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1 Recent Innovations in Emulsion Science and Technology for Food Applications

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31 ABSTRACT

32 Emulsion technology has been utilized for decades in the food industry to create a diverse
33 range of products, including homogenized milk, creams, dips, dressings, sauces, desserts, and
34 toppings. Recently, however, there have been important advances in emulsion science that are
35 leading to new approaches to improving food quality and functionality. This article provides an
36 overview of a number of these advanced emulsion technologies, including Pickering emulsions,
37 high internal phase emulsions (HIPEs), nanoemulsions, and multiple emulsions. Pickering
38 emulsions are stabilized by particle-based emulsifiers, which may be synthetic or natural, rather
39 than conventional molecular emulsifiers. HIPEs are emulsions where the concentration of the
40 disperse phase exceeds the close packing limit (usually > 74%), which leads to novel textural
41 properties and high resistance to gravitational separation. Nanoemulsions contain very small
42 droplets (typically $d < 200$ nm), which leads to useful functional attributes, such as high optical
43 clarity, resistance to gravitational separation and aggregation, rapid digestion, and high
44 bioavailability. Multiple emulsions contain droplets that have smaller immiscible droplets inside
45 them, which can be used for reduced calorie, encapsulation, and delivery purposes. This new
46 generation of advanced emulsions may lead to food and beverage products with improved
47 quality, health, and sustainability.

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49 Keywords: nanoemulsions; nanotechnology; multiple emulsions; Pickering emulsions; HIPEs

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63 INTRODUCTION

64 Traditional emulsion technology has been widely applied in the food industry to create a diverse range
65 of products, including homogenized milk, cream, dips, dressings, sauces, desserts, and toppings. This
66 technology can also be used to create delivery systems to encapsulate and protect bioactive
67 components, as well as to control their release and enhance their bioavailability.¹⁻² Recently, however,
68 there have been a number of advances in emulsion technology that may extend their range of
69 applications within foods.³ Driven by academic, industrial, and government scientists, a number of novel
70 emulsion types have been developed that may be suitable for food applications, including Pickering
71 emulsions, high internal phase emulsions (HIPEs), nanoemulsions, and multiple emulsions.

72 These different kinds of advanced emulsions can all be formulated from edible oils, water, stabilizers
73 and additives, however, their potential applications within foods are dependent on their specific
74 compositions and structures. For instance, Pickering emulsions, which utilize colloidal particles rather
75 than molecules as emulsifiers,⁴ have strong resistance to droplet coalescence, which may be useful for
76 the creation of emulsified foods where the oil droplets are packed closely together for long periods
77 (such as dressings) or for food products that are frozen and thawed (such as microwave meals)⁵. HIPEs
78 are a specialized type of emulsified system where the concentration of the disperse phase is very high (>
79 74%), which leads to semi-solid textural properties, a high resistance to gravitational separation, and a
80 high loading capacity.⁶ They may therefore be useful in emulsified foods that should be highly viscous or
81 semi-solid, such as dressings, sauces and desserts, or in applications where a large amount of a bioactive
82 component must be encapsulated.⁷ The ability of HIPEs to flow at high shear rates but set at low shear
83 rates also makes them suitable for application as edible inks in 3D food printing.⁸ The extremely small
84 dimensions of the droplets in nanoemulsions provide advantages for certain food applications, such as
85 improved resistance to droplet aggregation and creaming, enhanced optical clarity, and increased
86 bioavailability of encapsulated substances.⁹ Finally, the fact that multiple emulsions, such as water-in-
87 oil-in-water (W/O/W) emulsions, have two different hydrophilic domains within the same system
88 provides advantages for some applications.¹⁰ For instance, two hydrophilic substances that normally
89 react with each other can be isolated from one another, or a bitter tasting hydrophilic substance can be
90 trapped in the internal phase, thereby reducing its sensory perception during mastication. Thus,
91 different kinds of advanced emulsion technologies have different advantages and disadvantages for
92 specific applications in foods.¹¹ Consequently, food formulators should have knowledge about the
93 formation, stability, properties, and functionality of these different kinds of advanced emulsion
94 technologies so they can choose the most suitable one for a specific application.

95 In this article, we review the formulation, fabrication, stabilization, and potential food applications of
96 each type of advanced emulsion technology. Moreover, the advantages and disadvantages of the
97 different kinds of these emulsions are discussed, as well as areas where future research is still needed.
98 For the sake of clarity, only oil-in-water type emulsions are discussed because these are the most widely
99 used in commercial foods at present.

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103 PICKERING EMULSIONS

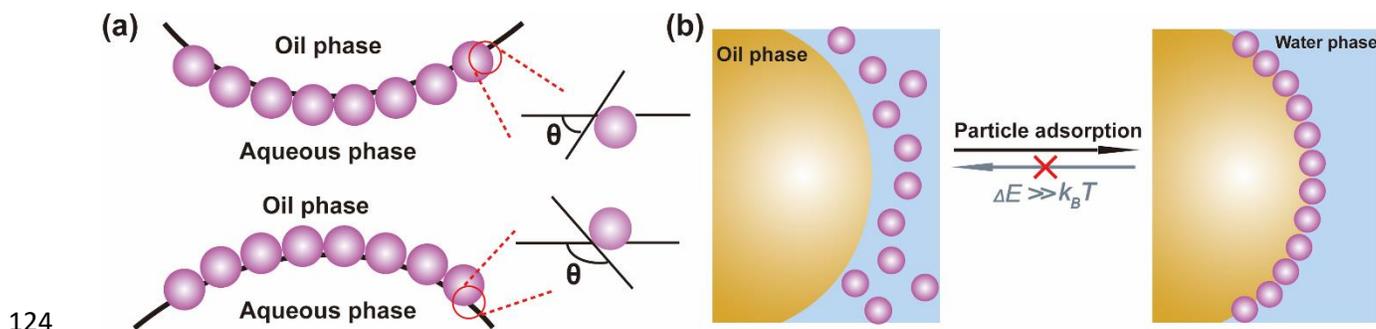
104 Pickering mechanism and formation

105 The droplets in Pickering emulsions are coated by colloidal particles instead of molecular emulsifiers.⁵
106 The colloidal particles used for this purpose should have an affinity for both the oil and water phases,
107 so they have appropriate wetting properties. Indeed, particle wettability is a key factor determining the
108 successful formation and stability of Pickering emulsions, which is determined by the contact angle (θ)
109 of a colloidal particle at an oil-water interface,¹² as illustrated in Figure 1a.

110 Hydrophilic particles ($\theta < 90^\circ$) predominantly protrude into the aqueous phase, which favors the
111 formation of oil-in-water (O/W) emulsions (Figure 1a, upper panel). Conversely, hydrophobic particles
112 ($\theta > 90^\circ$) predominantly protrude into the oil phase, which favors the formation of water-in-oil (W/O)
113 emulsions (Figure 1a, lower panel). Particles that are equally wetter by both phases ($\theta = 90^\circ$) possess
114 the maximum desorption energy (ΔE):¹²

115
$$\Delta E = \pi r^2 \gamma (1 - |\cos \theta|)$$

116 Here, r is the colloidal particle radius (nm) and γ is the oil-water interfacial tension (N/m). When the
117 particles have a suitable wettability, the desorption energy is considerably higher than the thermal
118 energy ($k_B T$). As a result, Pickering emulsions typically have strong resistance to coalescence because
119 the colloidal particles are almost irreversibly attached to the surfaces of the droplets (Figure 1b) and
120 create a mechanically robust particle coating that generates a strong steric repulsion.¹³ As a
121 consequence, Pickering emulsions are typically much more stable to coalescence than conventional
122 emulsions.⁵ This feature makes them suitable candidates for the generation of emulsion-based foods
123 with enhanced quality attributes and shelf-lives.



125 Figure 1. (a) Possible positioning and contact angle of spherical particles at the oil-water interface.
126 Reproduced from ref.13.¹² Copyright 2002 American Chemical Society. (b) Schematic illustration of the
127 adsorption of spherical particles at the water-oil interface with a contact angle smaller than 90° , towards
128 O/W Pickering emulsion.

129 The fact that such a large desorption energy is needed to detach colloidal particles from droplet surfaces
130 is also important during the formation of Pickering emulsions. Typically, the high energy barrier
131 associated with particle desorption must be exceeded by the application of external forces or by altering
132 solution conditions.¹⁴ Many studies have shown that the intense mechanical forces generated during
133 homogenization helps to overcome this energy barrier,¹⁵⁻¹⁷ allowing the formation of Pickering
134 emulsions containing relatively small droplets. However, if the mechanical forces used are too intense or
135 applied for too long, then the structure of a Pickering emulsion may be disrupted, resulting in a high

136 polydispersity.¹⁸ Therefore, the selection of a proper homogenization method and operating conditions
137 is crucial for the production of Pickering emulsions, as well as for the precise control of their functional
138 properties.

139

140 Food-grade stabilizers

141 Many of the original studies on Pickering emulsions used synthetic colloidal particles that were not
142 appropriate for formulating foods. More recently, however, researchers have shown that a variety of
143 food ingredients can be successfully be used to formulate Pickering emulsions, which may be either
144 inorganic or organic particles.¹⁹ A number of food-grade colloidal particles that have been used for this
145 purpose are reviewed in this section and summarized in Table S1 (Supplementary information).

146 *Inorganic particles.* Silica particles have been widely studied for the formation and stabilization of
147 Pickering emulsions.²⁰ This type of inorganic colloidal particle is an accepted food ingredient,²¹ which can
148 therefore be used in formulating food-grade Pickering emulsions.²²⁻²³ Other kinds of food-grade
149 inorganic particles can also be used for this purpose, including those formed from calcium carbonate
150 and titanium dioxide.²⁴⁻²⁵ The commercial availability and consistent properties of these inorganic
151 particles make them highly suitable for the formation of food-grade Pickering emulsions. However, the
152 appearance of inorganic particles on food product ingredient labels is often perceived negatively by
153 consumers,⁵ which restricts their commercial implementation.

154 *Carbohydrate-derived particles.* Carbohydrate-derived particles, such as those assembled from starch,
155 cellulose, and chitin, have been widely explored as food-grade Pickering stabilizers because they can
156 often be obtained from renewable and abundant natural resources.²⁶ Starch is widely found in tubers
157 and cereals. Starch granules themselves, or smaller colloidal particles derived by controlled
158 disintegration of them, can be used to form Pickering emulsions.²⁷ Starch particles can also be
159 chemically modified using octenyl succinic anhydride (OSA),²⁸⁻³⁰ which increases their hydrophobicity
160 and allows their wetting characteristics to be tailored for specific applications. The hydrophobic octenyl
161 group increases the affinity of the starch particles for the oil phase, which promotes the formation and
162 stabilization of oil-in-water Pickering emulsions (Figure 2a).

163 Chitin is a polysaccharide isolated from the hard shells of crustaceans, where it is present as tightly
164 bonded microfibrils consisting of extended linear molecular chains of acetylglucosamine homopolymers
165 with varying amounts of primary amines on their surfaces.³¹ Chitin nanoparticles can be extracted from
166 chitin using different approaches: (i) *acid hydrolysis*,³² which results in highly ordered, rigid rod-like
167 chitin nanocrystals (ChNC); (ii) *mechanical shearing*,³³ which results in longer, more flexible chitin
168 nanofibers (ChNF) that retain disordered domains within their structure. Both types of these chitin
169 nanoparticles can be used to successfully prepare food-grade O/W Pickering emulsions, but ChNF
170 appears to be better than ChNC for this purpose.³⁴⁻³⁶ This difference may be due to increased surface
171 coverage of the oil droplets, as well as an increase in network formation in the continuous phase (Figure
172 2b).³⁷ Unlike chitin, the solubility of chitosan in water is pH-dependent, which results in the formation of
173 chitosan particles under relatively high pH conditions.³⁸ The ability of chitosan particles to facilitate the
174 formation and improve the stability of food-grade Pickering emulsions has also been demonstrated.³⁹

175 Cellulose, the most abundant biopolymer on Earth, is a fibrous, robust, water-insoluble substance that is
176 the main load-bearing component found in plant cell walls.⁴⁰⁻⁴¹ Controlled deconstruction of cellulose-
177 rich fibrous structures in plants, either by chemical or mechanical treatments, can be used to extract
178 two types of cellulosic nanoparticles: cellulose nanocrystals (CNC) and nanofibrils (CNF). Some bacteria
179 are also able to directly produce cellulosic microfibrils that are recognized as bacterial nanocellulose
180 (BNC). These three types of nanocellulosic particles have been comprehensively investigated for their
181 potential to form food-grade Pickering emulsions,⁴²⁻⁴⁵ particularly CNC (Figures 2c and 2d). One of the
182 main limitations of nanocellulose-based Pickering emulsions is the fact that the oil droplets generated
183 are relatively large, which makes them susceptible to creaming during storage. A series of approaches
184 have therefore been developed to reduce the droplet size in nanocellulose-stabilized Pickering
185 emulsions.⁴⁶⁻⁴⁸ For instance, combinations of different nanocelluloses (CNC and CNF) have been used
186 create Pickering emulsion with extremely good physical stabilities.⁴⁹ The adsorbed CNC formed a
187 protective coating around the oil droplets, while the non-adsorbed CNF induced the formation of a
188 droplet network throughout the emulsion through a depletion mechanism. Surprisingly, at a proper
189 CNC-to-CNF ratio, the Pickering emulsions formed were stable for over 6 months without any sign of
190 instability, which may be useful for increasing the shelf life of some food products.

