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- 1 Investigation on the effect of entrained air on pore structure in hardened concrete using MIP
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- 4
- 5 Abstract

6 The influences of entrained air on the pore size distribution and pore parameters of hardened 7 mortar and concrete were investigated by MIP. It was found that the dosage of AEA does not affect 8 the critical diameter for mortar or concrete. For air-entrained mortar with w/c of 0.38, the absence 9 of superplasticizer leads to the critical diameter and threshold diameter shifting significantly to 10 larger pores; and the AEA combined with SP can enhance the ink-bottle effect. As for air-entrained 11 concrete, it is evident that the ITZ peak goes higher and shifts to coarser pores as the entrained air 12 content increases; the ITZ fraction is most highly correlated with the air content (with R-square of 13 0.9385); also, it was indicated that more entrained air voids in concrete led to a high ITZ fraction. 14 Therefore, together with larger ITZ pores  $(d_{c-ITZ})$ , it promotes the ITZ percolation.

15 Abbreviations: MIP, Mercury Intrusion Porosimetry; AEA, Air-entraining agent; SP, Superplasticizer;

16 ITZ, Interfacial Transition Zone; ITZ peak, the second peak on the differential intrusion curve

17 obtained from MIP; ITZ porosity, the porosity of the ITZ peak covered on the differential intrusion

18 curve obtained from MIP; ITZ fraction, the fraction of ITZ porosity of total porosity;  $d_{c-ITZ}$ , critical

- 19 parameters related to ITZ peak
- 20 Keywords: Entrained air; concrete; MIP; interfacial transition zone; porosity
- 21 1. Introduction

22 An important advance in concrete technology was the development of air-entrained concrete in the 23 mid-1930s [1]. The entrained air voids in hardened concrete provide protection against frost damage. 24 Especially in northern latitudes, air entraining is mostly required to secure the frost resistance of 25 concrete. Air entraining increases the porosity of concrete and the correct volume and size of air 26 voids are needed [2,3], which will affect the microstructure and pore structure of the hardened 27 concrete. As we know, porosity is decisive in concrete technology; it affects both the mechanical and 28 durability properties of concrete. Typically, concrete technology is about reducing the porosity to 29 make the concrete both stronger and more environment-resistant, whereas the air-entraining agent 30 (AEA) deliberately creates pores in the concrete to prevent the concrete from suffering from freezing. 31 Both attract our interest to explore how the entrained air affects the whole pore system in air-32 entrained concrete.

Further, AEA was used to introduce air voids intentionally during the production of concrete. Entrained air voids provide a relief system for internal ice pressure by providing internal voids to accommodate the volume expansion caused by freezing water. Entrained air voids are discrete, individual bubbles of spherical shape, usually in an amount of about 2–6% by volume of the concrete. They are generally assumed to be distributed uniformly throughout the cement paste, are not connected with each other and cannot form a continuous flow channel, and have no effect on the permeability of concrete [4]. However, it is not the case as expected that the air voids have no effect

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40 on the permeability of concrete. Entrained air voids disturb the distribution of pores and the particle 41 size, which may cause a significant change in the microstructure of the hardened concrete, and 42 particularly in its pore structure. This change may, in turn, influence the permeability and strength of 43 the hardened concrete. Besides, the entraining of air voids is accompanied by variation in the 44 cement, water, and concrete content. For instance, increasing air content may be accompanied by a 45 decrease in the aggregate content if the cement content and effective water–cement ratio (w/c) are 46 kept constant; therefore, the actual contribution of the entrained air voids cannot be isolated.

47 In general, the studies about the effect of entrained air on hardened concrete mainly deal with three 48 aspects: 1) the influence on mechanical properties such as strength. For instance, a well-known 49 study revealed that every 1% increase in air content decreases the compressive strength of concrete 50 by 4–6% [5]; 2) the influence on frost resistance; 3) the effect on transport properties and 51 microstructure. With respect to 2), extensive previous studies focused on characterizing the void 52 system and determining its frost resistance [2,6,7]. The spacing factor, specific surface, and total 53 content of air voids were usually employed to characterize the void system. Aspect 3) is the 54 foundation, which is closely related to the pore structure and can offer theoretical support to 55 aspects 1) and 2). Concerning the studies of transport properties, there was an earlier dispute over 56 the air voids increasing or decreasing the transport coefficient (such as the conductivity coefficient) 57 [8,9]. H.S Wong et al. in 2011 carried out a systematic investigation into the influence of entrained 58 air voids on the microstructure and bulk transport properties of concrete under different exposure 59 conditions (saturated and non-saturated) [10]. They concluded that entrained air voids can increase 60 or decrease the transport properties, depending on the transport mechanism under consideration, 61 and the moisture content of the voids. Under non-saturated conditions, empty air voids act as 62 insulators and the bulk electrical conductivity is decreased. However, saturated air voids behave as 63 conductors and increase the electrical conductivity. Thus, every 1% increase in air content increases 64 the transport coefficient by about 10% or decreases it by 4%, depending on whether the air voids act 65 as conductors or insulators. Besides, air entrainment increases the gaseous diffusivity and permeability by a factor of up to 2–3 with the highest air contents, regardless of the w/c ratio, curing 66 67 age and conditioning regime. Later, P. Heede et al. in 2013 reported that AEA increased the water 68 sorption under vacuum and the apparent gas permeability [11]. This seems consistent with H.S. 69 Wong's conclusion. However, water capillary absorption in air-entrained concrete has also been 70 studied by Li et al. (2016) [12] and Zhang et al. (2017) [13]. Both results showed that the penetration 71 depth and absorbed water are significantly reduced by air entrainment due to the larger artificial 72 pores interrupting the fine pores of the hardened cement paste and resulting in incomplete filling 73 with water. Here it seems the air voids were unsaturated and behaved as insulators. Zhang et al. in 74 2018 [14] compared the chloride diffusion coefficients of air-entrained concretes (and ordinary 75 concrete as reference) using the RCM method The results showed that the diffusion coefficients for 76 concretes with 0.53 water-binder ratio (w/b) did not decrease as the air content increased, even 77 though all the concretes had the same exposure condition (with similar saturation). The same 78 scenario for a lower water-binder ratio (0.35) group of concretes showed that the highly air-79 entrained concrete had a higher value of chloride diffusion, and the reference concrete and 80 moderately air-entrained concrete had similar values. This phenomenon apparently cannot be 81 explained only by the insulator and conductor theory.

