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CARBPOL-D-18-04614- revised text Binary mixtures of ionic liquids-DMSO as solvents for the dissolution and derivatization of cellulose: Effects of alkyl and alkoxy side chains Daniela C. Ferreira^{a,b}, Mayara L. Oliveira^a, Thais A. Bioni^a, Haq Nawaz^a, Alistair W. T. King^c, Ilkka Kilpeläinen^c, Michael Hummel^d, Herbert Sixta^{d*}, Omar A. El Seoud^{a*}

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Abstract

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22 The efficiency of mixtures of ionic liquids (ILs) and molecular solvents in cellulose dissolution and derivatization depends on the structures of both components. We investigated the ILs 1-(1-23 24 butyl)-3-methylimidazolium acetate (C4MeImAc) and 1-(2-methoxyethyl)-3-methylimidazolium acetate (C₃OMeImAc) and their solutions in dimethyl sulfoxide, DMSO, to assess the effect of 25 26 presence of an ether linkage in the IL side-chain. Surprisingly, C₄MeImAc-DMSO was more 27 efficient than C₃OMeImAc-DMSO for the dissolution and acylation of cellulose. We investigated 28 both solvents using rheology, NMR spectroscopy, and solvatochromism. Mixtures of 29 C₃OMeImAc-DMSO are more viscous, less basic, and form weaker hydrogen bonds with cellobiose than C₄MeImAc-DMSO. We attribute the lower efficiency of C₃OMeImAc to 30 "deactivation" of the ether oxygen and C2-H of the imidazolium ring due to intramolecular 31 32 hydrogen bonding. Using the corresponding ILs with C2-CH3 instead of C2-H, namely, 1-butyl-2,3-33 dimethylimidazolium acetate (C₄Me₂ImAc) and 1-(2-methoxyethyl)-2,3-dimethylimidazolium acetate (C₃OMe₂ImAc) increased the concentration of dissolved cellulose; without noticeable 34 effect on biopolymer reactivity. 35

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37 *Keywords:* ionic liquid-DMSO; cellulose dissolution; solvatochromism; biopolymer derivatization;
 38 cellulose esters.

1. Introduction

41 There is sustained interest in investigating solvents for the physical dissolution of cellulose, i.e., without formation of covalent bonds. The reason is that the resulting solutions are 42 43 used for cellulose regeneration in different forms, including fibers (Jedvert & Heinze, 2017) and 44 nanospheres (Gericke, Trygg, & Fardim, 2013), and for synthesizing cellulose derivatives - in particular esters - with controlled properties (El Seoud, Nawaz, & Arêas, 2013; Gericke, Liebert, 45 46 El Seoud, & Heinze, 2011). Cellulose fiber regeneration from its solutions is commercially 47 important because cotton production is not expected to meet world population demand for cellulosic fibers by 2030 (Hämmerle, 2011). The viable solution to close this so-called "cellulosic 48 fiber gap" is to increase cellulose use from sources other than cotton (e.g., wood and agricultural 49 residues) and enhance the efficiency of recycling, e.g., of cellulose-containing fabrics (Eichinger, 50 2012). 51

52 The solvent employed should disrupt the intramolecular hydrogen bonds within the 53 anhydrous glucose unit (AGU), the intermolecular hydrogen bonds between the biopolymer chains, and the van der Waals interactions present because cellulose is amphiphilic and has a 54 55 relatively hydrophobic inner surface (Lindman et al., 2017; Medronho, Romano, Miguel, Stigsson, 56 & Lindman, 2012). Consequently, efficient cellulose solvents are strongly dipolar or ionic, 57 employed pure or as solutions in molecular solvents (MSs), usually dipolar aprotic ones. Examples are LiCl/N,N-dimethylacetamide, DMAc (El Seoud et al., 2013), N-methyl morpholine-N-oxide 58 hydrate (Rosenau, Hofinger, Potthast, & Kosma, 2003); ionic liquids, ILs, based on heterocyclic 59 60 rings, in particular imidazole (Gericke, Fardim, & Heinze, 2012; Laus et al., 2005) and quaternary 61 ammonium electrolytes (QAE)/MSs (Casarano, Nawaz, Possidonio, Da Silva, & El Seoud, 2011; Heinze et al., 2000; Kostag, Jedvert, Achtel, Heinze, & El Seoud, 2018). 62

Figure 1 shows a schematic representation of the mechanism of cellulose dissolution in QAE/MS where, for simplicity, the steps are depicted as occurring in sequence; this need not be the case. Part (a) of Figure 1 shows the cellulose chains immersed in the MS (blue background) before the introduction of the QAE; in (b) the anion interacts with the hydroxyl groups of the AGU. The chains are separated by electrostatic repulsion because they acquire a negative charge. As shown in part (c), the solvated polymer chains are further separated, i.e., the biopolymer-QAE
complex dissolves in the MS because of "condensation" of the (voluminous) cation around the
biopolymer-anion complex (Östlund, Lundberg, Nordstierna, Holmberg, & Nydén, 2009;
Papanyan, Roth, Wittler, Reimann, & Ludwig, 2013; Wei, Meng, Cui, Jiang, & Zhou, 2017).





Figure 1. Schematic representation of cellulose dissolution in quaternary ammonium electrolytes/molecular solvent mixture: (a) cellulose (green lines) is added to the MS (blue background); (b) the anions (pinkish spheres) interact with the hydroxyl groups of cellulose (polar domains) which separate the cellulose chains because they acquire a negative charge; in (c) the cations (yellow pentagrams) join the anions and separate entirely the cellulose chains leading to its dissolution.

