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# Understanding the influence of key parameters on the stabilisation of cellulose-lignin composite fibres.

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7 Abstract: The high cost of carbon fibre continues to limit its use in industries like automotive, 8 construction and energy. Since the cost is closely linked to the precursor, considerable research has 9 focussed on the use of low-cost alternatives. A promising candidate is a composite fibre consisting of 10 blended cellulose and lignin, which has the added benefit of being derived from sustainable resources. 11 The benefits of blending cellulose and lignin reduce some of the negative aspects of converting single 12 component cellulose and lignin fibres to carbon fibre, although the production from such a blend, 13 remains largely underdeveloped. In this study, the effects of stabilisation temperature and the 14 stabilisation process of the blended fibres are explored. Moreover, the viscoelastic properties of the 15 cellulose-lignin fibre are investigated by DMA for the first time. Finally, the cause of fusion in the 16 stabilisation is adressed and solved by applying a spin finish.

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18 Keywords: Bio-polymer, Cellulose-lignin composite fibres, low-cost carbon fibres, DMA,

19 stabilisation, viscoelastic, bio-resources.

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# 22 **1. Introduction:**

The high strength to weight ratio of carbon fibre (CF) makes it an ideal material for many 23 24 applications in the aerospace, military, construction, and sports manufacturing industries. For 25 this reason it is already being utilised in many high-performance applications and it is 26 anticipated that the market share of CF composites will double over the next ten years (Bohner 27 et al. 2015). However, its high cost continues to limit applications in industries that rely on 28 high volume manufacturing, such as the automotive industry. A major reason for this, pointed 29 out by Baker et al. (Baker et al. 2012) is that the most commonly used fossil fuel derived precursor, polyacrylonitrile (PAN) accounts for about 50% of the cost of CF, placing a limit 30 31 on potential cost reductions (Frank et al. 2014; Choi et al. 2019).

Due to the current limitations associated with PAN and the depletion of oil, the search for new bio-based precursors for CF production is generating considerable interest. Alternative precursors such as lignin and cellulose have been extensively investigated as have blends of polymers (Frank et al. 2012; Ogale et al. 2016). Although these approaches are interesting,

they suffers from some technical problems, such as the slow stabilisation rate of lignin (Sudo 36 37 et al. 1993; Kadla et al. 2002; Zhang et al. 2015; Fang et al. 2017) and its poor fibre strength (20-35 MPa) (Uraki et al. 1995) making it difficult to use in a continuous production line. For 38 39 cellulose, despite being able to be spun into high quality precursor filaments, it has a low carbon yield and high production costs due to the need for hot stretching graphitization at very 40 41 high temperature (2000 °C-3000 °C) (Morgan 2005; Frank et al. 2014). Composite fibres containing both lignin and cellulose therefore have been proposed as a solution to this problem 42 by achieving a balanced product. Ma et al. produced high strength cellulose-lignin fibres by 43 dry-jet wet spinning using an ionic liquid as a solvent (Ma et al. 2015). The resultant fibres 44 had high crystallinity and orientation in comparison to lignin precursors, a prerequisite for 45 high-performance CF. Moreover, the combination of lignin and cellulose improved 46 mechanical strength in comparison to pure lignin. As a result, the composite fibres are now 47 potential candidates for continuous CF production, rather than the less economical bath 48 49 conversion process. As expected, the composite fibres had a higher carbon yield than traditional cellulose precursors, which is favourable for improved CF production (Olsson et al. 50 2017; Vincent et al. 2018; Bengtsson et al. 2019). Olsson et al. spun composite fibres from 51 52 70% kraft lignin and 30% cellulose as CF precursors using ionic liquid-based air gap spinning (Olsson et al. 2017). The obtained precursors were stabilised in air up to 250°C with a slow 53 heating rate (0.02°C /min to 1°C /min) before being carbonised at 1000°C in N<sub>2</sub>. The CFs 54 mechanical properties were reported to be 780 MPa for tensile strength and 68 GPa for tensile 55 modulus. However, no further details about the stabilisation mechanism or mechanical 56 properties during stabilisation of the composite fibres were provided. Lehmann et al. also 57 patented a method for wet or air gap-spinning of cellulose-lignin composite fibres and their 58 59 carbonisation, but again, no details were given about the change in properties of the precursor 60 during the heat treatment process. Byrne et al. conducted the stabilisation of a lignin-cellulose composite fibre produced via the Ioncell process (Byrne et al. 2018) and found that the addition 61 62 of cellulose significantly decreases the stabilisation time of lignin. To date, however, the 63 evolution of composite fibres during stabilisation has not been fully explored. Unfortunately, the properties of the cellulose-lignin fibres converted to carbon fibre remain well below the 64 65 requirements for most industry applications (Huang 2009; Frank et al. 2014; Ogale et al. 2016), necessitating further studies to understand their stabilisation prior to carbonisation. 66