When it comes to the transport properties (such as oxygen permeation, chloride transport, water absorption, etc.) of concrete, the transport media, transport substances, and initial conditions are definitely involved together. Transport is dependent on 1) the intrinsic pore structure, involving the pore size distribution, connectivity, tortuosity, ITZ (interfacial transition zone), particles distribution, etc. that characterize the transport medium; 2) the properties of the transport substances (water, chloride, carbonate, sulfate, etc.), where generally water serves as a carrier for the ions of the substances; 3) the initial conditions (prior exposure history).

89 Concerning the transport properties of air-entrained concrete, the insulator and conductor theory 90 for air voids is reasonable from the aspect of prior exposure history and there is a need to seek an 91 explanation from the aspect of the intrinsic pore structure. Ultimately, entrained air voids affect the 92 pore size distribution, particle distribution and even the ITZ of the pore structure of concrete. More 93 studies worked on the influence of air voids on the microstructure of concrete, especially the 94 influence on the interfacial transition zone (ITZ). H. S. Wong studied the air void-paste interface with 95 BSE and pointed out that the porosity near the air void interface is about 2–3 times that of the bulk 96 paste, and the width of the interface is around 30  $\mu m$  from the void boundary [10]. The width of the 97 void–paste interface is in the range typically reported for the aggregate–cement ITZ width of 20–50 98  $\mu m$  [15]. The result also showed that for a given ITZ width of 30  $\mu m$  (the thickness of the layer of 99 paste around an air void or an aggregated particle that is more porous than bulk paste), increasing the air content from 0.5% to 8.2% increases the ITZ fraction from 0.4–0.9. Gao et al. analyzed the 100 101 effect of air voids on the paste-aggregate ITZ by microhardness under the condition of similar total 102 porosity; the results showed that the width of the ITZ decreased and the microhardness of the ITZ 103 increased with the decrement of the average air void size, which will result in a decrease in the loss 104 of compressive strength [16]. Besides, Amin Ziaei-Nia et al. simulated thermal stress in concrete with 105 finite element software and suggested that air bubbles can reduce the plastic strains in the ITZ [17]. 106 However, all the above literature did not consider ITZ percolation for the whole continuous pore 107 system. As the entrained air affects the ITZ, it will affect ITZ percolation.

108 With respect to the influence of entrained air on the microstructure of concrete, less attention has 109 been dedicated to the effect of entrained air on the continuity of the pore system of concrete, which 110 is necessary for better understanding and improvement. Understanding how entrained air voids can 111 affect the continuity of the pore system is vital for estimating the transport properties of air-112 entrained concrete. This study aims to investigate the effect of entrained air on the pore size 113 distribution of concrete and some critical pore parameters. MIP measurement was adopted to 114 obtain the pore information because it can evaluate a much wider range of pore sizes than any 115 alternative method practiced currently. Besides, some pore structure parameters deduced from MIP 116 measurement can be used in analytical and empirical property-microstructure models.

117 2. Review of the methodology of Mercury Intrusion Porosimetry (MIP)

118 Mercury intrusion porosimetry (MIP) has become one of the most widely used methods for 119 obtaining pore information of cementitious materials since it was introduced for concrete by L. 120 Edel'man et al. in 1961 [18]. The technique is relatively easy and quick to perform, takes less than an 121 hour to complete, and has a great capacity to evaluate a much wider range of pore sizes than any 122 alternative method practiced currently. Despite these merits, there is still much debate about the 123 reliability of this method, due to several inappropriate assumptions and drawbacks. Firstly, the pore 124 size distribution obtained by MIP is based on the Washburn equation model. In this model, it is 125 assumed that the pores are taken as cylinders of a diameter that departs from the reality of the pore 126 system, which has pores of different sizes and shapes. Another assumption is the contact angle. It is 127 affected by several parameters such as properties of the cement paste, the characteristics of the 128 pores, and mercury itself. The difference in contact angle values depends on the technique used to 129 measure them and it is difficult to decide which one gives the correct value. A solution to determine 130 the uncertainty of the contact angle is to select a conventional value for it, which would lead to a constant error in the results. Whatever value is used in the test, it should be reported with the 131 132 results.

Another uncertainty of MIP results is the presence of ink-bottle pores, which leads to hysteresis and mercury retention in the pores. Yet another inaccuracy of the MIP test is the alteration of the initial pore structure. One factor is the drying pretreatment of the MIP specimen, which may change the initial pore structure. Another is that the pressure involved in intrusion produces alteration of the

pore structure, especially at high pressure. It was suggested that high pressure applied on the 137 138 mercury for intrusion may result in temporary and permanent alteration in the microstructure of the 139 cement paste [19,20]. However, it was reported that the error due to alteration of the pore 140 structure is no more than 3% [21]. It was generally expected that the specimen under test would be 141 damaged only if the porosity was very high, or if there was a significant number of closed pores [22]. 142 Thus, the effects of these factors can be neglected without introducing significant error. In addition, the literature reports that the specimen size influences the MIP results [23]. The sample size stands 143 144 for a characteristic length scale rather than the sample volume. The pore size distribution and 145 connectivity of pores have significant effects on the length scale. If the length scale is below the 146 minimum sample size, there will be no size effect on the MIP results [24]. Typical acceptable 147 specimen volumes used in commercially available instruments for MIP tests range from a few cm<sup>3</sup> up 148 to 15 cm<sup>3</sup> [25].

149 However, MIP still has excellent value in cement and concrete research. First of all, MIP allows 150 horizontal comparison of relative changes in different pore systems and provides a comparative 151 assessment of the refinements that are taking place within a given system [26]. Furthermore, several 152 meaningful parameters deduced from MIP tests can be employed in establishing property-153 microstructure relations [27,28]; for instance, the critical diameter from MIP results can be used in 154 the Katz-Thompson equation to predict the permeability of cement paste. Hence, all the influencing 155 factors (such as the sample preparation, sample drying method, contact angle, surface tension, etc.) 156 should be fixed in the same conditions when making the pore structure comparison based on MIP 157 results.