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Therefore, cellulose dissolution is a function of the charge density (or hardness) of the ions and their volumes, both determine anion-cation, ion-cellulose and, where applicable, ion-MS interactions. In the present study, we used solutions in DMSO of the ILs shown in Figure 2 for the dissolution and acylation of microcrystalline cellulose, MCC. The IL anion was acetate and the corresponding cations differ in the presence of an ether oxygen in the side chain, and of hydrogen or methyl group in position 2 of the imidazolium ring.



Figure 2. Molecular structures of the studied ionic liquids: (a) 1-(1-butyl)-3-methylimidazolium
 acetate (C₄MeImAc); (b) 1-(2-methoxyethyl)-3-methylimidazolium acetate
 (C₃OMeImAc); (c) 1-(1-butyl)-2,3-dimethylimidazolium acetate (C₄Me₂ImAc); and (d) 1 (2-methoxyethyl)-2,3-dimethylimidazolium acetate (C₃OMe₂ImAc). The atoms are
 numbered in red.

94

The presence of an ether oxygen resulted in more viscous IL and IL- DMSO mixtures; lower effective basicity, and lower degree of substitution, *DS* of the synthesized acetate and benzoate esters. We attribute these results to "deactivation" of the ether group and the C2-<u>*H*</u> of the imidazolium ring due to intramolecular hydrogen bonding. "Blocking" of the C2 position of the heterocyclic ring increased cellulose dissolution in the IL-DMSO mixtures but reflected little on cellulose reactivity.

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- 102

2. Experimental Section

103 2.1. Chemicals

The chemicals were purchased from Sigma-Aldrich or Merck and were purified as recommended elsewhere (Armarego & Chai, 2009). Microcrystalline cellulose (MCC), Avicel PH 106 101 (viscosity-based degree of polymerization $\overline{DP}_V = 175$) was from Sigma-Aldrich and kraft 107 dissolving eucalyptus pulp ($\overline{DP}_V = 497$) was from Bahia Specialty, Salvador. The solvatochromic 108 probes employed (Figure 3) were previously synthesized as reported elsewhere (Catalán et al., 109 1996; Catalan, Mena, Meutermans, & Elguero, 1992).

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Figure 3. Molecular structure of the solvatochromic probes employed. (a) *o*-tert butylstilbazolium betaine (TBSB); (b) *o*, *o* ´-di-tert-butylstilbazolium betaine (DTBSB); (c)
 4-nitroaniline (NA); (d) *N*,*N*-dimethyl-4-nitroaniline (DMNA).

115

116 2.2. Equipment

117 NMR spectroscopic data were obtained with Varian, Inova 300 (300 MHz for ¹H), or Bruker 118 DRX 500 (500 MHz for ¹H) spectrometers. The UV-VIS spectra of the solvatochromic probes were 119 recorded with Shimadzu UV-2550 spectrophotometer. The temperature inside the cuvette 120 holder was controlled \pm 0.05 °C with a digital thermometer (Yellow Springs Instruments model 121 4000A). The rheology data were acquired with Physica model MCR 300 rheometer (Anton Paar) 122 with cone-plate geometry (50 cm diameter) using Peltier heating element (\pm 0.1 °C) and Rheoplus 123 software. We dissolved MCC in the IL-DMSO by continuous magnetic stirring in a glass tube with GL 25 threaded polybutylene terephthalate (PBT) screw cap (DURAN®), provided with an inner PTFE protecting seal, and evaluated the dissolution under 12x magnifying glass provided with LED light, followed by examination under a microscope (Nikon, Eclipse 2000 microscope with cross polarization) as given elsewhere (Kostag & El Seoud, 2019). We carried out cellulose acylation as given elsewhere, (Possidonio, Fidale, & El Seoud, 2009) employing Discover model DU-8316 (CEM) microwave equipment and IKA model RW 20 mechanical stirrer with a digital tachometer.

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132 2.3. Synthesis of C₄Me_nImAc and C₃OMe_nImAc (n = 1 or 2)

Four ionic liquids were prepared: 1-(1-butyl)-3-methylimidazolium acetate (C₄MeImAc); 134 1-(1-butyl)-2,3-dimethylimidazolium acetate (C₄Me₂ImAc); 1-(2-methoxyethyl)-3-135 methylimidazolium acetate (C₃OMeImAc); and 1-(2-methoxyethyl)-2,3-dimethylimidazolium 136 acetate (C₃OMe₂ImAc). Figure 2 shows the molecular structures of these ILs along with the 137 corresponding atoms numbering.

The ILs were synthesized as described elsewhere (El Seoud et al., 2011) and schematized 138 in Figure 4. In a typical run, the appropriate volumes of the reagents (see Table 1) were charged 139 into PTFE-coated stainless-steel reactor and heated at 110 °C for 6 h under constant stirring and 140 pressure (N₂, 10 bar). After cooling to room temperature and removal of acetonitrile, the IL (as 141 chloride; $(IL - Cl^{-}))$ was purified by vigorously stirring with cold ethyl acetate (three times, 75 142 143 mL each time); separated (lower layer) and dried at 40 $^{\circ}$ C under reduced pressure over P₄O₁₀ for 72 h. The $IL - OAc^-$ was obtained by anion exchange $(IL - Cl^- \rightarrow IL - OH^-)$; methanol 144 solvent), followed by neutralization of the $IL - OH^-$ with acetic acid. The completeness of the 145 (Cl⁻/OH⁻) ion-exchange was assured by testing a dilute aqueous solution of the $IL - OH^-$ with 146 AgNO₃/HNO₃ solution. After neutralization, methanol was evaporated under reduced pressure 147 and the IL was dried at 40 °C under reduced pressure over P₄O₁₀ for 96 h. The final yield for each 148 IL is shown in Table 1. The structure of the IL was confirmed by ¹H NMR spectroscopy (Table SM1, 149 Table 1 of supplementary material). 150



