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# **A Review of Synchronous Reluctance Motor-Drive Advancements**

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**Abstract:** Recent studies show that synchronous reluctance motors (SynRMs) present promising technologies. As a result, research on trending SynRMs drive systems has expanded. This work disseminates the recent developments of design, modeling, and more specifically, control of these motors. Firstly, a brief study of the dominant motor technologies compared to SynRMs is carried out. Secondly, the most prominent motor control methods are studied and classified, which can come in handy for researchers and industries to opt for a proper control method for motor drive systems. Finally, the control strategies for different speed regions of SynRM are studied and the transitions between trajectories are analyzed.

**Keywords:** synchronous reluctance motor; efficiency map analysis; efficient motor technology; efficient control strategy; direct torque control; field-oriented control; predictive torque control; sensorless control; maximum torque per ampere; field-weakening

## 1. Introduction

Industrial development has a significant impact on global warming and climate change. Considering the human impact on the environment from the aspect of resources, there are high demands for effective systems. This fact leads to the investigation of alternative developments in the field of electrical machines, as well. Energy efficiency requirements have led to the research and development of alternative technologies to produce electrical motors. The recent advances in the motor design area have provided manufacturers with some opportunities to save energy, use less rare-earth materials, and decrease the cost in terms of material and manufacturing processes. A life cycle analysis of electrical motor-drive systems by Rassõlkin et al. provides a comprehensive study on synchronous reluctance motors (SynRM) [1]. This study shows that starting from the acquisition of the materials, through manufacturing, transporting, and marketing, SynRMs are competitive at the usage stage, and efficient at the recycling phase. Lack of rare-earth materials, low cost, comparable constant-power speed range, maximum torque per ampere, and efficiency of SynRMs, in particular, permanent magnet (PM)-assisted ones (PMSynRM), have made these motors an interesting choice in traction [2,3] and more-electrical aircraft applications [4–6]. Besides, the need for highly efficient motors in centrifugal machines, conveyor systems, fans and pumps, cranes, compressors, elevators, crushers, and general machine building (winders, extruders, and servo pumps) can be met by SynRM [7–10].

Although almost a century has passed since the first invention of SynRMs, they have recently gained a lot of attention resulted from the emergence of the power-electronic device. In the last decade, the leading manufacturers such as SIEMENS and ABB have



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released their newly designed SynRM drive systems [11,12]. While the line-start capability of SynRMs is provided by new designs, an additional shorted winding in the rotor can decrease the efficiency up to 10% resulting from the damping effect of the shorted rotor winding [13]. On the other hand, variable-speed drives (VSDs) provide highly efficient motor drives, especially for operating at partial-load conditions and high-speed operation [14,15]. Therefore, SynRMs are mostly applied in the industry with their drive package. From the control point of view, the research into motor control methods has experienced a boom to reach higher performance and efficiency of electric motors. This fact convinced the authors to survey the advancements in the whole SynRM drive system.

In this literature review, we collected the most prominent research works in the area from MDPI, SCOPUS, and IEEE XPLORE databases. The paper qualitatively summarizes the evidence on the topic of SynRM drive systems and is organized as follows: Section 2 reviews the dominant motor technologies and potential alternatives. Moreover, it investigates the applications and the opportunities and compares the performance of the motors regarding the cost and environmental considerations. Section 3 discusses the modeling strategies of SynRMs and investigates the applications, advantages, and shortcomings of each model. Then, the paper is more focused on the control aspect of the drive systems, where Section 4 classifies different motor control strategies regarding different features and deeply analyzes the latest development in the motor control methods to enhance the performance of the motor-drive systems. The wide speed range control of SynRM, which demonstrates their potent potential for traction application, is studied in Section 5 and the developments of the control strategies in each speed region are addressed, as well as the transition between each speed region. A comprehensive discussion and a conclusion of the study are provided in Section 6.

#### 2. The Need for SynRMs

The mature three-phase induction motor (IM) is recognized as a well-established and widely available structure on account of its rigid mechanical construction and low cost and maintenance requirements. However, the implementation of the bars on the rotor leads to many drawbacks in this motor. The inherent warm rotor operation due to the currents in the bars can lead to rotor losses, which consist of around 20% of all losses in IM [13]. As a consequence of high substantial losses, the high temperature of the rotor can lead to more probable faults in bearings, which have the biggest share in IMs' faults according to Reference [16]. Moreover, the losses lead to lower efficiency of the motor. Besides, the mechanical faults in the bars are also likely in IM, which decreases the reliability of this motor [17–20]. The high-frequency slotting harmonics can be a problematic drawback of the IMs, which can be rarely attenuated by employing skewing [21]. Using an aluminum die-cast rotor cage for small- and medium-size IMs decreases the material cost and weight, which is the most common structure for these motors. However, the tendency to increase power density and efficiency has directed some manufacturers to produce a copper cage rotor for some applications [22,23].

Today, permanent magnet (PM) synchronous motors (PMSMs) are the dominant technologies for many applications, including traction [24,25]. High efficiency, high torque density, and desirable wide speed range performance of these motors have made the technology popular among manufacturers [26–28]. PM materials are the most important elements of PMSMs. Two common applicable PM materials for PMSMs are neodymium-iron-boron (Nd-Fe-B) and samarium-cobalt (Sm-Co), both of which contain the rare-earth elements [29,30]. The dramatic rise and fall of the price of the rare-earth magnets, especially Nd-Fe-B magnets, have directed the research towards rare-earth-free machines to replace high-performance PMSMs [31,32]. The reliability issues in PMSM due to possible faults of the magnets are also disputable.

The rotor design of SynRM distinguishes it from its IM and PMSM counterparts. In comparison with those conventional motors, SynRM attains higher reliability and easier maintenance (due to the very low winding and bearing temperature, and they also lack

cage or PMs in rotor structure) [33,34], lower cost (due to the lack of PMs in comparison with PMSM), faster dynamic response (due to smaller size in the same power range and lower moment of inertia), higher speed range (due to the wide constant-power operation in comparison with IM) [35] and higher efficiency in the same power range with the same frame size (due to the cold rotor operation in comparison with IM) [13,36], and higher power density and higher torque per ampere (in comparison with IM) [4,37]. In this sense, SynRM offers the high performance of PMSM, while it can be as cheap, simple, and servicefriendly as IM. Therefore, the attention paid for these motors in high-speed applications has experienced continuous growth in the literature, which has convinced the electric vehicles (EVs) and hybrid electric vehicles (HEVs) manufacturers to apply SynRMs as an alternative for PMSM [38–40]. Having said this, the possibility of the drive with the same VSDs for IM and PMSM in various recently designed VSDs has provided a viable development base for SynRM [41]. All in all, the high efficiency of SynRMs against IM has attracted attention for applications such as pumps and fans. Also, the high performance and especially wide speed operation capability with the consideration of lack of rare-earth PMs compared to the PMSM has attracted the researchers to study these motors for traction application.

Table 1 summarizes the highlighted features of the dominant motors and SynRMs. The main goal of this comparison is to investigate the superiorities and discuss the drawbacks of the motors to provide an overview of the possible replacement of the dominant technologies with the cutting-edge SynRM technologies. It is worth mentioning that in some applications such as pump, fan, and conveyors, IMs are the dominant motors, which can be projected to be replaced by SynRM. Due to this fact, the SynRM should maintain the low cost, and provide higher efficiency to convince the industries to replace the IM drives with new technologies. Likewise, while the PMSynRM benefits from ferrite-magnet, the technology should maintain the performance competitive to PMSM to attract the manufacturers' attention.

Stator and Rotor Motor Type **Different Types Main Applications Superiorities** Drawback(s) Structure Sample + low cost of low power copper rotor material and Industrial applications factor aluminum rotor manufacturing IM (pump, fan, traction, highly wound rotor process etc.) probable bearing rotor skewing + line-start fault capability precise control and • interior PM [42] + high high-speed surface-mounted performance in rare-earth PMSM performance (traction, PM [43] wide speed range material usage robotics, aerospace, line-start PMSM operation medical, etc.) + reliable and highly efficient due line-start SynRM to cold rotor high torque skewed rotor Industrial applications operation ripple SynRM rotor with + high dynamic (pump, fan, traction, severe low asymmetric flux etc.) + high power factor barriers overloadability + very high-speed capability rotor skewing asymmetric rotor + very high hard structure performance manufacturing PMSynRM Traction applications different barrier without rare-earth and installment structure and PM PMs process material

Table 1. A comparison of motor technologies in highlighted features.

In the rest of this section, the advancement of SynRMs is investigated and the prospect of their development in the industry is projected in different applications.

## 2.1. SynRM

As its name suggests, SynRM produces reluctance torque resulting from changing the magnetic reluctance, which is also called magnetic resistance. The magnetic flux flows into the lowest magnetic resistance. Therefore, the produced flux by the stator flows into the lowest magnetic resistance in the rotor. Hence, if the rotor is not aligned with the flux, the reluctance torque will rotate the rotor in the direction with minimum magnetic resistance. In this sense, the saliency ratio causes magnetomotive force (MMF) and the reluctance torque spins the rotor.

