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Published in: Journal of Engineering Mechanics

DOI: 10.1061/(ASCE)EM.1943-7889.0001708

Published: 01/02/2020

Document Version Peer-reviewed accepted author manuscript, also known as Final accepted manuscript or Post-print

Please cite the original version:

Karakoç, A., Paltakari, J., & Taciroglu, E. (2020). Data-Driven Computational Homogenization Method Based on Euclidean Bipartite Matching. *Journal of Engineering Mechanics*, *146*(2), Article 04019132. https://doi.org/10.1061/(ASCE)EM.1943-7889.0001708

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1 A DATA-DRIVEN COMPUTATIONAL HOMOGENIZATION METHOD BASED ON 2 **EUCLIDEAN BIPARTITE MATCHING** 3 4 Alp Karakoç¹, Jouni Paltakari², Ertugrul Taciroglu³ 5 ¹Postdoctoral researcher, Civil & Env. Engineering Department, University of California Los 6 Angeles, 90095 CA, USA. Email: akarakoc@alumni.ucla.edu 7 ² Professor, Aalto University, Department of Bioproducts and Biosystems, FI-00076 AALTO, 8 FINLAND. Email: jouni.paltakari@aalto.fi ² Civil & Env. Engineering Department, University of California Los Angeles, 90095 CA, USA. 9 10 Email: etacir@ucla.edu 11 12 ABSTRACT 13 14 Image processing methods combined with scanning techniques—e.g., microscopy or micro-15 tomography—are now being frequently used for constructing realistic microstructure models that 16 can be used as representative volume elements (RVEs) to better characterize heterogeneous 17 material behavior. As a complement to those efforts, the present study introduces a computational 18 homogenization method that bridges the RVE and material-scale properties in situ. To define the 19 boundary conditions properly, an assignment problem is solved using Euclidean bipartite matching 20 through which the boundary nodes of the RVE are matched with the control nodes of the 21 rectangular prism bounding the RVE. The objective is to minimize the distances between the 22 control and boundary nodes, which when achieved enables the bridging of scale-based features of 23 both virtually generated and image-reconstructed domains. Following the minimization process, 24 periodic boundary conditions can be enforced at the control nodes, and the resulting boundary 25 value problem can be solved to determine the local constitutive material behavior. To verify the 26 proposed method, virtually generated domains of closed-cell porous, spherical particle and fiber 27 reinforced composite materials are analyzed, and the results are compared with analytical Hashin-28 Shtrikman and Halpin-Tsai methods. The percent errors are within the ranges from 0.04% to 3.3%, 29 from 2.7% to 14.9%, and from 0.5% to 13.2% for porous, particle and fiber reinforced composite 30 materials, respectively, indicating that the method has a promising potential in the fields of image-31 based material characterization and computational homogenization. 32

33 KEYWORDS: Microscopy, micro-tomography, representative volume element, assignment
 34 problem, material characterization, computational homogenization.

35

36 INTRODUCTION

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38 Image processing methods combined with scanning techniques—e.g., laser scanning confocal 39 microscopy, micro-computed tomography (µCT), scanning electron microscopy (SEM), magnetic 40 resonance imaging (MRI) to name a few-are gaining attention due to their capabilities in 41 determining surface and volumetric properties, chemical compositions, and mechanical and 42 directional features of materials (Nazar et al. 1996; Huang and Wei 2010; Duval et al. 2014). 43 Although there are various complexities in image acquisition, segmentation and rendering and 44 needs for considerable user interaction, these methods have been paying the way to highly refined 45 levels of data-driven material characterization (Hollister and Kikuchi 1994; Terada et al. 1997). In 46 addition to the innovations and developments in the image acquisition systems, numerous 47 segmentation and rendering techniques have been also developed to process the obtained image data and extract high-fidelity models (Takano et al. 2003; Legrain et al. 2011; Lopez et al. 2014; 48 49 Ren et al. 2015). In most of these techniques, the solution domain is discretized with the aim of 50 minimum data loss to reconstruct so-called realistic representative volume element (RVE), to 51 which the mechanical and physical properties, and boundary conditions are assigned (Lian et al. 2013; Bargmann et al. 2018). Boundary volume problem (BVP) can be then solved-e.g., using 52 the finite element method in the computational homogenization framework- over the RVE 53 54 boundaries to bridge the micro- and material-scale properties for the effective mechanical 55 properties (Geers et al. 2010; Karakoc et al. 2017). However, due to unstructured nature of the 56 reconstructed RVEs directly from the images, defining the RVE boundaries and node mapping for 57 computational homogenization-especially, in the case of periodic boundary definitions- can 58 turn into a nontrivial process (Lian et al. 2013; Nguyen and Noels 2014). To the authors' 59 knowledge, various boundary condition enforcement methods are available in the literature 60 including the local implementation method (Tyrus et al. 2007), master/slave approach (Yuan and Fish 2008), weak periodicity (Larsson et al. 2011). Most of these methods have been successfully 61 62 tested on RVEs composed of inclusions embedded in matrix; however, there still needs to be

development in enforcement methods—e.g., in case of the dominant presence of pores on the RVE
boundaries (see, Figure 1) (Nguyen et al. 2012).

65

In consideration to the challenges in data-driven material characterization, the present study 66 67 introduces a computational homogenization method, through which periodic boundary conditions 68 are enforced via total distance minimization of control and boundary node sets as shown in Figure 69 2. This, in turn, enables computational homogenization of domains represented by arbitrary 70 meshes—e.g., in-situ reconstructed domains via image processing methods, and bridging of scale-71 based features of both image-reconstructed and virtually generated domains. The present study is 72 therefore expected to advance the current state of the art towards accurate material characterization 73 with low computational costs.

74

75 METHODOLOGY

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77 As illustrated in Figures 2 and 3, the BVP is defined at the RVE scale first wherein the boundary 78 nodes (p) on the RVE boundaries ($\partial \omega$) are matched with the control nodes (q), which are 79 uniformly discretized and represented in the form of corner, edge, and surface nodes on the 80 boundaries ($\partial \Gamma$) of its bounding rectangular prism. The grid spacing on $\partial \Gamma$, is taken as the mean value d_{mean} of the closest-pair distances on the corresponding $\partial \omega$ surface. Simply, the closest-pair 81 82 distance is calculated as the Euclidean distance between the reference p node and its nearest 83 neighbor. After this step, alpha shapes method is used to reduce the q set size. Thereafter, one-to-84 one matching between p and q sets are carried out through a distance minimization technique 85 known as the Euclidean bipartite matching. Eventually, periodic boundary conditions are enforced, 86 rigid body rotations are eliminated, and the BVP is solved in the computational homogenization 87 scheme based on the first-order strain-driven homogenization method.