152

153 Figure 1. Synthesis of IL: (a) preparation of IL in the chloride form; (b) Cl⁻/OH⁻ ion exchange

- $(IL Cl^{-})$; (c) neutralization of $IL OH^{-}$ with acetic acid $(IL OAc^{-})$.
- 155

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Table 1. Synthesis conditions and properties of the ionic liquids employed

	1-Methylimidazole		Alkyl or alkoxy chloride			IL yield,	
Ionic Liquid	Compound ^a	g (mole)	Compound ^b	g (mole)	CH ₃ CN, ML	% ^c	
C ₄ MeImAc	А	38.7 (0.50)	С	47.3 (0.45)	150	79	
C ₄ Me ₂ ImAc	В	38.7 (0.50)	С	47.3 (0.45)	150	97	
C₃OMeImAc	А	23.4 (0.30)	D	29.5 (0.27)	55	92	
C ₃ OMe ₂ ImAc	В	23.4 (0.30)	D	29.5 (0.27)	55	97	

^{a)} A = *N*-methylimidazole; B= *1,2*-dimethylimidazole;

^{b)} C = 1-chlorobutane; D = 1-chloro-2-methoxyethane;

160 ^{c)} IL yield (%) = $(n_{alkyl or alkoxy chloride}/n_{IL}) \times 100$, where n = number of mol of the indicated substance.

161

162 2.4. Cellulose dissolution

163 We evaluated MCC dissolution in pure RMe_nImAc (n = 1 and 2) and binary mixtures of

RMe_nImAc-DMSO with DMSO at a mole fraction (χ_{DMSO}) between 0.2 and 0.9. In a typical 164 165 experiment, we introduced the solvent (pure or binary mixture, ca. 2 g) into the abovementioned glass vial, heated it to 60 °C under continuous magnetic stirring for 15 min. We added 166 167 a small mass of MCC (ca. 5-10 mg; dried at 60 °C; reduced pressure; 8 h) to the heated solvent 168 and continued the stirring for additional 15 min. We evaluated the solubility of cellulose as indicated above. If a dark image was observed in the microscope, we considered the cellulose 169 170 soluble, added fresh cellulose and repeated the stirring/examination protocol. If we observed 171 luminous spots in the image, due to (undissolved) cellulose crystals, we heated/stirred the 172 mixture at 60 °C for an additional hour and assessed the solubility. We continued this protocol 173 until cellulose did not dissolve after 75 minutes of the last biopolymer addition; we considered, 174 arbitrarily, that this is the saturation point. We report the dissolved cellulose in wt % as shown 175 below. We employed a similar procedure for eucalyptus pulp at a single solvent composition 176 where the maximum solubility of MCC was observed.

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Cellulose solubility, wt% = $(m_{Cellulose} / m_{Cellulose} + m_{Solvent}) \times 100$ (1)

where, $m_{Cellulose}$ is the total mass of dissolved cellulose; $m_{Solvent}$ is the initial mass of solvent.

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181 **2.5.** Acylation of MCC in RMe_nImAc-DMSO using *N*-acyl imidazole

Cellulose acetate (Cell-Ac) and cellulose benzoate (Cell-Bz) were synthesized as described elsewhere (Possidonio et al., 2009) using *N*-acylimidazole synthesized *in situ*. Firstly, an MCC (0.5 g MCC, 3.1 mmol) solution in RMe_nImAc-DMSO (10 g of IL-DMSO, χ_{DMSO} =0.7) was prepared by heating the suspension in a MW-equipment for 10 min (30 W nominal power; 80 °C; mechanical stirring, 500 rpm). In another reaction flask, *N*-acyl imidazole was synthesized by reacting acetic anhydride (0.44 mL, 4.6 mmol) or benzoic anhydride (1.05 g, 4.6 mmol) and imidazole (0.66 g, 9.2 mmol) in 2 mL of DMSO by stirring at room temperature for 30 min.

The *N*-acyl imidazole suspension in DMSO was added, in one portion, to the MCC-RMe_nImAc-DMSO solution and the reaction mixture (χ_{DMSO} 0.6) was heated under MWirradiation with mechanical stirring (2 h; 60 °C; 15 W power; 500 rpm). The cellulose ester was isolated by precipitation in ethanol (70 mL) and washing five times with warm ethanol (50 mL each wash, 40 °C). The degree of substitution of Cell-Ac (DS_{Ac}) and Cell-Bz (DS_{Bz}) was determined by integration of ¹H NMR spectra (300 MHz) obtained from cellulose ester solution in DMSO-d₆ (~ 33 mg/mL), containing 2-3 drops of trifluoroacetic acid. The calculations of *DS* are indicated in item 3 of SM; the uncertainty in *DS* was \leq 0.05.

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198 **2.6. Viscosity measurements of ILs and ILs-DMSO**

The flow curves of pure RMeImAc and binary RMeImAc-DMSO mixtures with $0.0 < \chi_{DMSO} < 1.0$, were examined at (60 ± 0.05) °C using 90 s⁻¹ shear rate (cone-plate fixture, 50 cm diameter). In order to eliminate absorption of adventitious water, the plate was surrounded with home-constructed PTFE circular "trough" filled with silica gel and covered with two-part glass cover with a central hole, as shown in Figure SM2.