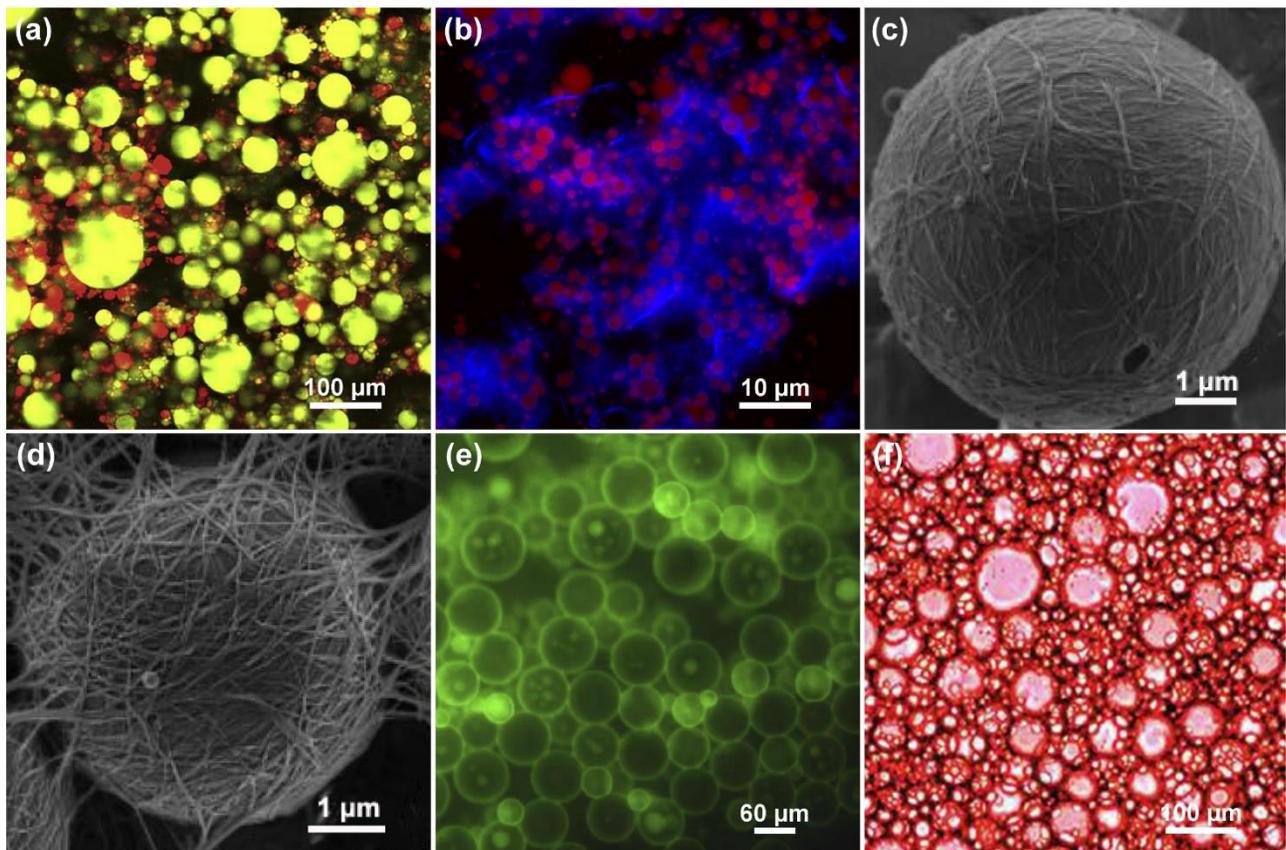
191 *Protein-based particles.* Proteins are amphiphilic biopolymers that are widely used as molecular
192 emulsifiers in the food industry. However, various kinds of protein-based colloidal particles can also be
193 used to produce Pickering emulsions, such as protein particles or microgels.^{19, 50} The interior of protein
194 particles consists of tightly packed protein molecules with a relatively low amount of water, whereas
195 that of protein microgels consists of an open network of aggregated protein molecules that contains a
196 relatively high amount of water.

197 Protein particles and microgels can be formed from animal-derived proteins using various methods,
198 including controlled heating, sonication, high-pressure treatment, and pH adjustment. For example,
199 whey protein microgels have been produced by sonicating a protein dispersion at pH 6.5, which resulted
200 in the formation of microgels with an average diameter of around 235 nm.⁵¹ These microgels were
201 successfully used to form oil-in-water emulsions that exhibited good long-term stability. Whey protein
202 microgels fabricated using high hydrostatic pressure treatment have also been used to produce
203 Pickering emulsions.⁵² Colloidal protein particles have been produced by heating solutions of globular
204 proteins above their thermal denaturation temperature using carefully controlled ionic strength and pH
205 conditions to promote protein unfolding and assembly into small particles.⁵³ As an example, Pickering
206 emulsions have been formed from lactoferrin particles produced using this approach.⁵⁴⁻⁵⁵ More recently,
207 ovotransferrin fibrils formed by controlled heating have also been used for this purpose (Figure 2e).⁵⁶

208 Colloidal protein microgels or particles derived from plant sources may also be utilized to create and
209 stabilize Pickering emulsions, which is important since many consumers are switching from omnivore to
210 flexitarian, vegetarian, or vegan diets.⁵⁷ Many of the approaches used to produce particles or microgels
211 from animal proteins can also be used to produce them from plant proteins. However, some additional
212 methods are also suitable for certain kinds of plant proteins. For example, zein is a hydrophobic protein
213 derived from corn that has poor solubility in water but good solubility in concentrated ethanol solutions.
214 The poor water-solubility of zein allows the fabrication of colloidal protein particles through antisolvent
215 precipitation.⁵⁸ Indeed, a recent study showed that zein nanoparticles could be used to form stable
216 Pickering emulsions with oil droplet diameters ranging from 10 to 200 μm .⁵⁹ A method that involved
217 controlled heating and then transglutaminase treatment of peanut proteins was recently developed to

218 generate plant protein particles.⁶⁰ Soy protein particles formed by heating a protein solution under
219 controlled ionic conditions have been used to produce stable Pickering emulsions (Figure 2f).⁶¹ Similarly,
220 pea protein nanoparticles produced using controlled pH adjustment have also been used.⁶² Recently,
221 pea protein particles prepared by controlled shearing of a heat-set gel were also used to form stable
222 Pickering emulsions.⁶³

223 *Other particles.* A number of other food-grade substances can form colloidal particles that can facilitate
224 the formation and stability of Pickering emulsions. Flavonoids are secondary metabolites from plants,
225 and exist as insoluble particles in aqueous solutions that can adsorb to oil-water interfaces in
226 emulsions.⁵ Indeed, flavonoids have been successfully used to produce Pickering emulsions.⁶⁴ Shellac
227 wax is a natural edible wax that can be used in foods. It can be converted into colloidal wax particles
228 using antisolvent precipitation under high shear conditions.⁶⁵ Shellac-based particles combined with
229 xanthan gum have been shown to form stable Pickering emulsions.⁶⁶ Colloidal particles have also been
230 produced from phytosterols and whey proteins using the antisolvent precipitation method.⁶⁷ These
231 particles formed platelet-like sheets whose ability to form and stabilize Pickering emulsions depended
232 on the particle concentration and oil fraction used.



233

234 Figure 2. Examples of microscopic images of O/W Pickering emulsions that are stabilized by food-grade
235 particles. (a) Starch particles. Reproduced from ref. 30.²⁹ Copyright 2011 Elsevier. (b) Chitin nanofibers.
236 Reproduced from ref.38.³⁷ Copyright 2019 American Chemical Society. Rodlike Cellulose nanocrystals
237 with (c) short and (d) long rod length. Reproduced from ref.69.⁶⁸ Copyright 2013 Royal Society of
238 Chemistry. (e) Ovotransferrin fibrils. Reproduced from ref.57.⁵⁶ Copyright 2019 Elsevier. (f) Soy protein
239 particles. Reproduced from ref.62.⁶¹ Copyright 2013 American Chemical Society.

240 Novel applications in foods

241 In this section, potential applications of Pickering emulsions within the food industry are discussed.

242 *Delivery of active ingredients.* Many minor substances found in foods, including vitamins and
243 nutraceuticals, may have beneficial effects on human health but their use is currently limited by their
244 low solubility, chemical stability, and/or bioavailability. Emulsion technology has been widely used to
245 encapsulate these bioactive ingredients so as to protect them from degradation, improve their
246 bioavailability, and control their release profiles.⁶⁹ Compared to conventional emulsifier-based
247 emulsions, Pickering emulsions can provide some novel or improved functions due to their unique
248 properties.

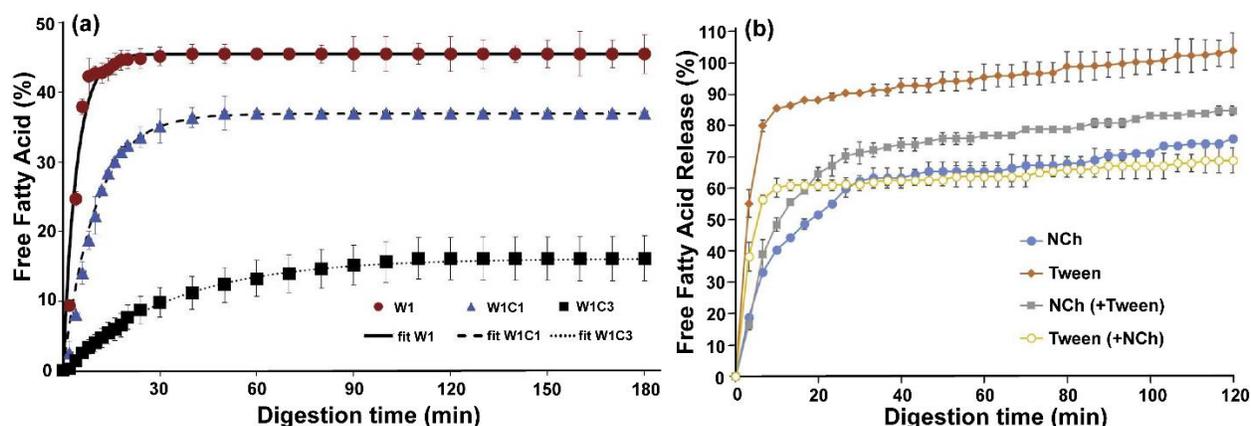
249 Curcumin-loaded Pickering emulsions stabilized by ovotransferrin fibrils were shown to have better
250 environmental stability and bioaccessibility than curcumin dissolved in bulk oil.⁷⁰ Similarly, curcumin-
251 loaded Pickering emulsions stabilized by whey protein particles were shown to have enhanced thermal
252 stability.⁷¹ Pickering emulsions stabilized by CNC have been used to encapsulate natural antimicrobial
253 oils (oregano oil).⁷² These emulsions were shown to efficiently inhibit the growth of four tested food-
254 related microorganisms by destroying the integrity of the microbial cell walls. In a similar study, thymol
255 was loaded into zein/gum arabic particle-stabilized Pickering emulsions, which were also shown to be
256 effective antimicrobial delivery systems.⁷³ These emulsions could also be designed to control the release
257 of thymol, which may be beneficial in some situations.