88

89 Control node reduction

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In order to reduce the excessive amount of control nodes—i.e., q node set size reduction, especially
for the RVE boundaries with pores, the alpha shapes method is used (Edelsbrunner et al. 1983;

93 Edelsbrunner 1995). As illustrated in Figure 4, the boundary nodes *p* obtained from the geometry

file is used to construct (I) control node sets as grid points by means of d_{mean} and (II) Delaunay triangulation where the elements (polygons in 2D and polyhedrons in 3D), the circumradii *R* of which exceeds the alpha shape value (taken to be d_{mean} in the present study), are discarded (Cerquaglia et al. 2017). Thereafter, the control nodes are refined by eliminating the control nodes in the region of excessive elements identified with the alpha shapes method.

99

100 Euclidean bipartite matching of the boundary and control nodes

101

102 The core of the proposed method is based on Euclidean bipartite matching of the boundary nodes 103 denoted with p and with q, as depicted in Figure 2. The total distance between the node sets are 104 minimized to determine an optimal matching and thus, to obtain one-to-one correspondence 105 between p and q. As the first step, a cost matrix based on the Euclidean distance of each (p, q)106 combination is generated, which results to an $N \times N$ matrix where N is equal to the set length of 107 p—i.e.,

108
$$\begin{bmatrix} \mathbf{d}(p_1, q_1) & \mathbf{d}(p_1, q_2) & \dots & \mathbf{d}(p_1, q_n) \\ \mathbf{d}(p_2, q_1) & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots \\ \mathbf{d}(p_n, q_1) & \dots & \dots & \mathbf{d}(p_n, q_n) \end{bmatrix}.$$
(1)

109 Then, optimal permutation of matched nodes is discerned based on their total Euclidean distances110 through the minimization problem

111

$$T = \min \sum_{\Pi} \mathbf{d}(p, q) \tag{2}$$

112 where **d** is the Euclidean distance function for two nodes, T is the total Euclidean distance and \prod 113 is the permutations that abide a one-to-one correspondence. Under these circumstances, there 114 should be only one matching pair for each p and q, otherwise the one-to-one correspondence condition is violated. The problem in Eq. (2) can be solved with-e.g., Monte-Carlo simulations 115 116 generating random possible solutions and selecting the solution with the minimum cost, various 117 heuristics for determining the optimum cost, or schemes relaxing the given problem into a series 118 of problems for each of which an optimal solution is obtained (Rendl 1988; Hung and Rom 1980; 119 Karakoc and Taciroglu 2017). In the present study, Monte Carlo simulations are used, for which 120 the solution provides one-to-one matching of control and boundary nodes that exhibit the shortest total distance *T*, as shown in Figure 2. Following the matching process, there are two possible options in defining the boundary conditions: (*i*) to use directly the boundary nodes *p* obtained as a result of the minimization, or (*ii*) further, to tie the degrees of freedom of the one-to-one matched nodes and to use the control nodes q (—i.e., $\vec{u}_p = \vec{u}_q$). In the present study, the latter option is preferred.

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127 First-order computational homogenization

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In the present study, a first-order strain driven homogenization is utilized to determine the elastic properties. As shown in Figure 5, the macro-strain e^{M} is known *a priori* where the associated macro-stress s^{M} is computed through volume averaging of the stress field at the RVE scale (Hernández et al. 2014).

133

Here, the macro-strain e_{ij}^{M} for $i, j \in \{X, Y, Z\}$ is the given parameter and is used as the driving parameter of the microscopic displacement field for the RVE so that

136

$$\vec{u}^{\rm m} = \vec{r} \cdot \mathbf{e}^{\rm M} + \underline{\vec{u}}.\tag{3}$$

The first addend of Eq. (3) on the right-hand side represents the macroscopic displacement contribution, and the second represents the displacement fluctuation field \vec{u} due to heterogeneities within the RVE (Geers et al. 2010). Here, \vec{r} represents the position vector between two nodes and the overall body is assumed to be composed of repeating rectangular prism bounding the RVEs. Continuity conditions for the displacement field are satisfied at each adjacent boundary by taking the relative positions of the control node sets q, which eliminates \vec{u} .

143

In computational homogenization studies, the use of RVEs with periodic boundary conditions is a common practice, for which the corresponding corner, edge and surface nodes are matched as previously depicted in Figure 3, and suffices to represent the effective material deformation (Karakoc 2018). Following this common practice, periodic boundary conditions are applied onto the control nodes q of the rectangular prism bounding the RVE. Here, it is important that the socalled "periodic offset" caused by the distance between matched nodes is inevitable.

150 Hill-Mandel principle and stress averaging

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154

152 In computational homogenization, Hill-Mandel principle gives the relationship between the micro-

and material scales such that

$$\mathbf{s}^{\mathrm{M}}:\mathbf{e}^{\mathrm{M}}=\frac{1}{\Gamma}\int_{\Gamma}\mathbf{s}^{\mathrm{m}}:\mathbf{e}^{\mathrm{m}}\,\mathrm{d}\Gamma\,,\tag{4}$$

for which superscripts m and M stand for micro- and material scales. The symbol (:) denotes the inner product $\mathbf{a} : \mathbf{b} = a_{ij}b_{ij}$ for second-order tensors. By using the Gauss theorem, Eq. (4) can be rewritten over $\partial\Gamma$ as

158
$$\mathbf{s}^{\mathrm{M}} : \mathbf{e}^{\mathrm{M}} = \frac{1}{\Gamma} \int_{\partial \Gamma} \vec{t}^{\mathrm{m}} \cdot \vec{u}^{\mathrm{m}} \,\mathrm{d}\partial\Gamma \,, \tag{5}$$

159 where \vec{t}^{m} is the micro-scale traction vector at $\partial \Gamma$. By plugging the boundary periodicity into Eq. 160 (5), we get