The rotor design of SynRM lacking bars and magnets leads to cold rotor operation. As a result, SynRM has praiseworthy loadability, particularly at lower speeds [44]. This motor can be loaded up to 2.5 times higher than the nominal torque [45]. This breakout torque is accessible for a short period on account of a cold start. Figure 1 presents the loading capability of an industrial SynRM versus speed [45]. As can be seen, there is a wide speed operation range for the motor with continuous loading capability with no need for separate cooling. To increase the torque density, a combined star-delta winding layout can lead to 5.2% higher torque density over the conventional star case in rated conditions [46].



Figure 1. The loadability of synchronous reluctance motors (SynRM).

In the past, the focus on SynRMs was based on torque density, while recently, these motors are recognized as a highly efficient choice for the industry [12]. Owing to the lack of bars in the rotor, the iron loss is roughly omitted, leading to efficient motor operation [47]. Because of this superiority, the payback time of the SynRM drive is so short that it makes it quite reasonable to replace IM. The example payback time of the IE4 SynRM drive in comparison with the IE2 drive is projected for 1.6 years for 37 kW, 1500 rpm SynRM, with 8000 annual running hours [48]. The efficiency class in the IEC 60034-30 Part 1,2 [49,50] is defined by International Energy-Efficiency (IE) codes, where the higher the IE code is, the higher the efficiency of the electric motor is required. While the IE3 efficiency class (Premium class) has been mandatory in the European Union countries since 2015 [13], the SynRM offers the IE5 efficiency class (Super-Premium class) [12]. Moving from IEC 60034-30-2, IE3 to IE4, or even IE5 class [50] can make the SynRM a more probable dominant technology, especially for low power range applications.

On the other hand, SynRM suffers from some severe drawbacks. In this technology, the power factor is quite low [51]. The maximum power factor of SynRM depends on the saliency ratio. The definition of the saliency ratio and power factor can be found in

Reference [52]. Figure 2 gives information about the power factor improvement in terms of saliency ratio change in a typical SynRM. In this sense, the higher the saliency ratio the SynRM has, the higher the power factor the motor can provide. A sophisticated design of a laminated anisotropic rotor is proposed in Reference [53], which can increase the saliency ratio and as a result, improve the power factor and torque density. In this study, to control flux paths, some cut-offs are applied in the laminations, which influences the direct and quadrature axis inductances. This proposal leads to power factor improvements. This strategy preserves all the benefits of the motor. However, the recent improvements by these approaches are negligible. The interaction between the spatial harmonics of MMF and the rotor geometry causes considerable torque ripples in SynRMs [54]. High torque ripples and as a consequence, the acoustic noise of these motors, have become a challenge, which persuaded considerable effort to cover this drawback in both design and control aspects [5,55–59]. Some research works address the reduction of the torque ripples through optimization of the rotor structure [60,61]. Among proposed design solutions, rotor skewing can halve the torque ripples of SynRM, and asymmetric rotor flux barriers decrease the torque ripples to two-thirds, in both SynRM and PMSynRM [62].



Figure 2. The relation between saliency ratio and power factor in SynRM [58].

#### 2.2. PMSynRM

Although the SynRM avoids PMs in its structures, it sacrifices the efficiency, power factor, and torque density of the PM motors. The torque produced by SynRM is purely reluctance torque due to saliency and rotor anisotropy. This contributes to the high saturation of this motor, which limits the flux density. Adding ferrite PMs to the rotor, PMSynRM exploits the peculiar rotor characteristic of SynRM and the high performance of PMSM at the same time, as shown in Reference [63]. These motors have a desirable performance at higher speeds than base speed, fulfilling the constant-power speed range requirements [64,65]. The currents for the same torque are decreased in PMSynRM. Consequently, the losses also decline, especially for the partial load. The power factor sees a significant change of about 10% for low currents and 6% for high currents. Figure 3 shows that the voltage vectors of the SynRM and PMSynRM have different *q*-axis values and the same *d*-axis values. This leads to different values for the machines' voltage and current angle ( $\varphi$ ). Fluxes have the same value on the *q*-axis and different values on the *d*-axis. This can be presented as an effect of the magnets, which affects the torque angle ( $\delta$ ).

On the other hand, in terms of maintenance, manufacturing, and assembling, SynRM and IM are superior to PMSynRM. Besides, similar to SynRM, PMSynRM suffers from high torque ripples [66], which has directed many research efforts on the design [43] and also the control aspects [67,68]. In Reference [43], the torque ripples of a direct-drive PM-SynRM are decreased by material-efficient axial pole pairing. Multiphase PMSynRM also presents fewer torque pulsations and higher torque density with fault-tolerant operation capability [4,69–72]. Besides, these motors are applied where there is a need for less power in each phase to increase reliability. A comparison of a typical SynRM and a PMSynRM performance based on the literature review is provided in Figure 4.



Figure 3. The vector diagram of SynRM/PMSynRM.



Figure 4. Torque and power versus speed diagram for SynRM (red) and PMSynRM (blue).

Where,  $T_n$ ,  $P_n$ , and  $\omega_n$  denote the rated torque, rated power, and rated speed of the motors, respectively. In this figure, the torque and power output versus the speed of a typical SynRM and a PMSynRM in the same power and speed range is depicted. The figure demonstrates the enhancement of the torque and power of the motor due to the inserted ferrite PMs, particularly in the high-speed operation of the motor.

## 2.3. Comparative Analysis of Motor Technologies Efficiency

Studied electrical motors present different efficiency behavior under different circumstances. To compare the efficiency of the motors in variable-speed applications, only the efficiency map can provide trustworthy information. Figure 5 illustrates the efficiency map for IM, SynRM, and PMSynRM. The motors were run in the same power range and the same test environments. The tests and the setup's specifications are described in Reference [63]. In general, PMSynRM had the highest efficiency in the whole speed, torque area. SynRM was the second most efficient motor in the whole region. More importantly, in the partial load, IM provided lower efficiency than the other two motors, causing a high energy cost for the operation in the lower load and speed range. This specification is a notable reason to consider SynRM as a cost-efficient alternative for IMs.



Figure 5. Efficiency map of 10 kW (a) IM, (b) SynRM, and (c) PMSynRM prototype.

## 3. Qualities of SynRM Modeling

For the sake of different purposes, such as design [26], control [73], fault diagnosing [74,75], thermal analysis [76], loss determination [77], motor parameter calculation [52], stability analysis [78], and efficiency improvements [79], modeling is an inseparable part of many studies in motor drive areas. In the modeling of SynRM, parameters' estimation preciseness, the computation time of the parameter determination, and some factors for different motor operation areas, linearity and nonlinearity of the model have been crucial criteria to consider. The most critical topic in different modeling methods of SynRM for different purposes is the parameter identification of SynRM. Especially, for more accurate control of SynRM, the inductances and the stator resistance are of paramount importance to be identified in a self-commissioning process. This is mostly due to parameter variation under the different thermal conditions and cross saturation of the rotor. More specifically, the inductances are considered as constant values, while these parameters are functions of currents in different axes. We categorized the parameter identification into three different groups as follows:

- 1. Numerical and analytical models of SynRM
- 2. Offline parameter identification
- 3. Online parameter identification through an inverter

As a numerical approach, the finite element analysis (FEA)-based model is a precise and confinable model for motors. Commonly, new modeling methods are compared to FEA to validate their results. However, the high computation burden imposed by FEA leads to a tedious and time-consuming process, which severely limits the applications for online purposes, such as control applications. This characteristic makes the FEA more applicable to design and optimization purposes for all types of electrical machines, including transformers [80,81]. However, using FEA, the parameter values could be obtained and utilized as a lookup table for simulation purposes, as in Reference [82]. Correspondingly, the authors believe that the parameters obtained by FEA could be utilized in the control loop as a lookup table in different operation points. An FEA-based model of SynRMs has been determined in References [83–85]. In Reference [86], a novel rotor structure is proposed based on two-dimensional (2D) FEA analysis for PMSynRM. This structure proposes adding bypass ribs on the flux barriers which reduces the irreversible demagnetization of PM and torque ripple. The size and the location of the bypass ribs are investigated and improved based on FEA. Figure 6 shows the rotor structure of the proposed method and the field distribution of the rotor. The figure illustrates that at the rated operation point, the flux density in the bypass ribs is very low and a small portion of the flux passes through them. It can be concluded that the bypass ribs can pass a part of demagnetization flux and protect the PMs.



Figure 6. (a) The novel rotor structure and (b) field distribution at rated operation point [86].

The experimental results show that the motor with the proposed structure performs roughly the same output torque ability. Besides, regarding the higher anti-demagnetization ability of the PMs, the proposed motor structure presents 35% less torque ripple in comparison with the motor with the conventional structure. The output torque of the proposed motor and the conventional motor is presented in Figure 7. The figure shows almost the same average torque and less torque ripple for the proposed structure.