258 In certain food applications, it is desirable to have delivery systems with controlled or targeted release
259 functions. Based on the pH-responsiveness of chitosan particles, a reversible chitosan particle-stabilized
260 Pickering emulsion was developed whose release properties could be manipulated by lowering the pH.³⁸
261 This type of pH-responsive behavior could be used for the release of bioactive compounds in the
262 stomach. Nanocellulose-stabilized Pickering emulsions has been developed to achieve targeted delivery
263 of short-chain fatty acids after intestinal digestion.⁷⁴ CNF-stabilized Pickering emulsions have been
264 developed to encapsulate and release vitamin D₃.⁷⁵ A high portion of the vitamin remained inside the
265 lipid droplets after intestinal digestion because the CNF formed a protective coating around the oil
266 droplets, which may be useful for delivering the vitamin to the distal regions of the small intestine or to
267 the colon.

268 *Control of lipid digestion.* Researchers are developing strategies to control lipid digestion so as to avoid
269 metabolic or hormonal dysregulation. Food-grade Pickering emulsions have recently been investigated
270 for their ability to regulate lipid digestion. For instance, the *in vitro* digestion of CNC-stabilized Pickering
271 emulsions has been compared to the digestion of conventional gum arabic-stabilized emulsions.⁷⁶ The
272 final amount of free fatty acids released was around 40% less for the CNC-coated lipid droplets than the
273 gum arabic-coated ones. These results suggest that forming a CNC coating around the oil droplets
274 inhibited lipase adsorption and therefore lipid digestion. In another study, the uptake of digested CNC-
275 stabilized Pickering emulsions by murine intestinal mucosa was evaluated.⁷⁷ This study showed that the
276 CNCs were trapped within the intestinal mucus layer and failed to reach the underlying epithelium,
277 which may reduce lipid absorption. In another study, Pickering emulsions stabilized by composite
278 particles containing whey protein and CNC were also shown to inhibit lipid digestion (Figure 3a).⁷⁸

279 Chitin nanoparticle-stabilized Pickering emulsions have also been shown to reduce lipid digestion using
280 an *in vitro* human gastrointestinal tract (GIT) model.⁷⁹ Another recent study using a similar system

281 showed that the bioaccessibility of vitamin D₃ was also reduced.⁸⁰ The ability of chitin nanoparticles to
 282 reduce lipid digestion and vitamin bioaccessibility may be the result of various processes (Figure 3b): (1)
 283 the chitin nanoparticle coating hindered the ability of lipase to reach the lipid phase; (2) the presence of
 284 the chitin nanoparticles promoted droplet aggregation in the GIT, thereby reducing the area of lipids
 285 accessible to the lipase; and (3) the cationic chitin nanoparticles bound to anionic bile acids, fatty acids,
 286 or lipase, thereby interfering with lipid digestion and vitamin solubilization. This study suggested that
 287 chitin nanoparticle-stabilized Pickering emulsions may be useful for developing high-satiety foods and
 288 for targeted delivery systems.



289
 290 Figure 3. (a) Free fatty acid release of Pickering emulsions formed using different whey protein/CNC
 291 composite particles. The whey protein concentration in W1, W1C1 and W1C3 was 1 wt%, and the CNC
 292 concentration was 0, 1, and 3 wt%, respectively. Reproduced from ref.79.⁷⁸ Copyright 2018 Elsevier. (b)
 293 Impact of emulsion type on free fatty acid release under simulated small intestinal conditions. The
 294 concentrations for stabilizers upon digestion were identical. Reproduced from ref.81.⁸⁰ Copyright 2020
 295 Elsevier.

296 *Inhibition of lipid oxidation.* Lipid oxidation is a major problem in foods containing unsaturated lipids
 297 because it leads to rancidity. A major cause of the oxidation of lipids in emulsified foods is the tendency
 298 for lipid hydroperoxides located at the surfaces of oil droplets to interact with transition metal ions in
 299 the surrounding water.⁸¹ Pickering emulsions may be used to improve the oxidative stability of
 300 emulsified oils because the thick particle coating formed surrounding the droplets limits the direct
 301 contact of the transition metals and lipid hydroperoxides. In addition, some substances used to form
 302 Pickering particles, such as proteins, polysaccharides and polyphenols, have inherent antioxidant
 303 properties.⁸² Studies have shown that the oxidation of emulsified sunflower oil can be inhibited by
 304 coating the oil droplets with cellulose nanoparticles, which was presumably because these particles
 305 could scavenge free radicals present at the oil droplet surfaces, as well as create a steric barrier around
 306 the oil droplets that inhibited interactions between lipids and pro-oxidants.⁸³ The presence of a layer of
 307 protein particles around the surfaces of the oil droplets in Pickering emulsions has also been reported to
 308 protect the lipids from oxidation.⁸⁴ The antioxidant activity of protein particles is likely to depend on the
 309 type, number, and location of the different kinds of amino acid present. For example, cysteine and
 310 methionine groups exposed at the surfaces of protein particles could be effective at scavenging free
 311 radicals or chelating metal ions, which would effectively inhibit oxidation.⁵ In a recent study, Pickering
 312 emulsions formulated using gliadin/chitosan nanoparticles as stabilizers were reported to be more
 313 resistant to lipid oxidation under conditions where the solution pH was less than the isoelectric point of

314 gliadin.⁸⁵ Under these conditions the protein nanoparticles have a high positive charge and so can repel
315 positively charged transition metal ions away from the surfaces of the oil droplets. In summary, previous
316 research suggests that the oxidative stability of emulsified lipids may be improved by coating them with
317 some kinds of particle-based emulsifiers.

318 Current and future perspectives

319 In this section, the benefits and limitations of using Pickering emulsions for food applications are
320 discussed, as well as possible future research directions. As mentioned earlier, Pickering emulsions tend
321 to be much more resistance to droplet coalescence than conventional emulsions.⁴ This attribute is an
322 advantage in food products containing relatively large oil droplets that are in close proximity for
323 extended periods, such as salad dressings and mayonnaise. Moreover, it may be advantageous in
324 products that have to be resistant to freeze-thaw cycling, such as frozen foods or microwave meals.
325 Pickering emulsions can be prepared from a diverse range of plant-based colloidal particles,⁸⁷⁻⁸⁸ which is
326 beneficial for the development of plant-based food products.⁸⁹ However, there are also a number of
327 potential limitations associated with the utilization of Pickering emulsions within foods. There are some
328 concerns about the potential toxicity of certain kinds of nanoparticles in foods, which may limit their use
329 as emulsifiers to formulate Pickering emulsions.⁹⁰ Consequently, more research on the gastrointestinal
330 fate and toxicity of Pickering emulsions stabilized by nanoparticles would be beneficial⁹¹. Another
331 limitation of Pickering emulsions is that they typically contain relatively large oil droplets because the
332 droplets produced during homogenization are usually considerably bigger than the colloidal particles
333 used to coat their surfaces.⁹² Thus, rapid creaming or sedimentation may occur in products that have
334 relatively low viscosities. Moreover, the relatively large droplet size may reduce the bioavailability of any
335 encapsulated bioactive substances because the droplets may not be rapidly or fully digested within the
336 human gut. Consequently, there is a need to develop smaller edible colloidal particles and more
337 effective homogenization methods that can be used to successfully prepare Pickering emulsions
338 containing smaller oil droplets. In addition, there is a need to understand how Pickering emulsions
339 behave when incorporated into real food products, especially their interactions with other ingredients
340 and their response to being exposed to food processing operations, prolonged storage, and food
341 preparation procedures.

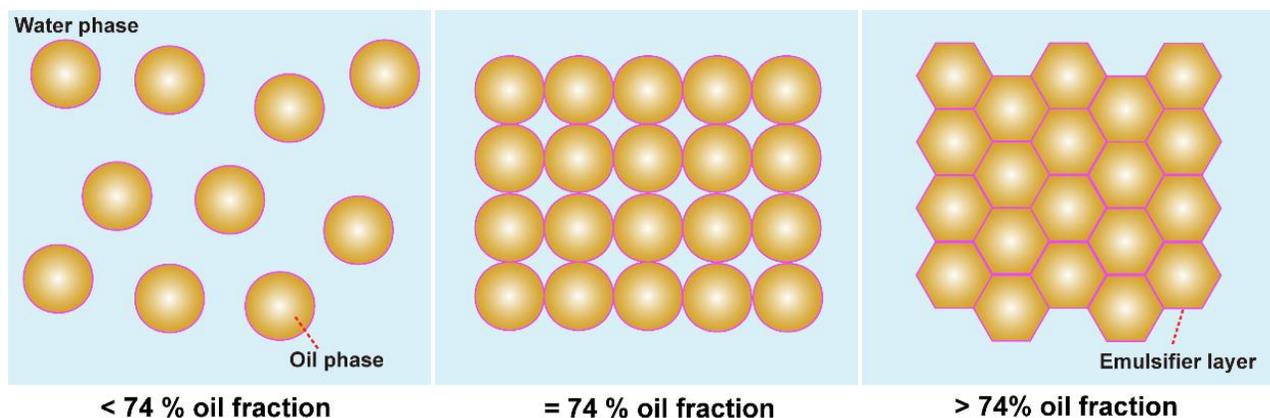
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343 HIGH INTERNAL PHASE EMULSIONS

344 HIPE mechanism and formation

345 High internal phase emulsions (HIPEs) have a droplet concentration that exceeds the close packing limit,
346 which is around 74% v/v.⁹³ At these high concentrations, the droplets are often deformed into
347 polyhedral shapes that are separated by thin films of continuous phase (Figure 4, right panel). HIPEs are
348 typically semi-solid materials because the droplets are so closely packed together that they cannot easily
349 move past each other when an external force is applied. Moreover, external energy is required to
350 deform the droplets. Like conventional emulsions, HIPEs are thermodynamically unstable systems, *i.e.*,
351 they have a tendency to revert back to the separated oil and water phases over time. Nevertheless, they
352 can be designed to be kinetically stable (“metastable”), *i.e.*, to persist for a long period of time without
353 changing their properties or breaking down. Unlike dilute emulsions,⁵ HIPEs are more resistance to
354 gravitational separation because the droplets cannot easily move upwards or downwards.⁶ However,

355 they must be formulated to avoid coalescence and oiling off during storage because the droplets are in
356 close proximity to each other for extended periods. As a result, the type of emulsifiers and other
357 stabilizers used to create HIPEs must be carefully selected.



358
359 Figure 4. Schematic showing (not to scale) of the oil phase structure of HIPEs at different internal phase
360 volume fractions. Reproduced from ref.94.⁹³ Copyright 2020 Elsevier.

361 Typically, HIPEs are fabricated by homogenizing a dispersed phase and a continuous phase containing an
362 appropriate emulsifier. Two different preparation methods are commonly used to achieve this goal: the
363 one-step and two-step methods.⁷ The one-step method involves combining the required volumes of
364 continuous and dispersed phases together and then homogenizing, often using a high shear mixer. The
365 two-step method involves gradually adding the dispersed phase to the continuous phase under
366 continuous homogenization (similar to traditional mayonnaise production). The preparation method
367 selected is often determined by the nature of the emulsifier and other stabilizers used. HIPEs can be
368 prepared using some small molecule surfactants,⁹⁴ however, the selection of the surfactant type and
369 concentration should be made carefully since phase inversion of the emulsion may occur (*e.g.*, O/W to
370 W/O or *vice versa*) at high droplet concentrations when the surfactant has some solubility in the
371 dispersed phase. Furthermore, the food industry is increasingly looking for alternatives to synthetic
372 surfactants due to consumer concerns about their potential adverse health and environmental effects,
373 especially when used at the high concentrations required to formulate HIPEs.⁹⁵ As a result, there are
374 efforts to identify more label friendly emulsifiers that can be used in the food industry to form HIPEs.