158 MIP measures the continuous pore system, and closed pores below the threshold accessible to 159 mercury are excluded. However, large pores due to entrained air or compaction voids in air-160 entrained concrete are mostly interconnected and therefore can be reached via smaller capillary 161 pores. This should affect the pore system detected by MIP. Thus, this is of interest in this research.

162 3. Experiment

3.1 Materials and preparation of air-entrained concrete specimens 163

164 Portland limestone cement CEM IIA 42.5 R was adopted as the cementitious material for the air-165 entrained concrete. The aggregate was granitic gravel with a 16 mm nominal maximum size. Air-166 entraining agent (AEA) ILMA-PARMIX was used in the concrete for creating stable air bubbles. The mix designs of test concrete were aimed to explore the effect of air-entraining agent on the pore 167 168 structure of concrete, so no superplasticizer (SP) was added. The water-cement ratio for every 169 concrete was higher than 0.6 to ensure suitable workability. The different concrete mix designs investigated are described in Table 1. The air content of the hardened concrete was determined with 170 171 the pressure-saturation method according to the old Finnish standard SFS-4475.

	Table 1. Mix composition of air-entrained concretes.						
Mix		Cement (kg/m <sup>3</sup> )	Water–	Aggregates (kg/m <sup>3</sup> )	AEA (% of	Aggregate volume	Air content (%)
		(	ratio	(	cement)	fraction	(///
	A3-0.01	262	0.65	1964	0.01	0.73	3.0
	A5-0.02	262	0.65	1910	0.02	0.71	5.0
	A6-0.03	262	0.65	1883	0.04	0.70	6.5
	B2-0	285	0.63	1890	0.00	0.70	1.6
	B3-0.01	285	0.63	1837	0.01	0.68	3.5

- 174 Cubic concrete beams (100 mm · 100 mm · 500 mm) for the mixes were cast in the laboratory. After 175 demolding at an age of 24 hours, the concrete samples were exposed for 28 days in a curing 176 chamber at 20 °C and 95% RH. Subsequently, 3 parallel cylindrical samples were drilled from the 177 center part along the centerline of the square prism for every concrete. All the drilled cylindrical 178 samples had the same diameter of 24 mm, and the variation of height was from 20 to 32 mm. For 179 different concretes, samples were drilled around the same location. Before tests, the samples were 180 vacuum-dried for 3 weeks.
- 181 3.2 Materials and preparation of air-entrained mortar specimens

Portland cement CEM/B-M (S-LL) 42.5 N containing blast furnace slag was selected as cementitious
material. The sand adopted was granitic gravel with a maximum size of 2 mm. Air-entraining agent
Master Air 100 and polycarboxylate ether-based (PCE) superplasticizers (named MasterGlenium SKY
600) were used in the mortar, which were both produced by BASF SE.

186 The air-entrained mortar with lower water-cement ratio was designed with the aim of investigating 187 the effect of the dosage of AEA on the pore structure of the mortar. Due to the lower water-cement 188 ratio, superplasticizer (SP) was added. Four groups of air-entrained mortar mix with 0.38 w/c and 189 containing 0.45% sand by volume were prepared. The dosage of air-entraining agent varied from 0-190 0.07% of cement by weight, and the flow diameter was 160–200 mm (test according to ASTM C 1437) 191 after 60 min with a superplasticizer dosage of 0.6% of cement. The air content in the hardened 192 mortar was also determined with the pressure-saturation method, which is demonstrated in Table 3. 193 The code of the air-entrained mortar was denoted as, e.g., M-0.6%-0.07%, the first number 194 indicating the dosage of superplasticizer and the second number indicating the dosage of air-195 entraining agent. Also, one blank set of air-entrained mortar with the same mix design but without 196 superplasticizer was prepared and denoted as M-0-0.07%.

197 The specimens (40 mm· 40 mm· 160 mm) for the mortar mixes were cast and cured for 28 days with 198 the same procedure as the concrete. Cylindrical samples with diameter of 14 mm and height 15 mm 199 for MIP tests were drilled from the center of the mortar specimens. Before tests, the cylindrical 200 samples (diameter: 14 mm, height: 15 mm) were dried under the condition of 50 °C and relative 201 humidity of 50–60 % for 48 hours.

202 3.3 MIP test

203 The choice of contact angle does not affect the results obtained for total porosity. Still, it has an 204 impact on the pore size distribution, and the threshold diameter determined [29]. The contact angle 205 should be determined concerning the cement paste's age, composition, pretreatment method, etc. 206 The most common quoted values for the contact angle are 130° and 140°. Considering the sample's 207 maturity and pretreatment method in this study, the assumed contact angle was 130° for the mortar 208 and 141.3° for the concrete, respectively. The surface tension was kept at 0.480 N/m, and the 209 equilibration time was chosen to be 50 s for each pressurization or depressurization step during 210 testing.

MIP measurements were performed with a Micromeritics Autopore IV 9500 version 2.00, which is capable of measuring pore diameters from 0.003 to 1000  $\mu m$ . The maximum intrusion can reach 413.7 *MPa*, corresponding to 0.002 to 0.003  $\mu m$ . In this measurement, it was found that there was no mercury in the samples at a level up to 206.8 *MPa* (corresponding to the measurable diameter of around 0.007  $\mu m$ ). The dilatometers for the actual MIP instrument are of 5 cc for the mortar samples and 15 cc for the concrete samples, respectively. All the MIP results presented in this paper are obtained from the average of at least three individual measurements. Three replicates of ordinary Portland cement (OPC) mortar samples with w/c of 0.38 were employed to check the reproducibility of the MIP measurements. A variety of tested parameters are listed in Table 2. For an overview of the data, the sample weight is around 5 g. The difference in total porosity between the maximum and the minimum value is 0.189 % with a coefficient of variation (CV) of 1.09 %. The CV for other parameters, i.e., bulk density and skeletal density, are all less than 1%. This indicates that the MIP test exhibited a good reproducibility in this study.