Figure 7. Torque response of the novel structure and the conventional motor [86].

As an alternative to FEA, analytical models are mostly applied in the literature for various purposes. The winding function model is one of the models of SynRM, which is studied in References [87,88]. In these studies, the obtained inductance values are compared to the FEA model. The d, q model of SynRM is also one of the analytical models, which is studied in many research works. In Reference [52], the offline parameters' identification is carried out through the Dc decay test applying the d, q model. A similar study with a more detailed description for parameter identification is carried out for both SynRM and PMSM in Reference [89]. An accurate analytical model of PMSynRM is also defined by Armando et al. in Reference [90]. In this research work, the impact of cross-saturation on rotor position estimation is investigated and a simple model is proposed and validated, disregarding cross-saturation. With a different approach, radial basis function neural networks are applied in Reference [91] to obtain currents and flux linkages in SynRM and interior permanent magnet synchronous motor (IPM). The relation between these values has been reported to develop magnetic models.

Apart from standstill tests, inverters provide more precise identification possibilities for the SynRMs. In SynRM, due to the lack of PMs, injecting currents for parameter identification in both *d*- and *q*-axes is viable, with no need to lock the rotor. The flexibility of the voltage applied to the motor through the inverter has encouraged the researchers to study the parameter identification of SynRM with various self-commissioning methods. Online parameter identifications are inevitable for precise control of SynRM due to the severe cross-coupling and the rotor saturation of this motor. In Reference [92], a sensorless self-commissioning method of SynRM at standstill is presented to identify the magnetic model of the motor by injection of the test voltages. Since the test voltages are high frequency as compared to the motor rated voltage, the model is robust against stator resistance errors and inverter voltages.

Considering all the available models for SynRM, the d, q model of SynRM is addressed in many research works. For control purposes, the d, q model presents the most simple and practical model of the motor. A comprehensive model of SynRM and PMSynRM can be found in Reference [52]. A short description of the d, q model of SynRM from this paper is presented as follows.

$$\begin{split} I_{s} &= i_{d} + ji_{q}; \ V_{s} = V_{d} + jV_{q}; \Psi_{d} = L_{s}li_{d} + \Psi_{dm}, \ \Psi_{q} = L_{s}li_{q} + \Psi_{qm} \\ \overline{V_{s}} &= \frac{2}{3} \left( V_{a} + e^{\frac{j2\pi}{3}} V_{b} + e^{-\frac{j2\pi}{3}} V_{c} \right) e^{-j\theta_{er}}; i_{m} = \sqrt{i_{d}^{2} + i_{q}^{2}} \\ \overline{\Psi_{s}} &= L_{d}i_{d} + jL_{q}i_{q} = \Psi_{d} + j\Psi_{q} \\ \overline{V_{s}} &= R_{s}\overline{I_{s}} + \frac{d\Psi_{s}}{dt} + j\omega_{r}\overline{\Psi_{s}} \\ \overline{V_{s}} &= R_{s}\overline{I_{s}} + \frac{d\Psi_{s}}{dt} + j\omega_{r}\overline{\Psi_{s}} \\ T_{e} &= \frac{2}{3}p\left(\Psi_{d}i_{d} - \Psi_{q}i_{q}\right) = \frac{3}{2}p\left(L_{d} - L_{q}\right)i_{d}i_{q} \\ \frac{Id\omega_{r}}{dt} &= T_{e} - T_{load}; \frac{d\theta_{er}}{dt} = \frac{\omega_{r}}{p}; \Psi_{dm} = L_{dm}i_{d}, \ \Psi_{qm} = L_{qm}i_{q} \end{split}$$
(1)

In this model, the cross-coupling magnetic saturation effects are neglected for simplification purposes. However, for the precise control of SynRM, the cross-coupling magnetic saturations should be considered in the control.

## 4. Latest Developments of SynRM Control Strategies

Nowadays, VSDs have been applied in many industries for efficient, high-performance, robust, and precise control of electrical motors. Although implementing VSDs adds up to the cost, it is inevitable for both variable-speed and fixed-speed operation to reach high efficiency for any motor technology. Besides, the differences between the prices of the drive systems in the same rated power can be compensated by the lower energy cost. Recent studies, innovations, and also the need for higher efficiency and better performance support the prospect of more future widespread development of motor drive systems in the industry [12,93,94]. These parameters require more study in different areas that can be categorized as follows:

- Optimal design of motors,
- Optimal design of converters,
- Optimal design of controllers.

The control unit of the electric drive system plays a crucial role in system performance. A control system technology with high performance ensures an efficient and reliable energy conversion. In general, a control unit contains the following [95]:

- Input modules, e.g., analog to digital converters, which continuously capture input variables from electrical and mechanical sensors and/or transducers.
- Output modules, i.e., pulse-width modulation (PWM) module, which continuously
  provides switching sequences.
- Peripherals modules for programming and data exchanging.
- Main processor for executing and processing control algorithms.

The control algorithms characterize the behavior of the electric drive systems. Hence, to provide a high-performance drive system for motors, firstly, a proper control strategy should be devised. Usage of frequency converters, controlled by microprocessors, provides researchers with a high potential of using flexible methods to reach a better performance. On the other hand, VSDs inject some high-frequency currents into the system [96]. Consequently, some unexpected losses occur, which requires to have a more precise control algorithm on the drive side. As the SynRMs in industries are dominantly driven by frequency converters, the researchers are convinced to study more in this field. Efforts of the researchers in SynRM drive systems are mainly targeted to increase robustness [97–99], suppress torque and current ripples [67,100–103], decrease losses, and improve efficiency [104–106], improve the performance of the speed and position estimation [107,108], and amend the dynamic torque response with smooth transition between different speed regions [98,101]. Despite the existing efforts, investigating improved control strategies for SynRMs remains a challenging task.

In practice, control strategies for motors are classified as scalar and vector control methods. Scalar control methods are mainly known as V/f control methods. These methods are the simplest and the most applied control methods in industrial VSDs [109]. The method can be applied in open-loop or closed-loop control systems with current and speed sensors or with the sensorless control approach [110]. V/f, V/f<sup>2</sup>, and V/ $\sqrt{f}$  or I-f are the main scalar control methods studied in the literature. The V/f control method generates voltages regarding the amplitude and frequency to keep the ratio of the values constant. This simple concept makes the method applicable for many low-cost-demanding purposes [111,112]. However, the tracking of the commanded speed is not guaranteed in this method. As the second group of motor control methods, field-oriented control (FOC), direct torque control (DTC), and predictive control (PC) methods including model-based predictive DTC (MPDTC) and model-based predictive current control (MPCC) are recognized as vector control methods addressed in many papers and implemented in many industrial VSDs.

An overview of the main vector control methods regarding the control method structure is presented in Table 2.

Items	DTC	FOC	MPDTC	MPCC
Coordinates reference frame	α, β	<i>d</i> , q	α, β	d, q
Principle	Stationary voltage equations	Rotor reference frame equation	Stationary voltage equations	Rotor reference frame equation
Controlled variables	Torque and stator flux	<i>d-, q-</i> axes currents	Torque and stator flux	<i>d-, q-</i> axes currents
Rotor position measurement	Not required	required	required	required
Current control	without	with	without	with
Coordinate transformations	Not required	required	Not required	required
Modulator	Not required	required	Not required	Not required
Switching frequency	Varies widely around the average frequency	constant	Varies around the average frequency	Varies around the average frequency
Controllers	hysteresis	Proportional integral controller (PI)	Cost function optimization	Cost function optimization

Table 2. Structural comparison of the basic motor control methods.

Traditionally, all the conventional control methods are based on machines' models or some predefined strategies. To alter this classic view on the control system, lately, artificial intelligence-based controllers have been implemented in the power electronics and drive systems. To name a few, fuzzy logic (FL), adaptive neuro-fuzzy artificial neural network (ANN), and adaptive recurrent fuzzy neural network (ARFNN) are the methods that have been studied for SynRM drives [113–116]. FL helps the researchers to analyze continuous values—in contrast with discrete values in traditional methods—and control the system in a continuous mathematical system [117,118]. This provides a control system with characteristics compatible with the physical components. Lower cost in comparison with the traditional systems, higher efficiency, more robust system, more reliable, more customizable, and the possibility to emulate human deductive thinking are some advantages of FL control. The drawback of fuzzy control can be the need for high human expertise and regular updating of rules, not applicable for much smaller or larger data than historical data, and the requirement for massive data. An ARFNN control for SynRM servo drive is studied in Reference [119]. In this paper, FOC is implemented to formulate the dynamic equations. Then, the ARFNN control system tracks the reference and drives the motor. Additionally, the Lyapunov stability theorem and the backpropagation method is applied for online parameter training.

Apart from the artificial intelligent method, deviation model-based control (DevC) is also an alternative to the traditional control method [120]. As its name suggests, this method benefits from a deviation model of the motor for control. With this approach, the model of the motor is simplified through normalization to obtain the deviation model of the motor. Then, the deviation model of the motor is utilized to control the motor. Since the motor drive systems deal with the dynamic behavior of the motors, the DevC proposes a fast dynamic control method. In Reference [121], the DevC of SynRM is proposed in comparison with FOC and DTC. Due to the normalized model, the method proposes simpler and more robust control against parameters' variation compared to the FOC.