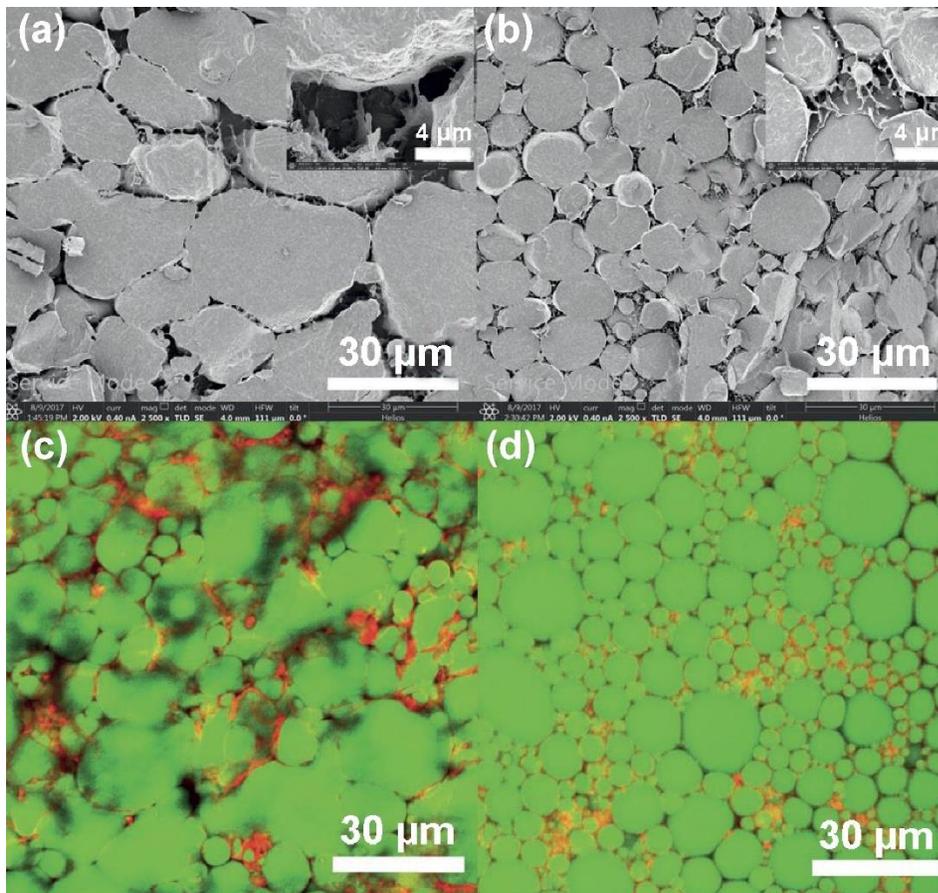
375 Colloidal particles, which may be organic or inorganic, are particularly useful for creating stable HIPEs
376 because they are able to inhibit coalescence of the oil droplets.⁹³ HIPEs formed using colloidal particles
377 are referred to as high internal phase Pickering emulsions or HIPPEs. Compared to HIPEs formed from
378 molecular emulsifiers, HIPPEs have several potential advantages including higher internal phase
379 volumes, reduced stabilizer levels, higher resistance to coalescence, and greater stability to
380 environmental changes. As a result, the creation and characterization of HIPPEs has been a major focus
381 of recent research in the food, cosmetic, and pharmaceutical industries. In particular, there has been a
382 focus on the development of HIPPEs using natural biopolymers as stabilizers.⁹⁶⁻⁹⁷ For this reason, the
383 main focus of this section will be on recent research on the development of food-grade HIPPEs from
384 natural stabilizers (Table S1).

385 Food-grade stabilizers

386 *Polysaccharide-based particles.* Similar to dilute Pickering emulsions, colloidal particles that are derived
387 from starch, chitin, and cellulose can also be used to prepare food-grade HIPPEs. Native starch
388 nanocrystals prepared by acid hydrolysis have been reported to be able to form and stabilize soy oil-in-
389 water HIPEs ($\varphi = 75\text{-}85\%$).⁹⁸ Increasing the concentration of starch nanocrystals decreased the droplet
390 size and increased the stiffness of the HIPPEs. Chitin nanocrystals have also been used as an efficient
391 stabilizer in HIPPEs ($\varphi = 75\%$).⁷ In our recent study, which utilized a two-step preparation method, a
392 relatively low concentration of chitin nanofibrils (0.064 wt %) was used to form and stabilize HIPPEs ($\varphi =$
393 88%).⁸ These HIPPEs were also shown to be physically stable for over 90 days. In a more recent study,
394 octenyl succinic anhydride (OSA)-modified rodlike cellulose nanocrystals were also shown to stabilize
395 HIPPEs ($\varphi = 80\%$).⁹⁹ The droplet size and viscosity of the HIPPEs could be tuned by varying the
396 concentration of colloidal particles used to formulate them, which means their properties could be
397 tailored for different applications.

398 *Protein-based particles.* Protein-based stabilizers for HIPPEs can be derived from animal or plant
399 sources. Animal sources include gelatin meat, milk, and egg, whereas plant sources include cereals,
400 legumes, seeds, and nuts. Whey protein microgels or nanoparticles have been successfully used for the
401 preparation and stabilization of HIPPEs.¹⁰⁰ In a recent study, a relatively low concentration of whey
402 protein nanoparticles crosslinked by calcium ions (0.2%) were used to form stable HIPPEs ($\varphi = 80\%$).¹⁰¹
403 These HIPEs remained physically stable for over 60 days, which can be attributed to the reduction in
404 droplet creaming associated with close droplet packing. Ovalbumin was recently used to prepare
405 HIPPEs, which enabled the formation of emulsions with the ability to resist droplet coalescence, lipid
406 oxidation, and oil vaporization.¹⁰² Bovine serum albumin (BSA) glycosylated with galactose has been shown
407 to form soft colloidal particles that can be used as emulsifiers to prepare HIPPEs that are more stable
408 than those prepared from native BSA.¹⁰³ HIPPEs that remained stable during long-term storage, thermal
409 processing, and freeze-thawing could be formed even at relatively low BSA conjugate concentrations
410 (0.1 wt%). Gelatin particles have also been shown to be able to form and stabilize HIPPEs ($\varphi = 80\%$) at
411 relatively low concentrations.¹⁰⁴⁻¹⁰⁵ In a recent study, a facile and *in situ* method for the preparation of
412 food-grade HIPPEs was developed using sonicated pre-fractured casein flocs.¹⁰⁶ This study demonstrated
413 that an ultrasound treatment is an alternative method to produce protein particle-stabilized HIPPEs.

414 Various kinds of plant-based proteins have also been used to stabilize HIPPEs. Gliadin, a cereal storage
415 protein, has been used to fabricate colloid particles through antisolvent precipitation, and their ability to
416 form stable HIPPEs ($\varphi = 80\%$) has been demonstrated.¹⁰⁷ In a recent study, peanut protein microgels
417 (1.5%), which were prepared by transglutaminase cross-linking followed by gel disruption, were also
418 shown to form stable HIPPEs ($\varphi = 87\%$).⁶⁰ The morphology of the droplets in the emulsions could be
419 tuned by changing the pH of the aqueous phase (Figure 5). In a recent study, native soy β -conglycinin
420 was used as a stabilizer to form HIPPEs.¹⁰⁸ Even at a relatively low protein concentration (0.2 wt%),
421 HIPPEs that were stable against heating and prolonged storage (up to 60 days) could be formed. These
422 HIPPEs broke down when exposed to freeze-thawing but they could be re-emulsified again.



423

424 Figure 5. (a) and (b) cryo-SEM and (c) and (d) confocal images of peanut protein isolate microgel-
 425 stabilized HIPPEs with 85% cold-pressed peanut oil. Inserts in (a) and (b) are enlarged views. The HIPPEs
 426 in (a) and (c) were obtained at pH 3, and in (b) and (d) was obtained at pH 9. In (c) and (d), the oil phase
 427 is shown in green and the particles in red. Reproduced from ref.61.⁶⁰ Copyright 2018 Wiley.

428 *Composite particles.* Colloidal particles comprised of more than one constituent have also been used to
 429 form food-grade HIPPEs. Some of the main advantages of using composite colloidal particles for this
 430 purpose is their customizable functionality, enhanced stabilizing ability, and diverse range of potential
 431 applications. Recently, an all-protein-based composite particle system was developed by combining
 432 ovotransferrin and lysozyme through electrostatic attraction.¹⁰⁹ The composite particles obtained were
 433 able to stabilize medium chain triacylglycerol oil HIPPEs ($\varphi = 75\%$) with tunable droplet sizes. The gel-
 434 like structure of the HIPPEs formed displayed excellent stability during long-term storage and enhanced
 435 bioaccessibility of encapsulated curcumin.¹⁰⁹

436 Combinations of proteins and polysaccharides are often used to form composite particles that have
 437 better emulsifying properties than the individual components.¹⁰³ Recently, a HIPPE ($\varphi = 85\%$) was
 438 prepared using a one-step process that involved simply blending an aqueous solution of gliadin/gum
 439 arabic nanoparticles with corn oil.¹¹⁰ These HIPPEs were relatively stable to pH, ionic strength, and
 440 temperature changes. Colloidal particles comprising of soy glycinin glycosylated to soy polysaccharides have
 441 also been used to stabilize HIPPEs ($\varphi = 80\%$) at relatively low particle concentrations (1 wt%).¹¹¹ Soy
 442 polysaccharide-soy protein nanoparticles have also been used to form and stabilize HIPPEs, which

443 exhibited good stability over a broad range of temperature, pH, and ionic strength conditions, as well as
444 after drying and freeze-thawing.¹¹² A zein/pectin composite particle has also been used to form stable
445 HIPPEs.¹¹³ In this study, manipulation of the interfacial self-assembly and packing of composite particles
446 facilitated the formation of a 3D oil droplet network that promoted the formation of HIPPEs with strong
447 viscoelasticity, thixotropy and storage stability.

448 Composite particles consisting of bovine serum albumin and cellulose nanocrystals have also been
449 utilized to create stable HIPPEs. In this system, BSA-covered CNCs were used to create stable, gel-like
450 HIPPEs whose stiffness could be tuned by modulating the ratio of CNCs and BSA used.¹¹⁴ As well as
451 binary composite particles, ternary composite particles can also be used as stabilizers for HIPPEs. For
452 instance, zein/propylene glycol alginate/rhamnolipid particles have been produced by solvent
453 evaporation.¹¹⁵ These particles were successfully used to form HIPPEs containing relatively small oil
454 droplets that were stable to coalescence over a wider range of pH values, temperatures, and NaCl
455 concentrations.

456 Novel applications in foods

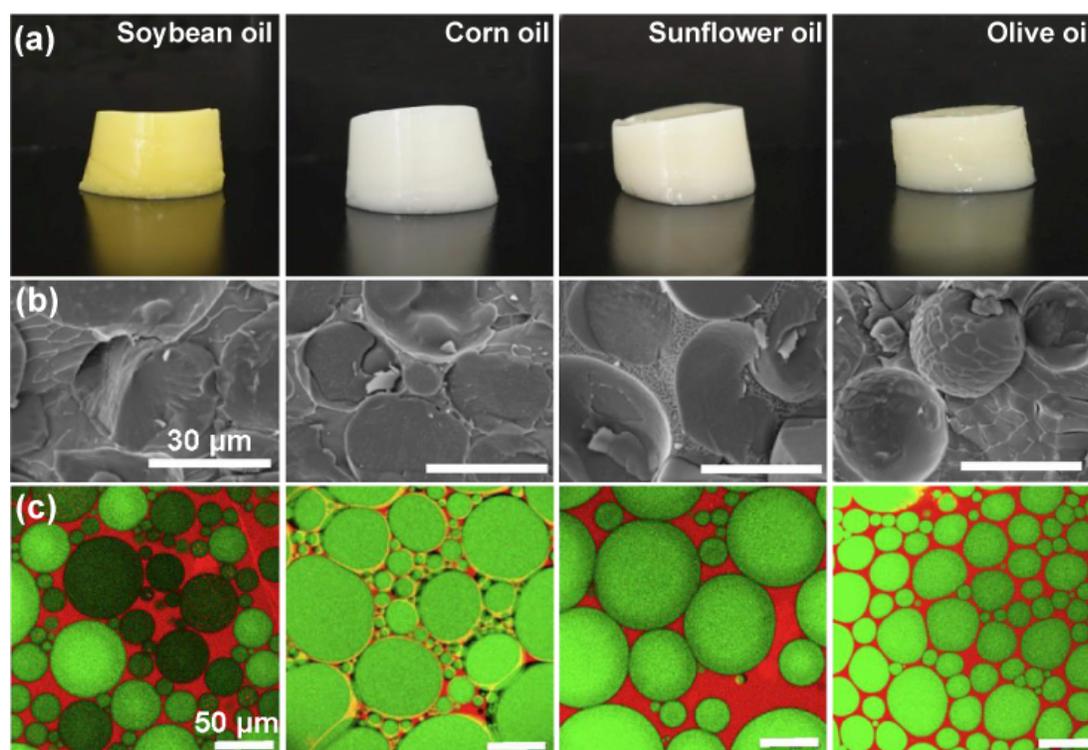
457 Compared to conventional emulsions, HIPPEs exhibit high resistance to phase separation, enhanced
458 loading capacity, and tunable semi-solid textural properties, which may be useful in some food
459 applications. Due to their gel-like textures, HIPPEs are most suitable for application in food products with
460 this kind of rheological characteristics, such as dressings, mayonnaise, sauces, dips, and spreads.