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In this paper, the effect of temperature on the stabilisation rate of the composite fibres was investigated using FTIR to determine the stabilisation conversion over time. The influence of the stabilisation temperature and lignin content on carbon yield, morphology and viscoelasticity of the fully stabilised fibres were also determined. In addition, the viscoelasticity and thermal properties of the precursor with different lignin content were also studied. 74 **2. Experimental** 

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# 2.1 Materials:

76 Precursor fibres were dry-jet wet spun at Aalto University, Finland according to a method described elsewhere (Ma et al. 2015). Pre-hydrolysed kraft birch pulp (Stora Enso 77 78 Enocell mill, Finland) and organosolv beech lignin (Fraunhofer CBP, Leuna, Germany) were used as raw materials. The raw materials were dissolved in an ionic liquid (1,5-79 diazabicyclo[4.3.0]non-5-enium acetate [DBNH]OAc) to form a dope in a polymeric 80 concentration range from 15 wt.% to 17wt.%. The ionic liquid was prepared by the reaction 81 between 1,5-diazabicyclo[4.3.0]non-5-ene (DBN) (Fluorochem, UK) and acetic acid 82 (glacial, 100 %, Merck, Germany) (Trogen et al. 2021). The dope was heated to 71±3°C before 83 extruding through a 400-hole spinneret. The extruded dope passed through a 1 cm air gap 84 85 before it was coagulated in a cold-water bath  $(9\pm2^{\circ}C)$ . The resultant cellulose-lignin filaments were then passed through successive aqueous washing baths to remove any remaining residual 86 ionic liquid. The draw ratio of the filaments is 6 and was kept the same by controlling the ratio 87 between the take-up velocity and the extrusion velocity. Three compositions of fibre used in 88 this experiment are 100-0 (100 wt% cellulose), 70-30 (70 wt% cellulose - 30 wt% lignin), 50-89 50 (50 wt% cellulose - 50 wt% lignin). Prior to use, fibre samples were stored at all time in a 90 91 desiccator to prevent moisture absorption.

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## 2.2 Preparation of stabilised fibres:

Precursors were hung on a stainless-steel rack while applying a tension of 15N. The
stabilisation was conducted in air using a Binder FD53 oven at different temperatures (240°C,
260°C, 280°C). Samples were placed directly into the oven when the temperature had stabilised
at the set temperature.

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### 2.3 Preparation of stabilised fibres treated with finishing agents:

Precursors (70-30) were immersed in a commercial spin finish agent (Delion AUS-002) (diluted in water with ratio 1:6). After immersed in the spin finish, the fibre was swept to remove the excess agent before drying at 80°C for 24h. The stabilisation was conducted in the air with same manner as other samples at 280°C for 1h.

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## 2.4 Fourier-transform infrared spectroscopy (FTIR):

FTIR analysis of stabilised tows was performed on Bruker Vertex FTIR in the attenuated total reflection mode (ATR mode). The scan ranges from 650 to 4000 cm<sup>-1</sup>, at the scan resolution of 2 cm<sup>-1</sup> and scan time of 32 scans.

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- 110 **2.5 Dynamic Mechanical Analysis (DMA):**
- 111 *2.5.1 Single fibre measurement*

112 Individual filaments were separated from the tow to measure the viscoelastic tensile 113 properties of the fibre. The test was performed using a TA Instruments dynamic mechanical analyser (TA Q800) in the tensile mode using a frequency sweep ranging from 0.1-200 Hz at 114 30°C or a temperature sweep using a heating rate of 2°C/min from 50°C to 250°C at 1 Hz. The 115 116 slow heating rate allows the evaporation of moisture in the fibres. The strain was kept constant 117 at 0.1% and pretension of 0.5 cN was applied. Each measurement is an average of three 118 samples with a length of 15 mm. The linear mass density of a filament was determined by 119 dividing the linear mass density of the whole tow by the number of filaments in a tow.

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# 2.5.2 Tow measurements:

DMA measurements of an entire tow were performed similarly to the single filament measurements, using lengths of 15 mm and reporting the results as an average of 3 replicates. Analysis was performed using a temperature ramp from 30 - 250 °C at a rate of 2 °C/min and a frequency of 1 Hz. The strain was kept constant at 0.1% and a pretension of 10 cN was applied. The linear mass density of the whole tow was determined by weighing a defined length of a tow by an analytical balance (Toledo MS105DU).