Besides, DevC presents less torque ripple, higher dynamic, and better flux regulation at startup when compared with DTC.

In this section, the control methods are classified based on their highlighted strength. We categorized the motor control methods into scalar and vector control methods. Then, some improvements in the main control algorithms were investigated. These methods change some parts of the main algorithm by adding or removing some extra computation for some specific purposes. Moreover, some combinations of the main methods have also been studied to benefit from some advantages of the two methods, simultaneously. Finally, the general control theories are studied. These methods are either singly applied to drive the motors or they are utilized in the main motor control algorithms to enhance their performances.

Figure 8 demonstrates the classification of motor control methods. In this diagram, all the methods, which are more specifically applied for motor control systems, are depicted in round shapes. The general control theories are demonstrated in square shapes below the motor control methods. Finally, at the bottom of the diagram, different features of the control method are demonstrated in rectangular shapes with different colors. Each color represents a specific feature showing the strength of each method in different terms. The highlighted features of each control method are demonstrated as bubbles in specific colors. The size of each bubble implies the significance of the feature in the method. The bigger the bubble of each color, the more significant feature the method has in that area. With the concept in this figure, the drawbacks of the methods can also be interpreted. For instance, the most significant advantages of FOC are its low torque ripples in the motor shaft and low harmonics in phase currents. These two advantages of the FOC method are shown in the FOC block as bubbles. Also, FOC has a constant switching frequency, which is shown in its block, as well. On the other hand, as compared to other control methods, FOC has a high computation burden for the microprocessor, which is known as a shortcoming for this method. Therefore, there is no bubble in the same color as the block for simplicity. The abbreviations are presented in the text.



Figure 8. Motor control methods classification.

For a more detailed description of the block diagram, the features are to be discussed. As the first feature of the control methods, the simplicity of a control method denotes less computational burden on the microprocessor. Normally, complicated mathematic calculations such as proportional-integral (PI) regulations lead to high execution time. Consequently, the cost for the microprocessor becomes high, which can make the control algorithm less desirable. For instance, scalar control is considered as a simple control algorithm due to simple calculation in the control loop. Therefore, if a simple control algorithm is looked for, scalar control can be a desirable choice. Low current harmonics is the next feature to discuss, which is considered as a strong point for a control method. In the motor-drive systems, harmonic currents can be deduced from many different sources, including motors, drive systems, and the control algorithm, as well. In the literature, many research works are carried out to decrease harmonic currents. Some control methods have variable switching frequency, which is considered a weak point in many applications such as EV due to safety concerns. Variable switching frequency makes the fault diagnostic of these systems difficult using switching frequency monitoring. High performance of the method at high speeds is one of the popular topics, especially in traction applications. Proper control of the method on flux and torque is crucial at high speed. The next feature to discuss is the low torque ripple, which can lead to less acoustic noise and higher efficiency of the system. The operation of the control methods in low-speed regions of motors is also an important factor to consider. For instance, classic control methods do not consider variable references for flux, which leads to inefficient control of the motor. Besides, rotor position tracking is a challenge that motor control methods face at very low speeds. The performance of the control methods in transient mode is also crucial to pay attention to. One of the key features of control methods in transient mode is the high dynamic. The high dynamic of a method denotes the fast response of the method to the changes in references. Robustness is the next feature that has attracted researchers' attention in motor control systems. This feature in control algorithms denotes the robustness of the method against parameter variation (e.g., stator resistance) and external disturbances (e.g., load) in drive systems.

The rest of this section describes the main control methods and the improvements in this area that have been recently studied in the literature.

#### 4.1. FOC of SynRM

Thanks to the high steady-state performance of FOC, the general trend toward other methods has not yet been dominated. The precise control method, low torque ripples, and the constant switching frequency of FOC still draw the researchers' attention [122]. FOC is more popular in the area, such as the mining and steel industry, where the need for efficiency and better steady-state response is preferred to transient response. This method controls the motor in the d, q reference frame, modeling the motor as a DC motor to achieve a convenient control. Direct field-oriented control (DFOC) and indirect field-oriented control (IFOC) are the main approaches to control the decoupled currents in the synchronous reference frame. If the rotor flux angle is obtained through the estimated flux, the method is referred to as DFOC. On the other hand, the IFOC method obtains the flux angle through the detected rotor position using a mounted shaft encoder. Briefly, the advantages of FOC are as follows:

- 1. High steady-state performance,
- 2. Precise current control,
- 3. Simple implementation of the method,
- 4. Simple compatibility with many AC motors,
- 5. Simple modulation system implementation,
- 6. Constant switching frequency.

The dominant trend in the studies on FOC is towards decreasing the position estimation error [122,123] and increasing the efficiency of the motor under control [124,125]. Regarding all the benefits of FOC, some severe drawbacks of this method convince the researchers to study and implement other control methods. Firstly, the method is highly complicated from the point of the processor view. Due to the usage of the PI controller and pulse-width modulation (PWM) modulator, the FOC execution time is high and dynamic performance is considerably low, compared to other methods. This is mostly due to the current control and the modulation system that FOC applies in its control loop. The drawbacks of FOC can be named as follows:

- 1. High computational burden on the processor due to the current control and modulation method.
- 2. Low dynamic of the method due to the lack of direct control on the torque.
- 3. Low robustness of the method due to the high dependency of the method to the motor's parameters (flux vector angle).

To overcome the shortcomings of low dynamic of FOC, some hybrid control methods are developed, such as direct-flux vector control (DFVC). DFVC is a combination of FOC and DTC, regulating the stator flux amplitude directly. This control method controls the *q*-axis current in the stator flux reference frame instead of torque (as in DTC), which is convenient in field-weakening (FW) operation of the motor as it is applied to AC motors including SynRM in References [64,107,126]. This method keeps the high performance of FOC with the relatively high dynamic of DTC. Another concern about the FOC method is the complexity of the method. Due to the utilization of PWM modules, and current control of the method, the computational burden of the method is high. Substituting the PWM module with a lookup table to apply the command voltage can fairly decrease the complexity of the method. A rotor flux-oriented switching table-based DTC (RFSTC) is proposed in Reference [127] for a six-phase induction motor. The complexity of RFDTC falls between DTC and FOC and also has a higher dynamic compared to FOC. A modified RFSTC was later proposed for SynRM in Reference [128]. The block diagram of this method is illustrated in Figure 9. To enhance the tracking performance and obtain a high level of robustness to the parametric uncertainties and external disturbances, cutting-edge control strategies should be developed for FOC.



Figure 9. The block diagram of rotor flux-oriented switching table-based DTC (RFSTC) [128].

A comprehensive comparison of FOC, DTC, and dead-beat DTC (DDTC), along with MPDTC, is addressed in Reference [129]. Figure 10 is selected from this paper, which

compares the most prominent control methods in terms of torque ripples. As discussed, FOC presents the lowest torque ripples, while DTC presents the worst performance when it comes to torque ripple in the motor's shaft.



**Figure 10.** A comparison of torque ripples of different control methods in different switching frequencies under zero load and (**a**) 500 r/min, (**b**) 1000 r/min [129].

## 4.2. DTC of SynRM

The first attempt to implement DTC on SynRM was carried out by Boldea et al. in 1991 [130]. DTC of SynRM-drives is now attracting market interest [131]. According to the literature, this is attributed to the intrinsic features, as follows:

- 1. Simplicity due to the lack of PWM signal generator module and current control.
- 2. Fast response and high dynamic due to the direct control of torque.
- 3. Robust control due to low dependence on motor parameters.

In the DTC method, the instantaneous torque of the motor, as well as stator flux linkage, are directly controlled, and the transformation of the coordinates is avoided. The switching table is a lookup-table, which opts for a defined combination of switching sequences with regards to the inputs. The inputs of the switching table are the stator flux and torque error signs along with the sector of the stator flux. To obtain these inputs, stator flux and torque estimators are required in DTC. Similarly, the stator flux position sector with respect to the phase a of SynRM is calculated. However, compared to FOC, the online estimation has smaller issues, since the observers are not in the control loop and require lower speed. The estimation of flux and torque in DTC is carried out through observers by applying the following equations.

$$\overline{\Psi_s} = \int \overline{V_s} - R_s \overline{I_s}$$
<sup>(2)</sup>

$$T_e = \frac{3}{2} p \left( \lambda_{\alpha} i_{\beta} - \lambda_{\beta} i_{\alpha} \right) \tag{3}$$

The active voltages ( $\overline{V_s}$ ) are defined in DTC through Equation (1). It should be noted that, since the rotor position is synchronized with the flux, in the encoder-based DTC, the sector of the rotor flux with respect to phase a is obtained through the encoder. A more detailed description of the DTC of SynRMs is reported in Reference [128]. Figure 11 shows the block diagram of the conventional DTC of SynRM.



