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Wavelength-dependent photoconductivity of single-walled carbon nanotube layers†

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A number of electronic devices such as phase shifters, polarizers, modulators, and power splitters are based on tunable materials. These materials often do not meet all the requirements namely low losses, fast response time, and technological compatibility. Novel nanomaterials, such as single-walled carbon nanotubes, are therefore widely studied to fill this technological gap. Here we show how the dielectric constant of single-walled carbon nanotube layers can be substantially modified by illuminating them due to unique light–matter interactions. We relate the optical excitation of the nanotube layers to the illumination wavelength and intensity, by resistance and capacitance measurements. The dielectric constant is modified under laser illumination due to the change of material polarization and free carrier generation, and is shown to not be temperature-related. The findings indicate that SWCNT layers are a prospective tunable optoelectronic material for both high and low frequency applications.

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1. Introduction

Single-walled carbon nanotubes (SWCNTs) have been an active area of research for applications in electronics, optoelectronics, and sensing.^{1–3} They can be synthesized and separated with relatively good control over their morphology, conductivity type (metallic or semiconducting), diameter, length, and chirality.⁴ These traits determine the nanotube's optical and electronic properties.^{5,6} SWCNTs can be characterized by absorbance peaks in the near-UV,

visible, and IR spectra that are attributed to electronic transitions between energy states of semiconducting and metallic SWCNTs.⁷ These unique absorption properties excited the interest in nanotubes as optoelectronic material, leading to diverse studies such as applications in solar cells;^{8,9} photoluminescence for imaging and sensing;^{10,11} high optical nonlinearity with fast response for optical communication systems;^{12,13} optical transitions in SWCNT field-effect transistors with electrostatic gating control;^{14–16} photoinduced molecular desorption for gas sensing;^{17,18} and terahertz wave generation and detection for flexible and wearable electronics.¹⁹

Whereas most recent studies of light–matter interactions in SWCNTs are reported on separated nanotubes, the samples require complex processing steps such as centrifugation in liquid solution.^{20,21} Instead, thin SWCNT layers are of interest due to the simple method of dry transfer.^{22,23} The technique allows an easy transfer of as-fabricated layers onto a wide variety of substrates with possibility of large-scale applications in tunable electromagnetic wave reflecting and absorbing surfaces. Integration of SWCNT layers has already been successfully demonstrated in micro- and nano-electromechanical systems and sensors.^{23,24} A typical layer is naturally composed of randomly-oriented nanotubes with a proportion of approximately 1/3 metallic to 2/3 semiconducting types.²⁵ The absorption properties of bundled SWCNTs are characterized by fast relaxation (<1 ps) with intertube energy transfer from semiconducting to metallic nanotubes, followed by rapid nonradiative cooling.^{26,27} The electrical responses of isolated nanotubes^{28,29} and SWCNT layers^{30,31} to light excitation have been studied in the mid- and far-IR regions. The results indicate a generation of strongly-bound excitons and an enhancement of the IR absorption.

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† Electronic supplementary information (ESI) available: Fit parameters for the S₁₁ absorbance peaks and calculated nanotube diameter distribution (Table S1); power levels of the lasers at different attenuation levels (Table S2); fit parameters for the RBM peaks in the Raman spectrum and corresponding nanotube diameters (Table S3); absorbance peaks fitted as a sum of gaussian lines after background subtraction (Fig. S1); decomposition of the optical absorbance spectrum of the SWCNTs (Fig. S2); image and schematic drawing of the Raman spectrum and two-point capacitance measurement setup (Fig. S3); Raman spectrum of the SWCNT sample (Fig. S4); decomposition of the RBM peaks in the Raman spectrum (Fig. S5); dry transfer method of the SWCNT layer (Fig. S6); determination of the laser spot size (Fig. S7); change of the SWCNT layer's four-point resistance during illumination (Fig. S8); exponential curve fitting of the SWCNT layer's resistance during laser illumination (Fig. S9); change of the SWCNT layer's two-point impedance during illumination (Fig. S10); effect of heating on the optical absorbance spectrum of the SWCNTs (Fig. S11). See DOI: 10.1039/c9ra01467e

DC-level measurements have been reported as a light-induced photocurrent in individual nanotubes^{32,33} as well as in SWCNT layers.^{34,35} Measurement conditions such as contact resistance between electrodes and SWCNTs, atmospheric conditions, and light intensity have been shown to significantly impact the results.