161
$$\mathbf{s}^{\mathrm{M}} : \mathbf{e}^{\mathrm{M}} = \frac{1}{\Gamma} \int_{\partial \Gamma} \vec{t}^{\mathrm{m}} \cdot \left(\vec{r} \cdot \mathbf{e}^{\mathrm{M}} \right) \mathrm{d}\partial\Gamma + \frac{1}{\Gamma} \int_{\partial \Gamma} \vec{t}^{\mathrm{m}} \cdot \vec{\underline{u}} \, \mathrm{d}\partial\Gamma, \tag{6}$$

162 which can be rearranged into

163
$$\mathbf{s}^{\mathrm{M}}:\mathbf{e}^{\mathrm{M}}=\frac{1}{\Gamma}\int_{\partial\Gamma}\left(\vec{t}^{\mathrm{m}}\otimes\vec{r}\right)\mathrm{d}\partial\Gamma:\mathbf{e}^{\mathrm{M}}+\frac{1}{\Gamma}\int_{\partial\Gamma}\vec{t}^{\mathrm{m}}\cdot\vec{\underline{u}}\,\mathrm{d}\partial\Gamma.$$
 (7)

Here, the symbol \otimes denotes the dyadic operator. The second integrand at the right-hand side vanishes in case of periodic boundary conditions. Hence, macro-scale stress \mathbf{s}^{M} can be expressed as the volume average of the micro-scale stress \mathbf{s}^{m} such that

167
$$\mathbf{s}^{\mathrm{M}} = \frac{1}{\Gamma} \int_{\partial \Gamma} \left(\vec{t}^{\mathrm{m}} \otimes \vec{r} \right) \mathrm{d}\partial \Gamma = \frac{1}{\Gamma} \int_{\Gamma} \mathbf{s}^{\mathrm{m}} \mathrm{d}\Gamma, \qquad (8)$$

168 where Γ is the total volume of the rectangular prism bounding the RVE. Then, the given strains 169 e^{M} and the computed stresses s^{M} at the material scale can be then combined. Eventually, by means 170 of a least-squares minimization of all six distinct deformation modes in three-dimensional space 171 (three axial and three shear loading modes), the compliance C^{M} is obtained as

172
$$\pi(C_{11},...,C_{66}) = \sum_{i=1}^{n} \left\| \mathbf{e}_{i}^{M} - \mathbf{C}^{M} : \mathbf{s}_{i}^{M} \right\|^{2},$$
(9)

173 where *i* refers to the number of experiments (Karakoç et al. 2013; Sjolund et al. 2014).

174 CONVERGENCE

175

176 In order to understand the capability of the algorithm, effect of RVE mesh size and control node 177 number on the convergence of effective elastic properties and central processing unit (CPU) time 178 study are investigated. For this purpose, the closed cell porous RVEs, which can be sometimes 179 computationally expensive due to the non-proportional number of pores in the opposing surfaces, 180 have been generated. The matrix material is selected to be epoxy resin with $E_m = 3000$ MPa and 181 $v_{\rm m} = 0.38$. Two sets of simulations have been carried out: (I) one set of simulations with different 182 number of seeds per edge $s = \{2, 2.2, 2.5, 2.9, 3.3, 4, 5, 6.7, 10, 20, 40\}$ and corresponding mesh 183 sizes $e = \{46768, 49178, 50782, 53388, 57556, 68388, 69961, 86193, 102843, 185795, 558311\},\$ 184 three RVEs of which are depicted in Figure 6a, and (II) one set of simulations with different control 185 node numbers $q = \{152, 568, 1224, 2402, 2906, 3458, 3628\}$, three RVEs of which are shown in 186 Figure 7a.

187

188 As seen in Figure 6b, there is a positive correlation between the mesh size and CPU time. Despite 189 this trade-off, the effective elastic properties do not vary with increasing mesh size-e.g., after 190 RVE with 185795 elements referring to edge seeding number of 20. Even though the element size 191 in the finest RVE mesh is almost triple reaching 558311 elements, the maximum percentile 192 difference is low and obtained as 3.8 % for E_{33} . However, there is extreme increase in the CPU 193 time from 423 seconds to 1992 seconds for these two cases. Based on the results, element size is 194 based on the edge seeding number of 20 in the present study. Thereafter, the effect of control node 195 number on the effective elastic properties and CPU time have been investigated. The RVE is 196 selected to be the one with 185795 elements-i.e, edge seeding number of 20. As seen in Figure 197 7b, there is a fast convergence for both the effective elastic properties and CPU time with a 198 maximum value of 423 seconds showing that the present framework works efficiently with even 199 moderate numbers of control nodes.

200

201 METHOD VERIFICATION

202

203 Previously conducted analytical, numerical and experimental studies and their results on closed 204 cell porous materials, particle and fiber reinforced composites, the microstructures of which are illustrated in Figure 1, are used so as to verify the present method (Hashin and Shtrikman 1963;
Halpin 1969; Kushnevsky et al. 1998; Segurado and Llorca 2002; Babu et al. 2018).

207

208 Case study: Closed cell porous and spherical particle reinforced composites

209

In order to verify the present method for closed cell porous and spherical particle reinforced composites, RVE geometries are formed based on the procedure provided by Segurado and Llorca (Segurado and Llorca 2002). In line with this procedure, two cases are considered, for which unit cubes $(1 \times 1 \times 1)$ containing 30 non-overlapping randomly distributed reinforcing particles and voids are generated with an in-house random sequential adsorption (RSA) code (Evans 1993). In this code, if the particles or voids do not overlap with the previous ones, they remain fixed till the end of the computational process reaching the desired particle or void volume fractions

217
$$V_{\rm f} = \left(\sum_{i=1}^{30} \frac{4}{3\pi r_i^3}\right)$$
 of the RVEs. In this case study, $V_{\rm f}$ is taken to be within the range from 10% to

218 28%. The generated RVE domains are then discretized by using C3D4 general-purpose tetrahedral 219 elements of ABAQUS (Hibbitt et al. 1992). The matrix material is selected to be epoxy resin with $E_{\rm m}$ = 3000 MPa and $v_{\rm m}$ = 0.38 and the reinforcing particles are chosen to be glass with $E_{\rm f}$ = 70000 220 221 MPa and $v_f = 0.20$ in case of particle reinforced composites. In case of voids, E_f is taken to be 222 arbitrarily small (—i.e., ~ 0 Pa). Due to heterogeneities in the RVEs, three numerical simulations 223 are conducted with the ABAQUS Standard finite element solver for each case and the simulation 224 results are compared with the analytical Hashin-Shtrikman bounds for both the closed cell porous 225 materials and the particle reinforced composites. Under the assumption of linear elastic isotropic 226 phases, these bounds for bulk K and shear G moduli are given as (Hashin and Shtrikman 1963; 227 Kushnevsky et al. 1998)