Figure 11. DTC of SynRM.

Direct control of torque assures a high dynamic control, which makes DTC superior over other methods [132]. As this method does not apply the current regulator, it significantly attains higher transient torque control performance. DTC also uses only the stator resistance for motor control, which causes relatively robust control of the motor. This method is mostly implemented in servo applications due to the fast dynamic. In general, the customers who are seeking a better transient response, rather than a steady-state response, are inclined to DTC.

However, the DTC method suffers from high torque ripples [133]. To take SynRMs' high torque ripples into account, the conventional DTC of these motors cause severe torque ripples in the motor. The disadvantages of DTC are listed below:

- 1. High torque and flux ripples,
- 2. Variable switching frequency,
- 3. Relatively high harmonic currents,
- 4. Bad performance at low speed, which may include high noise, bad torque and flux control, and bad speed tracking.

Lack of current controller in DTC's block diagram leads to high torque ripples. Multilevel inverters have proven a proper solution for torque ripples, which are applied with DTC in Reference [134]. Another solution that amends this shortcoming of DTC is the overmodulation scheme for DTC of SynRM, presented in Reference [101]. This method keeps the simplicity of DTC, decreases the torque ripples, and provides constant switching frequency. Another viable approach is DDTC. Basically, by this method, an attempt is made to apply the active voltages for some period of sampling time and apply zero voltages for the rest of the sampling time. Therefore, the motors' torque and similarly the flux does not considerably pass the hysteresis limits. This results in a notable reduction in torque ripples at the cost of complexity imposed on the method. Besides, a notably higher switching frequency occurs in switches. A more sophisticated and more robust version of this method is applied for the control of PMSM in Reference [135] and also exploited in Reference [98] for the DTC of SynRM. This method is also applied in Reference [128] in RFSTC for more torque ripple suppression. The usage of a reference flux-vector calculator (RFVC) is another solution for high torque ripples suppression of DTC. RFVC has been proposed for DTC in Reference [136] to decrease torque ripples in IPM. This method replaces the two hysteresis controllers and the torque PI controllers with the RFVC block. Moreover, RFVC achieves a constant switching frequency with the replacement of the switching table with space vector modulation (SVM) and covers the drawback of variable switching frequency, as well. The method retains the robustness of DTC and the lack of a current control loop. On the other hand, the Space vector modulation (SVM) block and the usage of flux angle impose some

complexities to the system. A direct torque flux control (DTFC) with SVM is also proposed in Reference [137] for PMSynRM in hybrid-EV applications. Space vector pulse width modulation-based DTC (SVPWM-DTC) is another approach to overcome the high torque ripples and the variable switching frequency of DTC, which is studied for EV applications in References [138,139]. This method significantly decreases the switching losses in the switches. By this approach, some computation burden will be augmented to DTC.

Some strength points of DTC can also be improved to achieve better performance. Among these features, robustness has gained growing popularity for DTC of SynRMs [140–142]. These motors operate under high flux saturation conditions. Thus, high parameter variations are likely in SynRM, not only in stator resistance under thermal conditions but also in inductances due to saturated rotor. In Reference [132], a mechanism is applied to limit the torque in the DTC of SynRM. The method is robust against parameter variation. In this method, to obtain stable operation of the motor, the torque-limiting mechanism modifies the flux reference with respect to the torque error sign. In line with the robustness of the method, this method leads to a smooth transition between the maximum torque per ampere (MTPA) and FW regions. In this article, to demonstrate the robustness of the method, the authors have implemented the acceleration of the motor with the normal condition of the control along with the acceleration in the same condition with a 35% increase of inductance in the *d*-axis ( $L_d$ ). With this experiment, the parameter mismatch and erroneous torque reference are modeled, and the performance of the method is tested. It is worth mentioning that without compensation, the operation of the motor can be unstable under this condition. Nevertheless, with this torque-limiting mechanism, the torque reference will be reduced in the failure detection condition until successful torque control is detected. This is shown in Figure 12. In this figure, in the second subplot, the torque response of the method is illustrated, in which the red line indicates the motor's torque, and the blue line shows the reference torque. This figure shows that the torque limit  $(T_{e-limit})$  surges due to the consecutive torque control failure, which indicates the parameter mismatch. Then, the torque reference drops to a lower limit, which leads to successful torque control of the motor. Consequently, a stable acceleration of the motor is achieved.



**Figure 12.** SynRM speed (first subplot) and torque (second subplot) response to the 35% increase of  $L_d$  with a torque-limiting robust DTC control algorithm [132].

Sliding mode control (SMC) is also one of the main approaches to increase the robustness of DTC. This method is mainly applied for torque and flux estimations in DTC. SMC is applied for DTC of SynRM in Reference [143]. In this paper, the robustness against stator resistance variation is achieved through an online detection with a simple observer. This observer exploits SMC in the variable structure control-based DTC (VSC-DTC) for the objective of robustness. In this method, the constant switching frequency is also achieved through the SVM block and the torque ripples are also decreased because of stator-flux field-oriented referenced PI controllers. The simplicity of the DTC has been deteriorated instead, although the high dynamic of the method is guaranteed. From another angle of view, it is alleged in Reference [120] that less parameter dependency can be achieved by replacing the commonly used PI controller with a normalized deviation model of SynRM. The results for this claim validate the performance of the method and the proposed approach can increase the VSD's robustness.

Limited performance of DTC at low speed, such as reduced torque and flux controllability, and consequently, high noise due to the high torque ripples, are concerned in many research works [144,145]. Sensorless DTC is one of the solutions, estimating the rotor position and speed with different strategies. The high-frequency (HF) signal injection (HFSI) is one of the most popular methods. These methods are reliable and have a desirable performance at low speed, particularly at the standstill. Even though, the resonance and extra losses are notable drawbacks of this method, which limits their utilization, especially at medium and high speeds. Sayeef et al. have addressed the HFSI in References [146,147] at low speed, including standstill, to obtain the rotor position and speed. Reference [146] discusses the variable structure control, using HFSI (VSHFSI-DTC) at very low speed, which offers a highly robust control against parameter variation. The method exploits HFSI in low speed and sliding mode observers at high speeds to obtain rotor position and speed. In Reference [148], the authors have investigated a sensorless DTC for low speed, based on extended Kalman filter (EKF). In this paper, the estimations of rotor position and speed are achieved without HFSI.

## 4.3. PC of SynRM

Modern PC methods are becoming popular among researchers. These methods open a new avenue for control solutions with simple and high-performance control. In principle, PC can consider several constraints at the same time and calculates the optimal switching states based on the status of the motor in the current sampling period. Despite the simple concept of the method, PC methods cause a high computational burden, as well as high sampling and switching frequency. However, with the emergence of powerful microprocessors, many research works have been recently conducted on the PC of SynRM [100,149]. The predictive control methods can be categorized as in Table 3.

Model-Free       Model-Free       Predictive Current Control (MFPCC)         Model-based       MPCC       MPCC         Model-based       Model-based predictive direct       Finite control set MPDTC       Duty ratio modulation MPDTC (DRM-MPDTC)						
MPCC       Model-based     Finite control set MPDTC       Model-based predictive direct     (FCS-MPDTC)	Model-Free	Model-Free Predictive Current Control (MFPCC)				
Model-based     Model-based predictive direct     Finite control set MPDTC     Duty ratio modulation       Model-based     Model-based predictive direct     (FCS-MPDTC)     MPDTC (DRM-MPDTC)		MPCC				
Model-based Model-based predictive direct (FCS-MPDTC) MPDTC (DRM-MPDTC)	Model-based	Model-based predictive direct torque control (MPDTC)	Finite control set MPDTC	Duty ratio modulation MPDTC (DRM-MPDTC)		
$t_{\text{constant}} = t_{\text{constant}} \left( M(D) T C \right)$			(FCS-MPDTC)			
Continuous control set MPDTC Based on PWM			Continuous control set MPDTC	Based on PWM		
(CCS-MPDTC) Based on SVM			(CCS-MPDTC)	Based on SVM		

One of the predictive approaches to control SynRM is MFPCC, which is studied in References [102,150,151]. Regardless of the motor's model, this method simply controls the motor current, which leads to low switching frequency and low computational burden. The method detects the current of the motor and generates the voltage vector to directly apply to the motor. In the next sampling period, based on the new current measurement,

## Table 3. PC methods.

the old current variation will be calculated. Then, the current variation in the next period will be estimated. Then, the current prediction will be executed, and the cost function will be calculated to choose the optimal switching state. This strategy will execute constantly to control the motor current, predictively. With this simple strategy, MFPCC avoids the motor parameters or back-electromotive force (emf) estimation. However, the method requires two current measurements in each sampling period. This can cause the detection of the spikes in current, which are due to instantaneous switching inside the inverter. Additionally, stagnant current-variation updates can degrade the performance of the method. These drawbacks of MFPCC are fairly eliminated in Reference [103] through the sampling of the current once, instead of measuring the current twice per sampling period.