To calculate the energy of viscous flow, the viscosity of binary RMeImAc-DMSO mixtures, with and without dissolved MCC (1 % weight) were obtained at 40 °C, 60 °C, and 80 °C with a constant shear rate of 90 s⁻¹. The energy of viscous flow was calculated by Arrhenius-type equation, i.e., from the slope of $\ln(\eta) \ge 1/T$ plot. (Gericke, Schlufter, Liebert, Heinze, & Budtova, 2009).

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210 2.7. NMR spectroscopic study of cellobiose/RMelmAc-DMSO interactions

Solutions of cellobiose (model for cellulose) in RMeImAc-DMSO-d₆ were prepared as follows: to ten small glass vials were added 200 mg of IL; 1 mL of DMSO-d₆; and increasing concentrations of cellobiose (2-25 mg). Each mixture was stirred with a magnetic bar at 40 °C for 30 min. until complete dissolution of cellobiose. The solution (0.5 mL) was transferred to NMR tube and its ¹H NMR and ¹³C NMR spectra (500 MHz) were recorded at room temperature.

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217 2.8. Spectrophotometric determination of Lewis acidity (SA) and Lewis basicity (SB) using
 218 solvatochromic dyes

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We prepared binary mixtures of RMe_nImAc-DMSO (n = 1 or 2) by weight to cover a χ_{DMSO}

range of 0.2 to 0.9. We pipetted the solvatochromic probes (Figure 3) solutions in acetone (6 μ g/mL) into small glass vials with a plastic cap and dried the solutions under reduced pressure over P₄O₁₀. To the glass vials containing the (solid) solvatochromic probe we added pure RMe_nImAc, pure DMSO, and binary mixtures RMe_nImAc-DMSO; and dissolved the probe at room temperature using a tube rotator (Labquake, Lab Industries; 30 min; 60 rpm). The final probe concentration was 2-5 x 10⁻⁴ mol/L for all probes.

UV-VIS spectra of probe solutions were recorded twice using the following parameters: scan speed of 120 nm/min; spectral resolution of 0.2 nm; 60 °C. We calculated values of λ_{max} from the first derivative curve of the absorption spectrum. The uncertainty in this determination was \pm 0.5 nm. We calculated Lewis acidity (*SA*) and Lewis basicity (*SB*) from the values of λ_{max} of the intramolecular charge transition band as given in item 6 of SM.

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3. RESULTS AND DISCUSSIONS

3.1. Effects of the IL side-chain structure on cellulose dissolution: C4MelmAc versus C3OMelmAc

We show in Figure 5a the wt% dissolved MCC at 60 °C in the pure ILs and their binary mixtures with DMSO; $\chi_{DMSO} = 0.2 - 0.9$ (pure DMSO causes only cellulose swelling); we comment on Figure 5b later. The maximum dissolution in both RMeImAc (R = 1-butyl or 2-methoxyethyl) occurs at the same $\chi_{DMSO} = 0.6$. At this molar fraction, the wt% dissolved MCC was 13 and 16 for C₃OMeImAc-DMSO and C₄MeImAc-DMSO, respectively. At $\chi_{DMSO} = 0.6$, the eucalyptus pulp solubility was 4.5 wt% and 6.0 wt%, for C₃OMeImAc-DMSO and C₄MeImAc-DMSO, respectively.

Therefore: (i) IL-DMSO mixtures dissolve more MCC than eucalyptus pulp; and (ii) under the same conditions, the IL with an alkyl side-chain (C_4 MeImAc) dissolves more cellulose than the one with alkoxy side-chain (C_3 OMeImAc). That is, we did not observe the expected beneficial effect on cellulose dissolution from the presence of ether oxygen in the side-chain of C_3 OMeImAc.



Figure 5. Dissolution curve of MCC in binary mixtures of IL-DMSO in a different molar fraction of DMSO (χ_{DMSO}) determined at 60 °C. IL = RMe_nImAc, R = 1-butyl or 2-methoxyethyl, n = 1 (a) or 2 (b).

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3.2. Effects of the IL side-chain structure on cellulose acylation: C₄MelmAc-DMSO versus C₃OMelmAc-DMSO

Acylation of MCC by *N*-acyl imidazole (acyl = acetyl, benzoyl) was carried in a binary mixture of RMeImAc-DMSO (χ_{DMSO} 0.6), the solvent composition of maximum solubility of MCC. We used *N*-acyl imidazoles, generated in situ by the reaction:

256

257 Acid anhydride + 2 imidazole \rightarrow *N*-acyl imidazole + imidazolium carboxylate (2)

258

We used *N*-acylimidazoles rather than the parent acid anhydrides because of the side 259 260 reaction between the latter and DMSO (Albright & Goldman, 1965, 1967; Marx & Tidwell, 1984; Omura & Swern, 1978). As we showed earlier, the formation of N-acyl imidazole is guantitative; 261 the formed imidazolium salt has no effect on the rate of acylation, *i.e.*, it does not act as an 262 acid/base catalyst (Nawaz, Pires, & El Seoud, 2013; Pires et al., 2015). In the acylation 263 264 experiments, we were interested in assessing cellulose reactivity at its maximum dissolution 265 because of the demonstrated cellulose aggregation in solutions of LiCl/N,N-dimethylacetamide and ILs (Ciacco, Morgado, Frollini, Possidonio, & El Seoud, 2010; Kuzmina, Sashina, Troshenkowa, 266 267 & Wawro, 2010).