However, the illumination wavelength dependence is not understood completely. Peaks in the photoresponse were observed in the mid-IR range, related to the first and second electronic transitions in the semiconducting nanotubes.^{32,35} The molecular photodesorption rate was shown to be wavelength-dependent in the visible and near-UV range.¹⁷ A change in the photoconductivity was observed in double-walled CNTs as a function of illumination wavelength, explained by an excitation of surface plasmons.³⁶ Due to the heterogeneous nature of the nanotube layers, identifying light absorption mechanisms is difficult as several physical processes occur simultaneously.

Previously, we reported an experimental tuning of the dielectric constant of thin SWCNT layers in the frequency range of 75 GHz to 1 THz under light illumination.²³ In this paper, we relate the illumination wavelength and intensity to the optical excitation of nanotube layers, with the perspective of their application as tunable optoelectronic material. We measure the resistance and capacitance of the samples under laser illumination in the wavelength range of 488 nm to 785 nm. We distinguish a slow and a fast regime that are wavelength-dependent. The dielectric constant is tuned due to free charge carrier generation and due to the change of material polarization, like ferroelectric and liquid crystals. However, the main advantage of carbon nanotubes is that these processes are much faster.

2. Experimental

Experimental investigations were performed on SWCNT layers synthesized by aerosol chemical vapor deposition,³⁷ with an optical transparency of 80%, measured at 550 nm. The randomly-oriented SWCNT network and the bundling of individual nanotubes is shown in Fig. 1. Scanning and transmission electron microscopy was performed with a high-resolution scanning electron microscope JEOL JSM-7500FA at 2 kV and a spherical aberration corrected transmission electron microscope JEOL

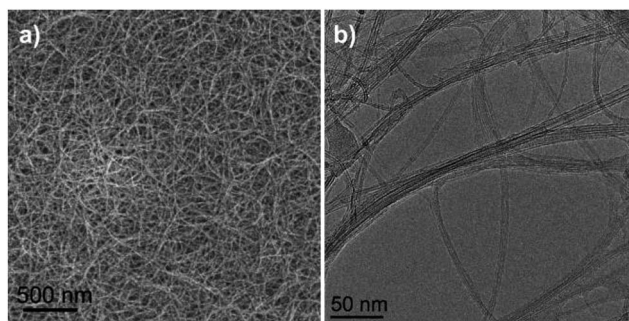


Fig. 1 (a) Scanning electron microscopy image of the randomly-oriented SWCNTs network. (b) Transmission electron microscopy image of the bundling of individual nanotubes.

JEM-2200FS at 80 kV. An average nanotube length of 5 μm to 15 μm was determined by visual inspection.^{38,39}

The optical absorbance (A) of the SWCNT layers was derived from transmission (T) measurements, obtained with a PerkinElmer 950 UV-vis-NIR spectrometer in the range of 200–2500 nm as: $A = \log_{10}(100/T)$ (see Fig. 2a).⁴⁰ The spectrum was measured with a double beam spectrometer for a solid SWCNT layer on a quartz substrate without liquid treatment. The presence of the strong peak at 280 nm corresponds to the π -plasmon with a long tail up to the IR range. The electronic transitions of the SWCNTs (marked as S_{11} , S_{22} , and M_{11}) are overlapping with the tail of the π -plasmon.^{41,42} According to the