224

225	Table 2. Data of three replicate	s for OPC mortar	(w/c=0.38, 28 days	, with 0.6% SP).
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Sample no.	Weight (g)	Total porosity (%)	Bulk density (g/mL)	Skeletal density
1	4.7530	9.168	2.1974	2.4193
2	5.0590	9.357	2.1905	2.4167
3	4.8500	9.201	2.1957	2.4182
Average	4.8873	9.242	2.1945	2.4181
STDEV	0.1564	0.1009	0.0036	0.0013
CV (%)	3.19	1.09	0.16	0.054

226

### 227 3.4 Scanning electron microscopy (SEM) test

In MIP studies, changes in the pore structure of air-entrained mortar were observed for different
 entrainments. The microscopic studies aimed to clarify these changes in pore structures and
 morphology due to chemical admixtures.

The microscope used was a Zeiss GeminiSEM 300. Before the SEM test, the mortar sample preparation method was the same as for the MIP tests. After the samples were dried at 50 °C for 48 hours, their surface was gold-treated to make them conductive for SEM studies.

- 234 4. Results and discussion
- 235 4.1 Review of pore information acquisition from MIP

236 MIP data is obtained by recording the volume of mercury that intrudes in the porous specimen as a 237 function of pressure. A pressurized curve is the curve of the intruded volume of mercury (V) vs. 238 applied pressure (P); however, this curve cannot be used to obtain information about the pore 239 structure parameters. The readings of the intruded volume need to be corrected and normalized in a 240 variety of ways, such as dividing the intruded volume by the specimen mass (resulting in units of 241  $cm^3/g$  or mL/g) or by the specimen bulk volume. In typical plots, the ordinate includes the 242 cumulative intruded volume per unit specimen mass or per unit bulk specimen volume, and the percent of the total intruded volume; the x-axis expresses the pressure or pore size (pore radius or 243 244 diameter). Values of the pore radius corresponding to the specific value of pressure at any time 245 during the experiment can be calculated through the equilibrium equation [4]:

$$246 r_P = \frac{-2\gamma_m \cos\theta}{P} (1)$$

where  $r_p$  is the pore radius (m),  $\gamma_m$  is the surface tension between the mercury and the pore wall (N/m),  $\theta$  is the contact angle between the mercury and the pore wall (degree), and p is the pressure applied on the mercury to intrude the pore  $(N/m^2)$ . Some advanced equipment directly offers the value of the pore radius or diameter from the experimental data.

251 The most common parameters used to characterize cement-based materials' pore structure include 252 the porosity, hydraulic radius, specific surface area, threshold diameter, and pore size distribution, 253 all of which can be provided by the MIP method. However, it should be kept in mind that the MIP method cannot provide the absolute value of parameters, and neither can other experimental 254 255 methods. Each method only gives a characteristic value that depends on the principle of the 256 technique used and the nature of the specimen tested. Therefore, we should not expect a perfect agreement between the values of the parameters determined by MIP and by other methods. For 257 258 instance, the total porosity determined by MIP is a kind of effective porosity, not the absolute 259 porosity, and indicates the total volume of mercury intruded (intrudable porosity).

The most commonly used plots from MIP are summarized as the following: cumulative intrusion volume vs. pressure or diameter (cumulative pore volume curve); cumulative porosity vs. diameter (cumulative porosity curve); differential intrusion volume vs. diameter; differential surface area vs. pressure (or vs. diameter); differential surface area vs. pressure (or vs. diameter).

### 264 4.1.1 Pore parameters determined from MIP

265 The most frequently used parameters in analytical and empirical property-microstructure models 266 are the intrudable porosity ( $\phi_{in}$ ), the critical pore diameter ( $d_c$ ) and the threshold pore diameter  $(d_{th})$ , which can be determined from the cumulative pore volume curve and differential pore 267 268 volume curve. The intrudable porosity can be obtained from the value of the highest point on the 269 cumulative pore volume curve. For the cumulative pore volume curve, when the y-axis expresses the 270 intruded volume per unit specimen mass, the calculation of the intrudable porosity requires the 271 specimen's bulk density. The value of the bulk density can be deduced from the amount of mercury 272 displaced by the sample in the specimen cell after the initial filling at low pressure, which is a known 273 volume. The calculation of the intrudable porosity from the value of the cumulative pore volume can 274 be expressed as below:

275 
$$\phi_{in} = \frac{v_t \cdot m_{sample}}{m_{sample} / \rho_{bulk}} = v_t \cdot \rho_{bulk}$$
(2)

and if the skeletal density is also a known value like the bulk density, the intrudable porosity can alsobe calculated with Equation (3):

278 
$$\phi_{in} = \frac{m_{sample}/\rho_{bulk} - m_{sample}/\rho_{skeletal}}{m_{sample}/\rho_{bulk}} = 1 - \frac{\rho_{bulk}}{\rho_{skeletal}}$$
(3)

where  $\phi_{in}$  is the intrudable porosity,  $v_t(mL/g)$  is the total intrusion volume at the highest pressure,  $m_{sample}(g)$  is the mass of the sample,  $\rho_{bulk}(g/mL)$  is the bulk density at the lowest pressure, and  $\rho_{skeletal}(g/mL)$  is the skeletal density at the highest pressure. In this paper, we take the intrudable porosity as the total porosity according to convention.

283 The critical diameter corresponds to the steepest slope of the cumulative pore volume curve and 284 the highest point on the corresponding logarithmic differential pore volume curve, as shown in 285 Figure 1. The critical diameter is the most frequently occurring pore size in the interconnected pores 286 that allows the maximum percolation throughout the pore system, which controls the transmissivity 287 of materials and is more often used to examine the effects of factors such as the water-cement ratio, 288 temperature, etc., on the pore structure's change.