228
$$K_{\rm c}^{\rm u} = K_{\rm f} + \frac{(K_{\rm m} - K_{\rm f})(3K_{\rm f} + 4G_{\rm f})(1 - V_{\rm f})}{(3K_{\rm f} + 4G_{\rm f}) + 3(K_{\rm m} - K_{\rm f})V_{\rm f}},$$
(10)

229
$$K_{\rm c}^{\rm l} = K_{\rm m} + \frac{\left(K_{\rm f} - K_{\rm m}\right)\left(3K_{\rm m} + 4G_{\rm m}\right)V_{\rm f}}{\left(3K_{\rm m} + 4G_{\rm m}\right) + 3\left(K_{\rm f} - K_{\rm m}\right)\left(1 - V_{\rm f}\right)},\tag{11}$$

230
$$G_{\rm c}^{\rm u} = G_{\rm f} + \frac{5G_{\rm f} \left(G_{\rm m} - G_{\rm f}\right) \left(3K_{\rm f} + 4G_{\rm f}\right) \left(1 - V_{\rm f}\right)}{5G_{\rm f} \left(3K_{\rm f} + 4G_{\rm f}\right) + 6\left(G_{\rm m} - G_{\rm f}\right) \left(K_{\rm f} + 2G_{\rm f}\right) V_{\rm f}},\tag{12}$$

231
$$G_{\rm c}^{\rm l} = G_{\rm m} + \frac{5G_{\rm m} (G_{\rm p} - G_{\rm m}) (3K_{\rm m} + 4G_{\rm m})V_{\rm f}}{5G_{\rm m} (3K_{\rm m} + 4G_{\rm m}) + 6(G_{\rm f} - G_{\rm m})(K_{\rm m} + 2G_{\rm m})(1 - V_{\rm f})}.$$
 (13)

Here, the superscripts *u* and *l* denote the upper and lower bounds whereas subscripts *c*, *f*, and *m* refer to composite, filling (particle or void), and matrix materials, respectively. The effective Young's modulus, Poisson's ratio and shear modulus values of the composite are then expressed as $E_{c11}=E_{c22}=E_{c33}=9K_cG_c/(3K_c+G_c)$, $v_{c12}=v_{c13}=v_{c23}=(3K_c-2G_c)/(2(3K_c+G_c))$, $G_{c12}=G_{c13}=G_{c23}=G_c$, respectively.

237

238 Effective elastic properties of closed cell porous materials

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Void presence in materials is generally undesired and can drastically degrade the stiffness and strength properties. Therefore, to understand the effect of voids on the effective elastic properties of closed cell porous materials, all six distinct loading modes are simulated as seen in Figure 8. The least-squares minimization problem of Eq. (9) is then solved to obtain the compliance,—hence $E_{c11}, E_{c22}, E_{c33}, G_{c12}, G_{c13}, G_{c23}, and v_{c12}, v_{c13}, v_{c23}.$

245

Both the proposed method and the analytical solution show that there is a negative influence of voids on the elastic properties with a decrease of 28-29% for Young's moduli, 27% for shear moduli and 5% for Poisson's ratios within the investigated volume fraction range, as listed in Table 1. The percent errors for Young's moduli, shear moduli, and Poisson's ratios between the proposed and analytical solutions are 0.04% - 2.9%, 0.1% - 2.5%, 0.1% - 3.3%, respectively.

251

252 Effective elastic properties of spherical particle reinforced composites

253

For the particle reinforced composite simulations, particles and matrix are assumed to be strongly bonded and only the effects of particle volume fraction on the effective elastic properties are examined. In order to deviate from the conventional computational homogenization methods, the domain is discretized so that arbitrary meshes are generated on the RVE boundaries $\partial \omega$, as illustrated in Figure 9. Both uniaxial and shear deformation modes are simulated to obtain the effective elastic parameters listed in Table 2.

The results show the reinforcing effect of particles on the elastic properties with an increase of 67-69% for Young's moduli, 65-66% for shear moduli, and a decrease of 8-13% for Poisson's ratios within the investigated volume fraction range. The decrease in v is due to $v_f < v_m$. The percent errors for Young's moduli, shear moduli, and Poisson's ratios between the proposed and analytical solutions are 3.0%-17.6%, 2.7%-15.3%, 11.2%-14.9%, respectively.

266

267 Case study: Fiber reinforced composites

268

For the verification purpose of the model for the fiber reinforced composites, Halpin-Tsai equations, which were empirically developed to determine the effective elastic properties of aligned fiber composites, are used (Halpin 1969). These equations can be expressed as

272
$$\frac{\phi_{\rm c}}{\phi_{\rm m}} = \frac{1 + \xi \eta V_{\rm f}}{1 - \eta V_{\rm f}},\tag{14}$$

273
$$\eta = \frac{\phi_{\rm f}/\phi_m - 1}{\phi_{\rm f}/\phi_m + \xi},\tag{15}$$

for which the aspect ratio is $\xi = 2 \times (l_f/d_f)$ with l_f being the fiber length and d_f being the fiber 274 diameter. In Equations (14) and (15), $\phi_{\rm c}$, $\phi_{\rm m}$, $\phi_{\rm f}$ refer to composite, matrix and fiber elastic 275 276 material properties, and $V_{\rm f}$ is the volume fraction. Following the investigations in the literature, $V_{\rm f}$ 277 is taken to be within the range from 16% to 33% while AS4 carbon fibre is used as the fiber reinforcement with E_{f11}=225000 MPa, E_{f22}=15000 MPa, G_{f12}=15000 MPa, G_{f12}=7000 MPa, 278 v_{f12} =0.2, and the matrix material is 3501-6 epoxy matrix material with E_m =4200 MPa, G_m =1567 279 280 MPa and v_m =0.35 (Soden et al. 1998; Babu et al. 2018). The RVEs are rectangular prisms (7×7×4 281) and the fiber aspect ratio $\xi=3.5$ where both matrix and fiber domains are discretized with C3D4 282 general-purpose tetrahedral elements.