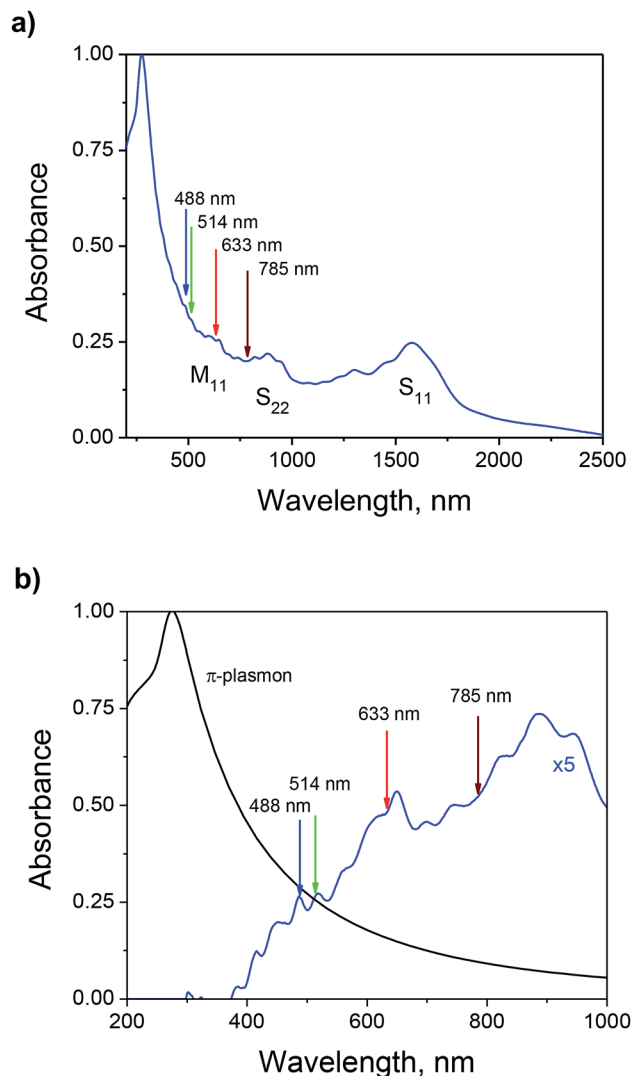
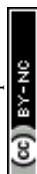


Fig. 2 Optical absorbance spectrum of the SWCNT layer. (a) The average nanotube diameter was determined from the position of the absorbance peak of the first electronic transition S_{11} in semiconducting SWCNTs. The second electronic transition in semiconducting nanotubes S_{22} and the first one in metallic nanotubes M_{11} are indicated for reference. The arrows indicate the illuminating laser wavelengths. (b) Decomposition of the optical absorbance spectrum of the SWCNT layer. The π -plasmon peak was approximated by a non-linear fit. The peaks due to electronic transitions in the nanotubes were obtained by subtracting the π -plasmon peak from the total absorbance; their amplitude was multiplied by 5 for visibility.



position of the main absorbance peak of the first electronic transition in the semiconducting tubes (S_{11}) at 1600 nm, an average nanotube diameter of 1.26 nm was determined with the Weisman plot (see Fig. S1 and Table S1† for additional information).^{43,44} We decompose the absorbance spectrum as shown in Fig. 2b. The π -plasmon peak was approximated by a non-linear fit.⁴⁵ The peak was then subtracted from the total absorbance to obtain the contribution of the electronic transitions (see additional information in ESI, Fig. S2†).