461 *Encapsulation and delivery.* HIPPEs are particularly suitable to encapsulate, stabilize, and deliver
462 hydrophobic bioactive ingredients, such as oil-soluble vitamins and nutraceuticals. They typically have a
463 much higher loading capacity than conventional emulsions because of their higher oil contents. In a
464 recent study, thermo-responsive starch particles obtained by nanoprecipitation were used to form
465 stable β -carotene-loaded HIPPEs using soybean oil as a carrier oil.¹¹⁶ *In vitro* release experiments
466 showed that the release of β -carotene was temperature-dependent, which may be useful for triggered
467 release applications. Using genipin-crosslinked ovotransferrin particles as a stabilizer, a HIPPE-based
468 delivery vehicle for hesperidin has been fabricated.¹¹⁷ Visual and microscopy analysis indicated that
469 these HIPPEs were stable over a broad range of pH and ionic strength conditions. An *in vitro* digestion
470 study showed that these HIPPEs could improve the bioaccessibility of hesperidin, which can be
471 attributed to the formation of mixed micelles capable of solubilizing this hydrophobic nutraceutical.
472 Whey protein microgel-stabilized HIPPEs have recently been developed to protect probiotics
473 (*Lactobacillus plantarum*) from damage during pasteurization.¹¹⁸ These HIPPEs enhanced the viability of
474 *Lactobacillus plantarum* (7 CFU/mL) after pasteurization compared to conventional emulsions (3
475 CFU/mL). The probiotic viability was also shown to increase as the microgel concentration used to
476 fabricate the HIPPEs was increased. This study demonstrated the potential of using HIPPEs to deliver
477 probiotics to the colon, thereby modulating gut health.

478 HIPPEs can also be used to inhibit the chemical degradation of encapsulated bioactive agents during
479 storage and processing. A recent study showed that chitosan/caseinophosphopeptide particles could be
480 used to form HIPPEs, and that these systems were capable of inhibiting the oxidation of linseed oil
481 trapped inside the droplets.¹¹⁹ The oxidative stability of algae oil has also been shown to be improved
482 when it is encapsulated in HIPPEs stabilized by gliadin/chitosan particles.¹²⁰ In addition, curcumin
483 encapsulated within these HIPPEs was shown to have a higher bioaccessibility after *in vitro* digestion

484 then curcumin dispersed in bulk oil.¹²⁰ Gelatin particle-stabilized HIPPEs have been shown to protect β -
485 carotene from degradation during storage, which was attributed to the ability of the gelatin particles to
486 scavenge free radicals and inhibit pro-oxidants reaching the carotenoids.¹⁰⁵

487 *Fat replacement.* Many semi-solid foods owe their desirable textural attributes to the presence of a 3D
488 fat crystal network, which often contains saturated or trans fats. Excessive consumption of these fats
489 can lead to an increased risk of cardiovascular disease and diabetes.⁶ Therefore, it is important to
490 develop food-grade alternatives to replace saturated and trans fats. It has been reported that the semi-
491 solid textures produced by HIPPEs may be useful as a replacement for those formed by fat crystal
492 networks in some products.¹²¹ For instance, a recent study reported that HIPPEs stabilized by meat
493 protein particles and various types of oils could be used for this purpose (Figure 6).¹²²

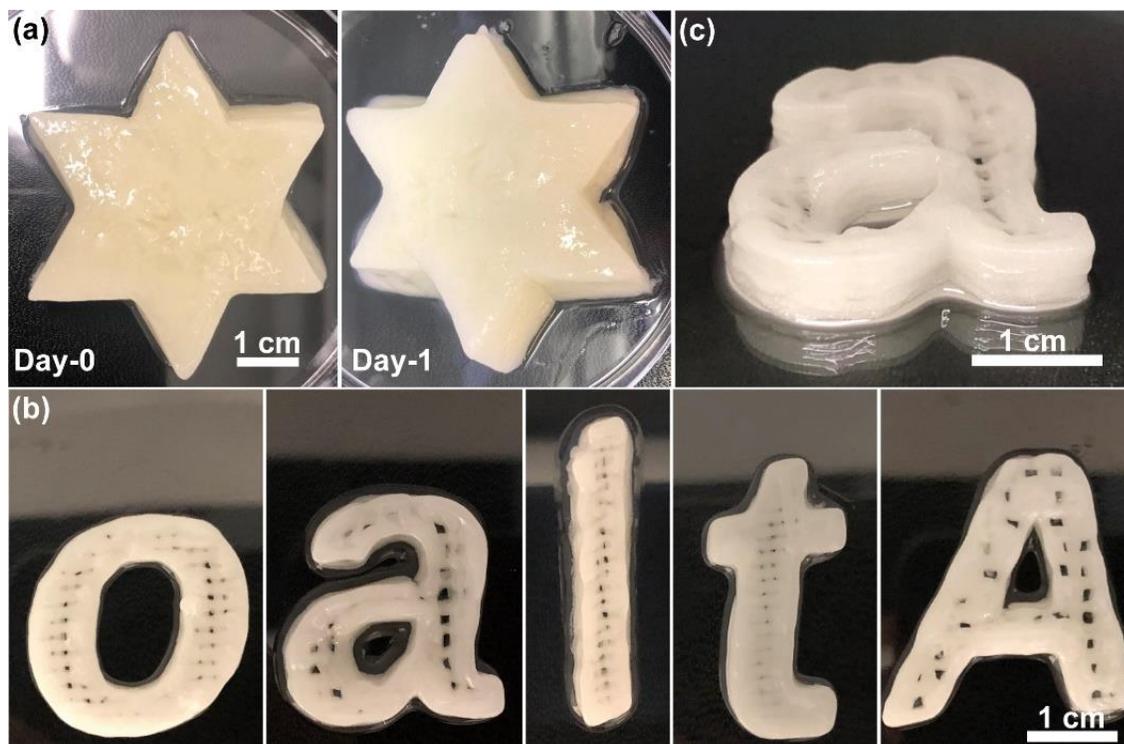


494
495 Figure 6. (a) Visual appearance, (b) microstructure and (c) confocal images of HIPPEs formed with
496 soybean oil, corn oil, sunflower oil, and olive oil (from left to right). The protein and oil were dyed with
497 Nile red and Nile blue, respectively. Reproduced from ref.123.¹²² Copyright 2020 Elsevier.

498 Zein-sodium caseinate-propylene glycol alginate particles have been used to form semi-solid HIPPEs ($\phi =$
499 80%) with textures and appearances similar to mayonnaise.¹²³ HIPPEs stabilized by wheat gluten
500 particles have been developed as a plant-based mayonnaise substitute.¹²⁴ The HIPPEs showed similar
501 textural properties to mayonnaise, such as sliminess, creaminess, and smoothness, but a much better
502 thermal stability. In another study, semi-solid plant-based HIPPEs ($\phi = 75\%$) stabilized by citrus
503 fiber/corn peptide particles were prepared,¹²⁵ which exhibited good heat and freeze-thaw stability. In
504 comparison to an egg-based commercial mayonnaise, the plant-based HIPPEs exhibited much less
505 friction, suggesting that they might provide more creaminess and smoothness. This technology may
506 therefore be useful for creating high quality plant-based foods.

507 *3D-printed foods*. 3D printing technology has increasingly attracted significant attention for its use in
508 additive manufacturing because it provides customizability and flexibility for fabricating structures with
509 arbitrary shapes.¹²⁶ In the food industry, 3D-printing has been recognized as a promising tool for
510 creating a new generation of customizable food products.¹²⁷ In 3D printing, the rheological behavior of
511 the “food inks” is critical to the successful creation of a high quality product.¹²⁸ The semi-solid behavior
512 exhibited by HIPPEs makes them good candidates for the creation of versatile food inks. Recently, the
513 influence of particle properties on the rheological behavior of HIPPEs stabilized by zein/tannic acid
514 particles was reported.¹²⁹ The storage modulus of the HIPPEs could be adjusted by modulating the
515 colloidal particle properties. A recent study showed that HIPPEs stabilized by zein-propylene glycol
516 alginate-rhamnolipid particles could be made to change from fluid to solid by adding NaCl, which offered
517 a novel strategy for adjusting their rheological behavior.¹¹⁵

518 HIPPEs ($\phi = 85\%$) stabilized by cod protein particles (10-50 mg/mL) have also been investigated for their
519 potential use as food inks. The yield stress and shear thinning behavior of the HIPPEs could be
520 modulated by adjusting the concentration of cod protein particles used, thereby leading to food inks
521 with printability and extrudability characteristics suitable for 3D printing.¹³⁰ In our recent study, a 3D
522 printable ink that consisted of chitin nanofibril-stabilized HIPPEs ($\phi = 88\%$) was developed.⁸ The
523 rheological properties of these food inks could be tuned by varying pH values because this altered
524 surface energy of chitin nanofibrils due to protonation/deprotonation of the amino groups. These
525 HIPPEs could be used to create edible products with specific shapes by taking advantage of their
526 viscoelastic behavior (Figure 7a). These polysaccharide-based HIPPEs have also been shown to be
527 suitable as food inks for 3D printing *via* direct ink writing (Figure 7b and 7c), which opens up new
528 avenues for creating novel functional foods.



530 Figure 7. (a)-(c) 3D printed objects from food-grade HIPPEs that were stabilized by chitin nanofibrils at
531 88% sunflower oil volume fraction. Reproduced from ref.8.⁸ Copyright 2020 American Chemical Society.

532

533 Current and future perspectives

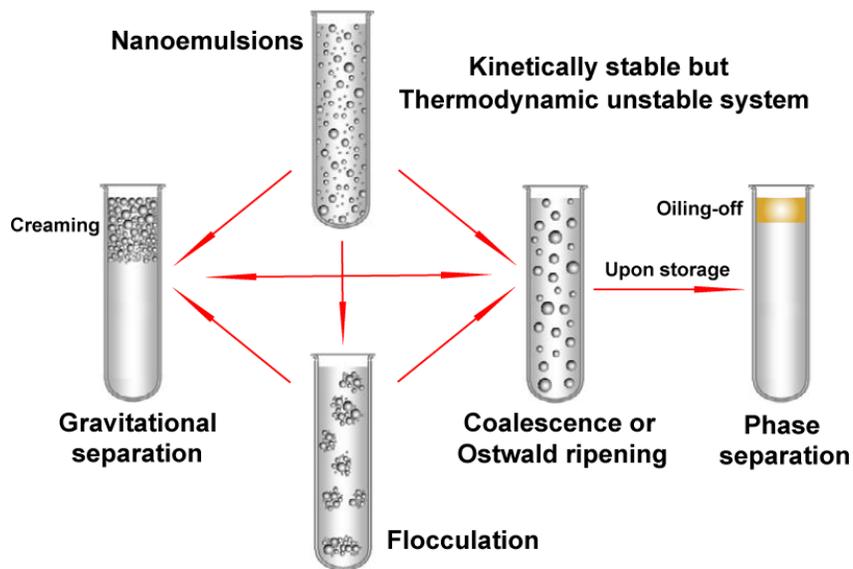
534 In this section, the advantages and disadvantages of using HIPEs in foods are discussed, as well as areas
535 where research is needed in the future. The novel functional attributes of HIPEs are mainly related to
536 their extremely high dispersed volume fraction, which leads to semi-solid textural characteristics, strong
537 resistance to gravitational separation, and a high loading capacity. As a consequence, HIPEs are
538 particularly suitable for food applications where semi-solid textures are required, such as dressings,
539 mayonnaise, dressings, or desserts. Moreover, they are useful for applications where high levels of non-
540 polar bioactive substances need to be encapsulated.¹³¹ As mentioned earlier, the fact that HIPEs can
541 flow when low stresses are applied to them but they set when these stresses are removed, means they
542 are particularly suitable for utilization as edible inks for the 3D printing of foods.¹³²

543 One of the main limitations of using O/W HIPEs in food applications is that they have a very high fat
544 content, which may be problematic from a nutritional viewpoint. Conversely, W/O HIPEs may be used to
545 create low-fat and low-calorie versions of highly viscous or semi-solid fatty products like spreads,
546 mayonnaise, and dressings. Another challenge is that HIPEs prepared using molecular emulsifiers are
547 highly unstable to coalescence during long-term storage because their droplets are forced together over
548 long periods. For this reason, Pickering emulsifiers are often required to form HIPEs that are more
549 resistant to coalescence. As with other types of advanced emulsion technologies, it will be important in
550 the future to establish how they behave in real foods and when exposed to food processing, storage,
551 and preparation conditions. Moreover, more research is required to understand how they behave
552 within the gastrointestinal tract, such as their impact on lipid digestion and bioactive bioavailability.