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## 2.6 Scanning electron microscope (SEM):

The morphology of the obtained samples was observed with a Zeiss Supra 55VP with 3 kV of voltage. Cross sectional images were obtained from the fracture surface of the fibres after immersion in liquid nitrogen until frozen, then snapped apart. Before observation, all samples were sputter-coated with gold. The fibre diameter was averaged from results of the cross-section of 10 filaments.

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### 2.7 Thermal gravimetric analysis (TGA):

A TA Instruments Q50 Thermogravimetric Analyser instrument was used to determine
weight loss during stabilisation. Approximately 5-6 mg of the sample was heated from room
temperature to 600 °C with a heating rate of 20 °C/min in a non-oxidative (N<sub>2</sub>) atmosphere.

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### 3. Results and discussion:

# 3.1 Stabilisation yield as a function of lignin content and temperature

Stabilisation temperature plays an important role in the overall production of carbon fibres because it greatly affects the rate and yield, which ultimately determines properties and cost. To shed further light on how the stabilisation temperature affects the cellulose-lignin composite fibres, different cellulose lignin-containing composite fibres (70-30 and 50-50 wt%) were stabilised at different temperatures (240°C, 260°C, and 280°C). Thus a stabilisation yield was calculated from the ratio of the initial sample mass and the mass after complete stabilisation. The results of these tests reveal that higher stabilisation temperatures result in

lower stabilisation yields (Figure 1a). This complements other findings which show that higher 150 151 heating rates decrease stabilisation yield (Ford and Mitchell 1963; Brunner and Roberts 1980). Another interesting point is that the stabilisation yield of 70-30 is greater than 50-50 at these 152 temperatures, which is contrary to previous findings in this field (Ma et al. 2015). These 153 contrasting results can be understood however through closer inspection of the TGA results as 154 155 follows. Up to 350°C, the weight loss of 50-50 is more than 70-30, a reflection of the greater 156 susceptibility of lignin to degradation and its propensity to form volatile compounds (200°C (Bajpai 2017), 240°C (Tang and Bacon 1964), respectively). As a phenolic containing polymer, 157 chain scission within lignin chains can readily occur and release radicals which can either 158 propagate or terminate to form either char layer or volatile gases depending upon the reaction 159 mechanism. This is in contrast to cellulose which has greater thermal stability, but degrades 160 faster than lignin producing a lower carbon yield (Trogen et al. 2021). Above 350°C, however, 161 the weight loss of 50-50 becomes lower than 70-30 as the lignin is able to form a more stable 162 char layer, due to its largely aromatic crosslinked structure created by degradation of lignin 163 (Kawamoto 2017; Ghysels et al. 2019). Above 350°C, however, the weight loss of 50-50 164 becomes lower than 70-30 as the lignin is able to form a more stable char layer, due to its 165 166 largely aromatic crosslinked structure created by degradation of lignin (Kawamoto 2017; Ghysels et al. 2019). 167



Figure 1: a) Stabilisation yields as function of lignin content and temperature and b) TGA for unstabilised cellulose-lignin composite fibres.

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#### **3.2 Stabilisation rate as a function of stabilisation temperature**

In addition to the stabilisation yield, the stabilisation rate also depends on the stabilisation temperature and lignin content. To explore this, a relative stabilisation conversion index determined by FTIR was calculated from the ratio of the C=O peak at 1720 cm<sup>-1</sup> and the C-H peak at 1435 cm<sup>-1</sup> (Byrne et al. 2018). The C=O peak accounts for the oxidation process of cellulose and lignin, which increases during stabilisation and stabilises when the oxidation process is complete. The C-H peak is used as an internal standard because it is assumed to beunaffected by the oxidation process.

178 Figure 2 shows that the 70-30 composite fibre stabilised faster than 50-50 did reflecting 179 the slower rate of stabilisation of lignin compared with cellulose. However, since pure lignin 180 fibres, can require up to 40 h to reach a stabilised state (Zhang et al. 2015), these results for 181 the both the 70-30 and 50-50 composite fibres demonstrate a significant enhancement in rate 182 of stabilisation. Indeed, the 50-50 cellulose lignin blend shown here is able to fully stabilise after only 2 hrs at 280°C. Therefore, since it is known that lignin has a higher carbon yield 183 184 (Uraki et al. 1995; Kubo and Kadla 2004; Zhang et al. 2015), the enhancement in stabilisation due to the addition of cellulose in these blends provides significant potential to reduce the 185 186 overall costs of carbon fibre production (Ma et al. 2015; Olsson et al. 2017), assuming satisfactory mechanical properties can be achieved. 187

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# **3.3 Viscoelastic properties of precursor fibres**

The viscoelastic tensile properties of lignin-cellulose composite fibres were studied using DMA and compared with 100% cellulose fibres as reference. As shown in Figure 3a, both the storage modulus and loss modulus of 100-0 are higher than 70-30 and 50-50 indicative of the deleterious impact of lignin on the viscoelastic properties of the composite fibres (Ma et al. 2015; Bajpai 2017).