283

284 Effective elastic properties of fiber reinforced composites

285

In this case study, unidirectionally aligned fiber reinforced composite RVEs are generated with
 RSA code similar to the previous case study. These RVEs are then investigated and their effective

288 elastic properties are computed. The fibers and matrix are assumed to be strongly bonded and only 289 the effects of fiber volume fraction on the effective elastic properties are examined. The domain is 290 discretized so that arbitrary meshes are generated on the RVE boundaries $\partial \omega$, as seen in Figure 291 10. Thereafter, six different deformation modes—three uniaxial and shear deformation modes— 292 are simulated to obtain all the effective elastic parameters that are listed in Table 3. The results 293 show that there is a reinforcing effect of fibers on the elastic properties with a steep increase of 294 125% for E_{c11} , 27% for E_{c22} , and 29% for E_{c33} ; 38% for G_{c12} , 45% for G_{c13} , and 26% for G_{c23} ; and 295 a decrease of 6% for v_{c12} , 10% for v_{c13} , and 3% for v_{c23} within the investigated volume fraction 296 range. The decrease in v is also experienced with increasing $V_{\rm f}$ in this case study due to $v_{\rm f} < v_{\rm m}$. 297 The percent errors for Young's moduli, shear moduli, and Poisson's ratios between the proposed 298 and analytical solutions are 4.4%-13%, 0.5%-13.2%, 0.5%-5.1%, respectively.

299

300 CONCLUSIONS

301 In the present study, a data-driven computational homogenization method is presented, the 302 objective of which is to characterize effective material properties directly through their 303 reconstructed microstructures via scanning devices (-e.g., X-ray micro-tomography, etc.). For 304 this purpose, periodic boundary conditions are enforced on the reconstructed microstructures via 305 total distance minimization of control and boundary node sets. Here, the minimization problem, 306 here also referred as Euclidean bipartite matching, is solved with Monte-Carlo simulations which 307 generate random possible solutions and select the solution with the minimum cost. This results in 308 one-to-one matching between the node sets. Thereafter, first order strain driven homogenization is 309 implemented, which, in turn, enables bridging scale-based features and material characterization. 310 In order to understand the performance of the method, first, a convergence study has been 311 conducted on porous RVEs that have non-conformal meshes on their boundaries. It is deduced that 312 the increase in the mesh size after some threshold does not have any significant effect -e.g., the maximum percentile difference of 3.8 % between 185795 (referring to 20 seeds per edge) and 313 314 558311 elements (40 seeds per edge) despite the tremendous increase in time from 423 seconds to 315 1992 seconds. Thus, in the present study, 20 seeds per edge is also used in the method verification 316 process. To elaborate, the method is verified by comparing the numerical results computed for realistic renderings of closed cell porous, particle and fiber reinforced composite materials with 317 318 analytical Hashin-Shtrikman and Halpin-Tsai methods. The percent errors between the analytical

- 319 and computed effective elastic properties are observed to be within acceptable ranges—namely,
- 320 0.04%-3.3% for porous material case, 2.7%-17.6% for particle reinforced composite material case,
- 321 and 0.5%-13.2% for fiber reinforced composite material case. These results indicate that the
- 322 proposed data-driven computational homogenization method is a potentially useful tool that can
- 323 utilize in-situ imaging data at the micro-scale as input, and produce effective properties at the
- 324 material (meso-) scale.
- 325

326 ACKNOWLEDGMENTS

327

328 The authors gratefully acknowledge the support of Tekniikan edistämissäätiö TES through

- 329 Foundations' Post Doc Pool, Finland.
- 330

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- 421

| 422 | Figure 1. Various engineering materials at their material scales and the illustrations of their |
|-----|--|
| 423 | reconstructed microstructures as representative volume elements (RVEs): (a) closed cell porous |
| 424 | material, (b) particle reinforced composite, (c) fiber reinforced composite, (d) nonwoven material. |
| 425 | |
| 426 | Figure 2. Flow chart for the present algorithm and schematic illustration of the boundary and |
| 427 | control node matching on a representative volume element RVE based on the proposed method. |
| 428 | The term $\partial \omega$ represents the boundaries of the RVE and $\partial \Gamma$ represents the boundaries of |
| 429 | rectangular prism bounding the RVE. It is noteworthy that boundaries comprise of vertices, edges |
| 430 | and surfaces. |
| 431 | |

Figure 3. Illustration of the rectangular prism bounding the RVE and control node sets (q) on its 433 boundaries ($\partial\Gamma$). The symbols on the right-hand sides of the nodes show the matching sets for the 434 periodicity.

Figure 4: Details of the control node reduction. *R* refers to circumradius of the element.

Figure 5. Strain driven homogenization with imposed macroscopic strain e^{M} and computed stress 439 s^{M} . Here, Ω and $\partial\Omega$ represent the volume and boundary of continuum, and Γ and $\partial\Gamma$ represent 440 the volume and boundary of the rectangular prism that bounds the RVE.

Figure 6. Mesh size investigations: (a) coarsest mesh (I), optimum mesh comprising the CPU time
and properties (II), finest mesh (III), (b) effect of mesh size on the convergence of effective elastic
properties and CPU time.

| 446 | Figure 7. Control node investigations: (a) representative volume element RVE with 152 control |
|-----|--|
| 447 | nodes (I), 2402 nodes (II), 3628 nodes (III), (b) effect of number of control nodes on the effective |
| 448 | elastic properties and CPU time. |

- 450 Figure 8. Deformation modes of the closed cell porous material: (a) top and bottom isometric
 451 views, (b) uniaxial deformation modes, (c) shear deformation modes. A deformation scale factor
 452 of 10 was used for better illustration.
- *Figure 9.* Deformation modes of spherical particle reinforced composite: (a) isometric view, (b)
 455 generated arbitrary mesh on the RVE boundaries, (c) uniaxial deformation modes, (d) shear
 456 deformation modes. A deformation scale factor of 10 was used for better illustration.
- *Figure 10.* Deformation modes of short fiber reinforced composite: (a) isometric view, (b) 459 generated arbitrary mesh on the RVE boundaries, (c) uniaxial deformation modes, (d) shear
- *deformation modes. A deformation scale factor of 5 was used for better illustration.*