553 NANOEMULSIONS

554 Nanoemulsion mechanism and formation

555 Nanoemulsions are like conventional emulsions but they contain smaller droplets, typically having mean
556 diameters below about 200 nm.⁹ The relatively small size of the droplets in these systems provides some
557 potentially beneficial physicochemical and functional attributes,¹³⁴ including greater resistance to
558 gravitational separation and aggregation, increased surface reactivity, enhanced encapsulating
559 properties, improved bioavailability, and good optical clarity.¹³⁵ Even so, nanoemulsions are still
560 thermodynamically unfavorable systems because of their positive surface free energy and high surface
561 area. Consequently, they still have a tendency to break down over time as a result of gravitational
562 separation, flocculation, coalescence, and/or Ostwald ripening (Figure 8).¹³⁶ Nevertheless, the rates of
563 these processes are usually considerably different in nanoemulsions than in conventional emulsions
564 because of particle size and curvature effects.¹³⁷ For example, nanoemulsions are often more stable to
565 gravitational separation, flocculation and coalescence, but less stable to Ostwald ripening. For this
566 reason, a major focus in this area is the creation of nanoemulsions that have a sufficiently long kinetic
567 stability for commercial applications.



568

569 Figure 8. Nanoemulsions may break down through a variety of different physicochemical mechanisms,
 570 depending on the composition and structure, as well as exposing to specific environmental conditions.
 571 Reproduced from ref.137.¹³⁶ Copyright 2018 Elsevier.

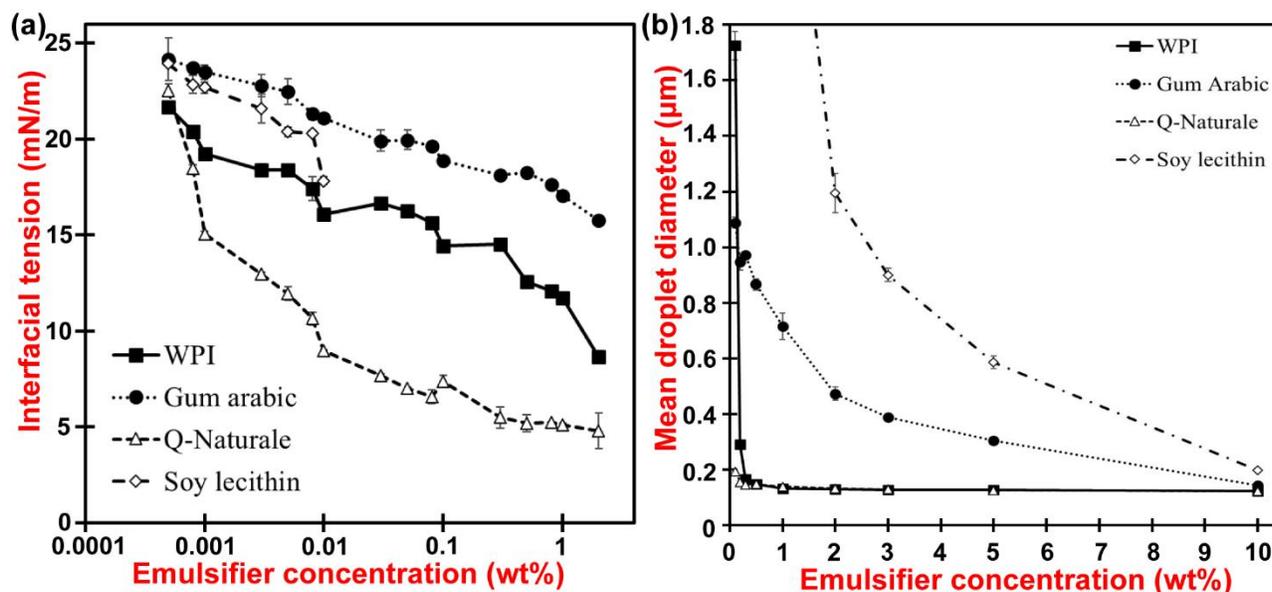
572 Nanoemulsions can be prepared using various approaches, which are conveniently categorized as high-
 573 or low-energy approaches.^{9, 138} High-energy approaches are the most widely used for producing
 574 nanoemulsions in industrial applications. They involve the utilization of mechanical machines that are
 575 designed to create intense disruptive forces (such as shear, turbulent, and cavitation forces) that break
 576 up the oil and water phases,¹³⁹ leading to the formation of tiny oil droplets. The most common
 577 mechanical machines used for producing nanoemulsions are high-pressure valve homogenization,¹⁴⁰
 578 microfluidization,¹⁴¹ rotor-stator homogenization,¹⁴² and sonication.¹⁴³ There are typically relatively high
 579 equipment and operating costs associated with high-energy emulsification methods, which are a
 580 disadvantage for some applications. However, there are also numerous advantages that counterbalance
 581 these drawbacks for most food applications. They are capable of homogenizing a broad range of oils,
 582 using a wide range of different emulsifiers. Moreover, they are capable of continuous production of
 583 nanoemulsions at relatively high throughputs. Low-energy approaches often rely on the spontaneous
 584 generation of tiny droplets in certain surfactant-oil-water mixtures when their composition or
 585 environment is altered in a controlled manner.¹⁴⁴ The driving force for nanoemulsion formation in this
 586 case is the release of the internal chemical energy during emulsification.¹⁴⁵ The most commonly used
 587 low-energy approaches are spontaneous emulsification,¹⁴⁶ emulsion inversion point,¹⁴⁷ and phase
 588 inversion temperature/composition methods.¹⁴⁸⁻¹⁴⁹ Compared to the high-energy approaches, the
 589 advantages of low-energy ones are that they are simple to implement and no expensive equipment is
 590 required. However, high levels of surfactant, especially synthetic ones, are typically required to produce
 591 nanoemulsions by low-energy approaches, which limits their application in many products due to cost,
 592 taste, and toxicity reasons.

593

594 Nanoemulsion ingredients

595 Emulsifiers and other stabilizers are often required to prepare nanoemulsions with desirable functional
596 attributes and extended shelf lives. Due to changing consumer preferences, there has been a strong
597 emphasis on the creation of nanoemulsions from natural label-friendly ingredients, rather than
598 synthetic ones. In this section, we therefore provide an overview of the key ingredients required to
599 form and stabilize nanoemulsion, with an emphasis on natural ones.

600 *Emulsifiers.* Emulsifiers are amphiphilic molecules that adsorb to the surfaces of the droplets formed
601 during homogenization, reduce the interfacial tension, and form a protective coating that inhibits their
602 aggregation.⁶⁹ Typically, emulsifier-coated oil droplets are protected from aggregation by generating
603 steric and/or electrostatic repulsive forces, whose magnitudes depend on interfacial characteristics like
604 thickness, packing, polarity, and charge. The selection of a suitable emulsifier for a specific
605 nanoemulsion application depends on its molecular and physicochemical attributes,¹⁵⁰ as well as its ease
606 of utilization, legal status, and cost.¹⁵¹ A number of different kinds of natural emulsifier have been
607 identified and successfully applied to form and stabilize food-grade nanoemulsions, including
608 polysaccharides, proteins, phospholipids, and biosurfactants (Table S1).¹⁵² The abilities of emulsifiers to
609 form and stabilize nanoemulsions varies, and so it is critical to identify the most appropriate one for
610 specific applications. In our recent study, the relative effectiveness of different natural emulsifiers (soy
611 lecithin, gum arabic, quillaja saponin, and whey protein) at fabricating corn oil-in-water nanoemulsions
612 using microfluidization was compared.¹⁵³ Although there were distinct differences in emulsifier surface
613 activity (Figure 9a), they could all form stable nanoemulsions, but different amounts were needed to
614 create small droplets (Figure 9b). This study highlighted the capability of natural emulsifiers for
615 efficiently producing label-friendly nanoemulsions.



616
617 Figure 9. Influence of emulsifier type (whey protein isolate WPI, gum arabic, quillaja saponin, and soy
618 lecithin) and concentration on (a) the interfacial tension at corn oil-water interface and (b) the mean
619 particle diameter of corn oil-in-water nanoemulsions produced by microfluidization. Reproduced from
620 ref.154.¹⁵³ Copyright 2016 Elsevier.

621 *Texture modifier.* A texture modifier is sometimes incorporated into the aqueous phase of a
622 nanoemulsion to alter its rheological properties, with the aim of prolonging its shelf life or providing

623 desirable textural attributes.⁶⁹ Two types of texture modifier are typically used for this purpose:
624 thickening agents and gelling agents. A thickening agent increases the shear viscosity of a solution
625 because it alters the fluid flow profile, thereby leading to more energy dissipation. Typically, thickening
626 agents are soluble biopolymers with extended molecular structures.¹⁵⁴ Commercially, water-soluble
627 polysaccharides, such as xanthan, guar, and gellan gums, are frequently used as thickening agents
628 because they can greatly thicken solutions when added at low concentrations.¹⁵⁵ Gelling agents are used
629 to create semi-solid properties in aqueous solutions by forming a 3D network of cross-linked or
630 overlapping biopolymers or colloidal particles. In food industry, proteins and polysaccharides are
631 typically used as gelling agents in nanoemulsions. Texture modifiers can increase the shelf life of
632 nanoemulsions by slowing down droplet movement, thereby inhibiting gravitational separation and
633 droplet aggregation. As an example, polysaccharide-based texture modifiers have been shown to
634 improve the stability of essential oil-in-water nanoemulsions by increasing the viscosity of the aqueous
635 phase.¹⁵⁶

636 *Weighting agents.* A weighting agent is a substance that is added to the dispersed phase of a
637 nanoemulsion so as to match its density to that of the continuous phase, thereby reducing the driving
638 force for gravitational separation.⁶⁹ In most nanoemulsions, the density of the oil phase is less than that
639 of the aqueous phase. Consequently, weighting agents tend to be dense hydrophobic substances that
640 are edible like sucrose acetate isobutyrate, brominated vegetable oil, and ester gum.¹⁵⁷

641 *Ripening inhibitor.* A ripening inhibitor is an additive that is incorporated into the dispersed phase of
642 nanoemulsions to restrict droplet growth through Ostwald ripening.¹⁵⁸ The application of these
643 additives is most important in O/W nanoemulsions formulated from oils that have some solubility within
644 water, including essential oils and flavor oils.¹⁵⁹ Without a ripening inhibitor, these kinds of
645 nanoemulsions would quickly breakdown as a result of the oil molecules moving from the smaller oil
646 droplets to the larger ones, as this phenomenon leads to a net increase in droplet dimensions. A
647 ripening inhibitor is typically an oil-soluble substance that has an extremely low water-solubility, *e.g.*,
648 long-chain triacylglycerol oils like corn oil, sunflower oil, or mineral oil.¹⁶⁰ The incorporation of a ripening
649 inhibitor into the oil phase slows down Ostwald ripening due to an entropy of mixing phenomenon.
650 When the oil molecules move from the smaller to larger droplets, there is a rise in the concentration of
651 the ripening inhibitor inside the smaller droplets. This results in a concentration gradient that favors the
652 transport of oil molecules from the large droplets to the smaller ones, thereby opposing droplet
653 growth.¹⁶¹ A recent study showed that the stability of antimicrobial nanoemulsions formulated from
654 essential oils could be improved by incorporating appropriate types and amounts of ripening
655 inhibitors.¹⁶²

656

657

658 Novel applications in foods

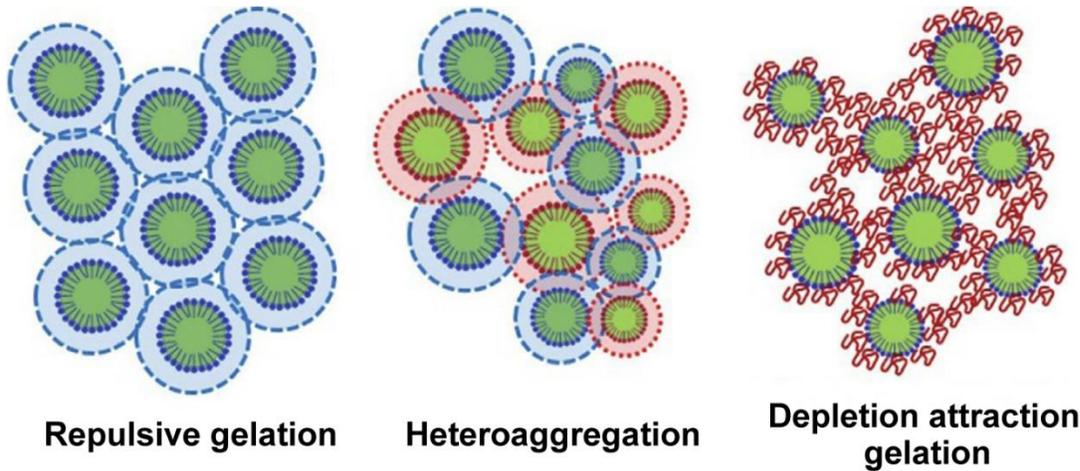
659 The small droplet dimensions and high surface area of nanoemulsions makes them especially suited for
660 specific applications within the food industry.^{9, 163} A few examples of the potential application of
661 nanoemulsions in foods are highlighted in this section.