A Horiba Jobin-Yvon Labram HR Raman was used for the illumination of SWCNT samples and Raman spectrum measurements (see ESI, Fig. S3†). Four lasers were used: two argon lasers with wavelengths of 488 nm and 514 nm, a helium-neon laser with a wavelength of 633 nm, and an infrared diode laser with a wavelength of 785 nm. The lasers were controlled with build-in attenuators to achieve the power levels given in Table S2.† The Raman spectra were collected at 633 nm with a 50 \times objective, 0.75 numerical aperture, 600 Gr mm⁻¹ spectral grating, and 3 dB attenuation resulting in 8.5 mW incident power. The signal integration time was 10 s. The measurements were performed on up to 10 positions per sample, without noticeable difference. The Raman spectrum of the sample is given in Fig. S4,† indicating a low proportion of nontubular impurities by the low D/G peaks ratio of 0.04. The RBM region of the Raman spectrum was decomposed and fitted with Lorentzian curves (see Fig. S5 and fit parameters in Table S3†). From the RBM peak positions, diameters of nanotubes in the sample are determined to range from 0.9 nm to 2.0 nm.

Two-point impedance (capacitance and resistance) measurements were performed with an Agilent B1500A semiconductor device analyzer at 1 MHz. Parallel metal contacts were used with a 3 mm spacing. Four-point resistance measurements were performed with an Agilent 34401A digital multimeter. X-shaped gold microcontacts were used with a separation of 10 μ m between central electrodes (see Fig. 3a and S3†).

3. Results and discussion

3.1. Resistance measurements

The SWCNT samples were transferred from nitrocellulose filter onto glass substrates with the electrodes by dry transfer (see ESI, Fig. S6†). The illumination beam was focused to a spot with an approximate diameter of 7 μ m for all lasers to exclude the influence of the metal-SWCNT barrier effect (see ESI, Fig. S7†). Complete four-point resistance measurement results are provided in Fig. S8.† A comparison of the change in resistance under illumination as a function of time is shown in Fig. 3b for wavelengths of 488 nm, 514 nm, 633 nm, and 785 nm, and comparable laser powers. Two distinct behaviors can be observed, suggesting different mechanisms causing the change in resistance. For the wavelengths of 488 nm and 514 nm, the resistance is characterized by a slow exponentially-decaying increase with the illumination time (ON-state), and by a corresponding slow decrease during the OFF-state (see additional details in ESI, Fig. S9†). For the wavelengths of 633 nm and 785 nm, the illumination is accompanied by a fast jump of the resistance, followed by

a slow increase. And respectively, the OFF-state is characterized by a fast drop in the resistance, followed by a slow decrease. A comparison of the resistance change as a function of the laser power and wavelength is shown in Fig. 3c, where the two behaviors are recognized.

We attribute the long-term drifts of the resistance to a slow heating of the SWCNT network. We distinguish the slow exponential variations of the resistance, observed at all wavelengths, from the fast responses to illumination at 633 nm and 785 nm. We explain the difference in behavior with the help of the decomposed absorbance spectrum from Fig. 2b. At shorter wavelengths (488 nm and 514 nm), the absorbance is dominated by the π -plasmon peak. The resistance change is then limited by the slow dynamics of oxygen and water desorption, affecting the doping of the SWCNT network.⁴⁶ At longer wavelengths (633 nm and 785 nm), the total absorbance is smaller which results in lower resistance changes. However, absorbance peaks due to electronic transitions in SWCNTs become relevant and the fast jump of the resistance can be related to the generation of free charge carriers.^{47,48} The jump in resistance is also observed at shorter wavelengths but on a smaller scale.

3.2. C-V measurements

Complete two-point impedance measurement results are provided in Fig. S10.† A comparison of the change in capacitance induced by the illumination is shown in Fig. 3d as a function of time for the four wavelengths and similar power levels. The capacitance change is close to the “noise level” of the measurement setup and required post-processing. Nevertheless, the results can be compared qualitatively. In contrast to the resistance, the capacitance is decreasing during illumination, and returning to its initial value during the OFF-state. Fig. 3e summarizes the change in capacitance and resistance as a function of the illumination wavelength. For shorter wavelengths, larger variations of capacitance and resistance are observed that can be correlated to the stronger absorbance in that part of the spectrum (see Fig. 2a).