In the first four entries of Table 2 we list the values of DS of cellulose esters prepared in 268 269 pure C₄MeImAc-DMSO and C₃OMeImAc-DMSO; we will address entries 5 and 6 later. In entries 1 to 4, values of DS_{Ac} are higher than DS_{Bz}. These results agree with previous data on MCC 270 acylation with carboxylic acid anhydrides and *N*-acylimidazoles in tetra(*n*-butyl)ammonium 271 272 fluoride hydrate/DMSO (Nagel & Heinze, 2012). Likewise, the values of DS_{Ac} and DS_{Bz} of cellulose esters prepared in C₄MeImAc-DMSO are higher than those obtained in C₃OMeImAc-DMSO. That 273 274 is, the dependence of cellulose reactivity on the molecular structure of the IL (as indicated by DS) 275 is parallel to its dissolution in the same media.

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Table 2. Degree of substitution of cellulose esters prepared in RMe_nImAc-DMSO (χ_{DMSO} 0.6; n = 1 277 or 2)^{a,b)}

Entry	IL-MS	Acylating agent	Esters obtained	DS _{Ac}	DS _{Bz}
Ionic Liquids based on 1-methylimidazole					
1	C ₄ MeImAc-DMSO	N-acetyl imidazole	Acetate	1.64	-
2	C₃OMeImAc-DMSO	N-acetyl imidazole	Acetate	0.81	-
3	C₄MeImAc-DMSO	<i>N</i> -benzoylimidazole	Acetate + benzoate	0.46	1.02
4	C₃OMeImAc-DMSO	N-benzoylimidazole	Acetate + Benzoate	0.63	0.41
Ionic Liquids based on 1,2-dimethylimidazole					
5	C ₄ Me ₂ ImAc-DMSO	N-acetylimidazole	Acetate	1.10	-

5	C ₄ Me ₂ ImAc-DMSO	N-acetylimidazole	Acetate	1.10	-
6	C₃Ome₂ImAc-DMSO	N-acetylimidazole	Acetate	1.00	-

^{a)} Microwave-assisted acylation employing 15 W, 60 °C and 2 h of reaction; 279

^{b)} Molar ratio of MCC: *N*-acylimidazole = 1.0 : 1.5. 280

281

The ¹H NMR spectra of the obtained cellulose benzoates (Figure 6a) always showed a 282 singlet at δ ca 2.00 ppm, characteristic of the acetate methyl group. From these spectra, we 283 calculated DS_{Ac} and DS_{Bz} as shown in the last two columns of entries 3 and 4. That is, cellulose 284 285 acetate was obtained as a by-product when we used RMeImAc-DMSO for the synthesis of 286 cellulose benzoate. Note that the DS_{Ac} in entry 1 is 1.64, i.e., higher than the maximum possible DS_{Ac} of 1.5, see point 2.5 in Experimental. These results suggest that solvent-induced cellulose 287







291 Figure 6. ¹H NMR spectra (300 MHz for ¹H) of cellulose benzoate: (a) synthesized in C₃OMeImAc-292 DMSO using N-benzoylimidazole as acylating reagent; (b) synthesized in 1-allyl-3methylimidazolium chloride using benzoic anhydride as acylating reagent; (c) the 293 294 product synthesized in (b) after stirring with pure C₃OMeImAc; (d) the product synthesized an in (b) and stirred in C₃OMeImAc-DMSO (χ_{DMSO} 0.6). In all cases, the 295 treatment conditions of Cell-Bz were 2 h, 15 W and 60 °C. The peaks marked with 296 * correspond to the non-deuterated solvent residue, DMSO (δ = 2.5 ppm) and CHCl₃ (δ 297 298 = 7.2 ppm).

299

300 3.2.1. Acetylation of cellulose as a side reaction in RMeImAc

Acetylation of cellulose in IL with acetate anion is known. Köhler et al. (2007) showed that 301 pure cellulose acetate was obtained when the biopolymer was reacted with 2-furoyl- or tosyl 302 303 chloride in C₂MeImAc (1-ethyl-3-methylimidazolium acetate). These authors suggested that the

acetate from the IL reacts with derivatizing agents forming mixed anhydrides (e.g., acetic-2-furoic 304 anhydride) which act as acylating reagent. Mixed cellulose acetate-propionate, with $DS_{Ac} > DS_{Pr}$ 305 was prepared by reacting cellulose with propionic anhydride in C₂MelmAc due to the formation 306 307 of acetic-propionic mixed anhydride (Dorn, 2009). Finally, cellulose acetate (DS 0.017) was 308 obtained from cellulose/C₂MeImAc solution, even without the addition of an acetylating agent (Karatzos, Edye, & Wellard, 2012). In this case, acetic anhydride is generated, in situ, via 309 310 dehydration of the acetic acid produced by abstraction of the relatively acidic C2-<u>H</u> of the 311 imidazolium ring by the acetate ion (Gericke et al., 2012; Sowmiah, Srinivasadesikan, Tseng, & 312 Chu, 2009).