662 *Encapsulation and delivery systems.* Oil-in-water nanoemulsions are especially suited for introducing
663 hydrophobic substances (such as vitamins, nutraceuticals, antioxidants, antimicrobials, colors, or flavors)
664 into aqueous-based food and beverage products.¹⁶⁴ The hydrophobic substances are mixed with the oil
665 phase prior to homogenization, which leads to the formation of nanoemulsions containing active-loaded
666 oil droplets dispersed in water. Nanoemulsions that are optically clear or only slightly turbid can be
667 produced by ensuring that the mean droplet diameter is much smaller than the wavelength of light ($d <$
668 50 nm), which is valuable for incorporating hydrophobic substances into transparent food or beverage
669 products.^{9, 163} The strong resistance of nanoemulsions to gravitational separation and droplet
670 aggregation is beneficial for products that require a long shelf. Moreover, nanoemulsions can be
671 designed to be more resistant to environmental stresses than conventional emulsions. For instance, a
672 recent study used whey protein isolate (WPI) as a natural emulsifier to form nanoemulsions loaded with
673 vitamin E-acetate.¹⁶⁵ These nanoemulsions were shown to be stable against flocculation when exposed to
674 a wide range of environmental conditions.

675 Due to their small droplet size and high surface area, nanoemulsions tend to be rapidly digested by
676 lipases in the gastrointestinal tract.¹⁶³ This phenomenon leads to rapid release and solubilization of
677 encapsulated hydrophobic substances, which significantly increases their bioaccessibility and
678 bioavailability. As an example, the impact of digestion on the bioavailability of coenzyme Q10 loaded
679 into nanoemulsions was evaluated using a simulated gastrointestinal tract.¹⁶⁶ The bioavailability of
680 coenzyme Q10 was 1.8-fold higher when it was delivered in nanoemulsion-form than in bulk oil-form.

681 Nanoemulsions can also be used to improve the efficacy of antimicrobial essential oils against a broad
682 range of microorganisms, including bacteria, yeast, and molds. This is because nanoemulsions increase
683 the water-dispersibility and transport properties of the essential oils, thereby increasing their ability to
684 disrupt the cell membranes of the microorganisms.¹⁶⁷ As an example, thyme oil-loaded nanoemulsions
685 were recently developed that exhibited good antibacterial activity against two model food pathogens: *E.*
686 *coli* and *S. aureus*.¹⁶⁸ These results highlight the utility of using nanoemulsions to create antimicrobial
687 delivery systems for use in foods.

688 *Fat and calorie reduction.* Nanoemulsions can be used as building blocks for creating novel structures
689 and textures in foods.¹⁶⁹ In particular, nanoemulsions exhibit solid-like characteristics at much lower
690 concentrations than conventional emulsions with the same compositions.¹⁷⁰ This phenomenon may be
691 useful for creating reduced calorie products that are viscous or gel-like, *e.g.*, sauces, dips, spreads, and
692 dressings. The ability of nanoemulsions to gain solid-like characteristics at low droplet concentrations
693 may arise due to various physicochemical phenomenon (Figure 10): (a) *repulsive gelation*: when there
694 are long-range repulsive interactions between droplets, these become more important when the
695 droplet size shrinks, causing the droplets to become jammed together;¹⁷¹ (b) *attractive gelation*: when
696 there are attractive interactions between similar kinds of droplets, they tend to aggregate, with smaller
697 droplets forming 3D networks at lower droplet concentrations;¹⁵⁴ and, (c) *heteroaggregation gelation*:
698 when two populations of oppositely charged nanoemulsion droplets are mixed together they tend to
699 aggregate and form a 3D particle network.¹⁷² Nanoemulsion gels with different textural attributes can be
700 created by controlling droplet size, concentration, and charge.¹⁷³



701
 702 Figure 10. Schematic showing (not to scale) of the possible gelation processes of nanoemulsion droplets.
 703 Reproduced from ref.175.¹⁷⁴ Copyright 2018 Elsevier.

704 Current and future perspectives

705 In this section, the benefits and limitations of nanoemulsions for food applications are discussed, as well
 706 as the needs for future research. The main advantages of using nanoemulsions are related to the
 707 extremely small dimensions of the fat droplets, which leads to greater resistance to creaming and
 708 aggregation, enhanced optical clarity, and increased bioavailability of encapsulated hydrophobic
 709 bioactives. It should be noted that nanoemulsions can be formulated entirely from plant-derived
 710 ingredients, which is important for the growing market in plant-based foods.¹⁷⁵⁻¹⁷⁶ Some of the main
 711 limitations of using nanoemulsions in the food industry are associated with the fabrication methods
 712 required. For low-energy emulsification methods, high concentrations of synthetic surfactants are
 713 required, which is not desirable from a health, cost, or flavor perspective. In contrast, for high-energy
 714 emulsification methods, specialized mechanical methods are required, which are often expensive to
 715 purchase and maintain. Another potential challenge is the regulations associated with incorporating
 716 nanoparticles into foods in some countries. In the future, more research is required to understand how
 717 nanoemulsions behave in real foods and to understand the gastrointestinal fate using *in vitro* and *in vivo*
 718 studies.

719 MULTIPLE EMULSIONS

720 Multiple emulsion mechanism and formation

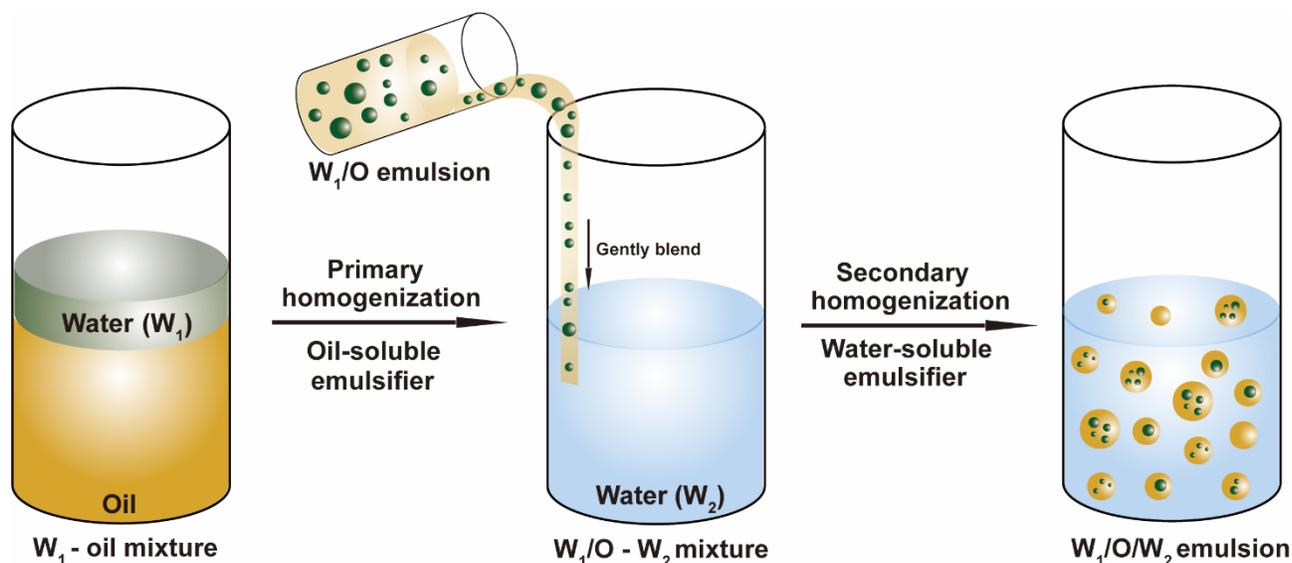
721 Multiple emulsions consist of small droplets of one immiscible substance embedded within larger
 722 droplets of another immiscible substance, which are themselves dispersed within another immiscible
 723 substance (that may be similar or different to the first one).¹⁷⁹ The unique structure of multiple
 724 emulsions makes them particularly suitable for certain food applications, including the development of
 725 reduced-fat food emulsions, flavor masking, triggered release, and the delivery of oil- and/or water-
 726 soluble active substances.¹⁸⁰⁻¹⁸²

727 Different kinds of multiple emulsions can be formulated but water-in-oil-in-water ($W_1/O/W_2$) emulsions
 728 are currently the most commonly employed in foods, where W_1 represents the inner water phase, W_2
 729 the outer water phase, and O the oil phase. Multiple emulsions have two different interfacial
 boundaries

730 that need stabilizing: the W_1 -O layer for the inner water droplets and the O- W_2 layer for the oil droplets.
731 As a result, two different types of emulsifier are typically needed to form and stabilize multiple
732 emulsions (Table S1). A more hydrophobic emulsifier is used to coat the surfaces of the inner water
733 droplets (W_1 -O), whereas a more hydrophilic emulsifier is used to coat the surfaces of the oil droplets
734 (O- W_2).¹⁷⁹ Like conventional emulsions, multiple emulsions are thermodynamically unstable and are
735 therefore prone to failure during processing, storage, and utilization.¹⁷⁹ In addition to the usual emulsion
736 breakdown mechanisms, such as creaming, flocculation, coalescence and Ostwald ripening, multiple
737 emulsions may also breakdown because the internal water droplets are released, collapse, expand, or
738 aggregate. Numerous strategies have been identified to tackle these issues,¹⁷⁹ including optimization of
739 hydrophobic and hydrophilic emulsifiers, adding weighting agents and ripening inhibitors, gelling the
740 internal water phase, crystallizing the oil phase, and osmotic balancing of the internal and external
741 water phases to prevent water diffusion. In this section, the production and potential applications of
742 multiple emulsions in foods are discussed.

743 Multiple emulsion production

744 Multiple emulsions are commonly produced using a two-step homogenization procedure (Figure 11).
745 First, a W_1 /O emulsion is prepared by blending water, oil, and a hydrophobic emulsifier together.
746 Second, a W_1 /O/ W_2 emulsion is produced by blending the W_1 /O emulsion, water, and a hydrophilic
747 emulsifier together.¹⁸³ The emulsification conditions in the second step should be less intense than
748 those used in the first step, otherwise the W_1 /O droplets may be broken down and released. For
749 example, a flavonoid-loaded multiple emulsion was recently prepared using a high-intensity jet
750 homogenizer for the first-step and a low-intensity spinning disc reactor for the second step.¹⁸⁴ The
751 dimensions of the water droplets in the W_1 /O emulsion and of the oil droplets in the W_1 /O/ W_2 emulsion
752 can be controlled by varying the type and concentration of emulsifiers, as well as the homogenization
753 conditions used in the two steps. Moreover, oil and water phase compositions can be varied. Thus,
754 multiple emulsions with different compositions and microstructures can be created, which allows one to
755 tailor them for different functional applications. For instance, the two-step method has been used to
756 prepare W/O/W emulsions with a gelled internal water phase using whey protein as a gelling agent, and
757 polyglycerol polyricinoleate, and Tween 80 as hydrophobic and hydrophilic emulsifiers, respectively.¹⁸⁵
758 The gelation of whey protein within the internal droplets significantly altered the microstructure of the
759 multiple emulsions, which made it possible to produce model foods with novel textural attributes.¹⁸⁶



760

761 Figure 11. Schematic diagram showing production of multiple emulsions ($W_1/O/W_2$) using the two-step
 762 emulsification procedure. This process requires serial addition of immiscible phases. Homogenization in
 763 each step may be carried out using a variety of devices.