We explain the laser-induced capacitance change of the SWCNT layer by a polarization under light illumination. The polarization leads to a change of the dipole moment, resulting in the change of the dielectric constant of the nanotube layer. Moreover, the illumination causes the generation of free charge carriers and the resistance of the nanotube network is primarily defined by the hopping and tunnelling charge transport at the nanotube intersections and bundles.^{49,50} The resistance is exponentially proportional to the intertube distance, which we suppose increases due to the polarization. This results in an unexpected increase of resistance under illumination, which has been similarly observed in layers of double-walled carbon nanotubes.³⁶ Additionally, the increase of the intertube distance results in a decrease of the quantum capacitance of the nanotubes, enhanced by the bundling.⁵¹ This direct control over the dielectric constant of thin SWCNT layers and nanotube composites makes them a potential candidate as tunable optoelectronic material.



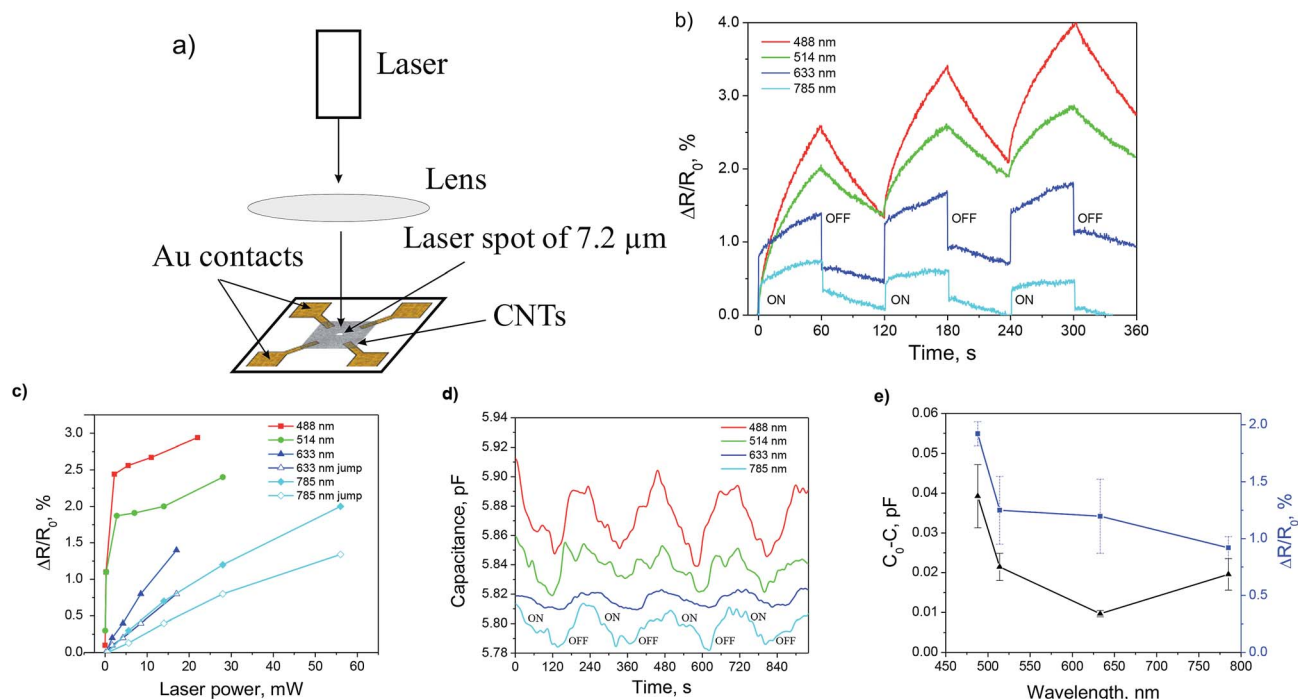


Fig. 3 Characterization of thin SWCNT layers by resistance and capacitance measurements. (a) Schematic drawing of the four-point resistance measurement setup. The SWCNT layer is transferred on a quartz substrate with gold contact electrodes. The sample is illuminated by a laser focused to a spot size of 7.2 μm . (b) Normalized change of the SWCNT layer's resistance during illumination with four wavelengths and similar power levels. The labels indicate one minute ON and OFF illumination cycles. (c) Normalized change of the SWCNT layer's resistance under illumination as a function of laser power and wavelength. (d) Change of the SWCNT layer's capacitance during illumination with four laser wavelengths and similar power levels. The labels indicate two minutes ON and OFF illumination cycles. (e) Comparison of the change in capacitance and normalized resistance of the SWCNT layer as a function of the illumination wavelength for similar power levels.