Figure 2 Stabilisation time as a function of temperature and lignin content

The temperature sweep diagram shows the mechanical properties as a function of 202 temperature (Figure 3b). The Tg calculated from the onset of the storage modulus of ioncell



Figure 3: DMA for precursor fibres at a) frequency sweep b) temperature sweep

fibre is 140°C, which matches previous findings for regenerated cellulose fibres (Jiang et al. 203 2011). With the addition of lignin, the Tg for the 70-30 fibre was found to be 117.0°C, while 204 205 the Tg for the 50-50 fibre was 114.5°C. The Tg, therefore, decreased with increasing lignin content, reflecting the lower thermal resistance of lignin compared with cellulose. The Tg 206 calculated from the loss modulus peak, however, shows a reverse trend. The loss modulus 207 reflects the ability of a material to dissipate energy through molecular motion. In this case, the 208 209 high levels of crystallinity in the cellulose accelerate energy dissipation. The addition of lignin, 210 an amorphous polymer, decreases the crystallinity of regenerated fibres (Ma et al. 2015; Boukir et al. 2019; Mikkilä et al. 2020), reducing energy dissipation, leading to an increase in loss 211 modulus (De Nardo and Farè 2017; Shrivastava 2018). The impact of residual IL on the 212 dynamic mechanical properties of the composite fibre in this work is considered to be 213 negligible due to the high efficient nature of the washing process. Washing efficiency is 214 215 diffusion driven, so the egress of absorbed IL would be inhibited for higher fibre diameters. Since our fibres are smaller, compared to those of Jiang et al, who reported 0.15 % residual IL 216 after washing, residual IL in our fibres would there be significantly lower, and therefore any 217 resultant plasticisation of Tg also extremely low. 218

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## 3.4 Viscoelastic properties of stabilised fibres

The resulting fibres after stabilisation are weak and partially fused making it difficult to 222 223 characterise the viscoelastic properties. An alternative approach, however, is to characterise 224 the fibre tows. A comparison between stabilised tows with precursor single fibres (70-30) was made and shown in Figure 4 to see the influence of sample forms with the Tg value. 225

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Figure 4: Comparison between single fibres and tow for the 70-30 composite fibre

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228 Despite the different forms, the Tg determined from the tow measurement matches with 229 the Tg value from the single fibre measurement. Therefore, the effect of temperature on the 230 material can be seen to be independent of fibre form. Subsequently, the fibres (70-30) stabilised at different temperatures were measured over a wide temperature range and are shown in 231 232 Figure 5a. The storage and loss moduli illustrate the transformation from a pre-cursor 233 displaying a Tg, to stabilised fibres with no observable Tg regardless of temperature (no noticeable change of slope for neither storage modulus nor loss modulus), somewhat validating 234 235 the completion of stabilisation. There are several different methods to quantify the extent of 236 stabilisation, depending on the precursor being studied. DSC is commonly used for PAN but is not as suitable for highly crosslinked samples such as softwood lignin (Kubo and Kadla 237 238 2005; Brodin et al. 2012; Zhang et al. 2015). Similar to softwood lignin, hardwood lignin also has high amounts of cross-linking which make the Tg transition very difficult to observe in the 239 240 DSC (Lu et al. 2016; Hosseinaei et al. 2017). DMA generally has a higher sensitivity towards Tg than DSC since it directly measures the viscoelastic properties (Lobo and Bonilla 2003; 241 Torregrosa and Diez 2015). Hence, DMA can be an alternative approach to measure the Tg of 242 243 samples and control the stabilisation process. Indeed, DMA shows that with increasing 244 stabilisation, the Tg transition diminishes and the storage modulus becomes increasingly stable. This likely reflects a transition to a highly crosslinked network, similar to the transition 245 246 from a thermoplastic to a thermoset (Cho et al. 2018).