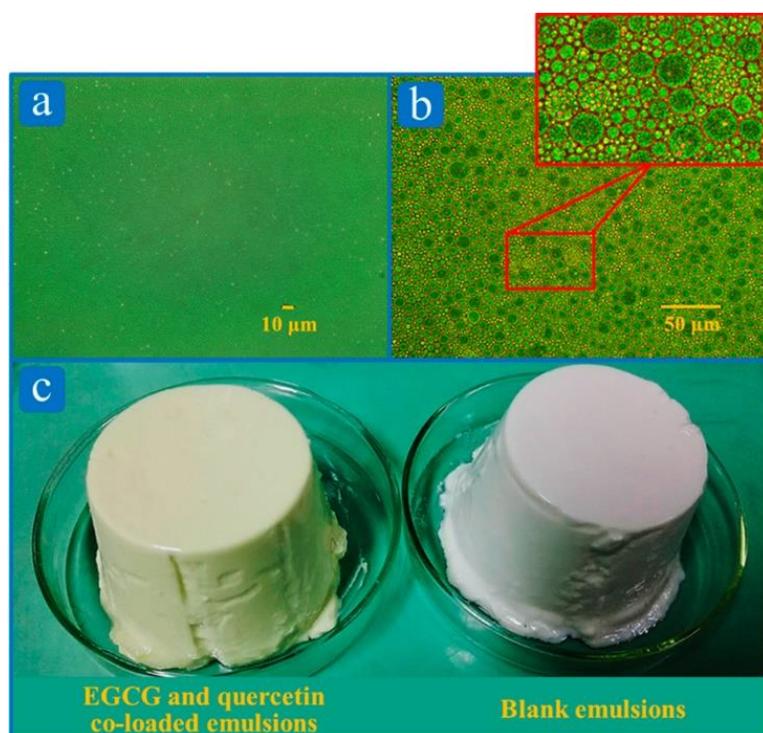
764 Microfluidic devices have been successfully used to produce multiple emulsions with uniform droplet
 765 sizes,¹⁸⁷ which may have potential for some food applications.¹⁸⁸⁻¹⁸⁹ Based on the geometries of these
 766 devices, two microfluidic emulsification methods have been developed to fabricate multiple emulsions:
 767 two-step and one-step processes.¹⁹⁰ The two - step process generates W_1/O droplets first and then
 768 disperses them in the continuous phase (W_2) using two sequential microfluidic modules. The one - step
 769 process directly produces multiple emulsions by synchronized emulsification of inner (W_1) and middle
 770 (O) fluids under the shear of the continuous phase (W_2). Microfluidic emulsification devices allow
 771 precise control over the composition, dimensions, and internal structure of multiple emulsions. For
 772 example, monodispersed $W_1/O/W_2$ emulsions consisting of oil droplets that contained one or more
 773 internal water droplets were recently created using a starch-based particle emulsifier.¹⁹¹

774 Novel application in foods

775 Multiple emulsions have a number of potential applications in foods where they have advantages over
 776 conventional emulsions.

777 *Encapsulation and delivery.* Multiple emulsions can be used to encapsulate, protect, and deliver
 778 sensitive functional components such as antioxidants, antimicrobials, flavors, colors, vitamins, minerals,
 779 and nutraceuticals. Sensitive hydrophilic components can be loaded into the inner water droplets at
 780 high encapsulation efficiencies, where they may be protected from their environment.¹⁹² As an example,
 781 multiple emulsions stabilized by nonionic surfactants and protein/polysaccharide complexes were
 782 recently used to encapsulate and protect saffron.¹⁹³ These multiple emulsions were shown to protect
 783 saffron during storage, but then release it under gastrointestinal conditions. The release of encapsulated
 784 components within the internal water phase can also be designed to be triggered by specific
 785 environmental stimuli, such as in pH, ionic strength, temperature, surface active components, or
 786 enzyme activities, thereby achieving responsive delivery platform.¹⁹⁴

787 Multiple emulsions can also be used as dual-delivery systems that encapsulate both water-soluble and
788 oil-soluble bioactives.^{181, 195} In a recent study, particle-stabilized $W_1/O/W_2$ emulsion gels designed for
789 this purpose were fabricated using a two-step procedure.¹⁹⁶ First, a W_1/O emulsion was formed that
790 contained saccharose and gelatin in the internal aqueous phase and polyglycerol polyricinoleate (a
791 hydrophobic emulsifier) in the oil phase (Figure 12a). Second, the W_1/O emulsion was homogenized
792 with an external water phase containing wheat gliadin nanoparticles (a hydrophilic emulsifier). After
793 preparation, the gelation of the gliadin nanoparticles in the external aqueous phase led to the formation
794 of particle-stabilized $W_1/O/W_2$ emulsion gels with good stability to phase separation (Figure 12b). The
795 authors showed that these emulsions could be used to trap a hydrophilic bioactive (epigallocatechin-3-
796 gallate, EGCG) in the internal aqueous phase and a hydrophobic bioactive (quercetin) in the oil phase
797 (Figure 12c). The chemical stability of EGCG and the solubility of quercetin were improved under
798 simulated gastrointestinal conditions, thereby increasing their bioaccessibilities.¹⁹⁶ This study therefore
799 highlights the potential of multiple emulsions as food-grade delivery vehicles for co-loading multiple
800 bioactives.



801
802 Figure 12. Optical microscopic image of (a) W_1/O emulsion droplets and (b) $W_1/O/W_2$ emulsion gels. (c)
803 Visual appearance of $W/O/W$ emulsion gels. Reproduced from ref.197.¹⁹⁶ Copyright 2018 American
804 Chemical Society.

805 Recently, a $W_1/O/W_2$ emulsion was fabricated using 2 wt% polyglycerol polyricinoleate as a hydrophobic
806 surfactant and 2 wt% saponin as a hydrophilic surfactant, with iron (ferrous sulfate) encapsulated in the
807 inner aqueous phase.¹⁹⁷ The anionic saponin-coated oil droplets in these multiple emulsions were
808 further coated with a layer of cationic chitosan to increase the resistance of the droplets to aggregation.
809 The $W_1/O/W_2$ emulsions were highly effective at retaining iron within the internal water phase. Indeed,
810 the iron even remained stable when the emulsions were exposed to an osmotic stress gradient, which
811 was attributed to the protective chitosan coatings.

812 Anthocyanins have been identified as plant-derived pigments that exhibit strong antioxidant,
813 anticarcinogenic, and immune modulating effects, but they are extremely unstable when extracted from
814 their natural environment.¹⁹⁸ Encapsulating them in multiple emulsions has been explored as a way to
815 stabilize them for use in functional foods.¹⁹⁸ A recent study showed the possibility of protecting
816 anthocyanin from degradation by encapsulating them within the inner water phase of a multiple
817 emulsion using polyglycerol polyricinoleate as a hydrophobic emulsifier and quillaja saponin as a
818 hydrophilic emulsifier.¹⁹⁹ These results indicated that anthocyanin encapsulation in the multiple
819 emulsions significantly slowed down pH-induced color changes, which suggested that multiple
820 emulsions may be useful for protection of natural colors.

821 Another advantage of multiple emulsions is that hydrophilic cargos can be protected from chemical
822 degradation by incorporating them in the inner aqueous phase, which isolates them from other water-
823 soluble ingredients in the outer water phase that they might otherwise react with.²⁰⁰ This unique
824 function has been demonstrated by a multiple emulsion delivery system encapsulating fish oil in the
825 inner water phase, where the oxidation stability of the fish oil was significantly improved.²⁰¹ Another
826 application is to encapsulate hydrophilic ingredients that have undesirable sensory qualities (*e.g.*, bitter,
827 astringent, or metallic flavors) in the inner water phase so that they are not perceived in the mouth
828 during mastication.

829 *Fat and salt replacement.* Multiple emulsions can be used to produce healthier foods, *e.g.*, the
830 formulation of foods with reduced fat or sodium levels. The overall fat and calorie content of emulsified
831 foods can be reduced by incorporating water droplets into the oil phase. Moreover, the viscosity of
832 multiple emulsions is usually higher than the that of the conventional emulsions with the same fat
833 contents,²⁰² leading to a fact that the physicochemical and sensory properties of multiple emulsions are
834 similar as full-fat products, showing the implications for the development of products with reduced
835 fat.²⁰³ Reduced-fat cheeses have been formulated using $W_1/O/W_2$ emulsions stabilized with
836 hydrocolloids, which mimicked some of the desirable textural characteristics of their full-fat
837 counterparts.²⁰⁴ In a similar study, a multiple emulsion prepared from soybean milk and sunflower oil
838 was used as a reduced-fat substitute for whipped dairy cream.²⁰⁵ Another study reported the use of
839 multiple emulsions to formulate reduced-fat meat batters, which exhibited stability, cooking yield,
840 hardness and lightness values similar to the control.²⁰⁶ Other researchers have shown that the bioactives
841 from berries can be encapsulated in the internal aqueous phase of multiple emulsions, which led to a
842 prolonged antioxidative effect.

843 Over-consumption of salt (sodium) is a major factor contributing to increases in blood pressure and
844 cardiovascular disease.²⁰⁷ Consequently, it is important for food manufacturers to develop products with
845 reduced sodium levels, without changing their sensory acceptability to consumers. Multiple emulsions
846 have the potential to reduce sodium levels in foods since salt can be encapsulated within the internal
847 aqueous phase and released in burst in the mouth.²⁰³ A recent study addressed the correlation between
848 the physical characteristics of multiple emulsions and the sensory perception of salt.²⁰⁸ The multiple
849 emulsions were prepared using different volumes of the internal aqueous phase but the same fat and
850 sodium contents. It was found that the saltiness perception could be modulated by changing the
851 structure of the multiple emulsions, which may be useful for developing reduced sodium foods.

852

853 Current and future perspectives

854 The potential advantages and disadvantages of using multiple emulsions in food applications are
855 discussed in this section, as well as possible areas for future research. One of the most promising
856 applications of multiple emulsions is for the creation of reduced calorie products, since some of the oil
857 within the disperse phase of O/W emulsions can be replaced with water, without altering the overall
858 disperse phase volume fraction. As a result, reduced-fat products with similar textures and optical
859 properties as the original version can be produced. Another unique aspect of multiple emulsions is that
860 they contain multiple phases within a single system, which is useful for the encapsulation, protection
861 and release of multiple active ingredients.²⁰⁹⁻²¹⁰ For instance, hydrophilic substances can be trapped
862 within the internal water phase of W/O/W emulsions, while hydrophobic ones can be trapped within
863 the oil phase of the same system.²¹¹ The internal aqueous phase can also be used for flavor masking
864 purposes, *e.g.*, by trapping bitter peptides within it so they are not exposed to the tongue during
865 mastication. Moreover, active ingredients encapsulated within the internal aqueous phase can be
866 released in response to specific environmental triggers, such as changes in temperature, osmotic stress,
867 or enzyme activity, which may be beneficial for some applications. The main disadvantage of multiple
868 emulsions is that two homogenization steps and two types of emulsifier are used to fabricate them,
869 leading to more production time and costs. Moreover, the final product is often less robust than other
870 forms of emulsions because there are a number of additional instability mechanisms. In addition, there
871 are only a limited number of hydrophobic emulsifiers suitable for application in W/O/W emulsions, with
872 the most effective being synthetic surfactants (such as PGPR), which are not label friendly.
873 Consequently, further research is needed to find more label friendly, preferably plant-based, emulsifiers
874 for utilization within multiple emulsions. As with the other kinds of advanced emulsion technologies it is
875 important to carry out more research on their performance in real food products, as well as to
876 understand the impact of their composition and structure on their sensory perception and
877 gastrointestinal fate.

878 CONCLUSIONS AND FUTURE OPPORTUNITIES

879 There have been a number of important advances in emulsion science and technology that can be
880 applied within the food industry to improve the quality, sustainability, or healthiness of foods. For
881 instance, Pickering emulsions and HIPEs that are semi-solid materials with a high resistance to
882 coalescence during storage and processing can be produced from food-grade colloidal particles. These
883 types of emulsion are useful in applications where highly viscous or gel-like foods are required, such as
884 dressings, mayonnaise, sauces, desserts, and dips. Nanoemulsions are particularly useful in low-viscosity
885 products where good resistance to creaming and aggregation is required during storage, which can be
886 achieved because of their small droplet sizes. Moreover, these systems are useful when one needs to
887 incorporate an oil-soluble substance into a transparent aqueous-based product, such as a vitamin-
888 fortified water. In addition, nanoemulsions are particularly useful if rapid release or a high bioavailability
889 of an encapsulated substance is required because they are rapidly digested under gastrointestinal
890 conditions. Multiple emulsions may also have some niche applications in the food industry, such as
891 creating reduced calorie products, co-encapsulation of multiple ingredients, and flavor masking
892 purposes. One of the main challenges now is to translate much of the work that has been carried out in
893 research and development laboratories into large scale commercial production of these novel
894 emulsions. As colloid and interface science advances there are likely to be other new emulsion
895 technologies developed that may also be advantageous for utilization in the food industry. Again, it will

896 be important that these systems can be assembled from food-grade ingredients (preferably plant-based
897 ones) using economic processing methods.

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905

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