289 The threshold diameter was introduced to consider the possibility that large pores can be present in 290 the interior of the cement paste but have access to the exterior only through small size channels of 291 the continuous pore system. Winslow and Diamond in 1970 defined the threshold diameter as the 292 minimum diameter of channels that are essentially continuous through the cement paste [30]. It 293 should be emphasized that the critical diameter describes the size of the pores, while the threshold 294 diameter describes the size of the transport paths (channels), both of which are not even of the 295 same order. The threshold diameter occurs at a larger radius [31]. Young in 1974 suggested that 296 above the threshold diameter only the interconnected large capillary pores are intruded, and below 297 the threshold diameter the void space between C-S-H gel "needles" is filled, which represents a large 298 portion of the intrudable volume [32]. Diamond proposed that "choke points" correspond to the 299 narrowest place of the constricted long percolating chains. The long percolating chains, made up of 300 various sizes of pores, constitute the capillary pores in the cement paste [33]. He took the pore 301 diameter at the choke points as the threshold diameter. Also, he suggested that when the mercury 302 pressure is enough for mercury to flow through these constrictions, a large portion of the whole 303 pore system is simultaneously intruded, which produces a very steep rise in the curve of cumulative 304 pore volume vs. pore diameter. The first inflection point on the curve where the slope increases 305 abruptly indicates the threshold diameter, which marks the onset of percolation. Above the 306 threshold diameter there is comparatively little mercury intrusion, and immediately below it the great portion of intrusion commences. In a similar way, other researchers also associate the 307 308 threshold diameter with the point where the initial rapid increase in the cumulative porosity curve 309 occurs [34,35].

310 It has been found that the permeability of cement paste is more sensitive to the threshold diameter

than to the total porosity, both of which parameters can be determined using the MIP technique

312 [36,37]. The increased chloride permeability of pastes with a high water-cement ratio is most closely

313 correlated with the increased threshold diameter; this is probably because the threshold pore size is

the widest and most accessible path for fluid transport in the cement paste [38].

315 Researchers are still in dispute over the determination of the threshold pore diameter. The selection 316 of the first inflection point on the cumulative intrusion curve tends to more subjective, thereby 317 leading to error. Liu and Winslow made tangents to the curve from below and above the first 318 inflection point and used the intersection point from the tangents to determine the threshold pore 319 diameter [38]. This tangent method is more objective and reasonable compared to the first 320 inflection method, as has been discussed by Hongyan Ma [39]. The definitions of the three 321 parameters adopted in this research are presented in Figure 1. Our research focuses on the 322 investigation of the three most used parameters derived from the cumulative pore volume curve 323 and differential pore volume curve from MIP.

324



### 326

327

Figure 1. Definition of parameters from MIP tests.

328

### 329 4.1.2 Hysteresis and entrapment of mercury

Besides the three main parameters stated above, hysteresis between the intrusion and extrusion curves can be observed from the cumulative intrusion curve. This indicates that the extrusion path during depressurization does not follow the intrusion path during pressurization. In addition, the intrusion–extrusion cycle does not close when the initial pressure is reached, indicating that some mercury remains entrapped in the pore system after complete depressurization. Thus, the two phenomena, the entrapment of mercury in the pore system and hysteresis between the intrusion and extrusion curves, can be revealed by the cumulative intrusion curve, as shown in Figure 2.

337 Considering the hysteresis between the intrusion and extrusion curves, the pore size distribution 338 plots derived from the volumes and pressures obtained from the intrusion curve will be different 339 from the corresponding plots from the extrusion curve. Typically, researchers use the first cycle's intrusion curve to plot the pore size distribution. After reducing the pressure to atmospheric 340 pressure, various amounts of mercury remain irreversibly entrapped in the specimen, depending on 341 the porous material. The amount of entrapped mercury can vary from a negligible portion up to 342 343 nearly the total volume of pores, depending on the pore structures of the materials. The literature states that 1/3 to 1/2 of the intruded mercury may not exit the spontaneous pores upon 344 345 depressurization [40]. Feldman has reported that the residual mercury in higher in plain cement pastes, and lower in blended pastes, which depends on their composition [41]. 346

347





Figure 2. Intrusion–extrusion hysteresis curves and entrapment of mercury in mortar specimen. The
 arrows indicate the direction of intrusion and extrusion.

352 The non-closure hysteresis loop between the intrusion and extrusion curves is a universal feature of 353 MIP and can be observed in nearly all specimens during MIP measurement. The most commonly 354 used theories that explain the hysteresis are the ink-bottle effect and differences in the contact 355 angle. Other theories are the pore potential (energy barrier theory), the surface roughness of the 356 pore walls, contamination of the material's surface by mercury, and percolation/connectivity theory 357 (network effect). Connectivity theory is similar to the ink-bottle effect, which involves pores 358 connected by continuous paths/channels. However, none of the mechanisms can by themselves 359 explain the phenomena of hysteresis and entrapment sufficiently. The phenomena are probably a 360 consequence of more than one mechanism. Our intention is not to discuss the rationality of the 361 various theories. However, the aim is to compare these two phenomena (hysteresis between the 362 first cycle's intrusion and extrusion curves, entrapped mercury after the first depressurization) between plain mortar and air-entrained mortar. It is assumed that the "ink-bottle effect" can 363 364 interpret the phenomena, despite having flaws in the explanation. In the porous system, the large 365 pores (wide cavity/pore) are connected by smaller constrictions (neck/narrow throat). Mercury 366 enters the pore cavity at a pressure that is determined by the neck entrance instead of the actual 367 pore cavity size. However, the wide body of the ink-bottle pore cannot empty through the throat 368 pore at low pressure (e.g., atmospheric pressure) during depressurization, leaving the mercury 369 retained in the wide inner pore. Also, the trapped mercury can be explained by the breaking of the 370 mercury column in the narrow neck of an ink-bottle pore during its rapid emptying such that the 371 mercury is trapped in the wide ink-bottle cavity. The amount of entrapped mercury can serve to 372 measure the pore volume of the ink-bottle pores. The retention factor was introduced to quantify 373 the entrapped mercury [42], which is determined by Equation (4).

$$374 \qquad R = \frac{V_{ret}}{V_{max}} \tag{4}$$

where *R* is the retention factor (unitless),  $V_{ret}$  is the retained volume of mercury after the first cycle of intrusion–extrusion is completed ( $mm^3$ ), and  $V_{max}$  is the total volume of intruded mercury at the

- maximum pressure  $(mm^3)$ . We adopt the amount of entrapped mercury to examine the ink-bottle effect in different pore systems.
- 379 4.2 Analysis of air-entrained mortars
- 380 4.2.1 Results from MIP

The values of the pore parameters and entrapped mercury (calculated from Equation (4)) for every sample are summarized in Table 3.