Table 1. Effect of void volume fraction V_f on the effective elastic properties of closed cell porous

- 474 materials. HS refers to Hashin-Shtrikman. Perc. Diff. refers to the percentile difference between
- 475 the mean values of the simulations and ones from Hashin-Shtrikman equations.

| $V_{\rm f}$ | | <i>E</i> c11 | E_{c22} | <i>E</i> c33 | G_{c12} | Gc13 | G_{c23} | Vc12 | Vc13 | Vc23 |
|-------------|-----------------|--------------|-----------|--------------|-----------|-------|-----------|------|------|------|
| (%) | | (MPa) | (MPa) | (MPa) | (MPa) | (MPa) | (MPa) | | | |
| 10 | RVE 1 | 2456.4 | 2420.6 | 2444.8 | 900.9 | 904.5 | 903.3 | 0.35 | 0.35 | 0.35 |
| | RVE 2 | 2463.3 | 2430.7 | 2477.7 | 904.1 | 903.9 | 903.3 | 0.35 | 0.35 | 0.35 |
| | RVE 3 | 2497.5 | 2397.0 | 2451.5 | 900.3 | 906.9 | 896.6 | 0.36 | 0.36 | 0.35 |
| | Mean | 2472.4 | 2416.1 | 2458.0 | 901.8 | 905.1 | 901.1 | 0.35 | 0.35 | 0.35 |
| | Std Dev | 22.0 | 17.3 | 17.4 | 2.0 | 1.6 | 3.9 | 0.02 | 0.02 | 0.01 |
| | HS-Lower | 2457.6 | 2457.6 | 2457.6 | 903.9 | 903.9 | 903.9 | 0.36 | 0.36 | 0.36 |
| | Perc. Diff. (%) | 0.6 | 1.7 | 0.04 | 0.2 | 0.1 | 0.3 | 1.4 | 1.7 | 3.3 |
| 15 | RVE 1 | 2242.5 | 2243.5 | 2251.7 | 823.6 | 829.7 | 828.5 | 0.34 | 0.35 | 0.34 |
| | RVE 2 | 2279.0 | 2280.2 | 2243.3 | 844.1 | 840.1 | 833.3 | 0.35 | 0.35 | 0.35 |
| | RVE 3 | 2281.6 | 2267.7 | 2296.5 | 847.1 | 850.9 | 845.3 | 0.35 | 0.35 | 0.34 |
| | Mean | 2267.7 | 2263.8 | 2263.8 | 838.3 | 840.3 | 835.7 | 0.35 | 0.35 | 0.34 |
| | Std Dev | 21.9 | 18.7 | 28.6 | 12.8 | 10.6 | 8.7 | 0.00 | 0.00 | 0.00 |
| | HS-Lower | 2212.4 | 2212.4 | 2212.4 | 819.4 | 819.4 | 819.4 | 0.35 | 0.35 | 0.35 |
| | Perc. Diff. (%) | 2.4 | 2.3 | 2.3 | 2.3 | 2.5 | 2.0 | 1.0 | 0.7 | 1.9 |
| 20 | RVE 1 | 2062.3 | 2029.5 | 2052.0 | 759.0 | 763.2 | 759.7 | 0.34 | 0.34 | 0.34 |
| | RVE 2 | 2059.8 | 2010.8 | 2049.2 | 753.7 | 748.7 | 751.1 | 0.34 | 0.34 | 0.34 |
| | RVE 3 | 1989.6 | 1930.0 | 1980.4 | 738.0 | 744.3 | 737.0 | 0.34 | 0.34 | 0.33 |
| | Mean | 2037.2 | 1990.1 | 2027.2 | 750.3 | 752.1 | 749.3 | 0.34 | 0.34 | 0.33 |
| | Std Dev | 41.3 | 52.9 | 40.5 | 10.9 | 9.9 | 11.5 | 0.00 | 0.00 | 0.00 |
| | HS-Lower | 2004.7 | 2004.7 | 2004.7 | 746.8 | 746.8 | 746.8 | 0.34 | 0.34 | 0.34 |
| | Perc. Diff. (%) | 1.6 | 0.7 | 1.1 | 0.5 | 0.7 | 0.3 | 0.1 | 1.0 | 2.3 |
| 25 | RVE 1 | 1871.3 | 1848.7 | 1818.4 | 679.0 | 683.1 | 685.4 | 0.33 | 0.33 | 0.33 |
| | RVE 2 | 1903.9 | 1841.6 | 1869.5 | 709.3 | 707.1 | 700.6 | 0.34 | 0.34 | 0.33 |
| | RVE 3 | 1800.8 | 1795.5 | 1763.3 | 673.2 | 665.3 | 664.4 | 0.33 | 0.33 | 0.33 |
| | Mean | 1858.7 | 1828.6 | 1817.1 | 687.2 | 685.2 | 683.5 | 0.33 | 0.33 | 0.33 |
| | Std Dev | 52.7 | 28.9 | 53.1 | 19.4 | 21.0 | 18.2 | 0.01 | 0.00 | 0.00 |
| | HS-Lower | 1805.1 | 1805.1 | 1805.1 | 676.3 | 676.3 | 676.3 | 0.33 | 0.33 | 0.33 |
| | Perc. Diff. (%) | 2.9 | 1.3 | 0.7 | 1.6 | 1.3 | 1.1 | 0.8 | 0.6 | 1.4 |
| 28 | RVE 1 | 1793.9 | 1703.2 | 1735.5 | 656.5 | 653.8 | 650.5 | 0.34 | 0.33 | 0.32 |
| | RVE 2 | 1720.0 | 1724.8 | 1709.2 | 648.8 | 644.1 | 643.8 | 0.33 | 0.33 | 0.33 |
| | RVE 3 | 1810.8 | 1783.4 | 1776.8 | 675.3 | 675.3 | 666.2 | 0.33 | 0.33 | 0.32 |
| | Mean | 1774.9 | 1737.1 | 1740.5 | 660.2 | 657.7 | 653.5 | 0.33 | 0.33 | 0.32 |
| | Std Dev | 48.3 | 41.5 | 34.1 | 13.6 | 15.9 | 11.5 | 0.01 | 0.00 | 0.00 |
| | HS-Lower | 1729.6 | 1729.6 | 1729.6 | 649.4 | 649.4 | 649.4 | 0.33 | 0.33 | 0.33 |
| | Perc. Diff. (%) | 2.5 | 0.4 | 0.6 | 1.6 | 1.3 | 0.6 | 0.2 | 0.2 | 2.1 |