The DS_{Ac} value obtained by Karatzos et al. (2012) is too small to explain the (large) DS_{Ac} 313 values listed for in entries 3 and 4 of Table 2. Considering that the acylating reagent in the 314 benzoylation reaction is N-benzoylimidazole, the formation of mixed acetic-benzoic anhydride 315 was discarded. The reason is that the pK_a values in water are 7.1 and 4.75, for imidazole and 316 317 acetic acid, respectively (CRC Handbook of Chemistry and Physics, 2017). Consequently, the best leaving group in the tetrahedral intermediate formed by attack of the acetate anion (from the IL) 318 on N-benzoylimidazole is the acetate group, not imidazole, leading to regeneration of N-319 benzoylimidazole. It appears, therefore that the production of cellulose acetate (entries 3 and 4 320 of Table 2) is due to a DMSO-mediated catalysis/reaction. 321

322 To test this hypothesis, cellulose benzoate with DS 2.6 was prepared in pure 1-allyl-3methylimidazolium chloride, using benzoic anhydride. As shown in Figure 6b, no peak at 2.00 323 324 ppm was observed, i.e., pure cellulose benzoate was obtained. We dissolved the cellulose benzoate thus obtained in pure C₃OMeImAc and in C₃OMeImAc-DMSO (χ_{DMSO} 0.6) and kept the 325 solution under the acylation conditions (15 W MW power, 500 rpm, 60 °C, 2 h), except that no 326 acylating agent was added. We then recovered/purified the esters from both solutions using the 327 workup procedure given in item 2.5 of Experimental; recorded their ¹H NMR spectra. As shown 328 in parts (c and d) of Figure 6, the singlet at ca. 2.0 ppm was observed only in the product 329 recovered from C₃OMeImAc-DMSO (Figure 6d). That is, DMSO is catalyzing the formation of 330 331 cellulose acetate. Although determination of the mechanism of this reaction is beyond the scope

332 of the present work, this is another manifestation that ILs and their solutions in MSs may not always be "spectators" (Wang, Qin, Mu, Xue, & Gao, 2017). 333

334

3.3. A rationale for effect of IL side-chain structure on cellulose dissolution and acylation 335

336 Because of the presence of ether linkage in the side-chain of C₃OMeImAc we expected that it should form more hydrogen bond with AGU-OH leading to higher cellulose dissolution 337 338 than pure C_4 MeImAc and C_4 MeImAc-DMSO. Additionally, the (expected) higher Lewis basicity of 339 $C_3OMeImAc$ should contribute favorably to cellulose acylation, due to a combination of less 340 biopolymer aggregation in solution and stabilization of the reaction (polar) transition state. However, our data for cellulose dissolution (Figure 5) and acylation (Table 2) showed the opposite 341 342 behavior, C₄MeImAc is more efficient than C₃OMeImAc.

343 The attenuation/elimination of the expected side-chain effect of C₃OMeImAc may arise from macroscopic effects; microscopic effects/interactions, or both. As example of the former 344 345 we studied solution rheology, whereas we assessed microscopic interactions by ¹H, ¹³C NMR spectroscopy and solvatochromic parameters. We present these results below in the order 346 mentioned. 347

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3.3.1. Rheology of IL-DMSO binary mixtures 349

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The Stokes-Einstein equation for rigid sphere is given by:

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$D = k_B T / 6 \pi \eta a$ (3)

where " k_B ", "a" and η refer to the Boltzmann constant, particle radius, and viscosity, respectively 352 (Berry, Rice, & Ross, 2000). Equation 3 indicates that the diffusion coefficient (D) in solution is 353 354 inversely proportional to the viscosity. Lower viscosity is expected to favor cellulose dissolution and derivatization. This expectation (rate increase as a function of decreasing medium viscosity) 355 356 was verified experimentally for reactions of isomerization (Baba et al., 2006) and Diels-Alder (Khupse & Kumar, 2011; Tiwari & Kumar, 2012) in pure ILs and their mixtures with MSs. 357

We investigated the rheological behavior of RMeImAc-DMSO binary mixtures at 60 °C 358 (temperature of cellulose dissolution and acylation). The dependence of viscosity (η) on shear 359

rate (0.01 – 100 s⁻¹) at 60 °C for RMeImAc-DMSO binary mixture with χ_{DMSO} range 0.2 – 0.9 was 360 361 evaluated; both ILs-DMSO showed a Newtonian behavior (results not shown). As shown in Figure SM3, the mixture viscosity decreases as a function of increasing χ_{DMSO} ; mixtures of C₃OMeImAc-362 363 DMSO are more viscous than their C₄MeImAc-DMSO counterparts. The dependence of IL-DMSO solution η (χ_{DMSO} 0.0, 0.4, 0.6, 0.8) on the temperature (*T*) was investigated. From these data, 364 we calculated the energy of viscous flow E_{flow} using Arrhenius-type equation (Gericke et al., 2009). 365 As shown in Table SM4 and Figure SM4 solutions of 1 wt% MCC in C₄MeImA-DMSO are less 366 367 viscous (ca. 4 %) over the range of χ_{DMSO} investigated and show smaller values of E_{flow} (1-2 J/mol).

The rheology data of IL-DMSO binary mixtures show that both viscosity and E_{flow} of C₄MeImAc-DMSO are smaller than the C₃OMeImAc counterpart, indicating that cellulose dissolution should be favored in the C₄MeImAc, and C₄MeImAc-DMSO, in agreement with our cellulose solubility data. Based on the Stokes-Einstein equation, diffusion of the reactants in C₄MeImAc medium are faster which should affect product *DS* favorably, as shown in Table 2.