Sample no.	Total porosity (%)	Critical diameter (nm)	Threshold Diameter (nm)	Retention factor (%)	Air content in hardened mortar (%)
M-0.6%-0%	9.36	32.38	50.31	73.95	2.26
M-0.6%-0.04%	12.14	32.39	59.56	73.69	3.69
M-0.6%-0.07%	12.55	32.32	60.56	81.54	6.94
M-0-0.07%	11.09	40.94	80.98	74.69	4.40

Table 3. Values of entrapped mercury for air-entrained mortars (w/c = 0.38, 28 days).

384

385 Figure 3 shows the differential and cumulative plots for all the mortars. All the plots were based on 386 the average from three measurements, with an accuracy of  $\pm 5\%$ . As depicted in Figure 3 (a), (b), 387 and (c), the general rule is as expected that the total porosity increased with increase of the dosage 388 of the AEA when the SP was kept constant. However, the values of the critical diameter are nearly 389 consistent (32.38, 32.39, and 32.32 as shown in Table 3). The values of the threshold diameter 390 increased for concretes with AEA, as compared to concretes without AEA (comparing 59.56 and 391 60.56 to 50.31 as shown in Table 3), but without a significant difference as the amount of AEA 392 increased (59.56 and 60.56, respectively). Besides, it was found that the trend of variation for the 393 total porosity was consistent with the change of air content in the hardened mortar.

394 Besides, it was observed that the critical diameter and threshold diameter for sample M-0-0.07% 395 shifted to larger pores compared to others. This reveals that the presence of SP results in the 396 decrease of the critical diameter and threshold diameter of air-entrained mortars. It can be 397 speculated that the superplasticizer affects the hydration that the pore system of mortars varied, 398 since Winslow and Diamond in 1970 [30] found that the threshold decreased with the hydration for 399 cement paste. Also, Diamond in 2000 reported that the threshold radius will decrease with the 400 curing time, and as the water-cement ratio decreases [33]. It can be deduced that the curing time 401 and water-cement ratio affected the threshold radius, which is principally attributed to the 402 hydration of cement.

In the next section, the SEM observation for all mortar samples will render more detailedinformation about the microstructure.

- 405
- 406
- 407











(b)



415



- 417
- 418

Figure 3. Cumulative and differential plots for air-entrained mortars.

Figure 4 presents the hysteresis curves and amounts of entrapped mercury after the first 419 420 pressurization-depressurization cycle. It was observed that the values of entrapped mercury for all 421 mortars vary from 73.7% to 81.5%, as shown in Table 3, a significant portion of the total intruded 422 mercury. Ritter reported that the entrapped mercury in porous materials could reach 80-85% of the 423 total volume of the pores [43]. For all the samples, the samples with the highest content of SP and 424 AEA have the most significant retention factor (reaching 81.54%). This indicates that the addition of 425 SP and AEA can enhance the ink-bottle effect, since the tortuosity of the pores' path increased in the

- 426 pore system, probably owing to the fact that the addition agents affect the hydration of the mortar.
- 427



- 429 Figure 4. Intrusion–extrusion hysteresis curves and entrapment of mercury for air-entrained mortars.
- 430 4.2.2 Results from morphology analysis
- 431 MIP measurement in combination with micrographs seems to be a promising technique to
- 432 determine the pore structure by the microscopy technique.



M-0.6%-0%



M-0.6%-0.04%



M-0.6%-0.07% M-0-0.07% Figure 5. SEM images presenting the air voids in air-entrained mortars.

433

434 435 ASTM terminology relating to concrete defines as entrapped air voids having a size above 1 mm and 436 as entrained air voids with a diameter between 10  $\mu m$  and 1 mm according to ASTM C 125. The 437 entrained air voids mainly protect concrete against freezing and thawing; but large entrapped air 438 voids are not altogether beneficial to frost resistance. The Figure 5 SEM images present the air voids 439 observed in same size of zone for air-entrained mortars. The air voids are observed to be nearly 440 spherical and isolated from other air voids. Air voids are discrete and uniformly distributed 441 throughout the cement paste and are not interconnected with each other. The more detailed 442 information about the air pores is summarized in Table 4. It can be seen that the sample M-0.6%-0.07% is the ideal air-entrained mortar with the most uniform distribution and reasonable size of air 443 444 voids, while the sample M-0-0.07% has a smaller amount of air voids compared to other samples 445 due to the absence of SP. The observation results verified the testing result that sample M-0.6%-0.07% 446 has the optimal air content in hardened air-entrained mortar.

447

448 Table 4. Description of air voids observed with SEM.

Sample no.	Range of diameter ( $\mu m$ )	Distribution description	Number of air voids observed	
M-0.6%-0%	64–1139	Voids have the most uneven size, from 64 $\mu m$ to 1139 $\mu m$ . And voids with diameter above 200 $\mu m$ are most common.	11	
M-0.6%-0.04%	45–356	Voids have an even size, compared to above. And voids with diameter less than 200 $\mu m$ are most common.	11	
M-0.6%-0.07%	94–258	Voids have the most uniform size, compared to other samples. And voids with diameter around 120 $\mu m$ occupy the main portion.	12	
 M-0-0.07%	46–365	Fewer voids were observed, compared to other samples, and voids are not easily detected.	8	

449





452 Figure 6. SEM images presenting the hydrated phases in air-entrained mortars.
453 Hydrated products for air-entrained mortars detected with SEM are shown in Figure 6 for the first
454 three samples. It was found that there are abundant C-S-H, which benefit the strength, suggesting
455 that the mortars are hydrated sufficiently. Moreover, some AFm emerges in sample M-0.6%-0%,

456 some AFt scattering in sample M-0.6%-0.04%, and both exist in sample M-0.6%-0.07%.

However, for sample M-0-0.07%, only low hydrated C-S-H was seen. Since the big difference of M-0-0.07% with the other samples is that it has no addition of SP, therefore, the hydration results from SEM were sufficient evidence that superplasticizers can significantly promote the hydration of airentrained mortar. These observation results explained that the critical diameter and threshold diameter decrease with the presence of SP in the MIP section. C-S-H gel formed with the cement when hydrated, so some of the pore volume may become totally isolated due to C-S-H gel formation, thus resulting in decrease of the critical and threshold diameter.