3.3. Temperature dependence

A potential explanation for the change of resistance and capacitance is the heating of the SWCNTs layer by the laser. To discard this effect, several experiments were carried out with Raman and optical spectroscopy. Raman spectra taken before and during prolonged (30 min) laser illumination are shown in

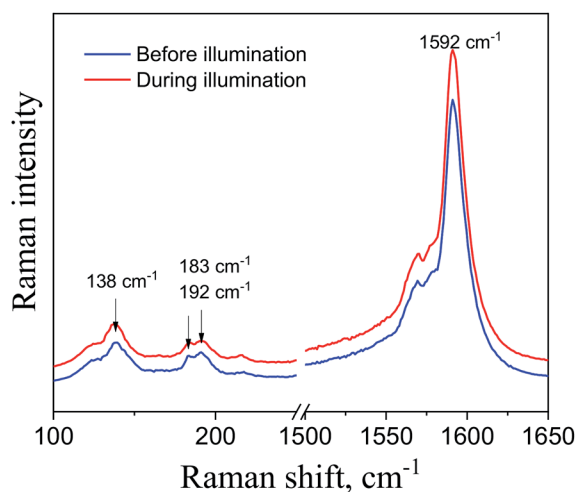


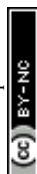
Fig. 4 Raman spectra before and during laser illumination of the SWCNT layer. The main peak positions (RBM and G^+) are not shifted, indicating that no thermal heating of the sample occurs. The spectra are offset vertically for visibility.

Fig. 4. The positions of the RBM and G peaks are not shifted, which demonstrates that no excessive heating of the SWCNT network occurs.⁵² In comparison, the shift of peaks was observed with laser powers at least 5 times higher.^{53,54}

The thermal influence on the optical absorption spectra of SWCNT layers was also studied *in situ*. The absorbance measured for a different sample (see ESI, Fig. S11†) shows that no changes occur due to heating up to 190 $^{\circ}\text{C}$ in the wavelength range of interest (488 nm to 785 nm). Significant changes in the absorbance appear only in the infrared region (wavelengths above 1300 nm). The shift is explained by the influence of oxygen and other oxidizing agents (NO_2 or SO_2) adsorbed by the SWCNT surface, which induces surface p-doping and a modification of the SWCNT valence band structure.^{55,56} Consequently, the heating detaches the dopants, which leads to an increase of the absorbance around the S_{11} transition of the semiconducting nanotubes. In our case, the illuminating laser wavelengths lie around the M_{11} and S_{22} transitions of the SWCNTs, where the absorbance is not impacted by temperature.

4. Conclusions

We have experimentally demonstrated the tuning of the dielectric properties of thin SWCNT layers by impedance measurements during laser illumination. Light is shown to decrease both the conductivity and the capacitance of the sample, without heating the SWCNT network. We also identify



a dependency on the illumination wavelength, with a slow and a fast regime of the tuning of the dielectric constant. We suppose the effect to be related to free carrier generation and dipole polarization of the nanotubes. The findings here are in agreement with our previously reported measurements of the dielectric constant of SWCNTs in the terahertz frequency range.²³ The optical tuning of the dielectric constant creates novel approaches to design a wide range of optoelectronic devices such as terahertz phase shifters, frequency-selective surfaces, and metamaterials.

Conflicts of interest

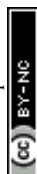
There are no conflicts to declare.

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