373

374 **3.3.2.** Assessment of hydrogen bonding by ¹H and ¹³C NMR

375 We investigated the efficiency of hydrogen bond formation between cellulose and both solvents systems by ¹H and ¹³C NMR spectroscopy. In order to avoid the severe ¹H line broadening 376 observed for cellulose solutions in IL-DMSO (Cao et al., 2016; Lu et al., 2017; Zhang et al., 2010) 377 we used cellobiose as a model. The (linear) dependence of the relevant ¹H and ¹³C chemical shifts 378 (δ) of the ILs in DMSO-d₆ on *[cellobiose]* is shown in Figure SM5, whereas the equations that 379 describe this dependence are listed in Table SM5. In absence of cellobiose, the bonds formed are 380 those between hydrogens of the imidazolium cation, the acetate anion and the (S=O) dipole of 381 DMSO. The hydroxyl groups of cellobiose form hydrogen bonds with both ions of the IL and with 382 DMSO; this is manifested by changes in (δ). We dwell here on the magnitude of $\Delta\delta$ rather than 383 384 its sign, because the latter is the result of changes in the electron density of the atom (due to hydrogen bonding) coupled with changes in diamagnetic shielding/deshielding by the anisotropic 385 acetate ion and (S=O) dipole of DMSO (Lambert & Mazzola, 2011). Changes in diamagnetic 386 shielding/deshielding result from changes in the movements (diffusion, tumbling, etc.) of the ions 387

and the solvent dipoles due to their interactions with cellobiose; the magnitude of these changes is not known. The slopes of all equations shown in Table SM5 are larger for C₄MelmAc than C₃OMelmAc. That is the strength of hydrogen bonding is larger for the former IL. Additionally, the slope is largest for C2-<u>*H*</u> and <u>*C2*</u>, as expected for this relatively acidic site (Alder, Allen, & Williams, 1995; Amyes, Diver, Richard, Rivas, & Toth, 2004).

In conclusion, ¹H and ¹³C NMR data clearly show: (*i*) the formation of hydrogen bonds between the cations of ILs and hydroxyl groups of cellobiose; (*ii*) the strength of these interactions depends on the acidity of the imidazolium carbon atom (C2), hence the attached hydrogen (the slope of C2-<u>*H*</u> is largest); (*iii*) these interactions are stronger for C₄MeImAc than C₃OMeImAc. As the NMR data *per se* do not offer a clear answer regarding the origin of the dependence of $\Delta\delta$ on the nature of the IL side-chain, we measured Lewis acidity and basicity of ILs-DMSO using solvatochromic probes.

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401 **3.3.3. Solvatochromic data of ILs-DMSO solvent systems**

Table SM6 shows all solvatochromic data as a function of χ_{DMSO} calculated by use of solvatochromic probes. As argued elsewhere, the "effective Lewis basicity" (= *SB-SA*) is a good indicator of the efficiency of ILs as cellulose solvents (Hauru, Hummel, King, Kilpeläinen, & Sixta, 2012). ILs with large *SB* and small *SA* are good solvents.

Figure 7a shows dependence of (SB-SA) on χ_{DMSO} for both ILs (Figure 7b will be discussed 406 later). The values of (SB-SA) are almost constant in the χ_{DMSO} range 0.0-0.8 for the two ILs 407 408 examined, due to a preferential solvation of solvatochromic probes by a component of each 409 medium (Achtel, Jedvert, Kostag, Seoud, & Heinze, 2018; de Jesus, Pires, Scharf, & El Seoud, 410 2017). Therefore, the maximum dissolution of cellulose at χ_{DMSO} = 0.6 (Figure 5a) cannot be 411 explained solely on the bases of (SB-SA). On the other hand, C₄MeImAc is associated with higher effective basicity than C₃OMeImAc at any χ_{DMSO} , hence forms stronger hydrogen bonds with the 412 hydroxyl groups of the AGU. This leads, according to this criterion, to more efficient cellulose 413 414 dissolution by the IL with alky side-chain. This result agrees with our previous data.



Figure 2. Dependence of effective basicity (*SB-SA*) on DMSO molar fraction (χ_{DMSO}), of RMe_nImAc-DMSO, R = 1-butyl or 2-methoxyethyl, n = 1 (a) or 2 (b), determined at 60 °C.

420 We now address the reason for the lower efficiency of C₃OMeImAc. In a recent publication 421 on solvation by aqueous solutions of C₄MeImAc and C₃OMeImAc, we attributed the dependence 422 of solvatochromic parameters on the nature of the side-chain of C₃OMeIm⁺ to the formation of 423 intramolecular hydrogen bonding between the ether oxygen with C2-H and C4-H of the 424 imidazolium ring; the former is stronger, see Figure (de Jesus, Pires, Mustafa, Riaz, & El Seoud, 425 2017). This cycling behavior of C₃OMeIm⁺ -indicated by theoretical calculations- was 426 corroborated with ¹H NMR spectroscopy (de Jesus, Pires, Mustafa, et al., 2017). Note that 427 C₄MeImAc is not subject to this side-chain/imidazolium ring hydrogen bonding. Therefore, we 428 attribute the less hydrogen bonding (NMR, Table SM5) and lower effective Lewis basicity 429 (solvatochromism, Table SM6) to the cyclization of C₃OMeIm⁺. This intramolecular hydrogen bonding should decrease the interactions of C₃OMeIm⁺ OAc⁻/cellulose both as hydrogen-bond 430 431 donor (through the relatively acidic C2- \underline{H} ···O(H)-cellulose) and acceptor (cellulose-OH···ether 432 oxygen of the IL side-chain).

433



Figure 8. Schematic representation of the possible intramolecular hydrogen bonding in C₃OMeImAc-DMSO between the ether oxygen and C2- \underline{H} (a) and C5- \underline{H} (b). These configurations are based on arguments advanced for aqueous solutions of the same ILs (de Jesus, Pires, Mustafa, et al., 2017).

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The relevant points of 3.3 are: (i) insertion of ether oxygen in side-chain of IL imidazolium cation did not enhance the dissolution and acylation of the cellulose in that solvent; (ii) both macroscopic and microscopic effects of RMeImAc-DMSO binary mixtures contribute to the observed efficiency of C₄MeImAc.