Table 2. Effect of particle volume fraction on the effective elastic properties of spherical particle

- 479 reinforced composites. HS refers to Hashin-Shtrikman. Perc. Diff. refers to the percentile
- *difference between the mean values of the simulations and ones from Hashin-Shtrikman equations.*

| V_{f} | | <i>E</i> c11 | E_{c22} | <i>E</i> c33 | G_{c12} | G_{c13} | G_{c23} | Vc12 | Vc13 | Vc23 |
|------------------|-----------------|--------------|-----------|--------------|-----------|-----------|-----------|------|------|------|
| (%) | | (MPa) | (MPa) | (MPa) | (MPa) | (MPa) | (MPa) | | | |
| 10 | RVE 1 | 3753.2 | 3733.3 | 3729.3 | 1366.4 | 1361.9 | 1357.4 | 0.36 | 0.37 | 0.37 |
| | RVE 2 | 3809.2 | 3750.5 | 3772.8 | 1371.7 | 1377.3 | 1369.6 | 0.37 | 0.36 | 0.37 |
| | RVE 3 | 3775.3 | 3798.8 | 3792.7 | 1384.0 | 1392.8 | 1378.2 | 0.36 | 0.37 | 0.36 |
| | Mean | 3779.2 | 3760.8 | 3764.9 | 1374.0 | 1377.3 | 1368.4 | 0.36 | 0.37 | 0.37 |
| | Std Dev | 28.2 | 34.0 | 32.4 | 9.0 | 15.4 | 10.5 | 0.00 | 0.00 | 0.00 |
| | HS-Lower | 3648.6 | 3648.6 | 3648.6 | 1331.1 | 1331.1 | 1331.1 | 0.37 | 0.37 | 0.37 |
| | Perc. Diff. (%) | 3.5 | 3.0 | 3.1 | 3.1 | 3.4 | 2.7 | 1.6 | 1.2 | 1.5 |
| 15 | RVE 1 | 4266.5 | 4242.9 | 4265.3 | 1549.8 | 1543.1 | 1568.5 | 0.36 | 0.36 | 0.36 |
| | RVE 2 | 4326.9 | 4265.3 | 4306.5 | 1540.0 | 1557.4 | 1535.5 | 0.36 | 0.36 | 0.36 |
| | RVE 3 | 4168.1 | 4148.4 | 4149.6 | 1497.1 | 1512.1 | 1506.9 | 0.35 | 0.36 | 0.36 |
| | Mean | 4253.8 | 4218.8 | 4240.5 | 1529.0 | 1537.5 | 1537.0 | 0.36 | 0.36 | 0.36 |
| | Std Dev | 80.1 | 62.0 | 81.4 | 28.0 | 23.2 | 30.8 | 0.00 | 0.00 | 0.00 |
| | HS-Lower | 4023.3 | 4023.3 | 4023.3 | 1472.8 | 1472.8 | 1472.8 | 0.37 | 0.37 | 0.37 |
| | Perc. Diff. (%) | 5.4 | 4.6 | 5.1 | 3.7 | 4.2 | 4.2 | 2.7 | 2.3 | 2.0 |
| 20 | RVE 1 | 4853.3 | 4873.5 | 4931.8 | 1732.7 | 1717.8 | 1776.3 | 0.35 | 0.34 | 0.35 |
| | RVE 2 | 4920.3 | 4944.5 | 4940.9 | 1760.5 | 1794.8 | 1806.8 | 0.34 | 0.35 | 0.35 |
| | RVE 3 | 5003.4 | 4983.4 | 5055.6 | 1781.8 | 1829.1 | 1825.7 | 0.34 | 0.34 | 0.35 |
| | Mean | 4925.7 | 4933.8 | 4976.1 | 1758.4 | 1780.5 | 1802.9 | 0.34 | 0.35 | 0.35 |
| | Std Dev | 75.2 | 55.7 | 69.0 | 24.6 | 57.0 | 24.9 | 0.00 | 0.01 | 0.00 |
| | HS-Lower | 4439.1 | 4439.1 | 4439.1 | 1630.4 | 1630.4 | 1630.4 | 0.36 | 0.36 | 0.36 |
| | Perc. Diff. (%) | 9.9 | 10.0 | 10.8 | 7.3 | 8.4 | 9.6 | 5.3 | 4.6 | 4.0 |
| 25 | RVE 1 | 5630.5 | 5705.4 | 6191.6 | 2057.0 | 2100.9 | 2130.1 | 0.35 | 0.31 | 0.31 |
| | RVE 2 | 5683.1 | 5820.2 | 5631.5 | 2087.1 | 2019.4 | 2055.3 | 0.33 | 0.34 | 0.34 |
| | RVE 3 | 5776.8 | 5966.4 | 5851.2 | 2117.7 | 2116.1 | 2216.0 | 0.32 | 0.34 | 0.34 |
| | Mean | 5696.8 | 5830.6 | 5891.5 | 2087.2 | 2078.8 | 2133.8 | 0.33 | 0.33 | 0.33 |
| | Std Dev | 74.1 | 130.8 | 282.2 | 30.4 | 52.0 | 80.4 | 0.01 | 0.02 | 0.02 |
| | HS-Lower | 4903.3 | 4903.3 | 4903.3 | 1806.9 | 1806.9 | 1806.9 | 0.36 | 0.36 | 0.36 |
| | Perc. Diff. (%) | 13.9 | 15.9 | 16.8 | 13.4 | 13.1 | 15.3 | 7.1 | 8.1 | 8.8 |
| 28 | RVE 1 | 6201.6 | 5985.4 | 6131.5 | 2148.5 | 2247.3 | 2129.8 | 0.33 | 0.34 | 0.33 |
| | RVE 2 | 6567.9 | 6643.9 | 6631.7 | 2391.3 | 2390.8 | 2438.7 | 0.32 | 0.33 | 0.32 |
| | RVE 3 | 6341.2 | 6167.5 | 6338.8 | 2252.2 | 2218.1 | 2209.2 | 0.34 | 0.32 | 0.32 |
| | Mean | 6370.2 | 6265.6 | 6367.3 | 2264.0 | 2285.4 | 2259.3 | 0.33 | 0.33 | 0.32 |
| | Std Dev | 184.9 | 340.1 | 251.3 | 121.8 | 92.4 | 160.4 | 0.01 | 0.01 | 0.00 |
| | HS-Lower | 5251.3 | 5251.3 | 5251.3 | 1939.6 | 1939.6 | 1939.6 | 0.35 | 0.35 | 0.35 |
| | Perc. Diff. (%) | 17.6 | 16.2 | 17.5 | 14.3 | 15.1 | 14.2 | 7.1 | 8.0 | 9.1 |