Apart from model-free predictive motor control methods, model-based control (MPC) methods are gaining more attention in SynRM drive systems [152–155]. MPC considers the model of all of the system, including the inverter and the motor. Based on the system's model and the feedback from the system, MPC predicts the future states of the system in the next step regarding the command switches in the current sampling period time. These states have a constant number, which is referred to as the prediction horizon. Based on the prediction horizon, the cost function will be calculated and the optimal switching state will be opted to control the motor. Numerous objectives can be considered in cost function with various coefficients. Considering the weight of each objective, the value of the weight coefficients can vary. One example of a cost function to obtain voltage vectors to apply to the motor is addressed in Reference [141] as follows:

$$g = \left|u_{ds}^{*}(k+1) - u_{dsn}\right|^{2} + \left|u_{qs}^{*}(k+1) - u_{qsn}\right|^{2}; n = 0, 1, \dots, 6$$
(4)

where *g* represents the cost function and  $u_{ds}^*(k+1)$  and  $u_{qs}^*(k+1)$  indicate the predicted reference voltage vectors at k + 1 instant in *d*- and *q*-axes and  $u_{dsn}$  and  $u_{qsn}$  are the instant values of the voltages with seven different states. The predicted voltages are obtained using the model of the motor, as presented in (1). As a more descriptive approach, a flowchart of a sample PC is illustrated in Figure 13 that shows the predictive algorithm.



Figure 13. Flowchart of a PC algorithm.

The most popular model-based approach is the integration of MPC with DTC, which is generally known as MPDTC in the literature [152]. Figure 14 shows the diagram of an example of MPDTC. As can be seen, the method is like DTC and can be implemented on a setup with a DTC drive system with no other hardware required [100]. The state values in this method are motor torque and stator flux, which can be changed, as in Reference [140]. Theoretically, MPDTC is a new approach to DTC which directly controls the mean torque value. Akin to DTC, the switching states are calculated to achieve the desired torque at the end of each control cycle. Apart from its simple programming, this control method produces the optimized voltage vector in each sampling time, leading to a heavy computational burden. This results in 2.5 times higher execution time than DTC [156]. MPDTC offers a viable alternative for DTC, which works with high dynamic performance, fast speed response, and lower torque ripple. Considering the high flexibility in the structure, this method can protect the power electronic elements from overcurrent and guarantee a lower average switching frequency. In comparison with FOC, MPDTC has higher performance in the transient state, while FOC offers better steady-state performance [157]. The authors of Reference [158] present an encoderless MPDTC for SynRM, which covers the low performance of DTC at very low and zero speed operation.



Figure 14. Block diagram of MPDTC of SynRM.

MPDTC is generally categorized into two categories. First, FCS-MPC, which takes the discrete nature of the system into account, is recognized as the most popular MPC method [159]. Akin to DTC, FCS-MPDTC does not involve modulation in its voltage application to the motor and generates the voltages through the inverter directly. This method provides a high-performance control method with high dynamic. However, the high computational burden of the method requires high processing power. Besides, due to the direct control of torque, high torque ripples in the motor shaft are inevitable. The second category of the MPDTC methods is CCS-MPDTC. This method applies modulation methods such as PWM or SVM to apply voltages to the motor.

## 5. Control Strategies for Different Speed Regions of SynRM Drives

At different motor speeds, some constraints limit the performance of the control methods. The problem of tracking the speed and positioning by an encoder and low current requirement to drive in low speed and the voltage requisites at high speed are just some of the issues. At very low speeds, sensorless controls have gained attention [160,161]. In speeds up to nominal speeds, researchers mostly focus on maximum torque per ampere (MTPA) operation [162,163], while in speeds higher than nominal speed, FW is considered as a dominant approach for control [97,164] and MTPV has been implemented in speeds higher than crossover speed [165,166]. Generally, in SynRMs, the copper losses are dominant [51]. Thus, in terms of control, a dynamic flux reference should be determined, instead of a constant reference, to reach the lowest current magnitude to minimize the copper losses. This strategy allows the motor to deliver maximum electromagnetic torque with lower copper losses, leading to the higher overall efficiency of the drive. However, exploiting different approaches for each trajectory requires a smooth transition in between. The control strategies in different speed regions are discussed in the rest of this section. Section 5.1 investigates the research works at standstill and very low-speed control of SynRM and analyzes the challenges and the advancements to cover them. A review of the speed range of up to base speed and a review of high-speed and critical speed control of SynRM is addressed in Sections 5.2 and 5.3, respectively. Section 5.4 surveys the studies on wide speed range control and the transition between the ranges.

## 5.1. Standstill and Very Low-Speed Control

Due to the difficulties to measure the speed and the position of the flux in SynRM at very low speed, the sensorless control is currently preferable for VSDs [158,161,167]. Sensorless control applies current and/or voltage sensors and it is called sensorless control since the speed sensor is absent. The sensorless control methods are not only used for low-speed control but also the motors can be controlled in the entire speed range with these methods. Lack of speed sensor downsizes the system and saves space, costs less, eases the implementation of the drive, provides a more reliable system, and gives more flexibility to the system design for environmental compatibility, while it imposes complexity to the control [122,168–171].

We categorized the sensorless control methods into two classes, where the modelbased sensorless control methods are mainly considered for middle- and high-speed range and the saliency-based methods are studied for low-speed range.

Model-based sensorless control techniques are reported to be carried out either with physical modeling [172] or mathematical modeling [173] of the machine. Physical modeling employs rotor slot tracking, saturation by main flux, and customized rotor slots. On the other hand, mathematic-based modeling provides more flexible control techniques. The mathematical model-based sensorless methods include extended electromagnetic force (EEMF)-based methods and direct flux observer (DFO)-based methods. In the EEMF-based methods, the rotor position is obtained as:

$$\theta_e = tg^{-1} \left(\frac{e_{\beta}}{e_{\alpha}}\right), \ \left(\frac{e_{\beta}}{e_{\alpha}}\right) = \left(\frac{u_{\alpha} - R_s i_{\alpha} - L_d p i_{\alpha} + \omega_{\theta} (L_d - L_q) i_{\beta}}{u_{\beta} - R_s i_{\beta} - L_q p i_{\beta} + \omega_e (L_d - L_q) i_{\alpha}}\right) \tag{5}$$

where  $e_{\alpha}$ ,  $e_{\beta}$ ,  $u_{\alpha}$ ,  $u_{\beta}$ ,  $i_{\alpha}$ , and  $i_{\beta}$  are the EEMF voltages and the stator voltages and currents in the stationary reference frame, respectively. The method requires the model of the motor. This fact deteriorates the method's performance in conditions of system mismatch or measurement error. To improve the robustness of the method, some research work proposes adaptive speed observers without a voltage transducer [169].

The second model-based method is DFO, which calculates the rotor position with the estimation of flux linkage, as follows:

$$\theta_e = tg^{-1} \left(\frac{\Psi_\beta}{\Psi_\alpha}\right), \ \left(\frac{\Psi_\beta}{\Psi_\alpha}\right) = \left(\frac{\int (u_\beta - R_s i_\beta) dt}{\int (u_\alpha - R_s i_\alpha) dt}\right) \tag{6}$$

DFO presents a less accurate method in comparison with the EEMF method. Akin to EEMF, the parameter variation can degrade the estimation process in DFO.

As another common approach for sensorless techniques, open-loop estimators and observers have been implemented in many studies [174]. In the sensorless control method, to obtain information such as rotor position and speed, stator flux vector position, back-electromotive force (back-emf), and extended back-emf, estimators are applied in the literature [142]. This information is extracted from the motor state using arc-tangent calculation or phase-locked loops (PLL) tracking estimators [147]. A drawback for estimators can lead to the accumulated numerical error and the integrator drift from DC offsets in measured values [175]. Bearing all these approaches in mind, firstly, machine model application to sensorless control can cause high voltage distortion. Secondly, motor parameter

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variation due to the high temperature and saturation of SynRM is likely, which degrades the method's robustness, leading to instability and less accurate control.

Figure 15 presents a classification of the different sensorless control methods.



Figure 15. Sensorless control methods' classification.

The model-based sensorless methods are not reliable for low-speed range due to the low signal to ratio and the inaccuracy of the parameters, as well as the nonlinearity of the inverters. In contrast to the model-based sensorless control methods, the saliency-based methods are quite reliable for SynRM in the low-speed range, including zero speed. Saliency-based methods generally benefit from the inherent salient structure of the SynRM and are applied using test signals and filters [158]. PWM-based methods [176], signal injection-based [122,145], and stator current variation-based methods [177] are the main concepts for saliency-based control methods. Using test signals, either pulses or high-frequency signals with saliency consideration provides a sensorless control, which avoids the machine's model exploitation. The independence of this method from machine parameters increases the method's robustness against parameter variations and external disturbances in low and even zero speed control. It is worth mentioning that for high-frequency signals, an additional sensor is required. Also, multiple saliencies, non-sinusoidal distribution of saliency, and the non-linearity of the methods are challenging.