444

3.4. Effects of "blocking" position 2 of the imidazolium ring on cellulose dissolution and derivatization

Our recent work on solvatochromism in aqueous solutions of these ILs indicated the 447 448 following order for empirical polarity: aqueous C₄MeImAc > aqueous C₃OMeImAc. This order was inverted, however, when the IL was based on 1,2-dimethylimidazole, *i.e.*, the order of empirical 449 polarity was: aqueous $C_3OMe_2ImAc > aqueous C_4Me_2ImAc$ (de Jesus, Pires, Scharf, et al., 2017). 450 451 We decided, therefore, to determine whether 1,2-dimethylimidazole-based ILs are necessarily better solvents for cellulose dissolution and acylation, relative to those based on 1-452 methylimidazole. As shown in Figure 5b, introduction of C2-CH₃ in the imidazolium ring increased 453 the values of wt% dissolved cellulose at every χ_{DMSO} , although C₄Me₂ImAc-DMSO is still a more 454 455 efficient cellulose solvent than C₃OMe₂ImAc-DMSO, over the entire DMSO concentration range. 456 The enhanced cellulose dissolution by 1,2-dimethylimidazole-based ILs relative to its 1-

methylimidazole counterparts may result, in part, from increased solvent-biopolymer 457 458 hydrophobic interactions because of the slight difference in hydrophobicity of both diazoles (calculated Log P = partition coefficient 1-octanol/water are -0.094 and 0.034 for 1-methyl- and 459 460 1,2-dimethylimizaole, respectively). However, the ether linkage of the C₃OMe₂Im cation may still 461 form an intramolecular hydrogen bond with C4-*H* of the imidazolium ring and the weakly acidic hydrogens of the C2-CH3 group, impairing its interaction with cellulose. Note that the C2-CH3 462 463 hydrogens still undergos H/D exchange, albeit more slowly than the relatively acidic C2-<u>H</u> (Handy 464 & Okello, 2005).

Figure 7b shows that the effective Lewis basicity of $C_4Me_2ImAc-DMSO$ solutions is still higher than that of $C_3Me_2ImAc-DMSO$. Additionally, values of DS_{Ac} of cellulose acetates prepared in both ILs are the same within the uncertainty in DS calculation. Therefore, blocking the C2 position of two ILs enhanced cellulose dissolution relative to 1-methylimidazole-based ILs, but did not enhance the Lewis effective basicity or cellulose reactivity.

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471

4. CONCLUSIONS

472 We assessed the effects of presence of (basic) ether linkage in the side chain of RMeImAc 473 ILs and their solutions in DMSO on the solubility of cellulose and its acylation. Contrary to our initial expectation, the presence of this ether oxygen did not enhance the efficiency of IL as a 474 475 solvent or reaction medium for cellulose. We attributed the lower efficiency of C₃OMeImAc to 476 deactivation of both (-O- and C2-H) as hydrogen bonding acceptor, and donor respectively due to intramolecular hydrogen bonding or cyclization, as depicted in Figure 8. We corroborated this 477 conclusion by rheology measurements, ¹H, ¹³C NMR- and solvatochromic data. The observed 478 479 enhancement of cellulose dissolution due to methylation of C2 position of the imidazolium ring 480 shows the importance of hydrogen bonding and hydrophobic interactions in cellulose chemistry. 481

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El Seoud thanks CNPq for research productivity fellowships (grant 307022/2014-5) and FAPESP 485 486 for financial support (2014/22136-4). H. Sixta and M. Hummel wish to thank Tekes – the Finnish Funding Agency for Innovation - and Finnish Bioeconomy Cluster FIBIC Ltd for funding. 487 488 489 490 REFERENCES 491 Achtel, C., Jedvert, K., Kostag, M., Seoud, O. A. El, & Heinze, T. (2018). Surprising Insensitivity of 492 Homogeneous Acetylation of Cellulose Dissolved in Triethyl (n -octyl) ammonium Chloride 493 / Molecular Solvent on the Solvent Polarity, 1800032, 1–8. http://doi.org/10.1002/mame.201800032 494 Albright, J. D., & Goldman, L. (1965). Dimethyl Sulfoxide-Acid Anhydride Mixtures. New 495 reagents for oxidation of alcohols. Journal of the American Chemical Society, 87, 4214-496 497 4216. http://doi.org/10.1021/ja01096a055 498 Albright, J. D., & Goldman, L. (1967). Dimethyl Sulfoxide-Acid Anhydride Mixtures for the Oxidation of Alcohols. Journal of the American Chemical Society, 89, 2416–2423. 499 500 http://doi.org/10.1021/ja00986a031 Alder, R. W., Allen, P. R., & Williams, S. J. (1995). Stable Carbenes as Strong Bases. Journal of the 501 Chemical Society, Chemical Communications, 12, 1267–1268. 502 503 http://doi.org/10.1039/C39950001267 Amyes, T. L., Diver, S. T., Richard, J. P., Rivas, F. M., & Toth, K. (2004). Formation and Stability of 504 505 N-Heterocyclic Carbenes in Water: The carbon acid pKa of imidazolium cations in aqueous solution. *Journal of the American Chemical Society*, *126*, 4366–4374. 506 http://doi.org/10.1021/ja039890j 507 Armarego, W. L., & Chai, C. L. (2009). Purification of Laboratory Chemicals (6th ed.). New York: 508 509 Elsevier Ltd. Baba, K., Ono, H., Itoh, E., Itoh, S., Noda, K., Usui, T., ... Asano, T. (2006). Kinetic Study of 510 Thermal Z to E Isomerization Reactions of Azobenzene and 4-dimethylaniino-4'-511

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