The 50-50 precursor and fully stabilised fibres at 280°C were also measured and compared against the 70-30 composite fibres in Figure 5b. Similar behaviour is observed in respect to stabilisation, illustrating a clear Tg transition in the loss and storage moduli spectra for the precursor, which also disappears with increasing stabilisation. The important point to note from these results are that increasing lignin content in the 50-50 fibre, significantly reduces or plasticises the loss and storage moduli of the precursor supporting previous reports. After stabilisation at 280 °C however, the loss modulus also reduces with increasing lignin content, but interestingly the storage moduli are similar regardless of composition. This suggests that the more highly aromatic structure lignin (Byrne et al. 2018) may play a dominant role in determining stiffness (as measured by storage modulus) in the final fibre, while the cellulose likely dominates the ductility (as measured by loss modulus here) of the fibre.



Figure 5: Temperature sweep of a) 70-30 stabilised fibre for different stabilisation temperatures; b) 70-30 stabilised fibre versus 50-50 stabilised fibres (both stabilised at 280°C)

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#### 3.5 Morphology of the stabilised fibres

Figure 6 shows the cross-section SEM images of 70-30 treated at different temperatures 262 (240°C, 260°C and 280°C) compared with the precursor fibres and the finishing treated fibres. 263 The stabilised fibres have a smooth surface without any trace of skin-core formation which is 264 common in PAN-based CFs (Frank et al. 2012; Nunna et al. 2016) and strongly impacts the 265 mechanical properties of the final carbon fibres. However, filament fusion was observed at 266 267 several points along the tow, which was not observed in the precursor fibres prior to stabilisation suggesting that fusion occurs during thermal treatment. When the precursors were 268 stabilised in the oven, volatile compounds are released from thermal decomposition of 269 270 precursors and are deposited on the surface of the filament causing filaments to fuse (Ford and Mitchell 1963). To solve this problem, a treatment with spin finish prior to stabilisation was 271 used. Figure 6e shows the treated fibres after stabilisation, illustrating how a layer of spin finish 272 covers the outside of the filaments preventing direct contact with each other. 273

In fibre production, the spin finish is normally used to improve the processability by improving the fibre-to-fibre interaction and reducing the fibre static electricity and friction. Moreover, the spin finish reduces the extent of filament breakage, so it has some advantageous

- effects on the mechanical properties of the precursors (Bajaj 1997; Ebeling et al. 2012; Garoff
- et al. 2016). However, the impact of spin finish on the conversion from precursor to carbon
- 279 fibre has not been fully studied. While the application of spin finish can prevent fibre fusion
- 280 during stabilisation, a thick layer may also inhibit the release of volatile compounds (Garoff et



Figure 6: Cross section of a) unstabilised precursor, and stabilised fibres at b) 280°C, c) 260°C, d) 240°C, e) 280°C stabilised fibres treated with spin finish

- al. 2016) which in turn could create voids in the fibre, despite increasing carbon yield.
  Moreover, its impact on the properties of the final carbon fibre needs to be fully considered.
  The application of a spin finish on carbon fibre production therefore will be investigated in a
  future study.
- Another noticeable change is the shrinkage of the filament after stabilisation. The filament diameter reduces from 13-16  $\mu$ m in the precursors to 8-11  $\mu$ m in the stabilised fibres as a direct result of removing volatile compounds during stabilisation. This is common for all carbon fibres and in line with the TGA results presented above.
- 289 **4. Conclusions**

290 The effect of the temperature on the stabilisation process of the cellulose-lignin composite fibres has been studied here. Cellulose-lignin fibres with a different weight ratio 291 (70-30 and 50-50) were stabilised at different temperature (240 °C, 260 °C and 280 °C) and 292 293 revealed that stabilisation times decrease when the stabilisation temperature increased, while 294 char yield decreased. It also found that the addition of lignin into the composite fibre increases the stabilisation time but decreases the char yield of the stabilisation process. However, the 295 addition of lignin increases the carbon yield of the overall process. These findings open a new 296 297 route to the development of low-cost CF production by increasing the overall carbon yield and potentially reduced production time. 298

In terms of the fibre viscoelastic properties, it was found that the addition of lignin decreased the thermal resistance as well as the viscoelastic performance of the precursors. After stabilisation, fibres transformed from a thermoplastic-like behaviour to a thermoset-like one, resulting in no visible glass transition using DMA analysis. For this reason, DMA proved to be a promising tool to follow the stabilisation process of cellulose-lignin composite fibres. Moreover, the fusion of the precursors occurred during stabilisation, was prevented when a spin finish was applied.

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# 5. Conflicts of interest

307 There are no conflicts to declare.

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308 309

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