/m ³)	0.00019	0.00017	0.00021	0.00016	0.05
	Blackness	<1	<1	<1	<1	<1
	Air volume flow/(m ³ /h)	18040	20240	21860	19872	--

5. Conclusions

The recycling and extraction of lead from lead acid battery paste using iron-bearing waste as sulfur-fixing agent was found to be fundamentally and technically

1 feasible. The phase conversion mechanisms of the smelting process were clarified.
2 Metallic Pb was extracted from the PbSO₄ in lead paste mainly through the
3 sequence of $\text{PbSO}_4 \xrightarrow{\text{C/CO}} \text{PbS} \xrightarrow{\text{Fe}_3\text{O}_4} \text{PbO} \xrightarrow{\text{C/CO}} \text{Pb}$. Sulfur transferred from PbSO₄ to
4 FeS and was fixed in the smelting system as sulfide matte, which eliminated
5 emissions of gaseous SO₂.

6 The lab-scale experiments illustrated that 93% of lead in the raw material
7 could be recovered in crude lead and that 97% sulfur was fixed in ferrous matte.
8 The industrial pilot campaign revealed that the new process could be operated
9 continuously. It was possible to recover 90-96% of lead in one step into crude lead.
10 The lead content of matte and slag was around 4-5 % and 1-2 %, respectively. The
11 sulfur-fixation rate reached 97-99 %. In addition to LAB scrap, the process can
12 treat various metallurgical and hazardous wastes and recycle a variety of valuable
13 metals included in them. The off-gas emissions met the relevant Chinese standards.
14 This process offers multiple application prospects in the field of secondary lead
15 recycling.

16 **Acknowledgements**

17 This work was supported by the Guangdong Provincial Applied Science and
18 Technology Specialized Research Project [Grant No. 2016B020242001], the Hunan
19 Provincial Science Fund for Distinguished Young Scholars [Grant No. 2018JJ1044],
20 the National Natural Science Foundation of China [Grant No. 51234009 and
21 51604105], CMEco [Grant No. 2116781] by Business Finland, the Fund for Less
22 Developed Regions of the National Natural Science Foundation of China (Grant No.
23 51664013), the Program for Young Talents of Science and Technology in
24 Universities of the Inner Mongolia Autonomous Region (Grant No. NJYT-17-B35),
25 and the Bayannur Science and Technology Project from the Bayannur Bureau for
26 Science and Technology (Grant No. K201509).

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