The signal injection can be carried out either in the *d*-, *q*-axis or in the  $\alpha$ ,  $\beta$ -axis. The concept of high-frequency injection is described as follows:

$$\begin{bmatrix} V_{d_{HF}} \\ V_{q_{HF}} \end{bmatrix} = \begin{bmatrix} L_{d_{HF}} & 0 \\ 0 & L_{q_{HF}} \end{bmatrix} * \begin{bmatrix} \frac{di_{d_{HF}}}{dt} \\ \frac{di_{q_{HF}}}{dt} \end{bmatrix}$$
(7)

$$\begin{bmatrix} V_{\alpha_{HF}} \\ V_{\beta_{HF}} \end{bmatrix} = \begin{bmatrix} L_1 + L_2 \cos(2\theta_e) & L_2 \sin(2\theta_e) \\ L_2 \sin(2\theta_e) & L_1 - L_2 \cos(2\theta_e) \end{bmatrix} * \begin{bmatrix} \frac{di_{\alpha_{HF}}}{dt} \\ \frac{di_{\beta_{HF}}}{dt} \end{bmatrix}$$
(8)

In the literature, the high-frequency pulsation signals are injected into the *d*- and *q*-axes to obtain the rotor position information. Equation (7) denotes the high-frequency voltage and current relations in a synchronous reference frame. Equation (8) can also be applied to estimate the rotor position. This equation is defined in a stationary reference frame and high-frequency rotating signals are normally applied to determine the rotor position. These concepts are illustrated for HFSI of sensorless FOC of SynRM in Figure 16.



Figure 16. HF injection-based sensorless FOC of SynRM.

Some control theories are applied for sensorless control methods in drive systems. Model reference adaptive system (MRAS) [178–180] open-loop speed estimation [181], EKF [106,182], sliding mode control (SMC) [178], and artificial neural networks (ANN) [114] are the most well-known strategies presented in the literature. With these methods, still, the measurements' integrated drift and highly noisy voltage remain a challenge and very low and zero speed control are controversial issues due to the lack of back-emf.

#### 5.2. Up to Base Speed

SynRM owes its popularity to the high efficiency and can be projected as a dominant motor in industries with the development of VSDs [13]. In particular, in the base speed range, the efficiency issues are attracting more attention in the industries to save energy [12]. Hence, MTPA is becoming inevitable in the recent, more energy aware VSDs, as this method is a smart answer to the call for VSD's efficiency demands.

MTPA is a general strategy for all advanced control techniques, with some considerations for each control method. Regardless of the motor and control algorithm, MTPA has decent flexibility to increase each drive system's efficiency. This method is applied to DTC [98,101,183,184], FOC [142,185,186], DFVC [187], and MPDTC [187,188] for different motors, including SynRMs.

As a brief description, MTPA is an approach to maximize the motor torque towards the current, as follows:

$$\frac{\partial T_e}{\partial i_d} = 0; \tag{9}$$

According to Equation (1):

$$T_e = \frac{3}{2}p(L_d - L_q)i_d\sqrt{i_s^2 - i_d^2} => T_{eMTPA} = \frac{3}{2}p\frac{(L_d - L_q)i_s^{*2}}{2}$$
(10)

It should be noted that Equation (10) is derived from the simplified model of the motor, where the cross-coupling magnetic saturation is neglected. Thus, the current reference of  $i_d^* = \frac{i_{sn}}{\sqrt{2}}$  is applied to low loads where the saturation of the rotor is less likely. As mentioned in the previous section, the cross-coupling magnet saturation is severe in SynRM, and to improve the performance of the control in higher loads, it is inevitable to consider the variable inductances regarding the currents, as well as the saturation effects.

The first efforts directed to MTPA of SynRM have focused on the minimization of input power with different algorithms. The perturbed *d*-axis current and low convergence [189], the instability of the response due to a slow current chattering around the minimum loss operating point, and noisy input power signal effect [190] were some challenges with MTPA strategies. The other issue that the MTPA strategies are faced with is machine parameter dependence. MTPA is an online procedure and it needs the machine parameters knowledge [125]. As a consequence, the nonlinearity of parameters such as inductances will affect the effectiveness of the method [191–193]. Thus, in line with the efficiency improvements of systems through MTPA strategies, the robustness of the drive systems with MTPA strategies is to be taken into consideration. In particular, SynRMs' parameter variations such as inductances to the air-gap flux, PM flux density (in case of PMSynRM) and saturation effects, and stator resistance dependency to the motor's temperature are inevitable [194,195]. Niazi and Toliyat have proposed a robust MTPA for PMSynRM in Reference [142], which calculates the MTPA point, provisionally. Since the saturation effect and high ambient temperature of the motor are inevitable, the method avoids the offline model. Using an online estimator, the practical MTPA point is introduced by method, which proposes a highly efficient and robust method. However, it is likely to fail with this strategy in the initial working point if it is far away from MTPA.

The zero flux situations remain challenging in MTPA control methods. As the position estimators in many research works are applied using machine back-emf, minimum excitation flux should be fulfilled to suitably track the back-emf. For this purpose, in the very low torques, the MTPA approach should be avoided or modified. In Reference [186], an online procedure is proposed for MTPA of SynRM. The algorithm exploits the HFSI strategy in DTC with a random-based perturbation pattern. The method shows a desirable performance in the base speed range. Besides, the method only requires motor resistance for an automatic procedure. However, these strategies can inject perturbation signal waveform, which can cause resonance if the waveform frequency is close to the loads' mechanical resonance. Figure 17 shows the concept of the search for MTPA in this paper. The detector is supposed to generate the flux reference for the DTC algorithm to compare with the estimated flux in the SynRM and generate the error signal for the flux hysteresis controller.



Figure 17. MTPA detector schematic [188].

As can be seen, a random number generator (RNG) block produces a pseudorandom signal with uniform distribution, which distributes the injected signal on a wider harmonic frequency range. This can result in smoother torque rather than that of pure sinusoidal signal injection. The same filters are applied for the current and RNG inputs to maintain the same phase relationship between the signals. Then, the product of the signals is filtered through a low-pass filter with the time constant of the detection procedure ( $T_{detect}$ ). The output of the low-pass filter is magnified employing a PI regulator to generate a compensation signal. The compensation signal is subtracted from the reference flux to generate the desired flux for the opted MTPA operation point. The flux, current, and speed

response of SynRM to the search algorithm are illustrated in Figure 18, respectively. The time steps are presented as vertical dashed lines in each plot. To verify the performance of the algorithm, the flux of the motor is abruptly decreased by 10% from the MTPA operation point at time 1.5 s. Then, after 0.3 s, the perturbation is started. Then, the PI controller starts to regulate its input at 2 s. The algorithm finds the optimal operation point at 3.3 s and is manually deactivated. The results clearly show that the current magnitude rapidly increases to find the optimized operation point. The speed of the motor is not considerably affected in the transient mode. The process successfully finds the MTPA point, where the optimal current settles down in the initial current value.



Figure 18. Automatic MTPA search algorithm response, (a) flux, (b) current, (c) speed [188].

In the base speed range, alternatively, the maximum power factor (MPF) guarantees the proper control of the power of the motor. With this concept in the rated speed range, the MPF is delivered with zero losses. The power factor is described as:

$$cos\Phi = \frac{(L_d - L_q)i_di_q}{L_d\frac{i_d^2}{2} + L_q\frac{i_d^2}{2}}$$
(11)

Therefore, the tangent of the power factor is described as:

$$tan\Phi = \frac{Q}{P} = \frac{L_d \frac{i_d^2}{2} + L_q \frac{i_d^2}{2}}{(L_d - L_q)i_d i_q}$$
(12)

To obtain the MPF for the motor, the minimum tangent should be obtained, where  $\left(\frac{i_d}{i_q}\right) = \sqrt{\frac{L_q}{L_d}}$ . Therefore, the MPF is obtained as follows:

$$MPF = \frac{1 - \frac{L_q}{L_d}}{1 + \frac{L_q}{L_d}} \tag{13}$$

It should be noted that these equations are defined with the assumption of the neglected cross-coupling magnetic saturation and constant inductances situation. Under these conditions, the maximum torque delivered with the motor is higher than the torque under the MTPA condition.

Apart from MTPA and MPF, at the base speed range, the maximum torque is produced by SynRM applying the maximum torque per flux (MTPF) approach. In this strategy, the given stator flux reference is described as:

$$\Psi_s^{*2} = (L_d i_d)^2 + (L_q i_q)^2 \tag{14}$$

$$\left(\frac{i_d}{i_q}\right) = \frac{L_d}{L_q} \tag{15}$$

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Consequently, the obtained torque is:

$$T_{e} = \frac{3}{2}p(L_{d} - L_{q})i_{d}^{2}\frac{L_{d}}{L_{q}}$$
(16)

The resulted torque by MTPF is the highest torque obtained to the given flux reference at very high speed. Regarding the control considerations, the MPF and MTPF approaches can be applied in the control stage.