Table 3. Effect of fiber volume fraction on the effective elastic properties of fibre reinforced composites. Perc. Diff. refers to the percentile difference between the values of the simulations and ones from Halpin-Tsai equations.

| $V_{\rm f}$ | | E_{c11} | E_{c22} | <i>E</i> c33 | G_{c12} | <i>G</i> c13 | Gc23 | Vc12 | <i>V</i> c13 | Vc23 |
|-------------|-----------------|-----------|-----------|--------------|-----------|--------------|--------|------|--------------|------|
| (%) | | (MPa) | (MPa) | (MPa) | (MPa) | (MPa) | (MPa) | | | |
| 16 | RVE | 8880.4 | 5052.5 | 5010.7 | 1993.3 | 2089.7 | 1862.9 | 0.32 | 0.31 | 0.38 |
| | Halpin-Tsai | 10205.2 | 5423.9 | 5423.9 | 2025.5 | 2025.5 | 1914.5 | 0.32 | 0.32 | - |
| | Perc. Diff. (%) | 13.0 | 6.8 | 7.6 | 1.6 | 3.2 | 2.7 | 0.5 | 3.9 | - |
| 17 | RVE | 10337.9 | 5246.6 | 5156.3 | 2089.9 | 2127.6 | 1874.1 | 0.31 | 0.31 | 0.38 |
| | Halpin-Tsai | 10872.0 | 5549.4 | 5549.4 | 2074.8 | 2074.8 | 1950.6 | 0.32 | 0.32 | - |
| | Perc. Diff. (%) | 4.9 | 5.5 | 7.1 | 0.7 | 2.5 | 3.9 | 1.9 | 2.3 | - |
| 19 | RVE | 10403.0 | 5292.2 | 5249.6 | 2104.2 | 2221.3 | 1921.5 | 0.31 | 0.31 | 0.38 |
| | Halpin-Tsai | 11499.3 | 5665.8 | 5665.8 | 2121.0 | 2121.0 | 1984.2 | 0.32 | 0.32 | - |
| | Perc. Diff. (%) | 9.5 | 6.6 | 7.3 | 0.8 | 4.7 | 3.2 | 0.6 | 2.8 | - |
| 20 | RVE | 11415.6 | 5361.2 | 5397.6 | 2151.7 | 2362.8 | 1954.3 | 0.32 | 0.30 | 0.38 |
| | Halpin-Tsai | 12142.2 | 5783.3 | 5783.3 | 2168.0 | 2168.0 | 2018.2 | 0.31 | 0.31 | - |
| | Perc. Diff. (%) | 6.0 | 7.3 | 6.7 | 0.8 | 9.0 | 3.2 | 1.7 | 4.3 | - |
| 23 | RVE | 12390.0 | 5585.9 | 5552.8 | 2255.9 | 2465.6 | 2032.9 | 0.31 | 0.30 | 0.38 |
| | Halpin-Tsai | 13747.8 | 6069.6 | 6069.6 | 2284.3 | 2284.3 | 2101.4 | 0.31 | 0.31 | - |
| | Perc. Diff. (%) | 9.9 | 8.0 | 8.5 | 1.2 | 7.9 | 3.3 | 1.0 | 3.0 | - |
| 25 | RVE | 13999.0 | 5771.3 | 5782.4 | 2410.3 | 2650.3 | 2100.3 | 0.31 | 0.30 | 0.37 |
| | Halpin-Tsai | 15049.1 | 6294.2 | 6294.2 | 2377.3 | 2377.3 | 2167.0 | 0.30 | 0.30 | - |
| | Perc. Diff. (%) | 7.0 | 8.3 | 8.1 | 1.4 | 11.5 | 3.1 | 1.5 | 2.4 | - |
| 27 | RVE | 14391.6 | 5971.6 | 5927.4 | 2477.8 | 2643.9 | 2184.2 | 0.30 | 0.29 | 0.37 |
| | Halpin-Tsai | 16291.0 | 6502.7 | 6502.7 | 2465.1 | 2465.1 | 2228.1 | 0.30 | 0.30 | - |
| | Perc. Diff. (%) | 11.7 | 8.2 | 8.8 | 0.5 | 7.3 | 2.0 | 2.0 | 3.1 | - |
| 29 | RVE | 16757.7 | 6090.6 | 6164.2 | 2569.6 | 2887.7 | 2230.6 | 0.30 | 0.29 | 0.37 |
| | Halpin-Tsai | 17523.1 | 6704.1 | 6704.1 | 2551.2 | 2551.2 | 2287.5 | 0.30 | 0.30 | - |
| | Perc. Diff. (%) | 4.4 | 9.2 | 8.1 | 0.7 | 13.2 | 2.5 | 1.0 | 2.3 | - |
| 30 | RVE | 15688.3 | 6169.1 | 6228.0 | 2565.5 | 2885.9 | 2221.2 | 0.30 | 0.29 | 0.36 |
| | Halpin-Tsai | 17851.9 | 6757.0 | 6757.0 | 2574.0 | 2574.0 | 2303.1 | 0.30 | 0.30 | - |
| | Perc. Diff. (%) | 12.1 | 8.7 | 7.8 | 0.3 | 12.1 | 3.6 | 0.7 | 3.3 | - |
| 33 | RVE | 19945.3 | 6418.7 | 6484.8 | 2755.6 | 3023.3 | 2340.0 | 0.30 | 0.28 | 0.37 |
| | Halpin-Tsai | 20171.7 | 7119.7 | 7119.7 | 2733.1 | 2733.1 | 2410.7 | 0.29 | 0.29 | - |
| | Perc. Diff. (%) | 1.1 | 9.8 | 8.9 | 0.8 | 10.6 | 2.9 | 3.4 | 5.1 | - |















(b)