- 464 4.3 Analysis of air-entrained concretes
- 465 4.3.1 Results from MIP

The intrusion curves for the concretes differ from those for the mortars. By comparison to the intrusion curves for mortars, the threshold of the concrete becomes less distinct and the peaks of the differential curves corresponding to the critical diameter are less sharp due to the addition of more aggregates. As explained by Winslow et al. [37], when the aggregate volume is high enough (e.g., more than 49%), the MIP cumulative curve displays two steep slopes (correspondingly, the 471 differential curve displays bimodal distribution of pores), one due to the bulk paste and the other 472 due to the ITZ. Correspondingly, two critical parameters,  $d_{c-bp}$  for bulk paste and  $d_{c-ITZ}$ 473 (usually  $d_{c-ITZ}$  is 5–10 times larger than  $d_{c-bp}$ ) for the ITZ are defined respectively [39]. According to the  $d_c^2$  terms in the Katz–Thompson equation, as Equation (5) shows (assuming similar values for 474 475  $\sigma_0$ ), the larger ITZ pores ( $d_{c-ITZ}$ ) will have a more significant permeability than the small matrix 476 pores  $(d_{c-bp})$ , which will have a significant influence on the overall permeability [44]. Usually in 477 normal concrete, the volume fraction of aggregate is more than 60%, which allows the percolation 478 of the ITZ, and the permeability of the concrete is governed by this ITZ percolation. Hence,  $d_{C-ITZ}$ 479 rather than  $d_{c-bp}$  can be used to estimate the permeability together with the ITZ volume fraction.

$$480 \qquad \kappa_p = c \cdot \frac{\sigma}{\sigma_0} \cdot d_c^2 \tag{5}$$

where  $d_c$  is the critical pore diameter from the MIP intrusion curve, c is an analytical constant based 481 482 on the pore system geometry and equal to 1/226,  $\sigma$  and  $\sigma_0$  are the sample and pore fluid conductivities, respectively,  $\sigma/\sigma_0$  denotes the pore network connectivity, and  $\kappa_p$  is the hydraulic 483 permeability. Since the differential curve for concrete displays a bimodal distribution of pores as 484 elaborated above, the porosity corresponding to the first peak was defined as bulk-paste related 485 486 porosity (bulk-paste porosity for short), and the second as ITZ related porosity (ITZ porosity for short) 487 in this study (see Figure 7). Thereby, the first peak was denoted as a bulk-paste peak and the second 488 as an ITZ peak, although in some of the literature the first peak was denoted as "capillary pores" and 489 the second as "mesopores" [45,46].

490



491

492 Figure 7. Definition of bulk-paste related pores and ITZ related pores for MIP analysis.

493 As Figure 8 displays, the cumulative plots have two slopes (as in Figure 8 (a)); bulk-paste peaks are 494 plateaued (less sharp), while the ITZ peaks consist of a cluster of small sharp peaks that are crowded 495 together (as in Figure 8 (b)). Therefore, it becomes difficult to trace the critical diameter  $d_{c-CTZ}$ . The 496 onset point of the cluster of peaks was taken as  $d_{c-CTZ}$ , although this was subjective. The values of 497  $d_{c-bp}$ ,  $d_{c-ITZ}$ , total porosity, and ITZ porosity are summarized in Table 5.







(b) Differential curve



507

Sample	Total	ITZ	ITZ	$d_{c-bp}$	Onset of $d_{c-ITZ}$	Air content (%)	
no.	porosity (%)	porosity	fraction	(nm)	(nm)		
		(%)	(%)				
A3(0.01)	12.7	5,57	43.9	50.2	708	3.0	
A5(0.02)	13.2	6.91	52.3	53.4	975	5.0	
A6(0.04)	14.2	8.18	57.6	55.9	2474	6.5	
B0 (0)	9.31	3.01	32.3	64.8	511	1.6	
B1 (0.01)	12.0	4.81	40.1	62.3	667	3.5	

509 Table 5. Parameters of concretes from MIP.

Note: ITZ porosity represents the porosity related to the ITZ, which denotes the porosity of the ITZ peak
 covered; ITZ fraction denotes the fraction of ITZ porosity of the total porosity.

512 As the results have shown, the total porosity increased as the dosage of AEA increased, as was

513 expected, but the values of  $d_{c-bp}$  for the bulk paste have no significant difference. However, it is 514 worth noting that a higher dosage of AEA leads to a considerable increase (from 708 nm to 2474 nm)

515 in the onset value of  $d_{c-CTZ}$  and the ITZ peak shifts to a coarser pore size.

516 Besides, the ITZ peak goes higher as the dosage of AEA increases (as displayed in Figure 8 (b)), when 517 the aggregate fraction decreases (as shown in Table 5). The results in Table 5 show an increase in the 518 ITZ fraction from 43.9% to 57.6% as the dosage of AEA increases. A 0.03% increase of the dosage of

- 519 AEA leads to a rise of 13.7% in the ITZ fraction.
- 520 This phenomenon led to the conclusion that AEA promotes the percolation of ITZ. It was attributed
- to AEA that it can result in larger ITZ pores ( $d_{c-ITZ}$ ) and a larger volume of ITZ porosity. This will,
- 522 therefore, cause the concrete to have a significant permeability.
- 523 4.3.2 Verification

524 To verify the conclusion further, a comparison between another group of air-entrained concrete and

525 blank concrete is presented in Figure 9.





As expected, it is evident that the ITZ peak for the air-entrained concrete is higher and shifts to a coarser pore size (as shown in Figure 9 (b)). Also, the onset values of  $d_{c-CTZ}$  increase from 511 nm to 667 nm, and the ITZ fraction rises from 32.3% to 40.1%, as displayed in Table 5. The concrete had an approximately 8% increase in the ITZ fraction when a 0.01% dosage of AEA was used. This was evidence that the AEA promotes the percolation of ITZ. As Shane et al. have reported, the
permeability of concrete is governed by the percolated ITZ [44]. The porosity of concrete is lower,
but the permeability is higher than cement paste.