#### 5.3. Beyond Base Speed

SynRMs possess an inherent unlimited speed range with only mechanical constraints [165]. Owing to the anisotropic rotor design, the saliency of the rotor leads to the reluctance torque. This specific characteristic of the rotor saliency promotes a more convenient FW operation for control purposes. In general, for motors in traction applications and spindle drives, the MTPV in the FW region is vital. While many studies in FW operation are utilizing FOC algorithms, the control methods of MTPV operation in the research works are mostly based on DTC [96,132,196].

For the sake of high-speed operation of SynRM, the increase of back-emf is inevitable. Consequently, a reduction of the stator flux is required to reach higher speeds. The stator flux produces the electromagnetic torque, which will be reduced by the reduction in stator flux at high speed. Furthermore, for the given current level, the high speed of the motor requires an imminent regulation of stator flux and electromagnetic torque, concurrently, so that the current and voltage limits will not be exceeded. Regarding direct torque and flux control of DTC, this method can serve as the best choice for FW operation. However, FOC- and MPC-based MTPV operation algorithms of SynRM in the FW region can be found in the literature [140,165,197,198]. Due to the sensitivity of current vector control to the orientation errors in the MTPV region, such as encoder offset, any angle error can result in undesired torque reversal. This is the result of the closeness of the current vector to the negative *d*-axis. Moreover, the current vector control imposes some complexities, particularly in the FW region [199]. As MPC requires a precise model of motor, the control method's performance under FW operation can be extremely deteriorated by parameter variations.

The SynRM's parameters, and in particular inductances, are more likely to change under the iron saturation situation. Therefore, among FW operation concerns, the robustness of the controller against parameter variations of SynRMs as well as external disturbances are vital considerations [170,200]. In Reference [132], a robust FW algorithm for the DTC algorithm has been presented for the DTC of SynRM. This algorithm modifies the flux reference concerning the torque error and provides a smooth transition between MTPA to the FW speed region. DFVC can be another simple solution for FW of SynRM, which is proposed in References [107,187]. Although this method provides a desirable base for simple and high-performance control of SynRM, in the FW area, the machine is always along the intersection between the limits of current and voltage. This drawback of DFVC avoids the motor to reach MTPV operation. In Reference [64], Gianmario et al. have worked on the DFVC in the FW region in case of MTPV limitations. This method provides an optimal maximum flux vector phase angle, so the MTPV limits are satisfied. A model-based FW strategy is proposed for synchronous machines in Reference [201] to leverage the presence of digital non-linear models. The superiority of this method is the operation without the need of FW regulators that ensures a seamless transition between the operating regions of the machine.

## 5.4. Transition Between Different Speed Ranges

The wide speed range control of SynRM has attracted a lot of attention in the literature, and the low-speed and high-speed control of SynRM have been studied, concurrently. The attempt to implement the control strategies in the whole speed ranges requires a more scrutinized study on the transition of the motor speed between different trajectories. For this purpose, firstly, it is crucial to opt for a proper control strategy for each speed region. Secondly, the integration of the strategies should be considered in the method and a comprehensive mechanism should be devised to provide a proper control algorithm with high performance in each speed region and a smooth transition between control regimes. As discussed previously, control trajectories are mainly divided into three regions. In this category, the standstill and very low-speed and MTPA and FW regimes are generally considered. It should be noted that the torque and flux of SynRM must always be limited by MTPA or MPTF limits, as well as voltage and current limits. As an example, Zhang et al. have studied two regimes of MTPA and FW in Reference [132]. In this research work, the MTPA trajectory is described as:

$$|\lambda_s| = \sqrt{\frac{2}{3p} \frac{L_d^2 + L_q^2}{L_d - L_q} |T_e|}$$
(17)

In this trajectory, the control algorithm produces the minimum current concerning the torque, which decreases the copper losses. These flux and torque demands are required with the method when the motor speeds up to the rated speed. This trajectory is referred to as region I in this method. If the speed passes the base speed, the FW strategy is applied. In this scenario, the maximum inverter voltage is applied to the motor. The FW trajectory is divided into two regions of region II and region III. In region II, the flux reference and the torque reference of the motor are obtained as:

$$|\lambda_{s}| = \sqrt{\left(L_{d}^{2} - L_{q}^{2}\right)i_{d}^{2} + \left(L_{q}I_{max}\right)^{2}}$$
(18)

$$T_e = \frac{3p}{2} (L_d - L_q) i_d \sqrt{I_{max}^2 - i_d^2}$$
(19)

where,  $I_{max}$  is the machine's maximum current. In this region, the machine's current limit is satisfied. In this study, region III is referred to as the MTPF trajectory. In this region, the relation between the flux and the torque is defined as:

$$T_e = \frac{3p}{4} \frac{L_d - L_q}{L_d L_q} |\lambda_s|^2$$
(20)

In the MTPF trajectory, the motor can spin at a very high speed and only mechanical constraints limit the speed of the SynRM. In Figure 19, the defined regions are mapped onto the torque-flux plane.

A comprehensive study of sensorless DFVC control of SynRM in a wide speed range is presented by Yousefi et al. [107,187]. Figure 20 demonstrates the block diagram of this method, which implements MTPA, FW, and active flux and position observer for speed sensorless drive objectives in one comprehensive drive control approach. Using a combination of HFSI and active flux and position observer makes the method capable of operation in even zero-speed condition, which avoids position estimation error resulted from cross-saturation. Covering the MTPA law and FW approach, the method is capable of a very broad speed operation range from standstill to the very high speed, with highly efficient and high-performance control. This technique seems a desirable choice for the applications that require a wide speed range such as home appliances, or automotive and aerospace actuators and generators. This work provides constant switching frequency with the application of the PWM block. Operating in stator-flux-oriented coordinates, the algorithm requires the flux vector precise position causing a complex and less robust control method.



Figure 19. Control trajectories illustration for DTC of SynRM [132].



Figure 20. Sensorless DFVC scheme [107].

## 6. Discussion and Conclusions

The need for motor-drive systems in the industry and domestic applications is undeniable. This paper showed that the state-of-the-art SynRMs' drive package has been introduced to the market with higher efficiency than the IM drive package and potentially lower cost. Moreover, with a lower price and more environmentally friendly and simpler structure, SynRMs' drive package presents comparable performance versus PMSM drive packages. This study briefly investigated the opportunities for modeling and design improvements in SynRM. We analyzed the highlighted structures and proposals for performance enhancement including lower torque ripples and higher torque and power density, along with higher power factor of SynRM. The study shows a considerable improvement in motor performance and an upward trend in research works with potentially better performance of the whole package. The literature review shows a notable advancement in the SynRM drive systems towards high efficiency, low cost, and environmental concerns. In this sense, the SynRM drive offers a sustainable system. The study shows that the trend to investigate the developments of these systems is upward. The latest SynRM drive packages are applied in the industry and more potential is projected for these systems. Motor control methods may not guarantee the acceptable level of all control aspects, for example, good robustness, fast dynamic, low torque ripple, and accurate tracking, when singly used in drive systems. For this reason, research and development in the field of electric drives are incrementally updated to develop the technical knowledge and provide a modified version of the drive system, as much as possible. We studied the more popular control strategies and investigated the advantages and disadvantages of each method. Regarding the highlighted feature of each method, we classified the methods, which can provide a reliable reference for the researchers to overview the motor control methods.

A high-performance controller for VSD-fed SynRMs was evaluated by different factors. Three more important factors are the robustness of the controller against parameter variation and external disturbances, the level of torque ripples imposed on the average torque, and the more efficient drive in a wide speed range. Both electrical parameter variability and torque ripple are chiefly generated from the anisotropic rotor structure of SynRMs. The undesirable parameter variation can lead to degraded performance of the drive system. Furthermore, disregarding the system mismatch is in contradiction to efficiency, productivity, and environmental and energy issues. To tackle parameter variation problems, a robust control algorithm should be developed because the parameter variation has a direct impact on machine controllability. The robustness of the controller against external disturbances, such as tough load torques, can be investigated and modified. The study shows that one of the biggest concerns in the SynRMs drive systems is the high torque ripples compared to other technologies. A high level of torque ripple causes pulsation and vibration, which can not only lead to the low efficiency of the systems but also the noise in the environment. We reviewed the techniques and proposals for both the design and control aspects that are addressed to decrease the torque ripples.

Designing a control method to cover the whole speed range of the motors with desired efficiency and performance seems an overwhelming task due to the imposed theoretical and practical constraints. Considering the vast potential uses of SynRMs with VSDs, more intelligent control techniques are projected to be developed to preserve the striking efficiency of these motors in a wide speed range with desirable performance in both transient moods and steady-state. This expanded review paper covered the control methods that are proposed to enhance the performance and controllability and efficiency of the SynRM from standstill to very high speeds. We gathered some approaches for other motor technologies that can be applied to SynRM with some modifications and advised for future research works. The findings and outcomes of the paper may be interesting and usable for researchers, industrial companies, and manufacturers of SynRM drive systems.

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