In addition, Matala's work in 1995 related to the pore size distribution of air-entrained concretes
from MIP tests is presented in Figure 10 as a comparison of air-entrained concrete and blank
concrete, and the mix composition of the related concrete is collected in Table 6.



(a)

543

544



545

546

(b)

547 Figure 10. Pore size distribution curves of air-entrained concrete and blank concrete. Plots data are 548 cited from Matala's PhD thesis chapter 5.4.2, Figures 17-20, pp: 66-67 [47].

9	Table 6. Mix	composition	of concrete	s used in Figur	e 10 [47].			
	Concrete	OPC	Water	Aggregate	Air-entr.	WR	Air	W/B
					% of		(%)	ratio
					binder			
	C32	402.3	135.8	1856.3	0.042	1.20	3.5	0.350
	C27	401.2	136.4	1904.3	-	1.18	1.7	0.352
	C18	405.6	179.4	1816.0	-	0.37	0.7	0.450
	C17	380.9	171.4	1705.3	0.012	-	6.7	0.450

:+:. d : с . Table 6 Min 40 [47] 549

550

551 Comparing C32 and C27, the peaks for the bulk paste coincide with each other; however, it is 552 obvious that the ITZ peak for the air-entrained concrete is higher and shifts to coarser pores coarser 553 compared to blank concrete. This was attributed to the occurrence of air-entrained voids, along with 554 a decrease in the aggregate fraction.

555 For the air-entrained concrete C17, by comparison with blank concrete C18, there is still a 556 significantly higher ITZ peak. However, C18 has a slightly higher bulk-paste peak (it cannot coincide 557 with that of C17), probably due to the addition of water-reducing plasticizers. It can also be observed 558 from Figure 4 (c) and (d) that air-entrained mortar with superplasticizer has a higher peak than 559 without it. This indicates that the SP can affect the bulk-paste peak, and it may promote the 560 hydration of cement to some extent.

561 The MIP results from Matala reinforced the conclusion that AEA promotes the percolation of ITZ.

562 4.3.3 Correlation of the ITZ fraction and air content in air-entrained concretes

563 The total porosity as a function of air content as well as the dosage of AEA (dosage of air-564 entrainment) are presented in Figure 11 (a). The relations can be simply expressed by linear 565 equations. For the function of air content, the value of R-square is 0.8159. The correlation between 566 total porosity and the dosage of AEA is slightly poorer, with an R-square value of 0.7429. Similar 567 plots using the ITZ fraction from the air content and the dosage of AEA are shown in Figure 11 (b). For the linear equation relating the ITZ fraction with the air content, the value of R-square is 0.9385, 568 while for the correlation between the ITZ fraction and the dosage of AEA, the R-square is 0.8892. 569



578 The results suggest that the ITZ fraction is most correlated with the air content in air-entrained 579 concretes. Regardless of the total porosity or ITZ fraction, both have a better correlation with the air 580 content than with the dosage of AEA.

- 581 This high correlation between the ITZ fraction and the air content indicates that more air-entrained
- voids in concrete can lead to a high ITZ fraction; therefore, together with larger ITZ pores ( $d_{c-ITZ}$ ), it
- 583 can promote the percolation of the ITZ.

584 Further research is needed to cover a wider range of w/b ratios and higher additions of silica fume. 585 Also, how the ITZ fraction and  $d_{c-ITZ}$  affect permeability, as well as the determination of the  $d_{c-ITZ}$ , 586 are areas still needing further research.

## 587 5 Conclusions

588 The principal pore parameters and "ink-bottle effect" of air-entrained mortars with w/c of 0.38, 589 having different dosages of AEA (0, 0.04, 0.07) and SP (0.0, 0.6) were investigated by MIP. Several 590 conclusions can be drawn based on the results.

- (1) The dosage of AEA does not affect the critical diameter when the SP is kept constant. But the
   total porosity increases as the dosage of AEA increases, and the trend of variation for total
   porosity is consistent with the change of air content in hardened mortar.
- 594 (2) For the air-entrained mortars, the absence of superplasticizer leads to the critical diameter
  595 and threshold diameter significantly shifting to larger pores. This may be because the C-S-H
  596 gel formed with the cement hydration; hence, some of the pore volume may become totally
  597 isolated due to C-S-H gel formation, resulting in the decrease of both the critical and
  598 threshold diameters. The SEM results evidenced that superplasticizers can significantly
  599 promote the hydration of air-entrained mortar.
- 600 (3) By comparison with other air-entrained mortars, the one with the highest content of AEA
  601 and SP has the highest values of total porosity and retention factor. This indicates that the
  602 AEA together with SP can enhance the ink-bottle effect since the tortuosity of the pores'
  603 path increased in the pore system. Besides, it was observed from SEM that this air-entrained
  604 mortar has the most uniformly distributed and reasonable size of air voids.

The influence of AEA on the pore structure of hardened concrete with higher w/c has also been studied by means of MIP. The following conclusions can be drawn.

- 607 (4) The total porosity increased as the dosage of AEA increased, as expected. However, the 608 values of  $d_{c-bp}$  for the bulk paste show no significant difference.
- 609 (5) It is evident that the ITZ peak for air-entrained concrete goes higher and shifts to coarser610 pores as the entrained air increases.
- 611 (6) The ITZ fraction is most highly correlated with the air content in air-entrained concretes 612 (with R-square of 0.9385). This high correlation between the ITZ fraction and the air content 613 indicates that more entrained air voids in the concrete led to a high ITZ fraction; therefore, 614 together with larger ITZ pores ( $d_{c-ITZ}$ ), it can promote the ITZ percolation.
- 615

## 616 **CRediT authorship contribution statement**

Yanjuan Chen: Conceptualization, Methodology, Data curation, Formal analysis, Writing - review &
editing. Fahim Al-Neshawy: Methodology, Data curation, Writing - original draft preparation. Jouni
Punkki: Investigation, Writing - reviewing & editing.

### 620 Declaration of Competing Interest

- 621 The authors declare they have no known competing financial interests or personal relationships that
- 622 could have appeared to influence the work reported in this paper.

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